

# The History of al-Ṭabarī

VOLUME V

The Sāsānids, the Byzantines,  
the Lakmids, and Yemen



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TRANSLATED BY C. E. BOSWORTH

The Sāsānids, the Byzantines,  
the Lakhmids, and Yemen  
Volume V  
Translated by C. E. Bosworth

This volume of al-Ṭabarī's *History* has a particularly wide sweep and interest. It provides the most complete and detailed historical source for the Persian empire of the Sāsānids, whose four centuries of rule were one of the most glorious periods in Persia's long history. It also gives information on the history of pre-Islamic Arabs of the Mesopotamian desert fringes and eastern Arabia (in al-Ḥīra and the Ghassānid kingdom), and on the quite separate civilization of South Arabia, the Yemen, otherwise known mainly by inscriptions. It furnishes details of the centuries'-long warfare of the two great empires of Western Asia, the Sāsānids and the Byzantine Greeks, a titanic struggle which paved the way for the subsequent rise of the new faith of Islam. The volume is thus of great value for scholars, from Byzantinists to Semitists and Iranists. It provides the first English translation of this key section of al-Ṭabarī's work, one for which non-Arabists have hitherto relied on a partial German translation, meritorious for its time but now 120 years old. This new translation is enriched by a detailed commentary which takes into account up-to-date scholarship.

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Said Amir Arjomand, Editor

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**THE HISTORY OF AL-ṬABARĪ**

AN ANNOTATED TRANSLATION

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VOLUME V

*The Sāsānids, the Byzantines,  
the Lakhmids, and Yemen*



The History of al-Ṭabarī

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(*Ta'riḫ al-rusul wa'l-mulūk*)

VOLUME V

**The Sāsānids, the Byzantines,  
the Lakhmids, and Yemen**

translated and annotated  
by

**C. E. Bosworth**

University of Manchester

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## Preface

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THE HISTORY OF PROPHETS AND KINGS (*Ta'rikh al-rusul wa'l-mulūk*) by Abū Ja'far Muḥammad b. Jarīr al-Ṭabarī (839–923), here rendered as *The History of al-Ṭabarī*, is by common consent the most important universal history produced in the world of Islam. It has been translated here in its entirety for the first time for the benefit of non-Arabists, with historical and philological notes for those interested in the particulars of the text.

In his monumental work al-Ṭabarī explores the history of the ancient nations, with special emphasis on biblical peoples and prophets, the legendary and factual history of ancient Iran, and, in great detail, the rise of Islam, the life of the Prophet Muḥammad, and the history of the Islamic world down to the year 915. The first volume of this translation contains a biography of al-Ṭabarī and a discussion of the method, scope, and value of his work. It also provides information on some of the technical considerations that have guided the work of the translators. The thirty-ninth volume is a compendium of biographies of early members of the Muslim community, compiled by al-Ṭabarī, although not strictly a part of his *History*, it complements it.

The *History* has been divided here into thirty-nine volumes, each of which covers about two hundred pages of the original Arabic text in the Leiden edition. An attempt has been made to draw the dividing lines between the individual volumes in such a way that each is to some degree independent and can be read as such. The page numbers of the Leiden edition appear in the margins of the translated volumes.

Al-Ṭabarī very often quotes his sources verbatim and traces the chain of transmission (*isnād*) to an original source. The chains of transmitters are, for the sake of brevity, rendered by only a dash (—) between the individual links in the chain. Thus, "According to Ibn Ḥumayd—Salamah—Ibn Ishāq" means that al-Ṭabarī received the report from Ibn Ḥumayd, who said that he was told by Salamah, who said that he was told by Ibn Ishāq, and so on. The numerous subtle and important differences in the original Arabic wording have been disregarded.

The table of contents at the beginning of each volume gives a brief survey of the topics dealt with in that particular volume. It also includes the headings and subheadings as they appear in al-Ṭabarī's text, as well as those occasionally introduced by the translator.

Well-known place names, such as, for instance, Mecca, Baghdad, Jerusalem, Damascus, and the Yemen, are given in their English spellings. Less common place names, which are the vast majority, are transliterated. Biblical figures appear in the accepted English spelling. Iranian names are usually transcribed according to their Arabic forms, and the presumed Iranian forms are often discussed in the footnotes.

Technical terms have been translated wherever possible, but some, such as *dirham*, and *imām*, have been retained in Arabic forms. Others that cannot be translated with sufficient precision have been retained and italicized, as well as footnoted.

The annotation is aimed chiefly at clarifying difficult passages, identifying individuals and place names, and discussing textual difficulties. Much leeway has been left to the translators to include in the footnotes whatever they consider necessary and helpful.

The bibliographies list all the sources mentioned in the annotation.

The index in each volume contains all the names of persons and places referred to in the text, as well as those mentioned in the notes as far as they refer to the medieval period. It does not include the names of modern scholars. A general index, it is hoped, will appear after all the volumes have been published.

For further details concerning the series and acknowledgments, see the preface to Volume I.





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## Abbreviations

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- AAE:** *Arabian Archaeology and Epigraphy*  
**AJSSL:** *American Journal of Semitic Languages and Literatures*  
**AKAW Berlin:** *Abhandlungen der Königlichen Akademie der Wissenschaften zu Berlin*  
**AKGW Göttingen:** *Abhandlungen der Königlichen Gesellschaft der Wissenschaften zu Göttingen*  
**AM:** *Asia Major*  
**AO:** *Acta Orientalia*  
**AO Hung.:** *Acta Orientalia Hungarica*  
**BAR:** *British Archaeological Reports*  
**BEO:** *Bulletin d'Etudes Orientales*  
**BGA:** *Bibliotheca Geographorum Arabicorum*  
**BIFAO:** *Bulletin de l'Institut Française d'Archéologie Orientale*  
**BSOAS:** *Bulletin of the School of Oriental and African Studies*  
**BZ:** *Byzantinische Zeitschrift*  
**CAJ:** *Central Asiatic Journal*  
**CHI III:** *The Cambridge History of Iran. III. The Seleucid, Parthian and Sasanian Periods*, ed. E. Yarshater, 2 parts. Cambridge, 1983.  
**CHI IV:** *The Cambridge History of Iran. IV. The Period from the Arab Invasion to the Saljuqs*, ed. R. N. Frye. Cambridge, 1975.  
**CRAIBL:** *Comptes Rendus de l'Académie des Inscriptions et Belles-Lettres*  
**DOP:** *Dumbarton Oaks Papers*  
**EI<sup>1</sup>:** *Encyclopaedia of Islam, first edition*  
**EI<sup>2</sup>:** *Encyclopaedia of Islam, second edition*  
**EIr:** *Encyclopaedia Iranica*  
**GAS:** *Fuat Sezgin, Geschichte des arabischen Schrifttums*, 9 vols. Leiden, 1975- .

- GMS: *Gibb Memorial Series*  
 HdO: *Handbuch der Orientalistik*  
 IA: *Iranica Antiqua*  
 IC: *Islamic Culture*  
 Iran JIPS: *Iran, Journal of the British Institute of Persian Studies*  
 IJ: *Indo-Iranian Journal*  
 IJMES: *International Journal of Middle East Studies*  
 IS: *Iranian Studies*  
 Isl.: *Der Islam*  
 JA: *Journal Asiatique*  
 JAOS: *Journal of the American Oriental Society*  
 JESHO: *Journal of the Economic and Social History of the Orient*  
 JIS: *Journal of Islamic Studies*  
 JIRAS: *Journal of the Royal Asiatic Society*  
 JSAI: *Jerusalem Studies in Arabic and Islam*  
 JSS: *Journal of Semitic Studies*  
 MO: *Le Monde Oriental*  
 MUSJ: *Mélanges de l'Université Saint-Joseph*  
 NC: *The Numismatic Chronicle*  
 OC: *Oriens Christianus*  
 OS: *Orientalia Suecana*  
 PSAS: *Proceedings of the Seminar for Arabian Studies*  
 PW: *Paulys Real-Encyclopädie der classischen Altertumswissenschaft*,  
 ed. G. Wissowa et alii, 34 vols. + 15 vols. Supplement. Stuttgart,  
 1893-Munich, 1972. *Der Kleine Pauly*, 5 vols. Stuttgart, 1964-  
 Munich, 1975.  
 RHR: *Revue de l'Histoire des Religions*  
 RMMM: *Revue du Monde Musulman et la Méditerranée*  
 RSO: *Rivista degli Studi Orientali*  
 SbWAW: *Sitzungsberichte der Wiener Akademie der Wissenschaften*  
 SI: *Studia Islamica*  
 St Ir: *Studia Iranica*  
 TAVO: *Tübinger Atlas des Vorderen Orients*  
 WbKAS: *Wörterbuch des klassischen arabischen Sprache*  
 WO: *Die Welt des Orients*  
 WZKM: *Wiener Zeitschrift für die Kunde des Morgenlandes*  
 ZA: *Zeitschrift für Assyriologie*  
 ZDMG: *Zeitschrift der Deutschen Morgenländischen Gesellschaft*

In citations from the Qur'ān, where two different numbers are given for a verse, the first is that of Flügel's text and the second one that of the official Egyptian edition.





## Translator's Foreword

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### I

The section of al-Ṭabari's *History* on the four centuries preceding the rise of the Prophet Muḥammad continues the nonannalistic treatment of the pre-Islamic period as a whole, but it departs from the previous retailing of stories about the Children of Israel, the earlier prophets and the ancient peoples of the Near East and Arabia, which formed the first tier of Islamic salvation history, that of a pristine monotheism which had become clouded over by idolatry and a time of ignorance before God had sent His Prophet to mankind. Instead, although we do not get the year-by-year treatment of events used for post-Islamic times, we emerge instead into something that is recognizable as real history: the origins of the successors to the Parthian Arsacids of Persia, the Sāsānids, and the subsequent four centuries' history of the dynasty; the Sāsānids' sporadic episodes of warfare with the Romans/Byzantines, and, on the eastern frontiers of the Sāsānid empire, occasional wars with the peoples of Inner Asia, the Tūrān of Firdawsī's version of the Persian national epic, the *Shāh-nāmah*; the Sāsānids' attempts to maintain a buffer-state on the desert fringes of Mesopotamia in the shape of the Arab Lakhmid princes who, it was hoped, would protect Mesopotamia from depredations by the Bedouins of inner Arabia; the Sāsānids' installing of military bases on the western shores of the Persian Gulf in order to turn the gulf into a Persian lake, safe for their commerce; from the fifth century onwards, an interventionist policy across central Arabia, culmi-

nating in the Persian occupation of Yemen in 570 for some sixty years; but then, at the end, the sudden disintegration of the empire at the hands of first the Byzantines and then the Muslim Arabs.

This section of al-Ṭabarī's work is thus by no means exclusively concerned with the affairs of the Persian imperial heartland proper, the Iranian plateau and Mesopotamia, where the capital Seleucia-Ctesiphon lay, but is to a considerable extent concerned with its western and southwestern fringes; that is, the Roman/Byzantine provinces of eastern Anatolia and the Semitic Near East, including such ruling Arab families as the Lakhmids of al-Ḥīrah and the chiefs of Kindah of the family of Ḥujr Ākil al-Murār in central Arabia. Much of the material in al-Ṭabarī on the Sāsānids' external relations can be corroborated or amplified from outside contemporary or near-contemporary sources. For the warfare with the Romans/Byzantines, there is a rich array of Byzantine chroniclers, some of them, like Procopius, closely connected with the military commanders concerned or, like Agathias, with a special channel of communication for knowledge of Persian affairs. For the Arabian peninsula, there is a fair amount of Arabic information, admittedly post-Islamic in the form we know it, about the Lakhmid kings and the chiefs of Kindah, arising out of the Arabs' passion for genealogical information and its historical background and out of the need to elucidate the background of poetic activity at the court of al-Ḥīrah or in the person of a poet-chief like Imru' al-Qays. In his translation, *Geschichte der Perser und Araber zur Zeit der Sasaniden* (see on this, below), Nöldeke omitted some sections of al-Ṭabarī's material on pre-Islamic Yemen, since he considered it as "zu fabelhafte" (880<sup>17</sup>-882<sup>4</sup>, but with 881<sup>19</sup>-882<sup>4</sup> inserted out of order in his translation at 147-48; 890<sup>4</sup>-892<sup>14</sup>; 901<sup>1</sup>-917<sup>17</sup>). He also omitted as irrelevant to his general topic 966<sup>15</sup>-981<sup>2</sup>, on the miraculous birth and early upbringing of the Prophet Muḥammad, and the closing section in this *Prima series*, vol. 2, 1069<sup>17</sup>-1072<sup>20</sup>, on the chronology of the world from Adam to the Prophet's birth. With regard to the South Arabian material, during the 1870s, with little more secondary material available on the history of the pre-Islamic Arabs than A. P. Caussin de Perceval's attempt at making historical bricks without straw, his *Essai sur l'histoire des Arabes avant l'Islamisme, pendant l'époque de Mahomet et jusqu'à la réduction de toutes les tribus*

*sous la loi musulmane* (Paris 1847-48), this must have seemed substantially the case. Only from the early 1870s, through the pioneer efforts of scholarly travelers like Halévy in copying inscriptions on the spot, with his subsequent decipherment of the script and then further elucidation of the material by D. H. Müller and others, did knowledge begin to emerge of the rich but patchy heritage of South Arabian inscriptions (and also, around this time, of inscriptions in other languages of the peninsula like Thamudic, Lihyanitic, Safaitic, etc.). Nöldeke was of course aware of the pioneer discoveries and publications here, but the material was still meager in quantity and philologically difficult to evaluate. During the course of the present century, the study of Epigraphic South Arabian has emerged as a fully grown branch of Semitic studies, and we now have confirmation—if at times in an allusive rather than direct manner—of several apparently “fabelhafte” events in al-Ṭabarī’s presentation of South Arabian history. Nor should one forget the significant quantity of material in Syriac and other languages of the Christian Orient that has now come to light and has illuminated the formation of an indigenous Christian church in Southwestern Arabia and such episodes as the struggle for political power and influence there involving such outside powers as Abyssinia, Byzantium, and Persia. Even the history of the lands beyond Persia’s northeastern frontier has had a certain amount of fresh illumination thrown upon it by recent work on the Western Turk empire and on the Kushans, Kidarites, and Hephthalites, utilizing the results of such disciplines as archaeology, numismatics, and epigraphy; and the emergence in the last decade of material from a family archive in what is now northern Afghanistan will almost certainly increase our knowledge of the history and language of Bactria, the later Islamic Ṭukhārīstān, in its pre-Islamic phase.

We have been talking about the peripheries of Persia, but there remains central to this section of al-Ṭabarī’s *History* the Persian and Mesopotamian core of the Sāsānid empire. The populations and resources of these territories, the firm social structure, the cohesive power within society of the Zoroastrian state church and its ethos, the richness of the irrigated lands of the Sawād of Iraq and the oases of the Iranian plateau, all these provided the motive power for Sāsānid expansionism and military success. For nearly

four centuries there was a perceptible trend of Sāsānid military success over the Romans/Byzantines: in the great battleground of Upper Mesopotamia, the Persian captured Nisibis in 363 and held it continuously thereafter as a bastion of Persian power threatening the Greeks, with the supreme success of final breakthrough in 614. Recently, James Howard-Johnston has perceptively weighed up the comparative positions and rôles of the two great empires of the Near and Middle East, concluding that it was above all the Persians' possession of Mesopotamia, with its populousness, its advanced, irrigated agriculture and its position at the head of the Persian Gulf with trade routes stretching thither from the East—all these advantages complementing the results of a similar exploitation of the oasis economies of the Iranian plateau—which gradually gave the Sāsānids the edge over Byzantium, enabling *inter alia* the emperors to use the threat of renewed military action to impose humiliating, tribute-paying conditions on the Greeks.<sup>1</sup>

Unfortunately, our knowledge of whole stretches of Sāsānid internal history and of the mechanisms driving the empire remains very imperfect. Such basic topics as the nature of the social structure and the rôles of the aristocracy, gentry, priesthood, and merchants, and the nature of the landholding and financial system on which the state apparatus rested, continue to excite discussion and controversy among scholars. Sources of information like that from the rich corpus of Sāsānid royal and priestly inscriptions and reliefs, the testimony of coins and sealings, the material concerning subordinate faiths of the empire such as that from the conciliar acts of the Nestorian Church and from the Babylonian Talmud, have all been carefully sifted, but cannot compensate for the almost total absence of contemporary records and literature in Middle Persian, and the exact dating and provenance of such exiguous material as we do have, like the *Letter of Tansar* (see on this p. 17 n. 66, below) continue to be debated. Hence the continued, central importance of al-Ṭabarī's historical information on Sāsānid history, supplemented by equally valuable if scantier information in writers like Ibn Qutaybah, al-Ya'qūbī, al-Dīnawarī, al-Mas'ūdī, and Ḥamzah al-Iṣfahānī.

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1. Howard-Johnston, "The Two Great Powers in Late Antiquity: A Comparison," 180-97.

It is undeniably true, as Howard-Johnston has again observed, that the version of Sāsānid history that reached al-Ṭabarī from one or other versions of the *Khwadāy-nāmag* or *Book of Kings*, probably from that translated into Arabic by the late Umayyad writer Ibn al-Muqaffa', almost certainly involved much distortion, suppression, and invention.<sup>2</sup> The penchant for entertaining anecdotes, memorable sayings, curiosia, moralizing tales, and the like, which seem to go back to the *Book of Kings's* Pahlavi original, was characteristic also of early Arabic *udabā'* or littérateurs. In his endeavor to produce a plausible, straightforward historical narrative, al-Ṭabarī must have tried valiantly to cut his way through a mass of entertaining but historically irrelevant information presented to him in these royal annals, but he could not entirely break free of the *adab* tradition (cf. his inclusion of the totally unhistorical story of Shābūr II's wandering disguised in the Roman camp and capture, p. 60 below, and the tale of Kawād I's escape from imprisonment at the end of the interregnum of Jām-āsp's rule, p. 135 below). Al-Ṭabarī's efforts at pruning less relevant material can be seen in the shortened Persian translation produced by Abū 'Alī Bal'amī (see on this, below), in which the Sāmānid vizier put back in his narrative certain items from the Sāsānid historical tradition where he thought al-Ṭabarī had pruned it overzealously. The fact that anecdotal material of the examples given above remained in al-Ṭabarī's *History* detracts only a little from confidence in his search for sober history.

There is nevertheless a certain unevenness of treatment, perhaps inevitable considering the material within al-Ṭabarī's hands. Sometimes confirmation or amplification of incidents in al-Ṭabarī's narrative can be found in, for example, the Greek, Syriac, or Armenian sources, but when the internal history of the Sāsānid empire did not impinge upon or affect the Christians of Persia, there was little reason for Eastern Christian sources to notice events there. Hence we are left with many blank or little-known periods in Sāsānid history, such as the reigns of Bahrām II in the later third century (covering seventeen years), of Bahrām IV at the end of the fourth century (eleven years) and of Yazdagird II in the

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2. Ibid., 170-72.

mid fifth century (almost two decades), skated over by al-Ṭabarī (see pp. 46, 69, 106–109 below). For a crucial subject like Khusraw Anūsharwān's financial, tenurial, and military reforms, vital for our understanding of the internal dynamics of the later Sāsānid empire, we are still largely dependent on al-Ṭabarī's account; it is detailed and informative, but capable of varying interpretation, and hence has not surprisingly attracted a substantial body of comment and interpretation (see p. 258 n. 624, below). The same applies to the slightly earlier episode of Mazdak and his religiosocial movement in the reign of Kawād I and the earlier part of Anūsharwān's reign, which has given rise to widely varying interpretations, often not unconnected with the political and social views of the scholars concerned (see p. 132 n. 342, below).

We must be grateful to al-Ṭabarī for preserving as much as he did of hard historical material, among the less valuable episodes of his *History* that were meant more for entertainment than instruction. Writing a history of the Sāsānids without the Arabic chronicles, even though these last date from two or three centuries after the empire's demise, would be a daunting task.

## II

The achievement of Theodor Nöldeke (1836–1930) in producing in 1878 his *Geschichte der Perser und Araber zur Zeit der Sasaniden* and its stupendous commentary, was uniformly praised on its publication (save for one petulant French reviewer, although one recalls that this was only seven years after the French loss of Alsace-Lorraine to the German empire and that Nöldeke was at that moment sitting in Alsace in a professorial chair at Strassburg/Strasbourg University). In his extended review article of the work, Alfred von Gutschmid stated that Nöldeke's utilization of al-Ṭabarī had made it possible for the first time to write a real history of the Sāsānids.<sup>3</sup> Succeeding generations of scholars—and not only orientalists but those from other disciplines like Byzantine studies—have continued to use Nöldeke's work and will doubtless continue to do so, when so

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3. See F.-C. Muth, *Die Annalen von aṭ-Ṭabarī im Spiegel der europäischen Bearbeitungen*, 57 and nn. 323–37.

much of the material he brought to bear on the elucidation and amplification of the Arabic text, that from the Greek, Latin, Syriac, Hebrew, Georgian, and Armenian sources (the latter via his Berlin colleague von Gutschmid), remains still valid. Nöldeke himself regarded his translation as perhaps his *chef d'oeuvre*.<sup>4</sup> Nevertheless, a plethora of new information has emerged in the intervening 120 years, and this needs to be integrated with any new translation of the Arabic text. Today we live in an age of many specialists but not of polymaths like Nöldeke. How can any single person nowadays—not least the present *'abd ḥaqīr*—attempt to gather up and integrate all this new information? Thus as noted above, since Nöldeke's time, a whole new field within Semitic studies, that of Epigraphic South Arabian and South Arabian history, has emerged and matured. The obvious answer to the problem would be a team of experts collaborating on the project of a translation plus a commentary that would almost certainly exceed by many times the length of the translation itself. Such projects are easy to conceive but hard to finance and even harder to realize. The final volume of the *History of al-Ṭabarī* project cannot wait a further twenty years or so, which is what such a team of experts in different fields might well require (though Nöldeke finished his translation in one year!); and their finished product would almost inevitably be outdated in many respects before the end of the period of time involved. Hence the present work is offered now for readers' consideration as one which had to be completed within a period of two years only. The present translator and commentator is conscious of whole areas of new scholarship which should, in ideal conditions, be brought into consideration for the commentary; for instance, much exciting and relevant work is coming out of the Workshops on Late Antiquity and Early Islam, and this has been only partially tapped. But a halt must be called at some point, and I have reluctantly arrived at this; whether the achievement is worthwhile, the reader must judge for himself.

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4. His view here was expressed in a letter to Goldziher, cited by F. Rosenthal, *The History of al-Ṭabarī, an Annotated Translation. I. General Introduction and From the Creation to the Flood*, 144 n. 469.

The generations of Arabists who have used Nöldeke's *Geschichte* cannot have failed to be impressed by the degree of accuracy which he achieved in his translation.<sup>5</sup> Where, as with so much of pre-Islamic poetry, replete as it is with recondite allusions, often totally unrecoverable today, doubt and uncertainty remained, he noted this. Since he actually published the translation a year before the appearance of the edited text (volume 1 of the *Prima Series*) on which it was based, a more complete understanding of the text led him on occasion to revise his translation (see, e.g., p. 65 n. 177 below). But such occasions were few and far between. What has happened since Nöldeke's time is that several Arabic texts that he had to use in manuscript, such as Ibn Qutaybah's *'Uyūn al-akhbār*, al-Ya'qūbī's *Ta'riḫ*, al-Dīnawarī's *al-Akḫbār al-ṭiwāl*, and various poetical *dīwāns*, have now been critically edited, and wherever possible, I have taken advantage of improved readings in these editions.

When the project for an edition of the *History* was first mooted in the early 1870s under the stimulus of the Leiden Arabist M. J. de Goeje,<sup>6</sup> Nöldeke undertook to edit the section on the Sāsānids (*Prima series*, 813–1067) and, after the unexpected death of Otto Loth, the ensuing section 1067–1572; that is, up to almost the end of the events of A.H. 6. Basically, Nöldeke had at his disposal for the section on the Sāsānids the three manuscripts (1) L = Leiden 497, covering the whole period except for a lacuna at 878<sup>12</sup>–899<sup>17</sup>; (2) C = Constantinople/Istanbul, Köprülü 1040; and (3) T and t = Tübingen Ma. VI, 2 (Wetzstein Collection), with two parts, the second copied later than the first. Other manuscripts in part supplemented these, including P = Paris, Bibliothèque Nationale, ancien fonds 627 (a manuscript cognate with L), from 899<sup>12</sup> (i.e., soon after the beginning of the reign of Khusraw I Anūsharwān); and BM = British Library, Add. 23,263, from 915<sup>9</sup> (i.e., in the section on the Tubba' king of Yemen As'ad Abū Karib). Nöldeke also mentioned that he had found useful Ibn Hishām's version of Ibn

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5. Cf. Irfan Shahīd, "Theodor Nöldeke's 'Geschichte der Perser und Araber,' an Evaluation," 119–21.

6. See on the project and its genesis, *Introductio*, pp. xxx–xxxv; J. W. Fück, *Die arabischen Studien in Europa bis in den Anfang des 20. Jahrhunderts*, 212–14; Muth, *Die Annalen von aṭ-Ṭabarī*, 8–13; Rosenthal, *The History of al-Ṭabarī, an Annotated Translation*, I, 141–42.



Ishāq's *Sīrat al-nabī* (available in the printed edition of 1858–60 by F. Wüstenfeld); the anonymous history contained in the manuscript Sprenger 30 (in the collection acquired in 1858 from Sprenger by the Prussian State Library in Berlin, and still unpublished; it corresponds to one of the two main versions used by al-Ṭabarī for the history of the Sāsānids; see on the work the dissertation of J. G. Rothstein, *De chronographo arabo anonymo, qui codice Berolinensi Sprengiano tricesimo continetur*); and the Gotha manuscript 24–25 of Bal'amī's abbreviated, and in places slightly amplified, Persian translation of al-Ṭabarī's *History* (H. Zotenberg's French translation was not published until 1867–74).<sup>7</sup> The Cairo 1960–69 text of al-Ṭabarī's *History* by the veteran Egyptian editor Muḥammad Abū al-Faḍl Ibrāhīm, which incorporates some readings from Istanbul Topkapi Saray manuscripts and certain other ones, has been compared by the present translator with the Leiden text; the additional information gleaned has, however, proved negligible.

The rendering of Arabic names and terms follows the usual system of *The History of al-Ṭabarī*. In regard to Epigraphic South Arabian, I have endeavored to follow the generally acknowledged system as exemplified in A. F. L. Beeston's *Sabaic Grammar*. It is the rendering of pre-Islamic Iranian names and terms that causes difficulties, and no watertight system seems possible here. At the suggestion of Mr F. C. de Blois, for the spelling of Middle Persian words and names I have endeavored to follow the principles laid down by D. N. MacKenzie in his *A Concise Pahlavi Dictionary* (cf. his exposition of the ambiguities and difficulties involved in handling the Pahlavi script, Introduction, pp. x–xv) and now generally accepted by specialists; namely, a strict distinction between *transliteration* of the consonantal script and *transcription* of the reconstructed Sāsānid pronunciation. For example, the name of the first Sāsānid ruler is transliterated 'rthštr but transcribed as Ardaxšīr. His father's name is transliterated p'pky but transcribed as Pābag. Ardaxšīr's son's name is spelled etymologically as šhp-whly (for šāh + puhhr), and the contemporary Sāsānid pronunciation was Šabuhr, as we know from the Manichaean Middle Per-

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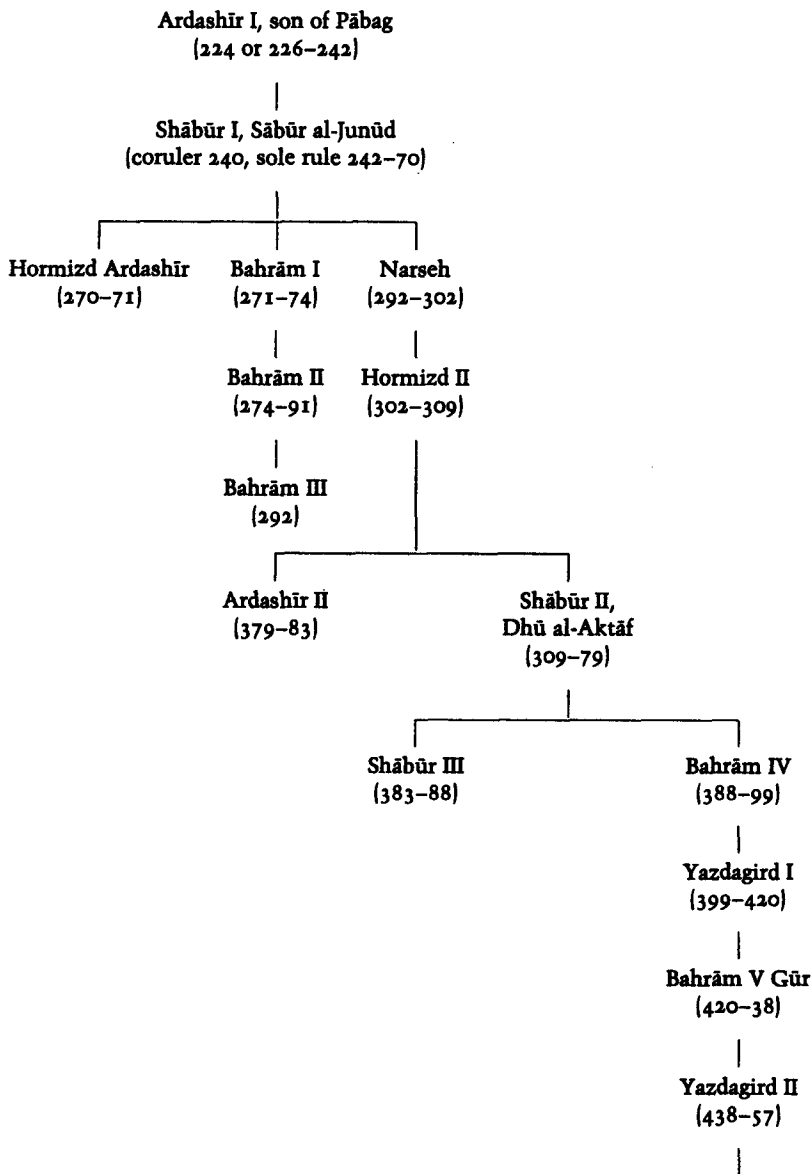
7. See *Introductio*, pp. 1–11, and for Bal'amī's translation specifically, Muth, *Die Annalen von al-Ṭabarī*, 20–27.

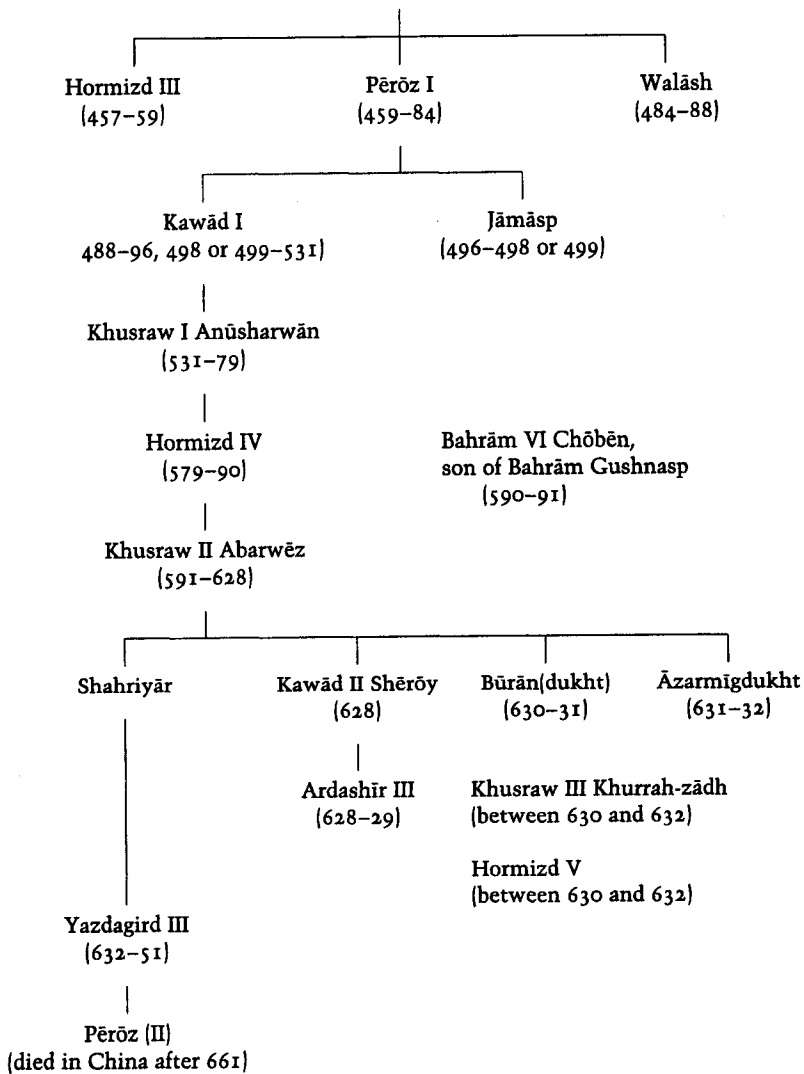
sian spelling *š'bwḥr*; although in the commentary to al-Ṭabari's *History* I have used the later Middle Persian (and early New Persian) form for this last name of Shābūr, as being closer to the Arabic version of the name. A further slight anomaly is that I have used the later form Ardashīr rather than the strictly correct, earlier form Ardakhshīr, as again reflecting early New Persian usage and as being also the familiar Arabic equivalent.

Such institutions as the John Rylands University Library, Manchester; the Widener Library, Harvard University; and the Oriental Institute Library, Oxford, have aided completion of the work. Several colleagues have been helpful in making books available to me, providing xeroxes of articles difficult of access to me, sending offprints of their own articles, and giving information and guidance on various obscure or contested points. Thus I am grateful to Mr. Mohsen Ashtiany (Columbia University); Dr. S. P. Brock (Oxford University); Dr. Paul M. Cobb (Wake Forest University, N.C.); the Rev. Professor J. A. Emerton (Cambridge University); Dr. G. Greatrex (University of Wales, Cardiff); Dr. R. G. Hoyland (Oxford University); Dr. Ph. Huyse (Paris); Mr. M. C. A Macdonald (Oxford); Prof. D. N. MacKenzie (Anglesey); Dr. M. I. Mochiri (Paris); Professor Chr. Robin (CNRS, Aix-en-Provence); Professor N. Sims-Williams (SOAS, London); Professor G. Rex Smith (Manchester University); and Professor Edward Ullendorff (Oxford). My colleague in Manchester, Professor W. C. Brice, has drawn the maps in an expert fashion. In particular, Mr. F. C. de Blois (Royal Asiatic Society, London), with his special expertise in such fields as Iranian, South Arabian, and Syriac studies, has been kind enough to read through a draft of the commentary and to make a considerable number of corrections and valuable suggestions for improvement; some of these are explicitly acknowledged in the commentary, but there are many other, unacknowledged places where he has saved me from error or has enriched the documentation. Hence I am deeply grateful to him. But at the end, the usual confession must be made: responsibility for the final product remains my own.

C. Edmund Bosworth

**Table 1. The Sāsānid Emperors**  
*(the dates of some of the early rulers are tentative)*





**Table 2. The Roman and Byzantine Emperors, from  
Constantine the Great to Heraclius**

Constantine I	324-37
Constantius II	337-61
Julian	351-63
Jovian	363-64
Valens	364-78
Theodosius I	379-95
Arcadius	395-408
Theodosius II	408-50
Marcian	450-57
Leo I	457-74
Leo II	474
Zeno, first reign	474-75
Basiliscus	475-76
Zeno, second reign	476-91
Anastasius I	491-518
Justin I	518-27
Justinian I	527-65
Justin II	565-78
Tiberius II Constantine	578-82
Maurice	582-602
Phocas	602-10
Heraclius	610-41

Table 3. The Lakhmid Rulers  
*(many dates are tentative)*

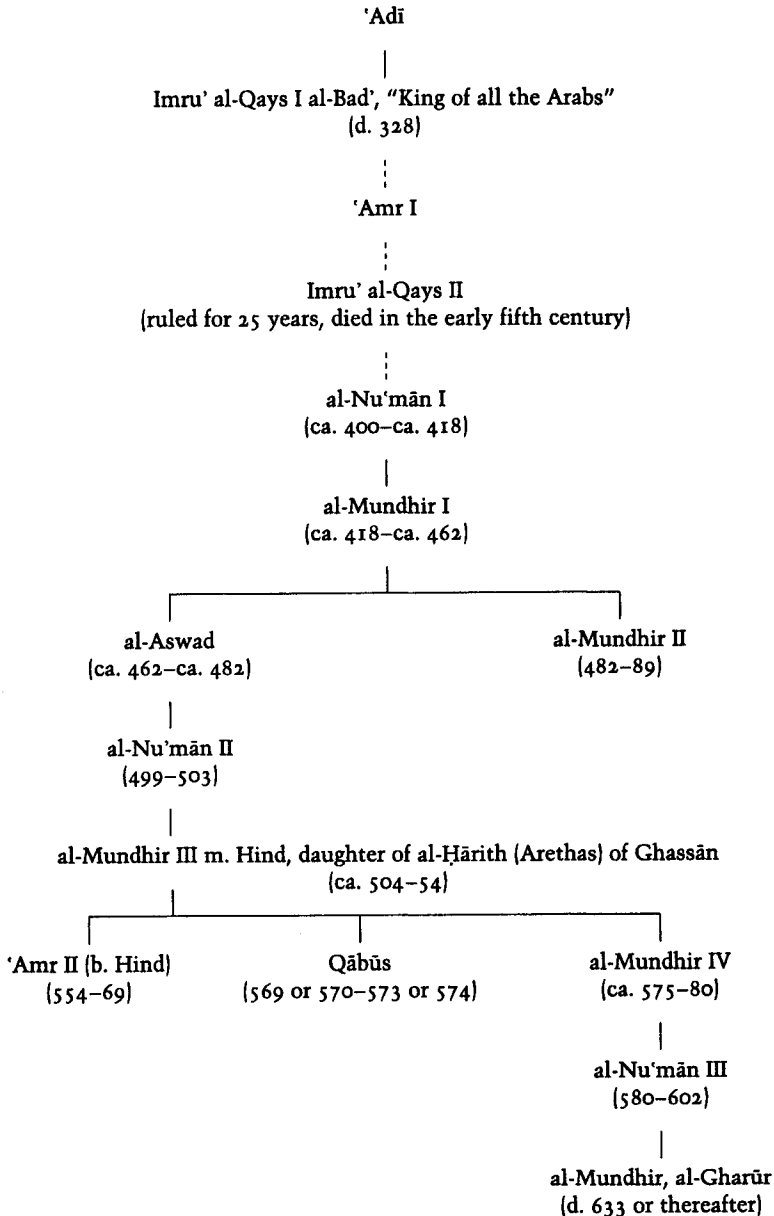


Table 4. The Chiefs of Kindah

Ḥujr Ākil al-Murār b. al-Ḥārith (fifth century)

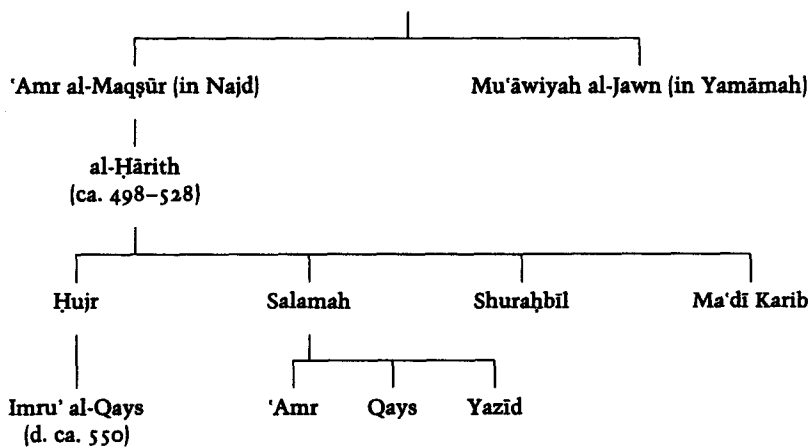


Table 5. Rulers in South Arabia during the Sixth and Early Seventh Centuries  
(*many dates are tentative*)

Marthad-īlān Yanūf, to end of 518 or beginning of 519

Ma'di Karib Ya'fur, last Tubba' king, began ruling end of 518 or beginning of 519

Yūsuf (or Yanūf ?) As'ar Yath'ar, called Dhū Nuwās, 521 or 522, died 525

Abraham, king under Abyssinian suzerainty, killed after 525

Sumu-yafa' Ašwa' (Esimiphaios), king under Abyssinian suzerainty 530 or 531

Abrahah, former slave, ruler ca. 533 till after 552 or 553, nominally on behalf of the king of Abyssinia

Yaksūm, son of Abrahah

Masrūq, son of Abrahah

Sayf b. Dhī Yazan, local Yemeni noble, rebel against Abyssinian domination 570, placed on the throne by the Persian commander Wahriz

Wahriz, governor on behalf of the king of Persia till ca. 575

Marzubān, his son

Bīnajān (?), Marzubān's son

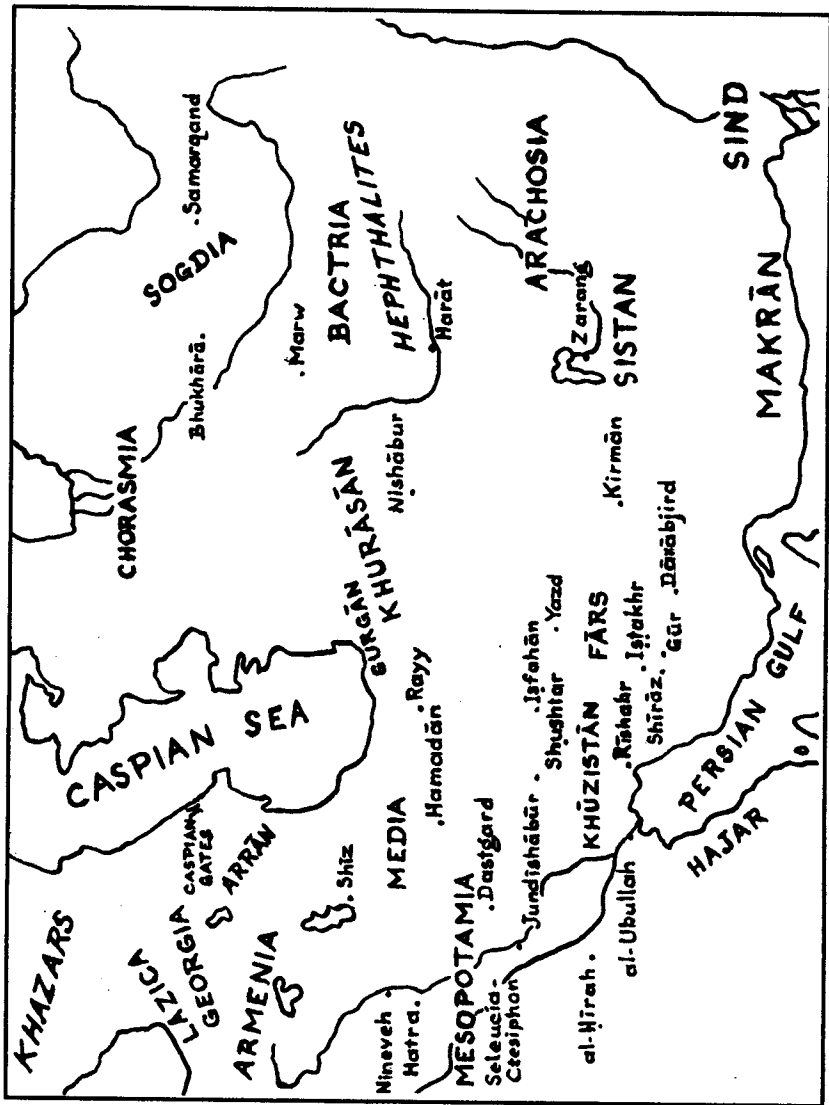
Khurrah Khusrah, Bīnajān's son

Bādhān, till ca. 630

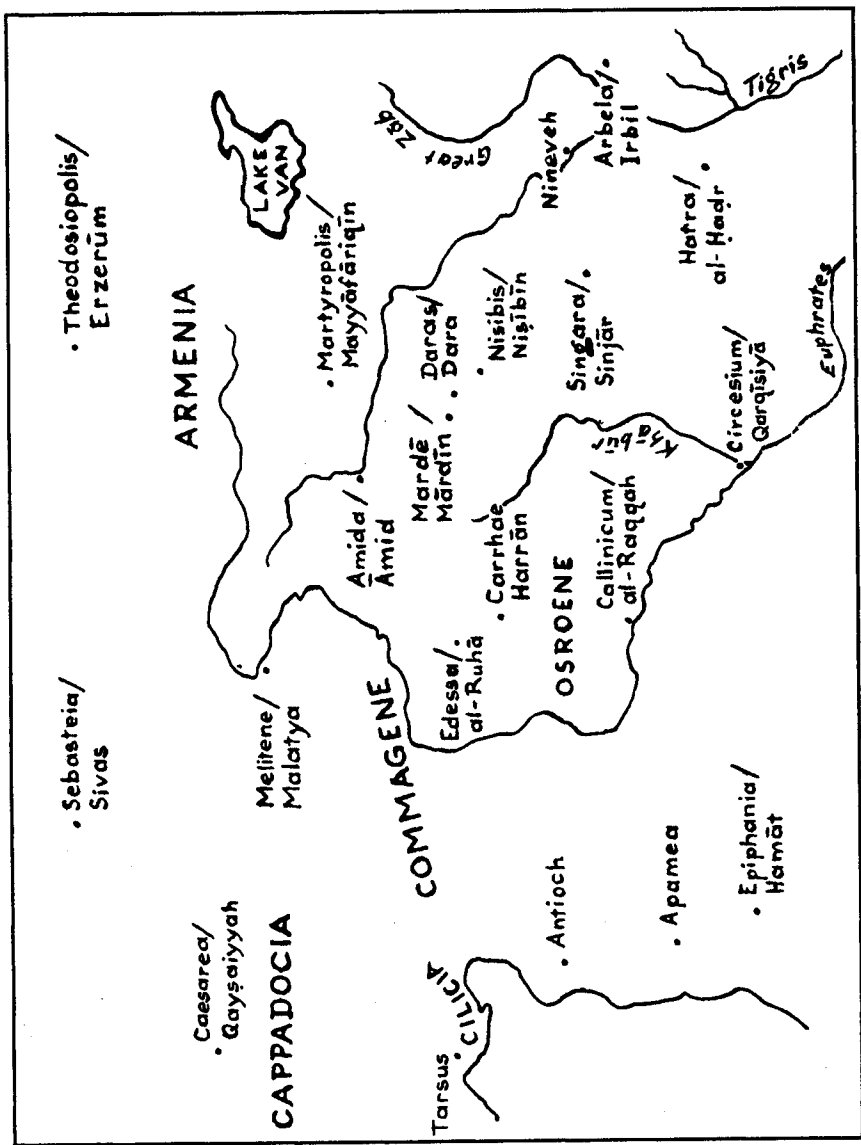
Shahr, his son, killed in 632 by the Yemeni local rebel and religious leader al-Aswad or Dhū al-Khimār

al-Muhājir b. Abī Umayyah al-Makhzūmī, first Muslim governor 632

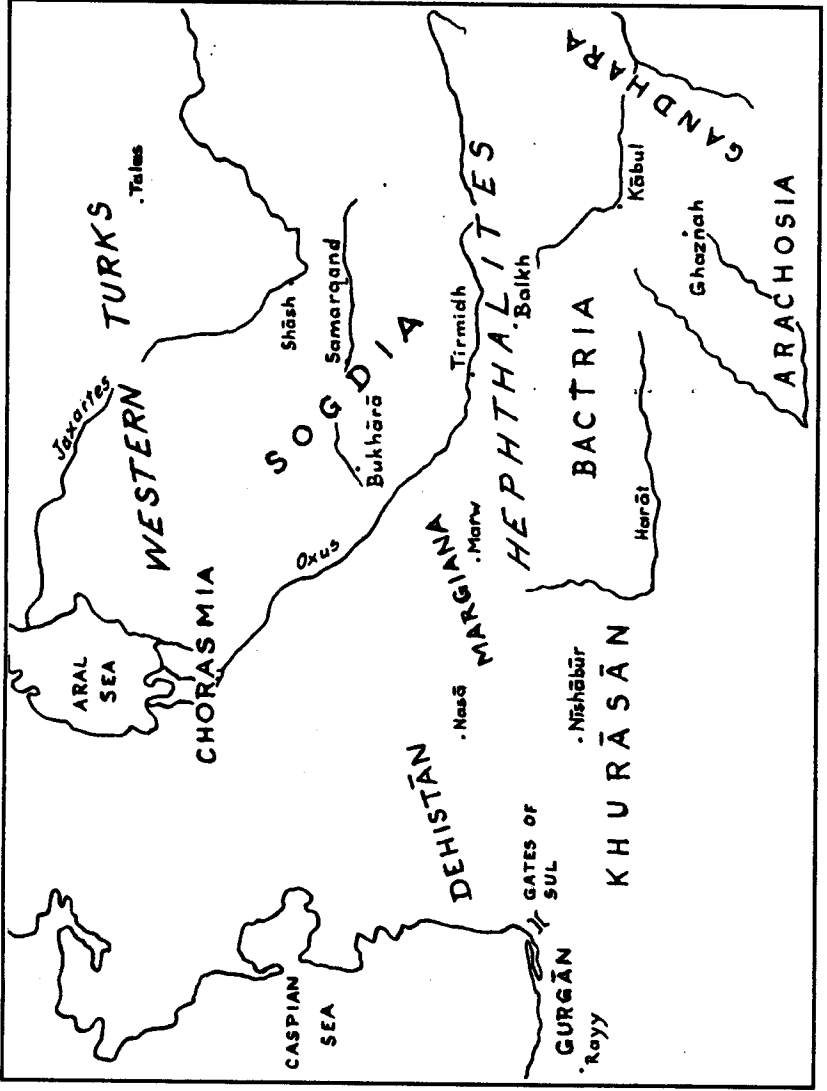




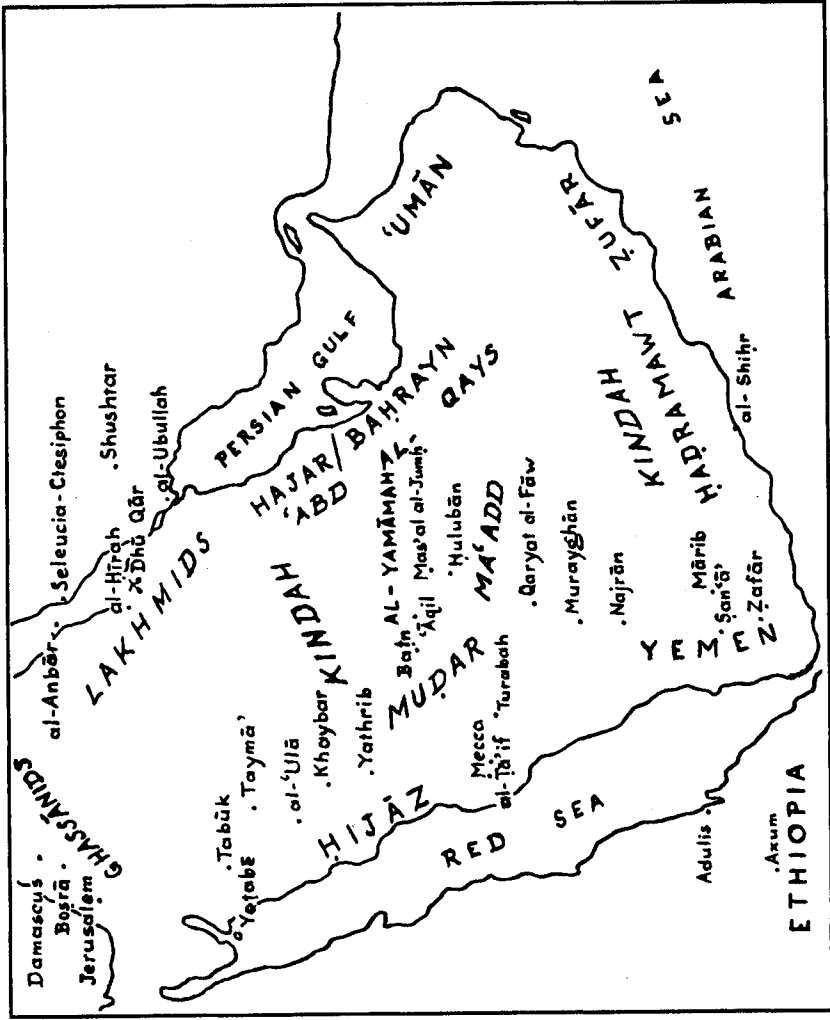
Map 1. The Sāsānid Empire



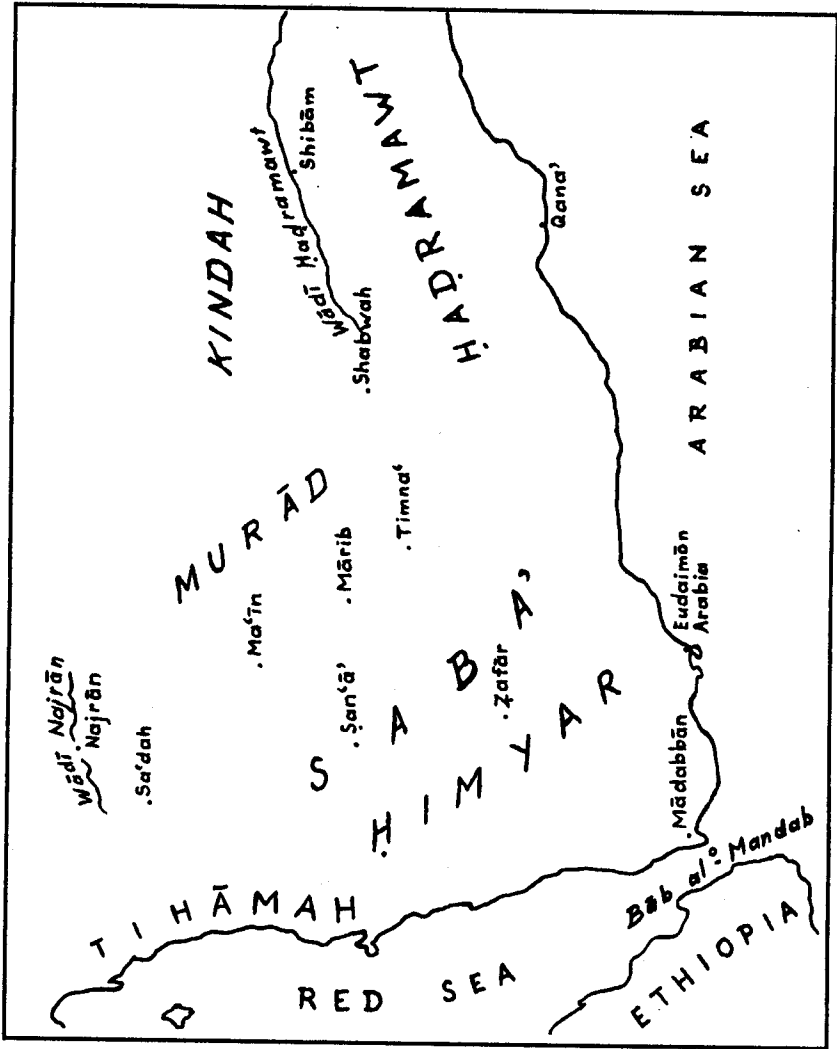
Map 2. The Roman-Byzantine and Persian Frontierlands



Map 3. The Northeastern Frontier of the Sāsānids



Map 4. The Arabian Peninsula: The Lands of the Lakhmids, Kindah, etc.



Map 5. Southwestern Arabia

## [The Kings of the Persians]

The kings of the Persians and the duration of their rule according [I, 813] to the entire course of [their] history, since we have already mentioned the major events that took place in the time of the Party Kings (*Mulūk al-Ṭawā'if*)<sup>1</sup> among the Persians, the Children of

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1. Al-Ṭabarī intends by this term, as he has earlier explained (I, 706), the Parthians or Arsacids, considered as regional powers in comparison with such universal monarchs as the Achaemenid emperors of Persia and Alexander the Great. The Sāsānids themselves regarded the "Party Kings," comprising the Arsacids' predecessors the Seleucids (in fact, little known in Iranian historical tradition) and the Arsacids themselves, as an interruption in the development through the ages, from legendary times onward, of the legitimate, unified Persian monarchy, even though the Arsacids ruled for the very respectable span of 474 years. But historical mention of the Achaemenids in indigenous Persian sources seems to be exiguous, beyond some knowledge of the last kings, the Dārās (Darius III being the only monarch mentioned, e.g., in Zoroastrian sources like the *Dēnkard* and the *Bundahišn*, and in Firdawsī's *Shāh-nāmah*) (M. A. Dandamayev and V. G. Lukonin, however, have suggested that, in the Sāsānid national consciousness, these two Darius were in any case attached to the Kayanids; see their *The Culture and Social Institutions of Ancient Iran*, 372-73). The problem has exercised modern scholars, who have tended to draw the conclusion that the Sāsānids had little or no knowledge of the Achaemenids, hence could not in any significant way have considered themselves as heirs of the Achaemenids. The arguments have been set forth and discussed, with a wealth of pertinent information, by Ehsan Yarshater in his "Were the Sasanians Heirs to the Achaemenids?" 517-39, and his "Iranian National History," 378; and cf. A. Christensen, *Les Kayanides*, 35-43. Subsequent Iranian historical scholarship has overwhelmingly endorsed Yarshater's view; see, e.g., G. Gnoli, *The Idea of Iran*, 136-38; J. Wiesehöfer, *Die 'dunklen Jahrhunderte' des Persis*, 19; and idem, *Ancient Persia from 550 B.C. to 650 A.D.*, 167-69. Only Touraj Daryaei has recently challenged this consensus, assembling references to the

Israel, the Romans (*al-Rūm*), and the Arabs, up to the time of Ardashīr.<sup>2</sup>

[*Ardashīr I*]

When there had elapsed, according to what the Christians and the possessors of the early Scriptures relate, 523 years, but according to the Zoroastrians (*al-Majūs*), 266 years, since Alexander had taken control of the land of Babylon,<sup>3</sup> there arose Ardashīr,<sup>4</sup> son of Bābak Shāh, King of Khīr, son of the younger Sāsān, son of Bābak, son of Sāsān, son of Bābak, son of M.h.r.m.s, son of Sāsān, son of

Achaemenids from earlier Sasanid sources, citing the veneration by the Sāsānids of Persepolis as a sacred site (but they regarded it as stemming from legendary or semilegendary times!) and suggesting that non-Persian authors such as Greek, Armenian, Jewish, and Muslim ones (including, of these last, the Khwarazmian al-Bīrūnī in his *al-Āthār al-bāqiyah*) were well aware of the Achaemenids; see his "National History or Keyanid History? The Nature of Sasanid Zoroastrian Historiography," 129–41. Nevertheless, as emphasized above, the weight of opinion concurs in the view that the Sāsānids did not regard themselves as heirs to the Achaemenids, but to the Kayānids.

2. See *The History of al-Ṭabarī*, vol. III, *The Children of Israel*, and vol. IV, *The Ancient Kingdoms*.

3. The chronology attributed by al-Ṭabarī to the Christians here, and elsewhere, as the "Years of Alexander," is based on the Seleucid era, that commemorating Seleucus I's assumption of power at Babylon, commonly fixed at 1 October 312 B.C. The Byzantine historian Agathias dated the beginning of Ardashīr's reign to the year 538 of the era of Alexander, i.e., A.D. 226–27, probably with Ardashīr's coronation at Ctesiphon in mind (cf. Averil Cameron, "Agathias on the Sassanians," 117). Interpretation of the bilingual, i.e., Parthian and Middle Persian, inscription at Bishāpūr would seem to point to the "official" beginning of the Sāsānid dynasty's rule as being in the calendar year 27 September 223 to 25 September 224; but the topic remains uncertain and controversial. Since Alexander's era was considered to have begun with his accession, the traditional figure for the length of his reign, fourteen years (e.g., in al-Ṭabarī, I, 702, citing "the Persians"), these years had to be subtracted from the known figure of 537 years to give 524 years as the interval separating Ardashīr from Alexander's death. The lesser figure of the Magians or Zoroastrians given here reflects Sāsānid ideological considerations, foreshortening the actual 474 years of Parthian rule in an attempt to depreciate the duration of their predecessors' power (in reality, this had been longer than the rule of the Sāsānids was to extend). See Th. Nöldeke, trans. I n. 1; *Elr* s.v. Arsacids. V. The Arsacid Era, and VI. Arsacid Chronology in Traditional History (A. Sh. Shahbazi).

4. MP *ʾ.r.t.ḥ.š.t.r.*, pronounced Ardaxšīr, from a hypocoristic form \**Rtaxšira* of the full OP form \**Rtaxšathra*, i.e., Artaxerxes. The name had apparently continued in use among local princes of Fārs during the Arsacid period, on numismatic evidence. See Nöldeke, trans. I n. 2; D. Sellwood, "Minor States in Southern Iran," 304–305; *Elr*, s.v. Ardashīr I. i. History (J. Wieshöfer).

King Bahman, son of Isfandiyār, son of Bishtāsb, son of Luhrāsb, son of Kaywajī (?), son of Kaymanush. According to another genealogy, [he was] Ardashīr, son of Bābak, son of Sāsān, son of Bābak, son of Zarār, son of Bihā-Afrīdh, son of the elder Sāsān, son of Bahman, son of Isfandiyār, son of Bishtāsb, son of Luhrāsb.<sup>5</sup> [He arose] in Fārs seeking, as he alleged, to avenge the blood of his paternal cousin Dārā, son of Bahman, son of Isfandiyār, on whom Alexander had made war and had killed two of the latter's chief commanders. As he said, he wished to recover the royal power (or: the kingdom) for its rightful holders and for those who had held it continuously in the previous time of his predecessors and forefathers, before the "Party Kings," and [wished] to gather it together again under one head and one monarch.<sup>6</sup>

[814]

It is mentioned that he was born in a village (*qaryāh*) of the Iṣṭakhr region called Ṭīrūdh, situated in the rural district (*rustāq*)

5. On the problems in the Sāsānid genealogies, see G. Morrison, "The Sassanian Genealogy in Mas'ūdi," 42-44; R. N. Frye, *The Heritage of Persia*, 207-208; idem, "The Political History of Iran under the Sasanians," 116-17. The genealogies go back to personages like Zarār and Bihā-Afrīdh who were attached (nonhistorically) to the Arsacids, and then back to the legendary Kayānids, the Achaemenids having by Sāsānid times (apart from the Dariuses) receded into a legendary haze. See Nöldeke, trans. 2, nn. 1-3, 3 n. 1.

The question of the nearer descent of Ardashīr, whether Sāsān was actually his grandfather or a more remote ancestor (the *jadd* of al-Ṭabari's text could imply either of these), or directly connected with the line of Pābag at all, has aroused considerable discussion, since the sources, literary and epigraphic, offer differing interpretations. Thus, noting the description of Sāsān as a lord but with no explicit filiation of Pābag as the son of Sāsān in the Ka'ba-yi Zardusht inscription at Naqsh-e Rostam, R. N. Frye is inclined to believe that Sāsān was a remote ancestor whose name was used for the dynasty as a whole, just as the Achaemenids took their name from the totally obscure Haxāmaniš/Achaemenēs, while noting the epic tradition that, since Sāsān died just after his son Ardashīr's birth, the boy was adopted, following current Zoroastrian practice, as Pābag's own son. The popular Persian tradition, exemplified in the Middle Persian romance, the *Kār-nāmag-i Ardashīr-i Pābagān*, *pace* the view expressed here by al-Ṭabari, makes Ardashīr the son of Sāsān, the local ruler Pābag having given his daughter in marriage to Sāsān after hearing of the latter's noble descent from Dārā, i.e., the last Achaemenid Darius III (considered by the Sāsānids as the last Kayānid king). See the discussions in R. N. Frye, "History and Sasanian Inscriptions," 215-16; idem, "The Political History of Iran under the Sasanians," 116-17; *Elr.* s.v. Ardashīr I. i. History (Wieschöfer).

6. Again reflecting Sāsānid imperial propaganda that Ardashīr restored the unity of the state as the heir of earlier, pre-Arsacid rulers whom he now avenged on Artabanus V.



of Khīr<sup>7</sup> in the administrative division (*kūrah*) of Iṣṭakhr.<sup>8</sup> His grandfather Sāsān was a courageous and mighty warrior whose courage and military might were such that he fought single-handed eighty of the strong and valiant men of Iṣṭakhr and put them all to flight. His wife stemmed from one of the royal families in Fārs called the Bāzranjīn.<sup>9</sup> She was named Rāmbihisht, and possessed beauty and perfection. Sāsān was the custodian of the fire temple of Iṣṭakhr called that of Anāhīdh.<sup>10</sup> He was also a devotee of the chase and of equestrian pursuits (*al-furūsiyyah*).

7. This town of Fārs (other forms such as Khayr and Khiyār are found in the geographers) lay near the southeastern tip of Lake Bakhtigān in the district of Nīrīz, and marked a stage on the Shirāz-Kirman road. See G. Le Strange, *The Lands of the Eastern Caliphate*, 289–90; P. Schwarz, *Iran im Mittelalter*, 104–105. The location of Tīrūdh, and the exact form of its name, remain unclear.

8. In Sāsānid times, Stakhr (thus written in Pahlavi script, *stxr*) was regarded as both the religious and political center for the dynasty, given the origins of the Sāsānids themselves from the district. It lay on the Pulvar river north of Persepolis, and may have come into prominence after Alexander the Great's destructions at Persepolis. In Islamic times, it remained moderately significant as the center of the *kūrah* or administrative district (see on this term n. 119 below) of the same name but was gradually overshadowed by the foundation in early Umayyad times of Shirāz, which became the capital for the whole of Fārs. See Nöldeke, trans. 3 n. 2; Le Strange, *Lands*, 275–76; Schwarz, *Iran*, 13–16; W. Barthold, *An Historical Geography of Iran*, 151–53; *EP*<sup>2</sup>, s.v. Iṣṭakhr (M. Streck-G. C. Miles); *Elr*, s.v. Eṣṭakr (A. D. H. Bivar and Mary Boyce). This tradition identifying Tīrūdh as Ardāshīr's birthplace may well deserve credence; before the Sāsānids, the Arsacids had continued to venerate their original home in northeastern Persia, on the evidence of Isidore of Charax.

9. The correct form of this name is unclear. Nöldeke, trans. 4 n. 1, compared it with a mountainous district of western Fārs, Bāzrang, mentioned by the Islamic geographers al-Iṣṭakhrī and Ibn Ḥawqal (see Le Strange, *Lands*, 171–72; Schwarz, *Iran*, 118–19), so that we might possibly have a family name derived from a place. The Bāzrangīs were apparently a family of local potentates in Fārs, acknowledging the Arsacids as their suzerains but in practice largely autonomous; after the time of Ardāshīr, however, they disappear from historical record. See *Elr*, s.v. Bāzrangī (R. N. Frye). Whether Ardāshīr really was related to one of these families cannot now be ascertained, but such a link is by no means improbable; A. von Gutschmid, "Bemerkungen zu Tabari's Sasanidengeschichte, übersetzt von Th. Nöldeke," 734, was less skeptical than Nöldeke about such a possibility.

10. The Avestan Arədvī Sūrā Anāhita "mighty, immaculate one of the waters," a goddess of fertility, nurturer of crops and herds, and also of success in battle, MP Ardwisūr Anāhīd, had also become identified with the Western Iranian Anāhīti, apparently the goddess of the planet Venus. Venerated by the Achaemenids from the time of Artaxerxes II onward, the cult was popular among the early Sāsānids, and Shāpūr I called his daughter and queen Ādur Anāhīd, "Fire (and) Anāhīd," i.e., this was a dvandva name, from the names of two deities. According to al-Ṭabarī's source, presumably the *Khwadāy-nāmag* or "Book of Kings," Ardāshīr was now laying claim to custodianship of the fire temple that had grown out of a shrine to

Rāmbihisht provided Sāsān with a son, Bābak, whose hair, at the moment he was born, was already over a span long.<sup>11</sup> When he reached the age of sound judgment, he assumed rule over the people after his father. Then his son Ardashīr was born to him. The ruler of Iṣṭakhr at that time was a man from the Bāzranjīn family called, according to what I have been told from information going back to Hishām b. Muḥammad (i.e., Ibn al-Kalbī),<sup>12</sup> Jūzhir,<sup>13</sup>

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Anāhid, the beginning of a tradition among the Sāsānids that their founder had been at once warrior hero, prince, and priest. See Nöldeke, trans. 4 n. 2; *EIr*, s.v. Anāhid. i. Ardwišūr Anāhid (M. Boyce).

11. A legendary touch, like being born with a full set of teeth, presaging future strength and greatness. See Nöldeke, trans. 4, n. 3.

12. The major early Islamic historian of pre-Islamic Arabia, including Yemen and the fringes of the northern and central Arabian Desert facing the empires of the Byzantines and the Sāsānids, and also a prime authority, as his *magnum opus* the *Jamharat al-nasab* shows, on Arab tribal genealogy and history, born in Kūfah ca. 120/737 and died there in 204/819 or 205/821. His interest in pre-Islamic Arabian history seems to have arisen partly from a desire to elucidate the background of the Qurʾān, and partly from his Kalbī family origins, for the Kalb had played a glorious role in South Arabian tribal history as the mainstay of the Quḍāʾah, and then in early Islamic times had been the principal military backing for the Umayyad caliphs in Syria. Moreover, his home town of al-Kūfah lay close to al-Ḥīrah, in pre-Islamic times the capital of the Lakhmid kings, whose history Hishām was especially concerned to elucidate (see, e.g., al-Ṭabarī, I, 821–22, pp. 20–22 below). His interest here was such that he called one of his sons al-Mundhir, the name so typical of Lakhmid kings that they are often referred to as al-Manādhīrah, and thus himself acquired the *kunya*h or patronymic of Abū al-Mundhir.

This interest in the Lakhmids further gave him a desire to make available to his contemporaries in early ʿAbbāsīd Iraq the history of the Lakhmids' suzerains, the rulers of Persia. This explains why Hishām provided so much material for al-Ṭabarī on the Persian monarchs (which al-Ṭabarī, being himself of Iranian stock, was probably not averse from using); he wrote a special monograph, no longer extant, on the Parthian Arsacids, the *Kitāb Mulūk al-ṭawāʾif*. This material on the Lakhmids and Sāsānids is much greater in quantity and richer in caliber than the exiguous material al-Ṭabarī could find on the Ghassānids of Syria and their suzerains the Byzantines. Apart from his careful use of written sources, including historical traditions and narratives, *akhbār*, derived from his father Muḥammad, a noted Qurʾānic scholar and exegete, Hishām apparently had access to some written documents on the Lakhmids still available in al-Ḥīrah during his own lifetime. He combed local churches and monasteries for their inscriptions and for documents preserved there. He was especially familiar with the verses of the poet of the last Lakhmids at al-Ḥīrah, ʿAdī b. Zayd (see n. 116 below), but must have had the whole corpus of pre-Islamic poetry and that of the interval between the Jāhiliyyah and the new Islamic dispensation, the *mukhaḍram*, at his fingertips.

See on Hishām and his father Ibn al-Kalbī, trans. W. Caskel and G. Strenziok, *Jamharat al-nasab*, introduction; Fuat Sezgin, *GAS*, I, 268–71; A. A. Duri, *The Rise of Historical Writing among the Arabs*, 51–52, 146–47; Irfan Shahīd, *Byzantium*

[815] and, according to others, Juzhir. This last had a eunuch called Tīrā,<sup>14</sup> whom he had appointed castellan (*arjabadh*)<sup>15</sup> of Dārābjird.<sup>16</sup> When Ardashīr reached the age of seven years, his father took him to Juzhir, whose seat was at al-Bayḏā',<sup>17</sup> made his son stand before Juzhir, and asked the latter if he would attach the boy to Tīrā as a foster child of his and as the future castellan in Tīrā's stead. Juzhir agreed to his request and had the terms of the request written out for him in a formal sealed document (*siḡill*).<sup>18</sup> Bābak

and the Arabs in the Fourth Century, 349–66; *EP*<sup>2</sup>, s.v. al-Kalbī (W. Atallah).

13. Older forms Gōchithr, Gōchihr, rendered in Greek sources as Gōsithrēs "a former king of the Persians." It means "whose seed is cattle," i.e., parent of the cattle, *gaočithra* being used in the Avesta as an epithet of the moon. See Nöldeke, trans. 4 n. 4; F. Justi, *Iranisches Namenbuch*, 110. No coins from Gōchihr (or from any other Bāzranjis) are extant, but his historical existence seems likely.

14. This name stems from such a compound name as Tīr- dād "given, created by Tīr or Mercury." In Greek sources a king of Characene is named as Tiraïos (from whom coins are known), and it may have been this ruler who gave his name to the Nahr Tīrā or Tīrīn river or canal of early Islamic al-Ahwāz (Yāqūt, *Mu'jam al-buldān*, V, 319; Le Strange, *Lands*, 241; Schwarz, *Iran*, 308, 313–15). See Nöldeke, trans. 4 n. 5; Justi, *Namenbuch*, 325, 326–27; P. Gignoux, *Noms propres sassanides en moyen-perse épigraphique*, no. 899.

15. Nöldeke, trans. 5 n. 1, took (*h*)*argabadh* to mean "castellan," but the coexistence of the specific Middle Persian term *dizbed*, meaning "commander of a fortress," led some scholars subsequently to doubt this interpretation and suggest a meaning like "tax collector" for an MP \**hargbed*. However, Christensen, *L'Iran sous les Sassanides*, 107, noted that, at the outset of the Sāsānid state, it was an office held by the prince Ardashīr (I) (see al-Ṭabarī, I, 815, p. 7 below), and in *EIr*, s.v. *Argbed*, M.-L. Chaumont opts for the former meaning, "commander of an *arg*," to use the NP term corresponding to MP \**argbed*. Both readings of the word are possible. It clearly became a very prestigious office, and later, al-Ṭabarī, I, 869, p. 104 below, states that it was close in status to that of the commander-in-chief of the army.

16. A town and *kūrah* of eastern Fārs, in modern times having the curtailed form Dārāb, corresponding to what in Seljuq and Il-Khānid times was known as the lands of the Shabānkāraī Kurds. See Yāqūt, *Buldān*, ii, 446; Le Strange, *Lands*, 288–89; Schwarz, *Iran*, 92–97; *EP*<sup>2</sup>, s.v. Dārābdjird (D.N. Wilber).

17. Literally, "the white [fortress, town, etc.]," a town of Fārs lying to the north of Shirāz and in the Kāmfirūz district. This region flourished as far back as Elamite times as that of Anshan (see *EIr*, s.v. Anshan [J. Hansman]), but al-Bayḏā' is today little more than a village. See Yāqūt, *Buldān*, I, 529; Le Strange, *Lands*, 280; Schwarz, *Iran*, 16–17, 54; Barthold, *Historical Geography*, 153, 161; *EP*<sup>2</sup>, s.v. al-Bayḏā' (O. Löfgren); *EIr*, s.v. Bayzā (C. E. Bosworth).

18. Derived from Latin *sigillum* "seal" and then in Late Latin and Byzantine Greek usage applied to the document or scroll to which a seal had been affixed, this term came into Arabic certainly by Qur'ānic times (cf. Sūrah XXI, 104). It later acquired various technical legal and administrative senses. See *EP*<sup>2</sup> s.v. *Sidjill*. 1. Qur'ānic and early Arabic usage (F. C. de Blois).

took him along to Tirā, who received him handsomely and adopted him as his own son. When Tirā died, Ardashīr took over his office, and performed the function admirably. A group of astrologers and diviners informed him that he had been born under an auspicious star and that he would rule the lands (sc., the whole of Iran). It is related that, after this, Ardashīr behaved in a modest and unpretentious manner in pursuance of this forecast, and continued each day to grow in good deeds and conduct, and that he saw in a dream an angel sitting by his head who told him that God was going to give him rulership over the lands, so he was to prepare for this. When he awoke, he rejoiced at this and felt within himself power and great strength such as he had never before known.

The first thing he did was to proceed to a place in the Dārābjird district called Jūbānān,<sup>19</sup> and killed a ruler there named Fāsīn.<sup>20</sup> Then he went along to a place called Kūn.s (?) and killed a ruler there called Manūshīhr,<sup>21</sup> and after that to a place called L.r.wīr (?),<sup>22</sup> where he killed a ruler there named Dārā.<sup>23</sup> In all these places he appointed persons [as governors] responsible to him. He then wrote to his father (sc., to Bābak) telling him what he had accomplished, and called upon him to rise up against Juzhir, who

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19. Literally, "cowherds," MP \*gōbānān, in this form or the singular Jūbān, is the name of several villages in Persia, cf. Yāqūt, *Buldān*, II, 176 (Jūbānān), IV, 487 (Kūbān(ān)); but most probably here is meant what was in early Islamic times the town of Jūbānān on the northern shore of Lake Bakhtigān (now, it seems, vanished from the map) and on the Iṣṭakhr-Sīrajān-Kirmān road. See Nöldeke, trans. 6 n. 3; *Le Strange, Lands*, 278-79; Schwarz, *Iran*, 109.

20. Thus the reading of the ms. Sprenger 30, an anonymous chronographical work (see *Introductio*, p. LII), i.e., Pisin, Pasin, a name familiar in the Iranian national legend, see Justi, *Namenbuch*, 252-53; but other mss. have readings that could be *Wās.f.r* (see text, n. g), cf. *Wāsbuhr*, etc.

21. This name of a hero in the Iranian national legend (literally, "from the race of Manu," in Indian legend, the first man; see Yarshater, "Iranian National History," 433-35, and Gignoux, *Noms propres sassanides*, no. 559) is attested on pre-Sāsānid coins, or on coins roughly contemporary with the advent of Ardashīr to power, issued by kings of Persis. See Nöldeke, trans. p. 6 n. 6.

22. Both Kūn.s and Lurwīr are unidentified.

23. This ancient name of Achaemenid kings had survived among local rulers during the Arsacid period, and one of these may have been the eponymous founder of Dārābjird (according to the Middle Persian geography used by J. Markwart, ed. G. Messina, in his *A Catalogue of the Provincial Capitals of Ērānshahr*, 19, by a Dārā, son of Dārā), since names of towns composed of a personal name and the ending -gird do not occur before the time of Alexander the Great. See Nöldeke, trans. 6 n. 7.

was at al-Bayḏā'; this Bābak did, killing him and seizing his crown,<sup>24</sup> and then he wrote to Ardawān the Pahlawī, king of the mountain regions (al-Jibāl, i.e., Media) and the adjoining lands,<sup>25</sup> humbly entreating and requesting from him permission to place upon his son Sābūr's head the crown of Juzhir. Ardawān, however, wrote back to him in harsh terms informing him that he and his son Ardashīr were to be regarded as rebels, since the two of them had killed people; but Bābak took no notice of this.

Bābak died around that time, and Sābūr, son of Bābak, was invested with the crown and reigned in his father's place as king.<sup>26</sup> He wrote to Ardashīr instructing him to proceed to his court, but Ardashīr held back. Accordingly, Sābūr grew angry at Ardashīr's recalcitrance; he gathered together troops and set off at the head of them to make war on Ardashīr. He left Iṣṭakhr [and encamped in the building (*binā'*) of Khumāy<sup>27</sup> on the road to Dārābjird, but part of the building fell on top of him and killed him. When news of this reached Ardashīr, he proceeded to Iṣṭakhr].<sup>28</sup> He found there a number of his brothers, some of them older than himself. They

24. The account transmitted by al-Ṭabarī seems to magnify Ardashīr's role in the fighting against Artabanus; it may well have been Bābak and not his son who took the initiative here. See Nöldeke, trans. 7 n. 1.

25. That is, the last Arsacid king, Artabanus IV (in the older reckoning, Artabanus V), r. ca. 213–ca. 224. The Greek form Artabanus, Parthian and MP Ardawān, represents OP \*Arta-bānu "the glory of Arta" (i.e., the divine order of the Avesta, cf. *Elr* s.v. Ardahišt [M. Boyce]). See Nöldeke, trans. 7 n. 2; A. D. H. Bivar, "The Political History of Iran under the Arsacids," 94–96, 99; *Elr*, s.v. Artabanus (M.R. Dandamaev, K. Schippmann). The form Ardawān was taken over by the Arab historians; see the citations detailed in al-Mas'ūdī, *Murūj al-dhahab*, ed. Ch. Pellat, VI, *Index généraux*, 137.

26. The name Shābūr, MP Shāhpuhr, "son of the king," ultimately going back to OP \*Xšāyathiya.pūthra, was not apparently used before the Sāsānids (see Justi, *Namenbuch*, 284–87; Gignoux, *Les noms propres sassanides*, no. 858). Whether Shābūr was thus named by his father to buttress his right to succeed to the throne is uncertain. His reign was long enough for him to mint coins. In any case, Ardashīr's theoretical role as a usurper was subsequently validated by his succession on Shābūr's accidental death. See Nöldeke, trans. 7 n. 3; *Elr*, s.v. Ardašīr I. i. History (Wieshöfer).

27. Humāy, Avestan Humāyā, is in the Avesta the daughter of Wishtāspa, playing a role in later Persian epic tradition somewhat like that of the Assyrian queen Semiramis. Early Islamic authors such as al-Dīnawarī, al-Mas'ūdī, and Ḥamza al-Iṣfahānī attribute to Humāy the building of palaces in the vicinity of Iṣṭakhr, in one of which Shābūr now met his death, possibly in an earthquake. See Nöldeke, trans. 8 n. 2; Justi, *Namenbuch*, 131–32; M. Mayrhofer, *Die altiranischen Namen*, no. 177.

28. These words are supplied from the ms. Sprenger 30.

nevertheless gathered together and brought in the crown and the royal throne, and then offered them all to Ardashīr. Hence he was crowned and sat down on the throne.

He began his reign with vigorous and incisive measures. He appointed various persons to diverse offices, and nominated a man called Abarsām to the position of Chief Minister (*buzurg framadhār*), lavishing largesse on him and giving him numerous charges.<sup>29</sup> He appointed a man named Fāh.r (?) as Chief Mōbadh (*mūbadhān mūbadh*).<sup>30</sup> He got wind of a plot on the part of his brothers and some other persons in his entourage to assassinate him, hence he slew a great number of them. Then news came to him that the people of Dārābjird had risen against him, so he returned thither and conquered the town after killing a number of its citizens. He proceeded to Kirmān, where there was a king called Balāsh.<sup>31</sup> There was a fiercely fought battle, in which Ar-

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29. Abarsām is a fully historical figure, attested as a high-ranking official of Ardashīr's in Shābūr I's Naqsh-i Rostam inscription, in which the latter monarch lists those court dignitaries in whose memory Shābūr had set up a pious foundation. He could not have been the chief minister, since this official for both Ardashīr and Shābūr is named as a Bābag, and his exact rank and title are uncertain, but he was obviously exalted enough for the late Sāsānid tradition, as transmitted by al-Ṭabarī, to consider him as chief minister. See *EIr*, s.v. Abarsām (E. Yarshater). For Abarsām's supposed part in the preservation and then production at an opportune moment of the prince Shābūr, see al-Ṭabarī, I, 823-25, pp. 24-27 below.

It is, however, an anachronism of al-Ṭabarī's source that he introduces already the office of *buzurg framadhār*, which is not attested till the early fifth century (see on the office, n. 257 below). Court and administration cannot have been so neatly organized at this early time; the highest offices under the Sāsānids in their first century of power were actually those of the (*h*)*argbed* (see above, n. 15) and the *bidakhsh*, a kind of viceroy, cf. *EIr*, s.v. Bidaxš (W. Sundermann). See Nöldeke, trans. 9 n. 2, and on the name Abarsam, Justi, *Namenbuch*, I, and Gignoux, *Noms propres sassanides*, no, 14.

30. This renders MP *mowbed mowbedān* "supreme priest," in Syriac the *rēš mēghūšē*; see Nöldeke, trans. 451, Excursus 3. The title comes into usage comparatively late in the Sāsānid period and is not an early one. As Nöldeke remarks, trans. 9 n. 3, the new ruler, whether from personal conviction or for reasons of state, showed himself eager to establish the Zoroastrian clergy in a leading role under his dynasty.

31. This, or Walāsh, is the NP form of MP *Wardāksh*, well known in the Greek forms *Vologesos*, etc., and it was a frequent name for the Arsacid kings, later appearing also in the Sāsānid royal line in the shape of Balāsh, son of Yazdajird II (484-88), see, p. 126 below. This Balāsh of Kirmān must have been a local ruler of the last Arsacids, and he may have been the founder of the town of Walāshgird, on the road connecting Jiruft with Hurmuz, mentioned by the Islamic geographers (Yāqūt, *Buldān*, V, 383; Le Strange, *Lands*, 317-18; Schwarz, *Iran*, 248). See

dashīr took part personally, until he captured Balāsh and seized control of the city. Ardashīr thereupon appointed as governor over Kirmān one of his sons, also called Ardashīr.

Ruling in the coastlands along the Persian Gulf was a king called 'b.t.n.b.w.d (Haftānbūkht ?),<sup>32</sup> who was accorded divine attributes and worship. Ardashīr marched against him, killed him by cutting him in half with his sword, put to death the members of his entourage, and brought forth from their subterranean store rooms (*maṭāmīr*)<sup>33</sup> extensive treasures that had been piled up there. He wrote to Mihrak, the king of Abarsās (?)<sup>34</sup> in the district of Ardashīr Khurrah,<sup>35</sup> and to a group of his fellow rulers, summoning them to his obedience. When they refused to submit, he

Nöldeke, trans. 10 n. 2; *Elr*, s.v. Balāš (M. L. Chaumont).

In Sāsānid times and in early Islamic times up to the fourth/tenth century, the *shahr-i Kirmān*, the provincial capital, was what became the early Islamic city of Sirajān, in the western part of the province and near the modern Sa'īdābād. It may have been this first Sāsānid governor, Ardashīr, son of Ardashīr I, who laid out what was perhaps a military encampment, rather than a city, at Weh Ardashīr in the northeastern part of the province (Ḥamzah al-Isfahānī, *Ta'rikh*, 43), the place that became in later times Guwāshīr or Bardasīr, provincial capital under the Būyids and, afterward, became the modern city of Kirmān. See Nöldeke, trans. 10 n. 3; Le Strange, *Lands*, 300–301; J. Marquart, *Ērānshahr nach der Geographie des Ps. Moses Xorenac'i*, 30; Markwart-Messina, *Catalogue of the Provincial Capitals*, 90; Barthold, *Historical Geography*, 137–38; *EP*<sup>2</sup>, s.v. Kirmān (A. K. S. Lambton).

32. Thus according to text, n. b. Nöldeke, trans. 10 n. 1 renders the reading 's.w.w.d of the Sprenger ms. as Astawadh (?). The spelling Haftānbūkht (?) is probably a misrendering of an Achaemenid term for an administrative district, denoting a component part of a province, a term that survived through Islamic times as the modern place-name Haftuwān, a village to the southwest of Khunj, in the western part of Lāristān, in southern Fārs.

33. Sing. *maṭmūrah*, meaning a subterranean chamber, either natural or man-made, used essentially for the storage of foodstuffs like grain (i.e., as a silo) or, as here, for treasure. The plural form is not infrequently a toponym in early Islamic times, as in Byzantine Asia Minor, see al-Ṭabarī, III, 1104, year 216/831–32, and in general, *EP*<sup>2</sup>, s.v. Maṭmūra (Ch. Pellat).

34. Thus in Ibn al-Athīr, *Kāmil*, I, 382, but regarded by Nöldeke as dubious; the mss. have various incomprehensible readings, see text, n. d. For Mihrag, older form Mithrak, see Justi, *Namenbuch*, 207–208; Gignoux, *Noms propres sassanides*, no. 629.

35. One of the five *kūrah*s or administrative districts making up the province of Fārs, in which was later situated the Islamic provincial capital Shīrāz. The *kūrah*'s MP name, "Ardashīr's glory," commemorated the founder of the Sāsānid dynasty, while the original town there of Gūr, the Islamic Jūr and then Firūzabād, was said to have been built on the site of Ardashīr's decisive victory over the last Arsacid Ardawān or Ardabanus IV in ca. A.D. 224. See Nöldeke, trans. 11 n. 2, 446 *Excursus* 3; Yāqūt, *Buldān*, IV, 283; Le Strange, *Lands*, 248–49; Schwarz, *Iran*, 43ff.; Barthold, *Historical Geography*, 152–53, 158–59; *EP*<sup>2</sup>, s.v. Firūzabād (L. Lockhart).

proceeded against them and killed Mihrak. He then went to Jūr; he founded the city [there] and began the construction of the palace called al-Ṭirbāl<sup>36</sup> and also of a fire temple.

While he was engaged in these activities, an envoy from Ardawān (Azdawān, Lāzdawān ?)<sup>37</sup> arrived bearing a letter from the latter. Hence Ardashīr assembled the leading men in the state (*al-nās*)<sup>38</sup> for this purpose and read out the document in their presence. The contents were as follows: "You have presumed beyond your rank in society, and have brought down on yourself destruction, O Kurd brought up amongst the tents of the Kurds!<sup>39</sup> Who gave you leave to assume the crown on your head, and permission to seize all the territories you have assembled together and whose rulers and peoples you have subdued? Who ordered you to build the city which you have founded in the desert of . . . ?"—he meant Jūr<sup>40</sup>—"When we allow you to go ahead and construct it, then build a city in the desert which is ten farsakhs across and call it Rām Ardashīr!"<sup>41</sup> He went on to inform Ardashīr that he had dispatched the king of al-Ahwāz against him, with orders to bring back Ardashīr to him in bonds. Ardashīr replied, "It is God who has bestowed on me the crown which I have assumed, who has given me authority over the lands which I have conquered, and who has aided me against the mighty potentates (*jabābirah*) and kings whom I have slain. As for the city which I am to build and which I am to name Rām Ardashīr, I very much hope to get my hands on you and then send your head and your

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36. This appears in the Arabic dictionaries, defined as "high building, tower" (see E. W. Lane, *Lexicon*, 1836c), but must in reality be a loanword from MP *talwār* "audience hall," a synonym of *iwān*. There are impressive remains above ground of Ardashīr's palace at Gūr/Jūr; see *Elr*, s.v. Architecture. iii. Sasanian (D. Huff), at II, 331 (Plate V), 332. Concerning the fire temple at Jūr, see al-Ṭabarī, I, 1067, p. 410 below.

37. Nöldeke, text, n. e, preferred the reading of the Sprenger ms. Lāzdawān.

38. Here, as often in premodern Islamic history in all times and places, *al-nās* does not mean "people in general" but "the people who matter, the people"; cf. the Mamlūk *awlād al-nās*, sons of amīrs and mamlūks within the *ḥalqah* unit of the Mamlūk army; i.e., sons of the ruling military caste.

39. A looking-forward to later Islamic times when, certainly from the 'Abbāsīd period onward, *kurd*, "shepherd, nomad," is virtually a synonym for "robber, bandit, brigand."

40. See for Jūr, n. 35 above.

41. Literally, "joy of Ardashīr." Ardashīr's words here are of course ironic: you may plan a city, but you won't be able to carry out the plan! See Nöldeke, trans. 12 n. 3.



treasuries to the fire temple which I have founded at Ardashīr Khurrah."<sup>42</sup>

Then Ardashīr headed toward Iṣṭakhr, and left Abarsām [as governor] in Ardashīr Khurrah. Not much time had elapsed before a letter reached him from Abarsām with the news that the king of al-Ahwāz had appeared but that he had retreated after being defeated in battle.<sup>43</sup> Whereupon Ardashīr proceeded to Iṣbahān, took its king Shādh Sābūr prisoner and then killed him,<sup>44</sup> after which he returned to Fārs, and went forth to give battle to Nīrūfarr (?), ruler of al-Ahwāz, marching to Arrajān,<sup>45</sup> Sanbīl (?),<sup>46</sup> and Ṭāsh-ān,<sup>47</sup> dependencies of [the district of] Rām Hurmuz, and then to Surraq.<sup>48</sup> When he reached these places, he rode on with a group of his retainers until he stood on the banks of the Dujayl (here, the Kārūn river),<sup>49</sup> took control of the city [already existing there], and founded the [new] city of Sūq al-Ahwāz;<sup>50</sup> then he returned to Fārs

42. Again ironically, Ardashīr asserts that he has no need of a new city, but will adorn his existing one with his enemy's head. See Nöldeke, tr. 12 n. 5.

43. This ruler would be the ruler of Elymais, a vassal of the last Arsacids, the region of southeastern Khūzistān, with its center at the modern Tang-i Sarvak; coins are extant from these petty rulers.

44. Ardashīr is now for the first time moving out of Fārs against neighboring potentates. Nothing is known of the rulers in Iṣfahān at this time; Nöldeke, trans. 13 n. 1, surmised that Shādh Sābūr, literally "joy of Shābūr," should perhaps be read as Shāh Sābūr, since the name in the text would be more fittingly that of a town.

45. The name of a city and of the westernmost *kūrah* of Fārs, adjacent to the border with the province of Ahwāz. Al-Ṭabari's mention of it here would be anachronistic in the light of the information in many Arabic authors that it was founded by the emperor Qubādī (I) (i.e., some two-and-a-half centuries after Ardashīr's time), but clearly an older town existed on the site, which Qubādī may have rebuilt. See Yāqūt, *Buldān*, I, 142-44; Nöldeke, trans. 13 n. 2; Le Strange, *Lands*, 248, 268-69; Schwarz, *Iran*, 111 ff.; Barthold, *Historical Geography*, 164-65; H. Gaube, *Die südpersische Provinz Arrajān/Kūh-Gilūyeh*, 28-31, 74-76; *EP*<sup>2</sup>, s.v. Arrajān (M. Streck-D. N. Wilber); *Elr*, s.v. Arrajān (H. Gaube).

46. Nöldeke's preferred reading, n.c. for the text's *s.sār*.

47. Ṭāshān or Ṭāsān probably survives today as the ruined site of Ṭāshūn. See Schwarz, *Iran*, 344; Gaube, *Die südpersische Provinz Arrajān/Kūh-Gilūyeh*, 112,

48. The region of which Dawraq [al-Furs] was the chief town. See Le Strange, *Lands*, 242; Schwarz, *Iran*, 370-71; Sir Arnold T. Wilson, *The Persian Gulf. An Historical Sketch from the Earliest Times to the Beginning of the Twentieth Century*, 186-87; Gaube, *Die südpersische Provinz Arrajān/Kūh-Gilūyeh*, 22 ff; *EP*<sup>2</sup>, s.v. Dawraq (L. Lockhart); *Elr*, s.v. Dawraq (C. E. Bosworth).

49. Dujayl, "Little Tigris." See Nöldeke, trans. 13 n. 3; Le Strange, *Lands*, 232-34, 245-46; Schwarz, *Iran*, 296-99; Barthold, *Historical Geography*, 189-92; *EP*<sup>2</sup>, s.v. Kārūn (M. Streck-J. Lassner).

50. A city of lower Khūzistān or Ahwāz (the latter an Arabic broken plural of Hūzī, Khūzī, the name of the Iranian tribe originally occupying the region), the

laden with plunder. He set off from Fārs once again, and went back to al-Ahwāz by the road through Jirih and Kāzarūn,<sup>51</sup> and then from al-Ahwāz to Maysān, where he killed a ruler there called B.n.dū (?) and built there Karkh Maysān.<sup>52</sup>

Yet again, he set off back to Fārs, and dispatched a message to Ardawān demanding [that he name] a place where the two of them could fight together. Ardawān replied that he would meet Ardashīr on a plain called Hurmuzjān<sup>53</sup> at the end of the month of Mihr. Ardashīr, however, reached the place before the appointed time in order to take up a [favorable] position on the plain. He dug out a ditch to protect himself and his army, and took possession of a spring there.<sup>54</sup> Ardawān came up against him, and the troops deployed themselves in battle order. Sābūr, Ardashīr's son, had

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name Ahwāz also being applied to the province's capital, further defined as the province's "market" (*sūq*), given the agricultural and commercial prosperity of the province. There was probably an Achaemenid settlement on the site of Sūq Ahwāz, which Ardashīr rebuilt and refounded as his own city, Hurmuz Ardashīr (see al-Ṭabarī, I, 820, p. 16 below), and in Sāsānid times it prospered greatly as the capital of the province of Susiana after the decay of Susa. See Yāqūt, *Buldān*, I, 284–86; Le Strange, *Lands*, 232–34; Schwarz, *Iran*, 315–24; *EP*<sup>2</sup>, s.v. al-Ahwāz [L. Lockhart]; *EI*, s.v. Ahwāz. 1. History [C. E. Bosworth].

51. These two places, often mentioned together by the geographers, lay to the southwest and west, respectively, of Shirāz. See Yāqūt, *Buldān*, II, 131, IV, 429–30; Le Strange, *Lands*, 266–68; Schwarz, *Iran*, 33, 35; Barthold, *Historical Geography*, 162–63; *EP*<sup>2</sup>, s.v. Kāzarūn [J. Calmard].

52. The Greek Mesēnē, MP Mēshān, Arabic Maysān, was the region along the lowest reaches of the Tigris in Mesopotamia to the east of the Baṭīḥa, or Great Swamp. Its center was the Seleucid foundation of Charax Spasinou, with the province in Arsacid times being known as Characene, with the subsequent Syriac name Karkhā dhā Mēshān (*karkhā*, "fortified town"), eventually yielding the Arabic form given by al-Ṭabarī. Ardashīr conquered Characene in ca. 224, but there seems to be no confirmatory evidence that the rebuilt and refounded town of Charax acquired the name Ast[ar]jābādh Ardashīr. See Nöldeke, trans. 13 n. 5; *PW*, III/2, s.v. Charax, Mesēnē (Weissbach); Le Strange, *Lands*, 43, 80; Marquart, *Ērānshahr*, 40–42; M. J. Morony, *Iraq after the Muslim Conquest*, 155–61; W. Eilers, "Iran and Mesopotamia," 487; Bosworth, "Iran and the Arabs before Islam," 594; *EP*<sup>2</sup>, s.v. Maysān (M. Streck-M. Morony); *EI*, s.v. Characene and Charax [J. Hansman].

53. Unattested in the Islamic geographers.. See Nöldeke, trans. 14 n. 1; the name appears in al-Dinawari's *al-Akhhār al-ṭiwāl* with an intrusive *d*, i.e., Hurmuzdijān

54. The exact location of the battlefield is unknown. It has been fixed as probably somewhere near the later Islamic town of Gulpāyagān to the northwest of Iṣfahān, the date of the battle was 30 Mihr of Seleucid era 535/28 April 224. See *EI*, s.v. Artabanus (K. Schippmann), at II, 650a. There remains, nevertheless, the possibility that the encounter took place in eastern Khūzistān if the name Hurmuzdijān should have some connection with the town of Rām-Hurmuz; the terrain there would certainly be suitable for extensive cavalry maneuvering.

already gone forward in order to shield his father. Fierce fighting got under way, in the course of which Sābūr killed Dādhbun-dādh,<sup>55</sup> Ardawān's secretary (sc., the writer of the letter) with his own hand. Ardashīr rushed forward from his battle position toward Ardawān and killed him. There was great slaughter among Ardawān's troops, and the survivors fled the field. It is said that Ardashīr dismounted and trampled Ardawān's head with his feet. On that day of battle, Ardashīr received the title of "Supreme King" (*Shāhanshāh*, literally "King of Kings").<sup>56</sup>

Then he went from there to Hamadhān and conquered it by force of arms, as also the mountain region (al-Jabal), Azerbaijan, Armenia, and [the region of] al-Mawṣil. The he went from al-Mawṣil to Sūristān, that is, the Sawād,<sup>57</sup> and took possession of it for himself. On the banks of the Tigris, opposite the city of Ctesiphon (which is the city that forms the eastern part of al-

55. The rendering preferred by Nöldeke, text n. a, for the *dār.n.b.dādh* of the text (Sprenger ms., *dād.b.n.dār*).

56. Ardashīr commemorated his victory in a rock relief, the largest surviving Sāsānid one, on a mountainside near his town of Ardashīr Khurrah. See *Elr*, s.v. Ardašīr I. ii. Rock reliefs (H. Luschej) (with illustrations).

Ardashīr's symbolic investiture with the ancient title of "King of Kings" is depicted in a relief at Naqsh-i Rostam, in which the king receives the diadem of sovereignty from Ahura Mazdā, and in other reliefs depicting god and king at the bridge near Ardashīr-Khurrah and at Naqsh-i Rajab. See Georgina Herrmann, *The Iranian Revival*, 82–88, 90 (illustration); Luschej, loc. cit. (with illustrations). His assumption of the title is also noted in the other Arabic sources on the Sāsānids: al-Ya'qūbī, *Ta'rikh*, I, 179; al-Dīnawarī, *al-Akhhār al-tiwāl*, 43; al-Mas'ūdi, *Murūj*, II, 161 = §585. It was from the date of his victory over Ardawān (see above, n. 54), i.e., the regnal year 223–24, or at his formal accession to the throne shortly afterwards (probably therefore at some point between 224 and 226, see Frye, "The Political History of Iran," 118–19, and n. 68 below) that Ardashīr dated the beginning of his reign, which extended till his death, most probably in 242 (the chronology of the early Sāsānids is in many places uncertain). See Nöldeke, trans. 15 n. 1; the genealogical table of Sāsānid rulers in Frye, *The Heritage of Persia*, 295 (in which several dates for the early Sāsānids need correction), and his list in "The Political History of Iran under the Sassanians," 178 (again with some early dates to be corrected); *Elr*, s.v. Ardašīr I. i. History (J. Wiesehöfer).

57. Nöldeke, trans. 15 n. 3, took Sūristān to be a Persian translation of Bēth Aramāyē, "land of the Syrians." It could also denote "the region of the Nahr Sūrā," this being the name the Arabs gave to what was then the eastern branch of the middle Euphrates (now the river's main channel; see Yāqūt, *Buldān*, III, 279; Le Strange, *Lands*, 70; Marquart, *Ērānšahr*, 21; Markwart-Messina, *Catalogue of the Provincial Capitals*, 103). It is equated with the Sawād, "the dark lands" (contrasted, in its vegetation and greenery, with the dazzling whiteness of the surrounding desert), the irrigated region of Mesopotamia. See *EP*<sup>2</sup>, s.v. Sawād (C. E. Bosworth).

Madā'in), he built a city on the western side, which he called Bih Ardashīr. He formed this into a province (*kūrah*), adding to it Bihrasīr, al-Rūmaqān, Nahr Darqīt, Kūthā and Nahr Jawbar,<sup>58</sup> and appointed local governors (*'ummāl*) over them. Then he went back from the Sawād to Iṣṭakhr, and thence to Sijistān, thence to Jurjān, and thence to Abarshahr,<sup>59</sup> Marw, Balkh, and Khwārazm, as far as the farthest frontiers of Khurāsān, after which he returned to Marw. He killed a large number of people, and despatched their heads to the fire temple of Anāhīdh. Then he returned from Marw to Fārs and took up his quarters at Jūr. Envoys from the kings of the Kūshān, of Ṭūrān, and of Makrān, came to him offering their submission.<sup>60</sup> From Jūr, Ardashīr went to al-Baḥrayn and laid siege to its king Sanaṭruq. The latter was reduced to the extremity of need, till finally he threw himself down from the walls of the citadel and perished. Ardashīr returned to al-Madā'in and estab-

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58. For these places, see Nöldeke, trans. 16 nn. 3, 4; Le Strange, *Lands*, 34, 68-70; Marquart, *Ērānshahr*, 164-65; Morony, *Iraq after the Muslim Conquest*, 143ff. As Nöldeke observed, tr. 16 n. 4, these administrative arrangements reflect late Sāsānid administrative geography, not that of Ardashīr's time, shown by the inclusion of Rūmaqān, the settlement of Weh-Andiyōg-Khusraw, founded by Anūsharwān for the people of Antioch carried off after the Persian conquest of that city in 540, and called in Arabic al-Rūmiyyah. See Fry, "The Political History of Iran under the Sasanians," 155; *Elr*, s.v. "Deportations. ii. In the Parthian and Sasanian Periods" (E. Kettenhofen), at VII, 301a; pp. 157-58 below.

59. That is, the region around Nīshāpūr, the central part of Khurāsān, the province to which Ardashīr turned his attention once he had secured western Persia. The name Abarshahr probably reflected an old \**Aparn-xšathr* "country of the Aparnak," Greek Aparnoi, the leading tribe of the Dahae who founded the Parthian empire. For the city of Nīshāpūr and its foundation, see n. 163 below. See Yāqūt, *Buldān*, I, 65-66; Nöldeke, trans. 17 n. 2, 59 n. 3; Le Strange, *Lands*, 382-88; Marquart, *Ērānshahr*, 36, 74-75 (his rendering of Abarshahr as "upper country" is to be rejected); Markwart-Messina, *Catalogue of the Provincial Capitals*, 52-53; Barthold, *Historical Geography*, 94ff.; *ElP*, s.v. Nīshāpūr (E. Honigmann-C. E. Bosworth).

60. These potentates were neighbors of the Sāsānids in what is now northern Afghanistan and the Sijistān-Baluchistan region. The demise of the Kushan empire, which had in its heyday straddled the Hindu Kush and extended over northern India, seems to have taken place ca. 225, and is probably to be connected with Ardashīr's campaigns against the Kushan Shāh, laconically referred to here. See Nöldeke, tr. 17 n. 5; Bivar, "The History of Eastern Iran," 203. Ṭūrān was in early Islamic times a region of east-central Baluchistan associated with Quṣḍār or Khuzdār, i.e., it lay to the north of the coastal region of Makrān and, as Nöldeke observed, trans. 18 n. 1, is not to be confused with Tūrān, the name the Iranians gave to the peoples beyond the Oxus. The Paikuli inscriptions of some two generations after Ardashīr's time mention the Makurān Shāh as a vassal of the Sāsānids but not the Tūrān Shāh. See *ElP*, s.v. Ṭūrān (V. Minorsky).

lished himself there, and he had his son Shābūr crowned within his own lifetime.<sup>61</sup>

It is related that there was in a village called Alār, in the district of Kūjarān, which is one of the rural districts of the coastland of Ardashīr Khurrah, a queen who was accorded the respect and worship of a divinity and who possessed wealth, treasures, and soldiers. Ardashīr made war on her priestly custodians (*sadanah*), killed her, and seized as booty immense wealth and treasures belonging to her. [It is further related] that he built eight cities: in Fārs, the city of Ardashīr Khurrah, that is, Jūr, the city of Rām Ardashīr, and the city of Rīw Ardashīr;<sup>62</sup> in al-Ahwāz, Hurmuz Ardashīr, that is, Sūq al-Ahwāz; in the Sawād, Bih Ardashīr, that is, the western side of al-Madā'in,<sup>63</sup> and Astābādīh Ardashīr, that is, Karkh Maysān; in al-Baḥrayn, Fasā (?) Ardashīr, i.e. the city of al-Khaṭṭ;<sup>64</sup> and in [the region of] al-Mawṣil, Būdh Ardashīr, that is, Ḥazzah.<sup>65</sup>

61. These movements by Ardashīr mark the beginning of Sāsānid attempts to control the western shores of the Gulf, and we now have the start of a Persian political, cultural, and religious penetration into eastern Arabia that was to continue until the advent there of Islam in the 630s. The appearance of the Parthian name Sanaṭrūq/Sinatrices, so common among the Arsacids and their dependents in Adiabene and Commagene, may mean that this local ruler in Baḥrayn was an Arsacid vassal. See Nöldeke, trans. 18 n. 3; A. Siddiqi, *Studien über die persischen Fremdwörter im klassischen Arabisch*, 77–79; Bosworth, "Iran and the Arabs before Islam," 603–604.

The coronation of Shāpūr with his father's crown and the joint rule of father and son is confirmed by Ardashīr's late coins, which, inter alia, depict Ardashīr and a youthful king symbolizing the son, and also by rock reliefs, including one at Dārābgird apparently depicting Shāpūr, victorious over the Romans, and wearing his father's crown. See *Elr.* s.v. Dārāb. iii. Rock Reliefs (Georgina Herrmann).

62. This name later became Rēshahr/Rīshahr. Its modern ruins lie at the southern end of the Bushire peninsula, the name survived at least until the sixteenth century in that of the Portuguese fort of "Reixer." See Nöldeke, trans. 19 n. 4; Schwarz, *Iran*, 120–21; Wilson, *The Persian Gulf*, 73–74; Barthold, *Historical Geography*, 164; *EP*<sup>2</sup>, s.v. Būshahr (Būshīr) (L. Lockhart).

63. That is, the newly refounded Seleucia. See J. M. Fiey, "Topographie chrétienne de Mahozé," 400, 409–10.

64. Al-Khaṭṭ was a term applied by early Islamic historians and geographers to the coastlands of eastern Arabia from the head of the Persian Gulf down to Oman, without any more precise definition. The particular settlement of al-Khaṭṭ was said to be held by the 'Abd al-Qays tribe and to be an entrepôt for a famous type of spear, the Khaṭṭī, imported from India and sold to the Bedouins, but it is impossible to locate it firmly. The name would appear to be old and to be connected with the *Chatenia* of the classical geographers. See Nöldeke, tr. 20 n. 3; *PW*, III/2, s.v. *Chattenia* (D. H. Müller); Bosworth, "Iran and the Arabs before Islam," 593–4; *EP*<sup>2</sup>, s.v. al-Khaṭṭ (A. Grohmann).

65. Ḥazzah, marked today by an entirely Muslim village Heza to the southwest

It is mentioned that, when Ardashīr first came to power, he wrote to the Party Kings eloquently phrased letters setting forth his rightful claim to authority over them and summoning them to obedience. When he came to the end of his life, he set forth his testament for his successor.<sup>66</sup> All through his career he was the object of praise and was victorious in war. No military force of his was ever put to flight nor was any banner of his ever hurled down.

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of Irbil, was the administrative center of a region of the same name in Adiabene, the region between the Greater and the Lesser Zab rivers in northern Mesopotamia (see on it, *Elr.* s.v. Adiabene [D. Sellwood]). The town is frequently mentioned in Nestorian Christian literature as the seat of a bishopric in the metropolitanate of Irbil (and ca. 1200 a Jacobite bishopric is mentioned there). Ibn Ḥawqal in the fourth/tenth century still speaks of the *arḍ Ḥazzah* and its component *rustāqs*. See Nöldeke, tr. 20 n. 4; M. Canard, *Histoire des Ḥamdānides*, 123; Fiey, *Assyrie chrétienne*, I, 165–67; Morony, *Iraq after the Muslim Conquest*, 132–33. Ḥamzah al-ʿIṣfahānī devotes much of his section on Ardashīr in *Taʾrīkh*, 42–44, to the many cities the emperor founded and his many fire temples and other buildings. Although a zealous adherent of Zoroastrianism, Ardashīr seems to have been tolerant of the Christian communities within his realm, although it was only toward 250 that what might be called a catholic Christianity, with a defined dogma, evolved with a proper ecclesiastical hierarchy, at least as far as the Tigris. See J. Labourt, *Le Christianisme dans l'empire perse sous la dynastie sassanide*, 224–632, 10–17; M.-L. Chaumont, "Les Sassanides et la Christianisme de l'Empire iranien au III<sup>e</sup> siècle de notre ère," 167–68.

66. This is a reference to what was in Islamic times known as the '*ahd Ardashīr*', in fact a good example of the "Mirrors for Princes" genre, listed by Ibn al-Nadīm in his *Fihrist*, 377–78, trans. Bayard Dodge, *The Fihrist of al-Nadīm. A Tenth-Century Survey of Muslim Culture*, II, 740, as the *Kitāb 'Ahd Ardashīr Bābakān ilā ibnihi Sābūr* and described as a translation from Pahlavi. Whether any material from the time of Ardashīr is actually contained in it is unknown, but it is more likely that material ostensibly from Ardashīr was imputed to him later. The text and a translation of it, at that time still in manuscript, were given by Mario Grignaschi in his "Quelques spécimens de la littérature sassanide conservée dans les bibliothèques d'Istanbul," 46–90, and the work has been subsequently edited and published by Iḥsān 'Abbās, '*Ahd Ardashīr*', Beirut 1967. A related text is the *Ā'in-i Ardashīr*, a collection of aphorisms and advice on statecraft, also edited and translated by Grignaschi in op. cit., 91–133. Also relevant here is mention of the so-called *Letter of Tansar*, known subsequently to the Islamic world through an Arabic translation, probably by Ibn al-Muqaffa' from a lost Pahlavi original, but now known substantially in a New Persian version. It purports to be the work of Ardashīr's Chief Priest, Tōsar or Tansar; it adjures a local prince of Ṭabaristān to submit to the Sāsānid ruler and, in general, justifies Sāsānid rule and political conduct. Although it is generally accepted that the *Letter* in its existing form dates substantially from the time of Khusraw Anūsharwān, i.e., the sixth century, it may well have a core going back to the third century. See *EP<sup>2</sup>*, s.v. Tansar, *Kitāb* (F. C. de Blois). See also for a recent consideration of all three of these texts as part of the movement for translating works from the Pahlavi into Arabic, and their place in the subsequent development of Islamic political and social thinking, Louise Marlow, *Hierarchy and Egalitarianism in Islamic Thought*, 72–88.

He reduced to submission<sup>67</sup> and humiliated all the rulers of the lands around his own kingdom, and conquered the lands totally. He divided up the land into provinces, laid out cities, established the various ranks and offices in the state, and exerted himself in multiplying the fertility and flourishing of the land. His reign lasted, from the time when he killed Ardawān till he himself perished, fourteen years, but according to others, fourteen years and ten months.<sup>68</sup>

There was related to me a narrative going back to Hishām b. Muḥammad (i.e., Ibn al-Kalbī) that states:<sup>69</sup> Ardashīr moved for-

67. *wa-athkana fī al-ard*, echoing Qur'ān, VIII, 68/67.

68. Ardashīr I reigned from spring 224, the date his victory over Ardawān took place, or 226 (see n. 56 above) to 240 as sole ruler, and then for two more years till his death as coruler with his son Shāpūr. There has, in fact, been much discussion about the chronology of Ardashīr's last years and the exact date of his death; this latter event should probably be placed, in Wiesehöfer's estimation (see below), in early 242, most likely in February of that year. Ardashīr's name appears on his coins as 'ARTHŠTR. See, on his coins, Furdoonjee D. I. Paruck, *Sāsānian Coins*, 51-52, 305-16, 413-16, Plates I-III, Table I; R. Göbl, *Sasanian Numismatics*, 42, Tables I-1a, Plates 1-2; D. Sellwood, P. Whitting, and R. Williams, *An Introduction to Sasanian Coins*, 21, 73-78; Hodge Mehdi Malek, "A Survey of Research on Sasanian Numismatics," 232-33.

The Arabic sources on the rise of the Sāsānids and Ardashīr's reign include Ibn Qutaybah, *Ma'ārif*, 653-54; al-Ya'qūbī, *Ta'rikh*, I, 179 (very brief); al-Dīnawārī, *al-Akhbār al-tiwāl*, 42-45 [Ardashīr's rise to power and defeat of Ardawān's son Farrukhān, the extension of his authority over outlying provinces and the story of the clandestine birth and upbringing of his son Sābūr (I), see below, al-Ṭabarī, I, 823-25]; Ḥamzah al-Iṣfahānī, *Ta'rikh*, 42-44 [Ardashīr's rise and consolidation of power, his new cities and his building operations]; al-Mas'ūdī, *Murūj*, II, 148, 151-63 = §§ 573, 576-88 (his defining of the various ranks in the Persian social, military, and religious structures, his emphasis on court ceremonial, his urban foundations and building works, and his aphorisms on statecraft and monarchical power, as set forth in the *Kār-nāmag* [-ī *Ardašīr-ī Pābagān*], on which see n. 5 above); idem, *Tanbīh*, 98-100, trans. B. Carra de Vaux, *Le livre de l'avertissement et de la revision*, 141-44; and Ibn al-Athīr, *Kāmil*, I, 380-84. Among Persian sources, see al-Ṭabarī, Persian abridged trans. Bal'ami, trans. H. Zotenberg, *Chronique de Abou-Djafar-Mo'hammed-ben-Djarīr-ben-Yezid Tabari*, I, 527-28, II, 66-75.

For studies on the reign of Ardashīr in general, see e.g., Christensen, *Sassanides*, 86-96; Frye, *The Heritage of Persia*, 207-21; idem, "The Political History of Iran under the Sasanians," 118-25, 178; *Elr*, s.v. Ardašīr I. i. History (J. Wiesehöfer). Nöldeke, trans. 21 n. 4, thought that the picture of the first Sāsānid emperor as an exemplary ruler, while not without some basis, was exaggerated. Ardashīr can nevertheless be accounted a firm and successful ruler who brought unity to the Persian lands after the chaotic conditions of the late Arsacid period. It is probably true that he extirpated the Arsacid royal family within Persia (though not in Armenia, see n. 84 below), but he conciliated and took into his service many of the great Parthian military and administrative families, thus providing some element of continuity within the state.

69. The change to Ibn al-Kalbī's narrative, with material emanating from the

ward at the head of an army of the men of Fārs seeking to gain military and political ascendancy over Iraq. He encountered there Bābā, the king of the Aramaeans, and he also encountered Ardawān, the king of the Ardawānīs.<sup>70</sup> Hishām explains: The Aramaeans are the Nabataeans (*al-Anbāṭ*) of the Sawād, and the Ardawānīs are the Nabataeans of Syria.<sup>71</sup> He goes on to relate: Each one of these two groups used to fight with each other over the possession of power, but then they came together and agreed to fight Ardashīr. So the two of them fought against Ardashīr, each assisting the other (or: going forth in separate groups, alternately, *mutasānidayn*)<sup>72</sup> one of them would fight one day, and the other would fight on another day. When it was Bābā's day for combat, Ardashīr was unable to withstand him, but when it was Ardawān's day for combat, the latter was unable to withstand Ardashīr. So when Ardashīr realized that, he made a peace agreement with Bābā, on the basis that Bābā would let Ardashīr alone and leave the latter to fight it out with Ardawān, while Ardashīr, for his part, would leave Bābā in control of his own territories and all

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Arab milieu of al-Ḥīrah, brings with it more poetic and anecdotal elements into the story (seen here immediately in the story of the warfare between Ardashīr and his two opponents Ardawān and Bābā) as compared with the more sober, straightforward material of the Persian national historical tradition going back to the *Xwadāy-nāmag*. See Nöldeke, trans. 22 n. 2.

70. The "Aramaeans" (*al-Aramāniyyūn*) can hardly refer to the whole nation of Aramaic-speaking peoples of the Syro-Mesopotamian region, those in Islamic times called al-Nabaṭ/al-Anbāṭ (see n. 71 below). Nöldeke, loc. cit., thought that in this context the term appears to refer to the people of Bēth Aramāyē or Lower Iraq, with the king Bābā being the unidentified Bābā, son of Bandinā, described by al-Mas'ūdī, *Murūj*, II, 161 = § 585, as ruler of (the forerunner of) Qaṣr Ibn Hubayrah (which in early Islamic times lay between Baghdad and al-Kūfah, see *EP*<sup>2</sup>, s.v. Qaṣr Ibn Hubayra [J. Lassner]). As for the Ardawānīs, these were not of course an ethnic or national group at all, but the partisans and troops of king Ardawān.

71. The term *Nabaṭ*, pl. *Anbāṭ*, was especially applied in early Islamic times, often with a contemptuous tinge, to the indigenous, Aramaic-speaking cultivators of the Sawād of Iraq as compared with the Bedouin Arab pastoralists. By then, little was remembered or known of the proto-Arab Nabataeans of northwestern Arabia, modern Jordan, and southern Syria, the Nabaṭ al-Shām of the Muslims. There was much confusion in the Arab historians concerning the ancient peoples who had inhabited Mesopotamia, with all of these—Sumerians, Akkadians, Assyrians, etc.—tending to be lumped together as Chaldaeans, *al-Kaldāniyyūn*. See *EP*<sup>2</sup>, s.v. Nabaṭ. 2. The Nabaṭ al-'Irāk (T. Fahd). The false distinction between the Ardawānīs = the Nabataeans of Syria, and the Aramāniyyūn = the Nabataeans of Iraq, appears also in Ḥamzah al-Iṣfahānī, *Ta'rikh*, 85.

72. See for this term, Lane, *Lexicon*, 1443b, 1444b, and Dozy, *Supplément*, I, 691-92.



within them. In this way, Ardashīr was free to combat Ardawān alone; he speedily killed him and conquered all his possessions and dependencies. He also compelled Bābā to give obedience [to him]. Thus Ardashīr took firm control of the realm of Iraq; its rulers became subject to him; and he finally brought into submission all the people there who had resisted him, thereby compelling them to do what he wished and what was in accordance with his plans, however distasteful though it was to them.

[*The History of al-Hīrah*]

[Hishām b. Muḥammad continues:] When Ardashīr conquered Iraq and seized power there, a large part of the Tanūkh (group of tribes)<sup>73</sup> disliked the prospect of remaining in his kingdom and becoming his subjects. Hence those of them belonging to the tribes of Quḍā'ah who had come with Mālik and 'Amr, the two sons of Fahm, together with Mālik b. Zuhayr and others, went forth and eventually joined with those of Quḍā'ah already in Syria.<sup>74</sup> Now there was a group of the Arabs who were guilty of committing

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73. This important tribal confederation, accounted as South Arabian in genealogy when they were in Iraq, and said to have spread out from the southern part of the Arabian peninsula toward the fringes of Iraq and Syria, was certainly an ancient one, apparently referred to by Ptolemy as the *Thanouitai*. Their history in the peninsula is very shadowy, but they are attested on the fringes of the Fertile Crescent by the third century A.D. and, as al-Ṭabarī relates, they became a significant population element in al-Hīrah and Mesopotamia as "Arabs of the Marches" (i.e., of the Sāsānid empire, 'Arab al-Ḍāḥiyah), and seem to have had some kinship connection with the ruling Lakhmids of al-Hīrah. It was in these places that some at least of the Tanūkh acquired Christianity, which they retained well into the Islamic period. See G. Rothstein, *Die Dynastie der Lakhmiden in al-Hīra*, 18-40, 134-38; I. Shahīd, *Byzantium and the Arabs in the Fourth Century*, 360-62, 366ff., 418ff.; *EP*<sup>1</sup>, s.v. Tanūkh (H. Kindermann); *EP*<sup>2</sup>, s.v. Tanūkh (I. Shahīd); and see the next note.

74. Quḍā'ah were an old group of tribes, whose components included Balī, Juhaynah, Kalb, Khawlān, Salīh, Tanūkh, 'Udhrah, etc. The genealogists were uncertain whether they belonged to the North or South Arabs; there are indications that, in the Umayyad period, the Quḍā'ah of central Syria may have changed their affiliation, for military and political reasons, from Ma'add, the North Arabs, to Qaḥṭān, the South Arabs (see W. Robertson Smith, *Kinship and Marriage in Early Arabia*, 246-53; M. J. Kister and M. Plessner, "Notes on Caskel's Ġamharat an-nasab," 56-58; G. R. Hawting, *The First Dynasty of Islam, The Umayyad Caliphate A D 661-750*, 36). The Tanūkh of Quḍā'ah were certainly installed in northern Syria and northern Jaẓīrah during the eighth and ninth centuries, still Christian in faith and considering themselves Qaḥṭānis. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, tables 274, 279, II, 73-76, 470; *EP*<sup>2</sup>, s.v. Quḍā'a (M. J. Kister).

various misdeeds among their own people,<sup>75</sup> or who were becoming reduced by hardship in their daily life to extremities, so that they were moving into the agricultural lands (*ṛīf*) of Iraq and settling at al-Ḥīrah.<sup>76</sup> [The population of al-Ḥīrah] thus comprised three elements. The first element was that of the Tanūkh, who dwelled in shelters and tents of hair and skins on the western banks of the Euphrates, between al-Ḥīrah and al-Anbār and beyond.<sup>77</sup> The second element were the 'Ibād ("devotees"), that is, those who had [originally] settled in al-Ḥīrah and built themselves permanent houses there.<sup>78</sup> The third element were the Aḥlāf

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75. That is, had brought down upon their heads blood feuds. See Nöldeke, trans. 24 n. 1.

76. This was the great city, strategically situated on the borderland between the cultivated lands of the Sawād of Mesopotamia and the northeastern fringes of the Arabian Desert; its ruins (first noted by B. Meissner, *Von Babylon nach den Ruinen von Ḥīra und Ḥuarnaq*, Deutsche Orientgesellschaft Sonderschriften 2, 1899, Leipzig 1901) can be seen today to the southeast of al-Najaf. It owed its rise, as the most important city of the Arabs for three centuries, to the Lakhmids and was always closely associated with that dynasty, with a peak of splendor under al-Mundhir III b. al-Nu'mān II (r. 504–54), the appointee of Qubādh and Khusraw Anūsharwān (see al-Ṭabarī, I, 899–900, pp. 159, 161 below). The decline of the city came with the end of the Lakhmids, when in 602 Khusraw Abarwēz deposed and killed al-Nu'mān III b. al-Mundhir IV (see text, I, 1026–28, below) and entrusted the city to Persian nominee governors, and then with the city's surrender in 12/633 to the Muslim general Khālid b. al-Walīd (see al-Ṭabarī, I, 2019). It continued to exist, however, for at least four more centuries, but was gradually eclipsed by the nearby, newly founded *miṣr* or armed camp of al-Kūfah. See Yāqūt, *Buldān*, II, 328–31; Nöldeke, trans. 25 n. 1; Rothstein, *Lahmidien*, 12–40; Le Strange, *Lands*, 75–76; Siddiqi, *Studien über die persischen Fremdwörter*, 76–77; Eilers, "Iran and Mesopotamia," 487–88; Bosworth, "Iran and the Arabs before Islam," 597; *EP*, s.v. al-Ḥīra (I. Shahīd).

The etymology of the name al-Ḥīrah has traditionally been connected with Syriac *ḥērtā*, "enclosure," but Shahīd has argued that an etymology from the languages of the Arabian peninsula, with the sense of "military encampment," is more likely, especially since we have in Sabaic *ḥyrt*, *ḥrt*— "encampment," and a verb *ḥyr*, "to encamp" (see for these, A. F. L. Beeston et al., *Sabaic Dictionary*, 74; Joan C. Biella, *Dictionary of Old South Arabic, Sabaean Dialect*, 175). Shahīd proposes that we should accordingly consider Syriac *ḥērtā* as a loanword from the Arabian peninsula, not vice versa. See his *Byzantium and the Arabs in the Fourth Century*, 490–98.

77. These dwellers in shelters and (permanent?) tents must have represented a transitional way of life between those of the pure nomadic Bedouins and the house-dwelling 'Ibād. See Nöldeke, trans. 24 n. 3.

Anbār (Persian, "storehouse," "granary") was an ancient town of central Iraq, at a crossing-point of the Euphrates and further strategically important as the approach from the west to Ctesiphon-al-Madā'in and, later, to Baghdad. Its value had led Shābūr I to rebuild it in early Sāsānid times and to rename it Firūz-Shāpūr. See Yāqūt, *Buldān*, I, 257–58; *PW*, I/2, s.v. Ambara [F. C. Andreas]; Le Strange, *Lands*, 65–66; A. Musil, *The Middle Euphrates*, 353–57; *EP*, s.v. al-Anbār [M. Streck and A. A. Duri], *Elr*, s.v. Anbār [M. Morony].

("confederates"), who had joined with the people of al-Ḥīrah and settled among them but who belonged neither to the tent-dwelling Tanūkh nor the 'Ibād, who had both submitted to Ardashīr.<sup>79</sup>

Al-Ḥīrah and al-Anbār were both built in the time of Bukht Naṣṣar (Nebuchadnezzar), but al-Ḥīrah fell into ruins when its population migrated to al-Anbār at the time of Bukht Naṣṣar's death. In this way, al-Anbār flourished for 550 years, until al-Ḥīrah revived in the time of 'Amr b. 'Adī, when the latter took up his residence there. Al-Ḥīrah accordingly flourished for 530-odd years, until al-Kūfah was founded and [the people of] Islam took up residence there. The complete extent of 'Amr b. 'Adī's tenure of power was 118 years, of which ninety-five fell within the time of Ardawān and the "Party Kings" and twenty-three years within the time of the Persian kings, comprising fourteen years and ten months of Ardashīr son of Bābak's reign and eight years and two months in his son Sābūr's reign.<sup>80</sup>

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78. The Arab Christian 'Ibād, "devotees," of al-Ḥīrah represented the culturally most advanced Arabs of their time, even though their Lakhmid rulers remained generally pagan, at times fiercely so. The last Lakhmid king, al-Nu'mān III, may have been the first Christian ruler since the time of Imru' al-Qays I al-Bad' (see al-Ṭabarī, I, 834, p. 44 below). Modern archaeological investigations, from an Oxford expedition in 1931 to the work of the Japanese Archaeological Expedition to Iraq in the 1970s and 1980s, have uncovered the sites of many Christian churches both at the site of al-Ḥīrah itself and in the surrounding district; see the details given in Erica C. D. Hunter, "Syriac Inscriptions from al Hira," 66-67, and nn. 2, 4-5; and also J. S. Trimmingham, *Christianity among the Arabs in Pre-Islamic Times*, 197 and n. 114. Early Arab traditions state that the Arabic alphabet, having evolved among the literate scribes of al-Ḥīrah, was carried thence to the Arabian interior and Mecca (but note the doubts of G. Endress, "Die arabische Schrift," 169). Certainly, the Lakhmid kings were great patrons of the Bedouin poets who flocked to their court, but the city itself also produced several noted poets, headed by the celebrated 'Adī b. Zayd (see al-Ṭabarī, I, 1016-24, pp. 339-51, below, and n. 116, below). See Rothstein, *Lahmidien*, 18-28; Bosworth, *Iran and the Arabs before Islam*, 597-99; and for al-Ḥīrah as a literary center, R. Blachère's section "La poésie à la cour des Lahmides de Ḥīra," in his *Histoire de la littérature arabe*, II, 293-301.

79. These must have been Bedouins sedentarized within the city; see Rothstein, *Lahmidien*, 18ff., and Bosworth. "Iran and the Arabs before Islam," 599. The Lakhmid kings' policy of balancing rival tribal groups within Arabia against each other, one of divide and rule, is discussed in F. McG. Donner, *The Early Islamic Conquests*, 45-48.

80. 'Amr b. 'Adī, the father of the Imru' al-Qays I al-Bad', "king of the Arabs," of the Namārah inscription (as this has customarily been read), lived toward the end of the third century and in the early part of the fourth century; he was regarded as the father of the dynasty, but cannot have ruled for such a span of years. See al-Ṭabarī, I, 834-35, p. 44 below; Nöldeke, trans. 25 n. 1; Rothstein, *Lahmidien*, 50ff.



## Mention of the Holders of Power in the Kingdom of Persia after Ardashīr b. Bābak



[*Sābūr I, called Sābūr al-Junūd*]<sup>81</sup>

When Ardashīr b. Bābak died, there succeeded to power in Persia<sup>82</sup> his son Sābūr. When Ardashīr b. Bābak had attained the royal power, he wrought great slaughter among the Arsacids (al-Ashakāniyyah),<sup>83</sup> to whom belonged the "Party Kings," until he had exterminated them, in accordance with an oath which Sāsān the elder, son of Ardashīr, son of Bahman, son of Isfandiyār, the ancestor of Ardashīr b. Bābak, had sworn: that, if at some point, he should attain the royal power, he would not spare a single one of the progeny of Ashak, son of Khurrah. He had further laid this charge on his descendants, and had instructed them in his testament not to leave alive a single one of the Arsacids if they should succeed to power [immediately after him] or if one of them should [eventually] attain to royal power one day. The first of his descendants and progeny to achieve this power was Ardashīr, son of

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81. That is, "Sābūr of the Armies."

82. Here, for the first time in the present text, *Fār(i)s* seems to mean the land of Persia in general rather than the province of Fārs, cradle of ancient Persian monarchy, in particular.

83. The Arabic name for the dynasty goes back to the eponymous founder of the line, Arshaka, Parthian 'ršk, Greek Arsacēs, who seized power in northern Parthia ca. 247 B.C., henceforth the beginning of the Parthian era. See Nöldeke, trans. 26 n.1; Bivar, "The Political History of Iran under the Arsacids," 28, 98.

Bābak. Hence Ardashīr massacred the Arsacids en bloc, women and menfolk alike, not sparing a single one of them, in accordance with the intention of his forefather Sāsān.<sup>84</sup>

It is mentioned that he left no one alive except a maiden whom he had found in the royal palace. He was struck by her beauty, and asked her—she was really the slaughtered king's daughter—about her origins. She stated that she was the handmaiden of one of the king's wives. He then asked her whether she was a virgin or had previously been married. She told him that she was a virgin. So he had sexual intercourse with her and took her as one of his concubines, and in due course she became pregnant by him. Now when she became assured of her own safety in regard to him, because of her firm position in his affections through her pregnancy, she informed him that she was really from the progeny of Ashak. However, he recoiled from her, and summoned Harjand, son of Sām,<sup>85</sup> a man of great seniority and advanced years, and told him that she was from Ashak's progeny. Ardashīr went on to say, "It is incumbent upon us to keep faith with our forefather Sāsān's vow, even though she has become dear to my own heart, as you well know. So take her away and kill her!" The old man went off to kill her. She told him that she was pregnant. He took her along to the midwives, who confirmed that she was indeed pregnant. He consigned her to an underground cellar. Then he cut off his own

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84. Whether the Arsacid royal family, the line of Ardawān, was so thoroughly exterminated is hard to determine, but the Arsacid dynasty in Armenia, which had come to power when Tiridates I was crowned king of Armenia by the Roman emperor Nero in A.D. 66, continued to rule there till the early fifth century; the Armenian Arsacids considered themselves the holders of legitimate Iranian rule and, not surprisingly, their relations with the upstart Sāsānid supplanters of their kinsmen in Persia always remained strongly hostile. See D. M. Lang, "Iran, Armenia and Georgia," 517–18. Whatever the fate of members of the Arsacid royal house in Persia, many of the great Parthian "feudal" families seem gradually to have made their peace with the Sāsānids and to have entered their service; such was certainly the case with the Kāren, Sūrēn, and Mihrān families. See Nöldeke, trans. 26 n. 1; Frye, "The Political History of Iran under the Sasanians," 119–20.

85. Perhaps one should read here *Abarsām* (on *Abarsām*, see n. 29 above) for the text's *H.r.j.n.d ibn Sām*, as in *al-Dīnawarī, al-Akhhbār al-ṭiwāl*, 43–45, where he is described as Ardashīr's *wazīr*. This last historian in fact gives the story of *Abarsām*'s self-mutilation and the birth of Shāpūr I, the only one of the other Arabic sources on the Sāsānids to note it. See also Nöldeke, trans. 27 n. 2.

genitalia and placed them in a box, which he sealed. He returned to the king. The king said to him, "What have you done?" He replied, "I have consigned her to the bowels of the earth," and he handed over the box to the king, asking him to seal it with his personal seal and to place it in one of his treasuries; this Ardashīr did.

The girl remained with the old man until she gave birth to a baby boy. The old man did not want to give the king's son a name inferior to his status, nor did he wish to tell him about his real rank when he was still a child, but only when he was grown up and had completed his education and acquisition of good manners (*adab*). The old man had actually determined the astral conjunctions at the moment of the boy's birth and had worked out for him his horoscope; he realized from this that the child would eventually become a ruler, hence he gave him a name which would be both a description and also a true personal name, in that he would subsequently have a choice regarding it when he knew all about it (i.e. whether it was intended for him as a descriptive or a personal name). Hence he called him Shāh Būr, which means in Arabic "king's son" (*ibn al-malik*), and he was the first person to be thus named.<sup>86</sup> This was Ardashīr's son, Sābūr al-Junūd. Other authorities say, on the other hand, that he called him Ashah Būr, meaning in Arabic "the son of Ashak," from whose progeny the boy's mother stemmed.

Ardashīr passed several years in a childless state. Then the faithful old man, who had the child in his care, went into the king's presence and found him deep in sorrow. He asked him, "What is making you so sad, O King?" Ardashīr replied, "Why should I not be sad? Although by means of my sword I have seized everything between the East and the West, although I have conquered everything I wanted and although I have complete control over the kingdom, the kingdom of my forefathers, yet I shall die in the end, leaving no offspring behind to succeed to my authority, and there will be no enduring trace of me within the royal power during the

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86. Conveniently forgetting here Ardashīr's prematurely deceased brother Shābūr, see al-Ṭabarī, I, 816, p. 8, above, and taking no account of the fact that the future Shābūr (I) must have been born well before the defeat of Ardawān and the overthrow of the Arsacids. See Nöldeke, trans. 28 n. 1.

[825] future." But the old man said to him, "May God grant you joy, O King, and give you long life! I have in my care a fine, noble son of yours. Call for the box which I entrusted to you, and which you personally sealed, to be brought in, and I will show you the decisive proof of that." Ardashīr sent for the box. He examined the impression of his seal, and then he broke it and opened the box. In it he found the old man's genitalia and a document on which it was written: "When we ascertained that the daughter of Ashak had indeed conceived by the King of Kings Ardashīr, at the same time when the latter ordered us to kill her, she being pregnant, we did not consider it lawful to destroy the noble seed of the king. So we consigned her to the bowels of the earth, as our monarch had commanded us, and we exonerated ourselves in his sight from any guilt (i.e., by the self-mutilation), lest any calumniator find any means to forge lies against her. We made it our concern to protect the rightfully sown seed until it should be united once again with its own kindred. This took place at so-and-so time in so-and-so year."

On hearing all this, Ardashīr ordered the old man to place the boy among a group of a hundred youths—according to others, among a thousand youths—all of exactly the same appearance and height as the boy, and then to parade them before him in a body, avoiding any distinguishing features of clothing, height, and demeanor. The old man did this. When Ardashīr looked at this group of youths, his instinct immediately recognized his own son out of all the throng, and he found him pleasing, without there having been made any indication of him or muttered hint concerning him to the king. Then he gave commands for them all to be brought into the antechamber of the royal palace (*ḥujrat al-aywān*). They were provided with polo sticks, and they set to playing with a ball, the king meanwhile being seated on his throne inside the palace proper (*al-aywān*).<sup>87</sup> The ball flew into the palace chamber where the king was. All the youths held back from entering the place chamber, but Sābūr pushed his way forward from their midst and went in. Ardashīr now deduced from Sābūr's entry into his pres-

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87. Historical romance and legend does in fact describe Ardashīr as the first prominent enthusiast for polo, as, e.g., in the *Kārnāmag-i Ardashīr-i Pābagān*. See *EP*<sup>2</sup>, s.v. Čawgān (H. Massé).

ence, his thrusting forward and his temerity—all this being in addition to the feeling in his heart for acceptance of Sābūr, which he had felt on the first occasion when he saw him, and his tender sentiments toward him, quite lacking in regard to all his comrades—that he was indeed his own son. Ardashīr said to him in Persian, "What is your name?" The youth answered, "Shāh Būr." Ardashīr exclaimed, "The king's son (*shāh būr!*)" When he was completely certain that the youth really was his son, he publicly acknowledged him and hailed him as heir after himself.<sup>88</sup>

The Persian people had already experienced, before the royal power passed to Sābūr and during his father's lifetime, Sābūr's intelligence, virtue, and knowledge, combined with ardor in battle, eloquence, and wit, tenderness toward the subjects, and mildness. When the crown was [eventually] placed on his head (i.e., after his father's death), he gathered together before him all the great men of state. They then sent up prayers for his long life and went on at length in mentioning his father and the latter's excellent characteristics. Sābūr informed them that they could not have invoked his benevolence by any means more acceptable to him than by what they had said about his father, and he gave them promises of beneficence. Then he gave orders that the riches in the treasuries were to be lavished on the people (*al-nās*, i.e., the landed and military classes who were the supports of the state), sharing them out among those whom he deemed worthy of receiving them—the prominent persons, the troops, and those [of them] who had fallen into indigence (*ahl al-ḥājah*). He wrote to his governors in the provinces and outlying districts that they were to do likewise with the wealth under their control.<sup>89</sup> In this way, he distributed his bounty and beneficence to those near and far, noble and humble, the aristocracy and the generality of people, so that all shared in this benevolence and their standard of daily life was thereby raised. Then he chose governors over the populace, and

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88. As Nöldeke notes, trans. 30 n. 2, this tale of Ardashīr's recognition of Shābūr as his son and his appointing him his heir, is pure fantasy.

89. Nöldeke, trans. 31 n. 1, regarded it as highly unlikely that a powerful monarch like Shābūr, who intended vigorously to prosecute the wars with the Romans, would thus dissipate the wealth in his treasuries, especially as Persian rulers seem, even at the best of times, always to have been short of money for their military ventures.



kept a keen watch over them and over the subjects in general. Thus the meritoriousness of his good conduct became clear, his fame spread widely and he stood out above all other monarchs.

It is said that, in the eleventh year of his reign, he marched against the city of Nišībīn,<sup>90</sup> where there was a garrison of Roman troops, and besieged them for a considerable period of time.<sup>91</sup> But then he received news of happenings in one of the regions of Khurāsān that required his personal attention, so he headed for there, restored order and returned to Nišībīn. They allege that the city's wall split asunder of its own accord, and a breach was opened up for Sābūr, by means of which he was able to gain entry. He then killed the [defending] soldiers, enslaved the women and children, and seized an immense sum of wealth stored up there for Qayṣar (i.e., the Roman emperor). Then he traversed the territory to Syria and Roman Anatolia (*bilād al-Rūm*), and conquered a

90. Nišībīn or Našībīn, Greek Nasibis, was one of the most important towns and fortresses of Upper Mesopotamia, situated on the Hiras river, an affluent of the Khābūr, in the plain to the south of the mountainous region of Tūr 'Abdīn. In Roman and Byzantine times it came within the district of Bēth 'Arabhāyē, and in early Islamic times, in that of Diyār Rabī'ah within the province of al-Jazīrah. Known as a settlement in Assyrian times, possession of it oscillated between the Parthians and the Romans, until in A.D. 195 Septimius Severus came to Nišībīn and made it the capital of the new province of *Septimia Nesibi Colonia*. Control of the region was disputed by the Romans and Persians more or less continuously in the third and fourth centuries, with this campaign of Shābūr I's falling in the 250s (see n. 91 below); but after the peace agreement of 363 between Jovian and Shābūr II, Nišībīn and Sinjār or Singara passed under Persian control more or less permanently. This period of Persian domination enabled Nišībīn to become an especially flourishing center of the (to the Byzantines, heretical) Nestorian Church; under this last, it functioned as the metropolitan seat for the region of Bēth 'Arabhāyē. See Yāqūt, *Buldān*, V, 288–89; *PW*, XVII/1, s.v. Nisibis (J. Sturm); Le Strange, *Lands*, 94–95; Labourt, *Le Christianisme dans l'empire perse*, 83; Christensen, *Sassanides*, 218–24; Canard, *Histoire de la dynastie des H'amdanides*, 100–101; Frye, "The Political History of Iran under the Sasanians," 125, 137–38; *EP*<sup>2</sup>, s.v. Nišībīn (E. Honigmann-C. E. Bosworth).

91. No clear picture emerges from the scattered details in the sources about Shābūr's wars against Rome, with a resultant uncertainty about chronology. The eleventh year of Shābūr's reign would be 251, and it is possible that Nišībīn was attacked (and for at least the second time) in 251 or 252; but Shābūr's expeditions into Asia Minor and Syria must have extended beyond that date, and the emperor Valerian did not in any case begin his reign until 253. See Nöldeke, trans. 31 n. 3; E. Stein, *Histoire du Bas-Empire. I. De l'état romain à l'état byzantin (284–476)*, 6; and the discussion of the dating of the Persian capture of Nišībīn at this time in E. Kettenhofen, *Die römisch-persischen Kriege des 3. Jahrhunderts nach Chr. nach der Inschrift Šāpuhrs I. an der Ka'be-ye Zartošt (ŠKZ)*, 44–46.

large number of the cities there. Among these, he is said to have conquered Qālūqiyyah (Cilicia) and Qadhūqiyyah (Cappadocia) and to have besieged one of the [Roman] kings who happened to be in Anatolia called al-Riyānūs (Valerian[us])<sup>92</sup> in the city of Antioch. He took him prisoner, and transported him, and a large number of the troops who were with him, and settled them at Junday Sābūr (Jundīshāpūr).<sup>93</sup> It is mentioned that he compelled al-

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92. That is, the emperor Valerian, Publius Licinius Valerianus (r. 253–60). See on him *Der kleine Pauly*, V, s.v. Valerianus (G. Winckler). W. B. Henning observed that it was strange that the tradition that came down to al-Ṭabarī from the *Xwadāy-nāmag*, with the name as al-Riyānūs, rendered the Roman emperor's name more correctly than did Shābūr's victory inscription (see next note), with its wry *rnswy*. See his "The Great Inscription of Shāpūr I," 834.

93. According to the trilingual (in Parthian, Middle Persian, and Greek) inscription erected by Shābūr on the Ka'bah-yi Zardusht at Naqsh-i Rostam in 260 or shortly afterward, the Persian emperor captured Valerian with his own hands. The question of the exact dating of the capture of the Roman emperor—for which August or September 260 would seem to be *termini post quem*—is discussed by Kettenhofen, *Die römisch-persischen Kriege des 3. Jahrhunderts nach Chr.*, 97–99.

The discovery of the Ka'ba-yi Zardusht trilinguis (ŠKZ) by the Chicago University Persepolis Expedition of 1936–39 marked a great step forward in our knowledge of the campaigns of Shābūr against the Romans and of the history of the early Sāsānid rulers in general. Wiesehöfer has emphasized that inscriptions like this one at Naqsh-i Rostam, the inscription of Narseh at Paikuli (see n. 141 below) and that of the High Priest Kerdēr at Naqsh-i Rajab, are important *inter alia* for their information on the early Sāsānid court and its officials, and on the contemporary conception of royal power and its relationship to the divinity Ahura Mazdā, and, in part, as *res gestae*, the exploits of the Sāsānids, thus supplying a corrective to non-Persian sources such as the Byzantine ones; see his *Ancient Persia*, pp. 154–55.

The information of the three texts making up the complete Ka'ba-yi Zardusht inscription, as edited by Martin Sprengling (the two Middle Iranian texts) and André Maricq (the Greek text) has been compared and integrated with the information of the Greek and Latin historical texts, of coin legends, etc., by Kettenhofen in his monograph mentioned in the previous paragraph, meant to accompany his TAVO map (BV 11) *Vorderer Orient. Römer und Sāsāniden in der Zeit der Reichskrise (224–284 n. Chr.)* and its *Sonderkarte, Die Kriege Šāpuhrs I. mit Rom nach ŠKZ*; the monograph itself includes three maps illustrating Roman and Sāsānid campaigns in Asia Minor, Syria, and Mesopotamia. A new *Gesamtedition* of the three ŠKZ texts is being prepared by Dr. Philip Huysse and will appear as part of the *Corpus Inscriptionum Iranicarum* under the title *Die dreisprachige Inschrift Šābuhr's I. an der Ka'ba-i Zardušt (ŠKZ)*.

Shābūr's victories over the Romans were also immortalised for him in such rock reliefs as at Naqsh-i Rostam and in those of the Bīshāpūr gorge. See Henning, "The Great Inscription of Shāpūr I," 833–35; Christensen, *Sassanides*, 221–24; Herrmann, *The Iranian Revival*, 92–94, 96–98; Gignoux, "Middle Persian Inscrip-

Riyānūs to set to work building a dam (*shādurwān*) at Tustar, whose breadth was to be one thousand cubits.<sup>94</sup> The Roman [emperor] constructed this with the aid of a group of men whom he had caused to be brought from Anatolia, and he held Sābūr to a promise to free him once the dam was completed. It is said that

tions," 1207-1209.

The Sāsānid foundation, or rather re-foundation, in al-Ahwāz or Khūzistān of Gondēshabūr is given by al-Ṭabarī, I, 830-31, pp. 38-39 below, the original name in New Persian of Bih-az-Andiyū Sābūr "Better than Antioch (has) Sābūr (built this)," repeated thus in Ḥamzah al-ʿIṣfahānī, 45 (the MP equivalent would be \*Weh az Andiyōg Shāhbuhūr [kird]). Nöldeke, trans. 42 n. 2, was skeptical, and thought that Wandēw-Sābūr "Acquired by Shābūr" was the true form, eventually yielding Gondēshābūr and the Arabised form Jundaysābūr, but Mr F. C. de Blois tells me that he is in turn skeptical of Nöldeke's theory, since "Acquired by Sābūr" would be \*Windād Shāhbuhūr. D. T. Potts has recently discussed the etymology of the place's name in the light of two Greek inscriptions found at Susa which mention a local river Gondēisos, and has suggested that the name of this river derives from a military center and fortress there of the Parthian period, \*Gond-dēz, subsequently called \*Gond-dēz-i Shābūr when the Sāsānid ruler restored and refounded it. The alternative name \*Weh az Andiyōg Shāhbuhūr would have been applied to it when the people from Antioch were planted there. See his "Gundēšapur and the *Gondēisos*," 323-35. Al-Dīnawarī, *al-Akhhbār al-tiwāl*, 46, has the cryptic information that, in *al-khūziyyah* (i.e., the local language of Khūzistān), the town was called N.y.lāt, now rendered by the people there as N.y.lāb. Under the Sāsānids, Gondēshābūr flourished as the main urban center of Khūzistān. In early Islamic times it was famed as a center of medical knowledge and practice, skills that must have been brought thither in Sāsānid times by Nestorian Christians. See Nöldeke, trans. 41 n. 2; Yāqūt, *Buldān*, II, 170-71; Le Strange, *Lands*, 238; Schwarz, *Iran im Mittelalter*, 346-50; Barthold, *Historical Geography*, 187-88; Frye, "The Political History of Iran under the Sasanians," 126; *El*<sup>2</sup> s.v. Gondēshāpūr (Cl. Huart and Aydın Sayılı). On the improbability of Indian influence there, cf. n. 180 below.

Al-Ṭabarī does not actually mention the building of what was Shābūr's most favored urban foundation, that of Bishāpūr to the west of ʿIṣṭakhr, probably completed by him ca. 266. As at Gondēshābūr and at Shushtar (see on this last n. 94 below), Roman prisoners of war are said to have been settled there. Many buildings of the Sāsānid and Islamic period remain on the site, although by the tenth century the town was in ruins, eclipsed by the rising town of Kāzarūn. Also notable are the nearby reliefs carved at Shābūr's behest on the bank of the Tang-i Chawgān river. See Herrmann, *The Iranian Revival*, 101-104; *El*, s.v. Bišāpūr (E. J. Keall).

94. This dam, popularly known as the Band-i Qayṣar "Emperor's dam" from the alleged, but probably legendary, role of the captured Roman ruler in building it (although Roman prisoners of war were very probably involved in this work, as also at the monuments of Bishābūr; cf. Christensen, *Sassanides*, 220-21, and Nina Garsoīan, "Byzantium and the Sasanians," 581), came to be regarded as one of the wonders of the world by the mediaeval Muslims. It was in reality only one of many *shādurwāns* on the Dujayl or Kārūn, barriers that divided up the river's waters into irrigation channels running off from the main flow and that at the same time relieved the pressure of waters in winter and spring from the snows that fell in the

Sābūr took from al-Riyānūs a great financial indemnity, and set him free after cutting off his nose; others, however, say that Sābūr killed him.<sup>95</sup>

Now there was, facing Takrīt<sup>96</sup> and between the Tigris and Euphrates, a city called al-Ḥaḍr (Hatra),<sup>97</sup> and there was there a man

Zagros Mountains, where the Kārūn and its affluents rise.

Tustar (Persian, Shus(h)tar) was in early Islamic times the next most important town of Khūzistān after the provincial capital al-Ahwāz, and was situated on the Kārūn, but its pre-Islamic history goes back at least to the time of Pliny (first century A.D.), who mentions it. See Yāqūt, *Buldān*, II, 29–31; Le Strange, *Lands*; Markwart-Messina, *Catalogue of the Provincial Capitals*, 97; *EP*<sup>2</sup>, s.v. *Shushtar* (J. H. Kramers-C. E. Bosworth).

The term *shādurwān* has many meanings in early Islamic usage, often in connection with irrigation devices, but the basic meaning seems to be that of a raised platform or dais, this being then extended to masonry walls and revetments for storing and controlling waters and their flow. E. Benveniste thought that this obviously Iranian word was probably Parthian in origin. See his "Le sens du mot persan *shādurwān*," 31–37; Bosworth, "Some Remarks on the Terminology of Irrigation Practices and Hydraulic Constructions in the Eastern Arab and Iranian Worlds in the Third–Fifth Centuries A.H.," 83–84.

95. Nothing definite is known of Valerian's death. The Byzantine historian Agathias (who derived much of his information on the Sāsānids from the interpreter Sergius, who had been given access to the Persian royal annals while in the Persian capital on diplomatic work) says that Shābūr, a notoriously bloodthirsty monarch, had Valerian flayed alive, but this is unconfirmed elsewhere. See Averil Cameron, "Agathias on the Sassanians," 120–21, 138.

96. Reading *bi-hiyāl* as in Nöldeke's text, whereas he had in his translation, 33, followed a defective reading, *bi-jibāl*. There are, of course, no hills, let alone mountains, near Takrīt, lying as this town does on the Tigris; see *EP*<sup>2</sup>, s.v. Takrīt (J. H. Kramers and C. E. Bosworth).

97. The city of Hatra, Greek Atrai, lay to the southwest of Mawṣil on the Nahr Tharthar, an affluent of the Tigris, but even by early Islamic times the exact site was not known to the Arabs. In the Parthian period, Hatra was the center of one of a chain of Arab principalities along the fringes of the Syrian Desert through Comagene and Edessa to Emesa and Palmyra, but was clearly under considerable Iranian political and cultural influence. Various of its princes bore Iranian names, such as the typically Parthian one of Sanatrūk (which may be behind the Arabic name of the ruler given here, al-Sāṭirūn; for suggestions on the etymology of the name Sanatrūk itself, see Eilers, "Iran and Mesopotamia," 491 n. 3) and that of Vologases, and Hatra was usually an ally of the Arsacids against Roman pressure. With the fall of its Parthian protectors, Hatra declined in power. It passed temporarily under Roman control, bringing down on it the wrath of Shāpūr I in the middle years of the third century, as related here (other, less likely, dates for this are given in Arabic sources other than al-Ṭabarī).

Hatra became famed in early Islamic lore as a symbol of the transience of earthly power, since it seemed by then to have disappeared from the face of the earth, and the story of the city's betrayal by al-Ḍayzan's daughter al-Naḍīrah struck the popular imagination. It must have entered into Arabic literature either through Ibn

from the Jarāmiqah<sup>98</sup> called al-Sāṭirūn. He is the person about whom Abu Du'ād al-Iyādī speaks:<sup>99</sup>

I see how death has come down from al-Ḥaḍr upon the leader of  
its people, al-Sāṭirūn.

The Arabs, however, called him al-Ḍayzan.<sup>100</sup> It is said that he was a man from the people of Bā Jarmā, but according to Hishām b. [Muḥammad] al-Kalbī, he was an Arab from Quḍā'ah, his genealogy being al-Ḍayzan b. Mu'āwiyah b. al-'Abīd b. al-Ajrām b. 'Amr b. al-Nakha' b. Saliḥ b. Ḥulwān b. 'Imrān b. al-Ḥāfi b. Quḍā'ah, that his mother Jayhalah was from the tribe of Tazīd b. Ḥulwān

al-Muqaffa's Arabic version of the *Khwadāy-nāmag* or through the traditions transmitted by Ibn al-Kalbī, and here utilized by al-Ṭabarī, based inter alia on references in the verses of the poets of al-Ḥīrah, Abū Du'ād al-Iyādī, and 'Adī b. Zayd. As Yarshater has noted, "Iranian National History," 400-401, intriguing anecdotes and touches like this may have evolved to provide an element of entertainment within the narratives of dynastic and political history. See Nöldeke, trans. 33 n. 4; *PW*, VII/2, s.v. Hatra (M. Streck); Christensen, *Sassanides*, 218-19; F. Altheim and R. Stiehl, *Die Araber in der alten Welt*, II, 191ff., IV, 263ff.; Eilers, "Iran and Mesopotamia," 490-91; Bosworth, "Iran and the Arabs before Islam," 594-96; *EP*<sup>2</sup>, s.v. al-Ḥaḍr (Ch. Pellat).

98. That is, from the inhabitants of Bā Jarmā, Syriac Bē(th) Garmē, the region to the east of the middle Tigris and of Takrīt, lying south of the Lesser Zāb and the region of Adiabene; it extended to Shahrazūr and the fringes of the Zagros range. As an important Christian region in Sāsānid times, it was the seat of a metropolitan bishopric. Arabic Jarāmiqah, sing. Jarmaqi, derives from Syriac Garmaqāyē. See Nöldeke, trans. 35 n. 1; Marquart, *Ērānšahr*, 21-22; Fiey, *Assyrie chrétienne*, III, 111-145; Morony, *Iraq after the Muslim Conquest*, 335-36.

99. Pre-Islamic poet of al-Ḥīrah, flourished in the middle years of the sixth century under the Lakhmid al-Mundhir III; he was classed among the *muqillūn*, those poets who only composed a small amount of verse. This verse is given in G. E. von Grunbeaum, "Abū Du'ād al-Iyādī. Collection of Fragments," as one of a fragment of thirteen verses. See on the poet, Abū al-Faraj al-Iṣfahānī, *Kitāb al-Aghānī*<sup>3</sup>, XVI, 373-81; Rothstein, *Lahmidien*, 28, 133; Blachère, *Histoire de la littérature arabe*, II, 294-95; *EP*<sup>2</sup>, s.v. Abū Du'ād al-Iyādī (Ch. Pellat).

100. Nöldeke, trans. 35 n. 1, nevertheless thought that al-Sāṭirūn and Ḍayzan were two separate persons: the first name a non-Arabic one (see n. 97 above), and the second one Arabic, and averred that the only sure name of a king of Hatra that we know is the Barsēmiās/Barsēmios, a clearly Aramiac name, in Herodian, which he interpreted as Barsamyā but which von Gutschmid, "Bemerkungen zu Tabari's Sasanidengeschichte," 735, preferred to read as Barsēnios = Barsin. Nöldeke surmised that the Ḍayzan mentioned here might possibly have been the founder of the Ḍayzanābādh/Ṭayzanābādh of al-Balādhurī, *Futūḥ*, 284, and likewise connected with the Marj al-Ḍayāzin on the middle Euphrates near al-Raqqah of Yāqūt, *Buldān*, V, 101.

and that he was exclusively known by his mother's name.<sup>101</sup> Ibn al-Kalbī goes on to assert that he was the ruler over the land of al-Jazīrah and that he had with him [as his supporters] innumerable numbers of the Banū 'Abīd b. al-Ajrām and [other] tribes of Quḍā'ah. [It is said that] his kingdom stretched as far as Syria.

When Sābūr, son of Ardashīr, was away in the region of Khurāsān, al-Ḍayzan made an incursion into the Sawād. When Sābūr got back from his period of absence, he was told what al-Ḍayzan had been up to. 'Amr b. Ḍillah (?) b. al-Judayy b. al-Dahā' b. Jusham b. Ḥulwān b. 'Imrān b. al-Ḥāfi b. Qudā'ah composed these verses in connection with al-Ḍayzan's actions:<sup>102</sup>

[828]

We encountered them [in battle] with a host of the [Banū] 'Ilāf  
 and with [a troop of] strong-hoofed stallions.  
 The Persians received at our hands exemplary punishment, and  
 we massacred the Hērbadhs of Shahrazūr.<sup>103</sup>  
 We advanced toward the Persians (*al-A'ājim*) from afar with a  
 host from al-Jazīrah as in a blaze of fire.<sup>104</sup>

When Sābūr was informed about what al-Ḍayzan had done, he headed towards him until he halted before al-Ḍayzan's fortress, while al-Ḍayzan entrenched himself within it. Ibn al-Kalbī asserts that Sābūr was involved in the siege of the fortress for four years, completely unable to destroy it or to get his hands on al-Ḍayzan.

101. That is, as Ibn Jayhalah, cf. al-Balādhurī, *Futūḥ*, 284.

102. Nöldeke, trans. 39 n. 1, was skeptical about the contemporaneity of this poet with the events alluded to and thought that the [later] author must have had al-A'shā's verses in mind. In Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>3</sup>, II, 142-43, giving the story of Sābūr's destruction of al-Ḥaḍr, the poet's name appears as 'Amr b. Alah.

103. The place-name Shahrazūr in western Kurdistān, though admittedly a place with a pre-Islamic history (see *EP*<sup>2</sup>, s.v. Shahrazūr (V. Minorsky-C. E. Bosworth), gives little sense in this context. Nöldeke, trans. 36 n. 3, endeavoring to improve the reading of these verses from parallel sources, opined that the reading *Bahrasīr* - Bih Ardashīr (cf. al-Ṭabarī, I, 820, p. 16 above) of Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>1</sup>, II, 37 (wrongly corrected to *Shahrazūr* in *Aghānī*<sup>3</sup> ?) was certainly the right one. It undoubtedly makes more sense that Zoroastrian priests, hērbeds, should be encountered in the central Mesopotamian plain than in the mountains of Kurdistān.

104. Following Nöldeke's suggestion, loc. cit., that one should follow the reading of Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>1</sup>, *mil-jazīrati*, for the text's *ka-al-jazīrati*.

However, al-A'shā Maymūn b. Qays<sup>105</sup> has mentioned in his poem that Sābūr was engaged in the siege of the fortress for only two years, saying:

Have you not seen al-Ḥaḍr, whose people always enjoyed ease of life? But does anyone favored with ease of life enjoy it for ever?

Shāhabūr of the Hosts (al-Junūd) remained before it for two years, wielding his battle axes there.

But his (i.e., the ruler of al-Ḥīrah's) Lord vouchsafed him no access of strength, and pivots such as his could not remain firm (i.e., the ease of life he had enjoyed could not endure forever).

[829] When his Lord saw what he was doing, He swept down on him with a violent blow, without him being able to retaliate.

He had called upon his partisans, "Come forward to your affair, which has been already severed,

And die noble deaths through your own swords; I see that the real warrior takes on for himself the burden of death with equanimity."<sup>106</sup>

One of al-Ḍayzan's daughters, called al-Naḍīrah,<sup>107</sup> was menstruous, hence was segregated in the outer suburb of the city, as was the custom of the time with women during their menstrual periods. According to what was generally acknowledged, she was one of the most beautiful women of her time, just as Sābūr was one of the most handsome men of his age. The two of them saw each other, and fell madly in love with each other. She sent a

105. Here giving the full name of the poet (on whom see n. 106, below) in order to distinguish him from several Arabic poets with the cognomen *al-A'shā* "the night-blind one."

106. R. Geyer, *Gedichte von Abū Ḥaṣīr Maimūn ibn Qays al-A'shā*, Arabic text 33–34, poem no. 4, vv. 60–65. For this *mukhaḍram* poet (d. after 3/625) who frequented the Lakhmid court at al-Ḥīrah in the early part of his life, see Rothstein, *Lahmidien*, 21; Siddīqi, *Studien über die persische Fremdwörter*, 77; Blachère, *Histoire de la littérature arabe*, II, 321–25; *EP*<sup>2</sup>, s.v. al-A'shā, Maymūn b. Qays [W. Caskel]. Nöldeke, trans. 37 n. 4, pointed out that there is no mention—at least, in this fragment of what was probably a longer poem—of Ḍayzan and his daughter al-Naḍīrah's treachery, the verses merely stress the well-worn theme of the fragility and perishability of human endeavor.

107. In al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 48, she is called Mulaykah.

message to him with the words, "What would you give me if I were to indicate to you how you could bring about the destruction of the wall of this city and how you could kill my father?" He replied, "Whatever you might choose; and I would elevate you above all my other wives and would make you my closest spouse to their exclusion." She instructed him, "Take a silver-colored collar dove, and write on its leg with the menstrual blood of a blue-eyed virgin girl. Then release it, and it will alight on the city wall, and the latter will crumble away."<sup>108</sup> That was in fact the talisman of the city, and only this could destroy the city. So Sābūr did that and got ready to attack them (sc., the city's defenders). The king of al-Ḥaḍr's daughter went on to say, "I will give the guards wine, and when they are laid out on the ground [by its stupefying effects], kill them and enter the city."<sup>109</sup> He did all this; the city's defenses collapsed totally, and he took it by storm and killed al-Ḍayzan on that very day. The splinter groups from Quḍā'ah, who were with al-Ḍayzan, were annihilated, so that no part of them known as such remains to this present day. Some clans of the Banū Ḥulwān<sup>110</sup> were likewise completely destroyed. 'Amr b. Ḥillah, who was with al-Ḍayzan, has said:

Have you not been filled with grief as the reports come in about  
 what has happened to the leading men of the Banū 'Abid,  
 And of the slaying of [al-] Ḍayzan and his brothers, and of the

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108. In many premodern societies, menstrual blood has been regarded as possessing special power, in certain cases for healing but more often for wreaking violent and harmful effects; whence the taboos that usually surround menstruous women and the menstrual flow and which often cause them to be separated from the rest of the community at times of menstruation (as was the case here with al-Naḍīrah, see above). Pliny the Elder wrote in his *Natural History*, Book XXVIII. XXIII. 77, "Over and above all this there is no limit to women's power . . . Wild indeed are the stories told of mysterious and awful power of the menstruous discharge itself . . ." (tr. Rackham, VII, 55).

Here, the violent effect, the shock to the order of nature that spontaneously brings about the destruction of the walls of Hatra, arises from the passage of unclean menstrual blood from the pure virgin to the pure dove and its consequent supernatural effect.

109. Nöldeke, trans. 38 n. 4, notes that this additional explanation for the fall of the city is superfluous, given the magical effect of the dove smeared with menstrual blood, and must be an attempt at a rationalizing explanation of events.

110. Ḥulwān was the great-grandson of Quḍā'ah; see Ibn al-Kalbi-Caskel-Strenziok, *Jamharat al-nasab*, I, Table 279, II, 331.



men of Tazīd, who were wont to ride forth in the cavalry squadrons?

Sābūr of the Hosts attacked them with war elephants, richly caparisoned, and with his heroic warriors,  
And he destroyed the stone blocks of the fortress's columns,  
whose foundation stones were like iron blocks.<sup>111</sup>

Sābūr then reduced the city to ruins and carried off al-Ḍayzan's daughter al-Naḍīrah, marrying her at 'Ayn al-Tamr.<sup>112</sup> It is mentioned that she complained volubly the whole night through about the hardness of her bed, even though this was of finely woven silk cloth stuffed with raw silk. A search was made for what was distressing her, and behold, it turned out to be a myrtle leaf stuck in one of the folds of the skin of her abdomen which was irritating her.<sup>113</sup> [Ibn al-Kalbī] goes on to relate: Because her skin was so fine, one could see right to her marrow. Sābūr thereupon said to her, "Tell me then, what did your father give you to eat?" She replied, "Cream, marrow from bones and honey from virgin bees, together with the choicest wine!" He exclaimed, "By your father! I have known you more recently than your father, and am dearer to you than him, who gave you such food as you mention!" (i.e., you should not therefore start complaining). So he commanded a man to mount a wild, unbroken horse; he tied her locks of hair to its tail, and then made the horse gallop off<sup>114</sup> until it tore her into pieces.

[830]

111. These verses are also given in Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>3</sup>, II, 142-43; al-Mas'ūdī, *Murūj*, IV, 85 = § 1411 (three verses); Yāqūt, *Buldān*, II, 268-69. Nöldeke, trans. 39 n. 1, doubted very much the authenticity of Arabic poetry from so early a period.

112. This was a *ṭassūj* or administrative subdistrict to the west of the Euphrates and south of Hit on the Syrian Desert fringes; the settlement of 'Ayn al-Tamr "the spring of the date palm" still had a small fortress in al-Maḡdīsī's time (fourth/tenth century), but the site has now disappeared. See Yāqūt, *Buldān*, IV, 176-77; Le Strange, *Lands*, 65, 81; Musil, *The Middle Euphrates*, 41-42, 285, 289-90, 295-311. For the term *ṭassūj*, see *EP*, s.v. *Ṭassūdj* (M. J. Morony), and the remarks on Sāsānid administrative geography in n. 117 below.

113. This folkloric motif turns up in Hans Christian Andersen's story of "The Princess and the Pea." Cf. Christensen, "La princesse sur la feuille de myrte et la princesse sur la poie," 241-57.

114. For this verb *istarkaḍa*, see *Glossarium*, p. CCLXIX. Nöldeke, trans. 39 n. 4, compared al-Naḍīrah's fate with the (apparently authentic) account of the death of the Merovingian queen Brunhilda, dragged to her death at a horse's tail in 613, as narrated by the chronicler Fredegar.

A poet has said in connection with this:

The fortress has become desolate on account of [al-] Naḍīrah,  
 likewise al-Mirbā' because of her and the banks of the  
 Tharthār.<sup>115</sup>

The poets have written extensively about this [al-] Ḍayzan in  
 their verse. 'Adī b. Zayd alludes to him in his words:<sup>116</sup>

And [where is now] the ruler (*akhū*) of al-Ḥaḍr, who once built  
 it and for whom the taxation of the Tigris and the Khābūr  
 was collected?

He raised it up firmly with marble and covered it over with  
 plaster, yet the birds have found nesting places in its  
 pinnacles.

The blows of ill fortune did not frighten him, yet kingly power  
 ebbed away from him and his portals are now forsaken.

It is also said that Sābūr built in Maysān [the town of] Shādh  
 Sābūr, which is called in Aramaic (*al-nabaṭiyyah*) Dīmā.<sup>117</sup>

115. This verse is in fact by 'Adī b. Zayd (see n. 116 below) and is included in the collected *Dīwān 'Adī b. Zayd al-'Ibādī*, ed. al-Mu'ayyidī, 135, fragment no. 67. The Tharthār river flowed past al-Ḥaḍr, and al-Mirbā' ("place with vegetation from spring rains") must have been a local site.

116. 'Adī b. Zayd, of Tamīmī origin, flourished in the second half of the sixth century as the most notable exponent of the Ḥīran school of poets, in whom the indigenous Arabic, the Christian culture of the 'Ibād and, probably, Persian culture, all combined. Hence 'Adī's poetry mingles Christian and Biblical themes with the ascetic and fatalist strains of desert life. His administrative career was spent in the Persian capital Ctesiphon as a translator and advisor on Arab affairs for Khusraw Abarwēz and, after 580, at al-Ḥīrah with al-Nu'mān III, till the jealousies and intrigues of his enemies brought about his death ca. 600, as detailed by al-Ṭabarī, I, 1016–24, pp. 339–51 below. His complete *Dīwān* has not survived and only scattered verses are known. See Rothstein, *Laḥmīden*, 109–11; Siddīqī, *Studien über die persische Fremdwörter*, 76–77; Blachère, *Histoire de la littérature arabe*, II, 300–301; *EP*, s.v. 'Adī b. Zayd (F. Gabrieli).

These famous verses by 'Adī on the lost glories of al-Ḥaḍr are from the long poem in the *Dīwān*, ed. al-Mu'ayyidī, 88, fragment no. 16.

117. Shādh Sābūr was both a town and a *kūrah* or administrative district in Maysān, the later Islamic administrative division of Arḍ Kaskar; see Morony, *Iraq after the Muslim Conquest*, 155. Marquart suggested that the place's full name might have been Ērān-shāh Shābuhr "the joy of the Iranians [is] Shābūr"; see Markwart-Messina, *Catalogue of the Provincial Capitals*, 102. The local *nabaṭī* name of Dīmā (in the Cairo text, the equally incomprehensible Rimā) remains obscure.

In the time of Sābūr, there arose Mānī the Zindīq.<sup>118</sup>

[831] It is said that, when Sābūr proceeded to the site of Junday Sābūr in order to lay down the city's foundations, he came across an aged man there called Bīl. So he asked him, "Is it permissible for a city to be constructed on this spot?" Bīl replied, "If I am vouchsafed the ability to write, despite my having reached an advanced age, then it is allowable for you to build a city in this place." Sābūr said to him, "Now indeed, the two things whose possibility you deny shall come to pass," and he thereupon sketched out the plan of the city and handed Bīl over to an instructor, with instructions to teach him to write and to be able to do arithmetical calculations within one year. The instructor shut himself up with Bīl, and began by shaving off Bīl's hair and his beard, lest these two things distract him, and then taught him thoroughly. After this, he brought Bīl to the king, Bīl having become effective and skillful in his studies. So Sābūr entrusted to him the job of calculating expenditure on the city and of setting up a proper accounting procedure for these payments. The king established the region (i.e., of the city and its surrounding rural areas) as a separate administrative division (*kūrah*)<sup>119</sup> and called it Bih-az-Andīw-i Sābūr, which

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118. On the rise of Mani, son of Pātik, and his movement at this time, see G. Widengren, "Manichaeism and Its Iranian Background," 965-90. The founder of the new faith actually stemmed, according to the much later Islamic historian al-Bīrūnī, from Kūthā to the south of Ctesiphon, on the canal connecting the Tigris and the Euphrates. In later Arabic lore, he appears as Mānī b. Fātik or Fāttik; see *EP*<sup>2</sup>, s.v. Mānī b. Fāttik (C. E. Bosworth).

The term *zindīq* came to be applied in Sāsānid times not only to the Manichaeans but also, subsequently, to the Mazdakites, while in early Islamic times its use was generalized to cover adherents of many heretical movements, especially within 'Abbāsīd Iraq, and as a general term of abuse for any religious deviants. See G. Vajda, "Les zindīqs en pays d'Islam au début de la période abbaside," 173-229; F. Gabrieli, "La «zandaqa» au I<sup>er</sup> siècle abbaside," 23-38; *EP*<sup>1</sup>, s.v. Zindīq [L. Massignon]. Some older authorities sought an etymology for *zindīq* in Aramaic *zaddīqā* "righteous [one]" or even Greek, but more recently the view has been put forward that it is an indigenous Iranian term, from MP *zandīk*, a person who has a deviant interpretation [*zand*] of the sacred text of the Avesta; hence early Armenian writers contrast the *zandīks* with the orthodox Zoroastrian Magians. The question remains open. See Sir Harold Bailey's *Note* appended to Widengren's chapter, in *CHI*, III/2, 907; *EP*<sup>2</sup>, s.v. Zindīq (F. C. de Blois), opting for an Aramaic origin.

119. For this term, probably stemming from Greek *chōra*, see *EP*<sup>2</sup>, s.v. Kūra [D. Sourdel]. It denoted something like an administrative division within a province (Fārs, for instance, having within it five *kūrahs* in Sāsānid and early Islamic times), the equivalent, according to Yāqūt, quoting Ḥamzah al-Isfahānī, of the Persian

means "the city of Sābūr's which is better than Antioch."<sup>120</sup> This is the city that is [now] called Junday Sābūr, which the people of al-Ahwāz, however, call Bil, from the name of the man who was overseer of the city's construction.<sup>121</sup>

When Sābūr was near to death, he appointed as ruler his son Hurmuz and laid upon him testamentary instructions, ordering him to base his conduct upon them. There are differing views on the length of Sābūr's reign. Some authorities put it at thirty years and fifteen days, others at thirty-one years, six months, and nineteen days.<sup>122</sup>

*istān/ustān*, and was itself divided up into component *ṭassūjs* and *rustāqs*. F. Lökkegaard, *Islamic Taxation in the Classic Period, with Special Reference to Circumstances in Iraq*, 164–67, assumed a descending order of *istān/kūrah*—*ṭassūj*—*rustāq*—*dih/qaryah* for the hierarchy of administrative subdivisions in Sāsānid Mesopotamia and Persia and these same lands during early Islamic times, but the texts are, however, inconsistent and at times contradictory. The *Sirat Anūsharwān* (see on this n. 624 below) posits, in the context of Khusraw Anūsharwān's administrative and fiscal reforms (see al-Ṭabarī, I, 960–63, pp. 255–62 below) a hierarchy of province or region (*balad*), administrative division (*kūrah*), rural district (*rustāq*), village (*qaryah*), down to individual taxpayer, and this corresponds largely to how al-Ṭabarī, I, 814, pp. 3–5 above, describes Ardashīr I's origins. See Nöldeke, trans. 446–47 Excursus 3; Wadie Juwaideh, *The Introductory Chapters of Yāqūt's Mu'jam al-Buldān*, 56, 57–58; Valeria F. Piacentini, "Madīna/shahr, qarya/dih, nāhiya/rustāq. The city as political-administrative institution: the continuity of a Sasanian model," 96–99; and above all, the detailed discussion of G. Widengren, "Recherches sur le féodalisme iranien," 122–48.

120. See nn. 58, 93 above.

121. This tale of Bil's educational achievement revolves round learning the notoriously difficult and ambiguous Pahlavi script.

122. Shābūr I's reign was 240–70, since he was crowned as coruler with his father Ardashīr I in 240, probably in April of that year, almost two years before the latter's death; see the discussion on the regnal dates of Shābūr by Kettenhofen in his *Die römisch-persischen Kriege des 3. Jahrhunderts nach Chr.*, 46–49. Shābūr's name appears on his coins as ŠHPWRHRY = Shābuhr. See on his coins Paruck, *Sāsānian Coins*, 52–53, 316–22, 416–19, Plates IV–V, Table II; Göbl, *Sasanian Numismatics*, 43, Table II, Plate 2; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 79–83; Malek, "A Survey of Research on Sasanian Numismatics," 233.

The other Arabic sources on his reign include Ibn Qutaybah, *Ma'ārif*, 654 (brief entry); al-Ya'qūbī, *Ta'rikh*, I, 180–81 (brief note of Sābūr's Roman campaign, but mainly concerned with the appearance of Mani, the polemical works he composed, and Sābūr's own attraction to Manichaeism before he was brought back to *al-mafūsiyyah* by the Chief Mōbadh); al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 46 (only a short notice, on Sābūr's Roman campaign and capture of the Roman emperor, and his construction works in Khūzistān and at Jundaysābūr); al-Mas'ūdī, *Murūj*, II, 163–66 = §§589–93 (Mani's rise to prominence and Sābūr's part in it, together with

## [Hurmuz I]

Then after Sābūr (I), son of Ardashīr (I), son of Bābak, the royal power was assumed by his son Hurmuz (I).<sup>123</sup>

He was called "the Bold" (*al-jāri*),<sup>124</sup> and resembled Ardashīr in bodily constitution and appearance, but did not come up to him in judgment and skillful management.<sup>125</sup> Nevertheless, he was outstanding for his fortitude in battle, boldness, and massive build. His mother, according to what is said, was one of the daughters of King Mihrak, whom Ardashīr killed at Ardashīr Khurrah.<sup>126</sup> This arose from the fact that Ardashīr's astrologers had told him that a person would arise from Mihrak's stock who would attain the royal power. So Ardashīr hunted down all of his stock and killed them. However, Hurmuz's mother, who was an intelligent, beautiful, perfectly formed, and physically strong person, slipped

the latter's counsels on good rule and the maintenance of an efficient army); idem, *Tanbīh*, 100, trans. 144; Ḥamzah al-Isfahānī, *Ta'rikh*, 44–45 (enumerates in fair detail all the cities Sābūr built, with their names and comments on their plans); Ibn al-Athīr, *Kāmil*, I, 385–88. Of Persian sources, see Ṭabarī-Bal'amī, trans. II, 75–85.

Shābūr seems to have been a less zealous adherent of Zoroastrianism than his father, and is even said by some sources to have been favorable toward Manichaeism, although Zoroastrianism was at this time being consolidated as the state religion of Persia through the untiring efforts of men like the Chief Hērbed Kerdēr. During his time, the influence in the state of Kerdēr and the prophet Mani seems to have been evenly balanced. Shābūr's deportations from Antioch and elsewhere in Syria and in Upper Mesopotamia actually brought increased numbers of Christians into the Persian Empire, so that Christian communities were now implanted in the towns of the heartland Fārs and in places like Ardashīr Khurrah, the preferred residence of Ardashīr I. See Labourt, *Le Christianisme dans l'empire perse*, 19–20; Chaumont, "Les Sassanides et la Christianisme de l'Empire iranien," 168–80; S. Brock, "Christians in the Sasanian Empire: A Case of Divided Loyalties," 1ff.

For recent studies on Shābūr's reign in general, see, e.g., Christensen, *Sassanides*, 179ff, 218–26; Frye, *The Heritage of Persia*, 208–17; idem, "The Political History of Iran under the Sasanians," 124–27, 178; *EP*<sup>2</sup>, svv. Sāsānids (M. Morony), Shāpūr (C. E. Bosworth).

123. In Pahlavi, Ohrmazd, Greek Hōrmisdēs, from Ahura Mazdā, the name in the Avesta of the great divinity of ancient Iranian religion. See Justi, *Namenbuch*, 7–9; Gignoux, *Noms propres sassanides*, no. 702; *Elr*, s.v. Ahura Mazdā (M. Boyce).

124. In al-Mas'ūdī, *Murūj*, II, 166 = §593, and al-Khwārazmī, *Mafātīḥ al-'ulūm*, 102, we have for this *al-baṭal* "the warrior, hero." Cf. Nöldeke, trans. 43 n. 2.

125. Nöldeke, trans., 43 n. 3, observes that the forty years or so from Shāpūr I's death to the accession of Shāpūr II were ones of comparative weakness and decline for the Sāsānids.

126. See al-Ṭabarī, I, 817, pp. 10–11 above.

away surreptitiously into the desert and took refuge with some shepherds.

Now Sābūr set out one day hunting. He pursued his quarry enthusiastically, and became very thirsty. At that point, the tents where Hurmuz's mother had sought refuge came into his view. He made for them, but found the shepherds away. He asked for water, and the woman there gave him some. He perceived that she was outstandingly beautiful and had a remarkable carriage and a noble face. Shortly afterward, the shepherds returned. Sābūr asked them about her, and since one of the shepherds gave out that she was a member of his family, Sābūr asked him to give her to him in marriage. The shepherd conceded this to him, so Sābūr went off with her to his own encampment, commanded her to be washed clean, suitably clothed, and adorned. He wanted to have conjugal relations with her. But when he was alone with her, and sought from her what men usually seek from women, she held back and fended him off when he tried to get near her, with a degree of force he found unpleasing, and he marveled at her physical strength. When she behaved like this for some time, it aroused his anger, and he enquired after the cause. So she told him that she was the daughter of Mihrak, and that she had only done what she had done in order to spare him from Ardashīr. He made an agreement with her to conceal her real position, and had sexual congress with her. She then gave birth to Hurmuz, but Sābūr subsequently concealed the whole matter.

When Hurmuz was several years old, Ardashīr rode out one day, and turned aside to Sābūr's dwelling because he wanted to tell Sābūr something. He went into the house unexpectedly. When Ardashīr had stretched himself out comfortably, Hurmuz came forth, having by this time grown into a sturdy youth. He had in his hand a polo stick that he was playing with, and was crying out in pursuit of the ball. When Ardashīr's eye fell on him, this perturbed him, and he became aware of the resemblance in the youth to his own family, because the qualities of Persian kingship (*al-kayiyah*)<sup>127</sup> characteristic of Ardashīr's house could not be con-

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127. An Arabic abstract noun coined from the name of the ancient, at best semilegendary, ruling dynasty of the Kayānids, Kay (Avestan *Kawi*) being the princely title used by these, passing into MP and NP with the generalized sense of

[833] cealed and could not be disregarded by anyone, because of certain specific traits visible in members of that house: a handsome face, a stout physique, and other bodily features by which Ardashīr's house was distinguished. So Ardashīr made him come near, and asked Sābūr about him. The latter fell down [before him] in obeisance, acknowledging his fault over all that he had done, and told his father the truth about the whole affair. Ardashīr, nevertheless, expressed joy over him, and told him that he had now [for the first time] realized the truth of what the astrologers had said regarding Mihrak's offspring and regarding the one from them who would reign as king; the astrologers had simply been envisaging Hurmuz in this connection, since he was from the stock of Mihrak; and this had now provided consolation for the perturbation in his mind and had dispelled it.

When Ardashīr died, and the royal power passed to Sābūr, he appointed Hurmuz governor of Khurāsān and dispatched him thither. Hurmuz adopted an independent policy, subdued the rulers of the nations in adjacent lands, and behaved as a highly proud and effective ruler. Hence various calumniators delated him to Sābūr and implanted the delusion in the latter's mind that, if Sābūr were to summon Hurmuz [to his court], the latter would not respond, and that he was planning to seize the royal power from him. News of this reached Hurmuz. It is said that he went aside into a private place, and cut into his hand and severed it, placed on the hand some preservative, wrapped it up in a piece of costly clothing, put it in a casket and sent it off to Sābūr. He wrote a letter to him about what he had heard (sc., the calumnies concerning him), and [explained] that he had only done what he had done (i.e., cut off and dispatched the hand) in order to dispel all suspicions about him, for among their customs was the practice of not raising anyone to kingly power who had a physical defect.<sup>128</sup> When the letter and the accompanying casket reached Sābūr, he

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"king." See Christensen, *Les Kayanides*, 9-10, 17ff., 43; Yarshater, "Iranian National History," 436ff.; *EP*<sup>2</sup>, s.v. Kayānids (ed.).

128. Nöldeke, trans. 45 n. 1, noted the information of the Byzantine historian Procopius that "a one-eyed person or someone afflicted by any other serious defect, could not become king of Persia"; but this was probably a near-universal principle in the Ancient Near East and the classical Eastern Mediterranean world, and was one of continued validity in later times, since physical wholeness was certainly required for the Byzantine emperors and the Islamic caliphs.

became cut up through grief, and wrote back to Hurmuz telling him how filled with affliction he had become at Hurmuz's action, excused himself and informed him that, if Hurmuz were to cut up his body limb by limb, he would not choose anyone else for the royal power. He then proclaimed him [his successor as] king.

It is said that, when Sābūr placed the crown on Hurmuz's head, the great men of state came into his presence and invoked blessings on him. He returned a kindly answer to them, and they then realized from him the [real] truth of the affair. He behaved benevolently toward them, meted out justice to the subjects, and followed the [praiseworthy] ways of his forefathers, and he laid out the district (*kūrah*) of Rām Hurmuz.<sup>129</sup> Hurmuz's reign lasted one year and ten days.<sup>130</sup>

### [Bahrām I]

After him, there succeeded to the royal power his son Bahrām (I). He was Bahrām, son of Hurmuz (I), son of Sābūr (I), son of Ardashīr (I), son of Bābak.<sup>131</sup>

129. A town of southeastern Khūzistān, in early Islamic times a flourishing market town and in the modern period a center for the oil industry. It was clearly an old town, though little mentioned by the historians. The Pahlavi town list confirms al-Ṭabarī's information here that it was founded by Hormizd I, son of Shābūr I (Markwart-Messina, *Catalogue of the Provincial Capitals*, 19, 95–96), but some sources, e.g., Ḥamzah al-Isfahānī, 43, attribute it to Ardashīr I. See Marquart, *Ērānshahr*, 145; Le Strange, *Lands*, 243–44; Schwarz, *Iran*, 332–35; Barthold, *Historical Geography*, 194; *EP*<sup>2</sup>, sv. Rām-Hurmuz (V. Minorsky and C. E. Bosworth).

130. Hormizd I Ardashīr's reign was 270–71. Hormizd's name appears on his coins as \*WHRMZDY. See on his coins Paruck, *Sāsānian coins*, 53, 322–23, 419, Plate V, Table III; Göbl, *Sasanian Numismatics*, 43, Table II, Plate 3; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 84–85; Malek, "A Survey of Research on Sasanian Numismatics," 233.

The other Arabic sources on his reign include Ibn Qutaybah, *Ma'ārif*, 654; al-Ya'qūbī, *Ta'rikh*, I, 187 (extremely brief); al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 47 (mainly on his execution of Mani, thus attributed to Hurmuz); al-Mas'ūdī, *Murūj*, II, 166–67 = §593 (his counsels to his commanders); idem, *Tanbīh*, 100, trans. 144; Ḥamzah al-Isfahānī, 45 (notes his considerable gifts, but that he fell short of his father); Ibn al-Athīr, *Kāmil*, I, 388–89. Of Persian sources, see Ṭabarī-Bal'amī, trans. II, 85–89.

For recent studies on Hormizd's reign in general, see Christensen, *Sassanides*, 102, 226–28; Frye, "The Political History of Iran under the Sasanians," 127–28, 178; Morony, *EP*<sup>2</sup>, s.v. Sāsānids.

131. MP Warahrān, Wahrām, Greek Ouararanēs, Baramas, etc., Syriac Warathrān, from the name of the Avestan god of victory Vərəθragna. See Nöldeke,



## [The History of al-Ḥīrah]

[834] After the demise of 'Amr b. 'Adī b. Naṣr b. Rabī'ah, the governor for Sābūr b. Ardashīr, and for Hurmuz b. Sābūr, and for Bahrām [b. Hurmuz] b. Sābūr, over the frontier region of [the land of] the Arabs of Rabī'ah, Muḍar, and the rest of the tribes in the deserts of Iraq, Hijāz, and Jazīrah,<sup>132</sup> was at that time a son of 'Amr b. 'Adī called Imru' al-Qays al-Bad'. He was the first of the kings from the house of Naṣr b. Rabī'ah and the governors for the kings of the Persians who became a Christian.<sup>133</sup> According to what Hishām b. Muḥammad (sc., Ibn al-Kalbī) has mentioned, he ruled over his charge as a vassal prince for 114 years, comprising twenty-three years and one month under Sābūr, son of Ardashīr, one year and

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trans. 46 n. 3; Justi, *Namenbuch*, 361–65; Gignoux, *Noms propres sassanides*, no. 926; *Elr.*, s.v. Bahrām, heading.

132. This enormous sphere of control and influence attributed to the early Lakhmid governors is vastly exaggerated, since their authority as representatives of imperial Persia was mainly exercised along the Syrian Desert fringes of Mesopotamia and in northeastern Arabia, and only sporadically within the Arabian interior. The two great tribal groups of Rabī'ah and Muḍar dominated northern Arabia, with members of their component tribes pushing northward through Iraq into Jazīrah, where the names of their dwelling places and pasture grounds, the Diyār of Rabī'ah, Muḍar, and Bakr, reflect their presence in early Islamic times. See *EP*<sup>2</sup>, s.v. Rabī'a and Muḍar (H. Kindermann). It was, however, true that elements of the South Arabian Lakhm had spread from their original home quite early in the pre-Islamic period not only into Iraq but also into Syria. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Tables 176, 246, II, 54–56, 375–76; *EP*<sup>2</sup>, s.v. Lakhm (H. Lammens-Irfan Shahīd).

133. *al-Bad'*, "the first, the originator." This is Imru' al-Qays (I), the famous "Imru' al-Qays, King of all the Arabs" of the proto-Arabic al-Namārah tomb inscription of 328, al-Namārah being situated in the district of al-Ṣafā' between Damascus and Boṣrā in what was then the Roman province of Arabia. In explanation of the apparent paradox why this Lakhmid king in al-Ḥīrah should have been buried far from his homeland of the desert fringes of Iraq, Irfan Shahīd has plausibly suggested that Imru' al-Qays was the first of his line to become a Christian and therefore deserted his allegiance to the Zoroastrian Sāsānids and went over to the Romans in Syria, becoming one of their client rulers; also, he may have been affronted by Shābūr II's campaign against the Arabs of eastern Arabia (see al-Ṭabarī, I, 838–39, pp. 54–56 below), which challenged his own claim to the headship of all the Arabs, however inflated this might have been (see n. 132 above). Nöldeke, tr. 47 n. 2, denied that Imru' al-Qays al-Bad' could have been a Christian, in the light of the vigorous paganism of later Lakhmid kings, and thought that al-Ṭabarī's source Ibn al-Kalbī had here confused this Imru' al-Qays (I) with a later one of the same name. But Nöldeke was writing before the discovery of the Namārah inscription in Syria at the end of the nineteenth century. See Shahīd's detailed discussion of the king and his inscription, in *Byzantium and the Arabs in the Fourth Century*, 31–47, and also Rothstein, *Lakhmidien*, 52, 55ff., 61, 63–64, 139.

ten days under Hurmuz, son of Sābūr, and three years, three months, and three days under Bahrām, son of Hurmuz, son of Sābūr, and eighteen years under Bahrām, son of Bahrām, son of Hurmuz, son of Sābūr, son of Ardashīr.<sup>134</sup>

According to what has been mentioned, Bahrām b. Hurmuz was a forebearing and mild person, so that the people rejoiced when he came to power. He behaved in a praiseworthy manner toward them, and in his policy of rule over the people followed the practices of his forefathers.

According to what has been mentioned, Mānī the Zindīq summoned him to his religion. So Bahrām enquired exhaustively into Mānī's beliefs, and found that he was a propagandist for Satan. So he ordered him to be executed and his body to be skinned and stuffed with straw, and then for it to be hung from one of the city gates of Junday Sābūr, which is [because of this] called Mānī's Gate. He also killed his followers and those who had joined his faith.<sup>135</sup> Bahrām's reign lasted, according to what is said, for three years, three months, and three days.<sup>136</sup>

134. A total, in reality, of forty-five years, four-and-a-half months (but Shābūr I's reign is usually taken as thirty or thirty-one years, as in al-Ṭabarī, I, 831, p. 39 above). Also, Bahrām I was the son of Shābūr I, not of Hormizd I, and Bahrām II was the son of Bahrām I, hence Hormizd I's nephew and not his son.

135. The date of Mani's execution is controversial, and its exact placing is bound up with uncertainty over the chronology of the early Sāsānid rulers. see n. 56 above, and, specifically, with the dates for Bahrām I's brief reign, during which it appears Mani was killed. Hence the chronology for the dating of Shābūr I's death, Hormizd I's reign, Bahrām I's reign, and Bahrām II's accession proposed by Henning is followed here (see nn. 122, 130 and 134 above, and nn. 136-37 below). Basing himself on the dating of 271-74 for Bahrām I's reign and on evidence from a wide range of sources, including Manichaean ones, Henning suggested that Mani may have been executed on Monday, the 4th of the Babylonian month of Addaru in the year 584 of the Seleucid era = 2 March A.D. 274; but he allowed the possibility of divergent dates, such as a date in A.D. 277 proposed by S. H. Taqizadeh. See Taqizadeh and Henning, "The dates of Mani's Life," 505-20 and especially 515-20. See also for further suggestions regarding the date, such as that of 276, W. Hinz, "Mani and Kerdēr," 490-92; Widengren, "Manichaeism and Its Iranian Background," 971-72.

136. Bahrām I's reign was 271-74, but very little is known about his reign. His name appears on his coins as WRĦR'N. See on his coins Paruck, *Sāsānian Coins*, 53-54, 324-25, 419-22, Plates V-VI, Table III; Göbl, *Sasanian Numismatics*, 43, Table II, Plate 3; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 86-87; Malek, "A Survey of Research on Sasanian Numismatics," 233.

The other Arabic sources on his reign include Ibn Qutaybah, *Ma'ārif*, 655; al-Ya'qubī, *Ta'rīkh*, I, 182 (on his relations with Mani, and his execution of him); al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 47 (lists his name only); al-Mas'ūdī, *Murūj*, II, 147-

## [Bahrām II]

[835] Then there succeeded him in the royal power his son Bahrām (II). [He was] the son of Bahrām [I], son of Hurmuz (I), son of Sābūr (I), son of Ardashīr (I). He is said to have been knowledgeable about the affairs [of government]. When he was crowned, the great men of state called down blessings on his head, just as they had done for his forefathers, and he returned to them greetings in a handsome manner and behaved in a praiseworthy fashion toward them. He was wont to say: "If fortune furthers our designs, we receive this with thankfulness; if the reverse, we are content with our share." There are varying reports about the duration of his reign. Some say that he ruled for eighteen years, others for seventeen years.<sup>137</sup>

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48 = §594 (Mani's rise, Bahrām's feigned sympathy for his, and an explanation of the term *zindīq* = one who makes (heretical) interpretations, *zands*, of the Avesta; cf. n. 118 above); idem, *Tanbīh*, 100, trans. 144; Ḥamzah al-ʿIṣfahānī, *Ta'riḫ*, 47 (his interrogation and execution of Mani); Ibn al-Athīr, *Kāmil*, I, 390. Of Persian sources, see Ṭabarī-Bal'amī, trans. II, 89-90. Of modern studies, see Christensen, *Sassanides*, 226-27; Frye, "The Political History of Iran under the Sasanians," 127-28, 178; *EP*<sup>2</sup>, s.v. Sāsānids (M. Morony); *Elr*, s.v. Bahrām I (A. Sh. Shahbazi).

137. Bahrām II's reign was 274-91. His reign was a troubled one, although few details of its events are known. There was the lengthy rebellion of his brother Hormīz centered on Sijistān, so that the Romans were able to take advantage of Bahrām's preoccupation with the east of his realm and invade Mesopotamia, an attack on Ctesiphon only being averted by the death in 283 of the Roman emperor Carus. Bahrām II's name appears on his coins as WRḤR'N. See on his coins Paruck, *Sāsānian Coins*, 54-55, 326-34, 422-25, Plates VI-VIII, Table IV; Göbl, *Sasanian Numismatics*, 43-45, Tables III-IV, Plates IV-V; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 88-92; Malek, "A Survey of Research on Sasanian Numismatics," 233.

The other Arabic sources on his reign include Ibn Qutaybah, *Ma'ārif*, 655; al-Ya'qūbī, *Ta'riḫ*, I, 182 (name and length of reign only); al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 47 (name only); al-Mas'ūdī, *Murūj*, II, 168-74 = §§595-99 (lengthy entry: stresses Bahrām's life of pleasure and neglect of state affairs); idem, *Tanbīh*, 100, trans. 144; Ḥamzah al-ʿIṣfahānī, *Ta'riḫ*, 46; Ibn al-Athīr, *Kāmil*, I, 390. Of Persian sources, see Ṭabarī-Bal'amī, trans. II, 90.

Bahrām was a fervent Zoroastrian and was strongly under the influence of Kerdēr, whom he regarded as his mentor and on whom he bestowed the title of "Saviour of Bahrām's soul"; also, Kerdēr appears on all but one of Bahrām's numerous rock reliefs with the sovereign himself (see Herrmann, *The Iranian Revival*, 98-99, 106). In the previous reigns of Shābūr I and Bahrām I, Kerdēr had had to share royal patronage and favor with the prophet Mani. Now, with the advent of Bahrām II, as Hinz has pointed out, the balance tipped definitely in Kerdēr's favor, and some two years into the new reign, Mani was arrested and died in imprisonment (see n. 135 above). With Kerdēr's ascendancy now complete, non-Zoroastrian faiths within Persia came under attack, as is seen in his Ka'ba-yi Zardusht inscription, where it is stated that Kerdēr humbled, among others like the Manichaeans

## [Bahrām III]

Then there reigned Bahrām (III), who had the honorific title of Sakānshāh.<sup>138</sup> [He was] the son of Bahrām [II], son of Bahrām [I], son of Hurmuz (I), son of Sābūr (I), son of Ardashīr (I). When he was crowned, the great men of state gathered together around him, and called down on his head blessings for the auspiciousness of his rule and for his long life, and he returned to them greetings in a handsome manner. Before he had succeeded to the royal power, he had been appointed ruler of Sijistān. He reigned for four years.<sup>139</sup>

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and Jews, the "Nazarenes" (Jewish Christians?), and the *Krīstiyān* (mainstream, Catholic, Nicene Christians?) (the exact definition of the latter two terms is disputed; thus Wiesehöfer, *Ancient Persia*, 203, following Brock, "Christians in the Sasanian Empire," 3, 6, and n. 21, assumes a distinction based on territorial-cultural origins, so that "Nazarenes = the indigenous Persian Christians, and *Krīstiyān* = the Christian deportees from lands further west and their descendants); the *Chronicle of Arbela* has some mention of persecutions during Bahrām's reign. It seems to have been the Jews who, in practice, suffered the least molestation. See; Chaumont, "Les Sassanides et la Christianisme de l'Empire iranien," 187-97; J. Neusner, "Jews in Iran," 914-15.

Of modern studies, see Christensen, *Sassanides*, 227-31; Frye, "The Political History of Iran under the Sasanians," 128-29, 178; *EP*<sup>2</sup>, s.v. Sāsānids (M. Morony); *Elr*, s.v. Bahrām II (A. Sh. Shahbazi).

138. al-Ṭabarī's text has *Shāhanshāh* "Supreme king," but as is apparent from the words at the end of this section on him, this is an old misreading; the correct reading appears in Ḥamzah al-Isfahānī, *Ta'rikh*, 46, and al-Khwārazmī, *Mafātīh al-'ulūm*, 102. Sakān Shāh means literally "king of the Sakas," i.e., of the people controlling or inhabiting Sakastān/Sagastān, Islamic Sijistān, Sistān; see *EP*<sup>2</sup>, s.v. Sistān (C. E. Bosworth). Bahrām III had acquired his post during his predecessor's reign, following the early Sāsānid practice of granting out provincial appanages to royal princes; in this case, he was appointed to Sagastān because of its importance as a bastion against powerful peoples of the eastern fringes, such as the Sakas and Kushans, or because it had recently been conquered by Bahrām II after the revolt there of his brother Hormizd (n. 137 above). Agathias says that it was the custom of the Persian kings, when they had subdued a land or people, to give their sons titles expressing dominion over that people. See Nöldeke, trans. 49 n. 2; Christensen, *Sassanides*, 228-29; Cameron, "Agathias on the Sasanians," 122-23, 143; Frye, "The Political History of Iran under the Sasanians," 128-29.

139. Bahrām III's reign was for four months only in the early part of the year 292 until he was deposed after a revolt by his great-uncle Narseh (see below). Coins attributed to Bahrām's name are exiguous in number, and the readings of their legends are ambiguous, with coins variously assigned to him and to his successor Narseh. See on these Paruck, *Sāsānian Coins*, 56, 334-35, 425-26, Plate VIII, Table V; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 93-94; Malek, "A Survey of Research on Sasanian Numismatics," 234.

The other Arabic sources on his reign, all very laconic (with al-Dīnawarī omitting him altogether), are Ibn Qutaybah, *Ma'ārif*, 655; al-Ya'qūbī, *Ta'rikh*, I, 182; al-Mas'ūdī, *Murūj*, II, 174 = § 600; idem, *Tanbīh*, 100, trans. 144; Ibn al-Athīr, *Kāmil*,

## [Narsī]

Then there took charge of the royal power after him Narsī, son of Bahrām (I) and also the brother of Bahrām (II).<sup>140</sup> When he was crowned, the nobles and great men of state came into his presence and called down blessings on him. He gave them promises of benevolence and adjured them to aid him in the business of ruling. He behaved toward them in a highly just manner. On the day he became king he said, "We must never lose an opportunity in giving thanks to God for His bountifulness to us." He reigned for nine years.<sup>141</sup>

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I, 391. Of modern studies, see Christensen, *Sassanides*, 231; Frye, "The Political History of Iran under the Sasanians," 129, 178; *EP*, s.v. Sāsānids (M. Morony); *Elr*, s.v. Bahrām III (O. Klīma).

140. The name Narseh, Greek Narsēs, Narsaios, goes back to Nairyōsapha, the name in the Avesta of the messenger of Ahura Mazdā. See Justi, *Namenbuch*, 221–25; Gignoux, *Noms propres sassanides*, no. 678. Narseh was actually yet another son of Shābūr I, hence brother of Bahrām I, uncle of Bahrām II and great-uncle of Bahrām III. Cf. Nöldeke, tr. 50 n. 2; Christensen, *Sassanides*, 231; Frye, "The Political History of Iran under the Sasanians," 129, 178; *EP*, s.v. Sāsānids (M. Morony). Only in al-Mas'ūdī, *Murūj*, II, 238 = § 660, quoting from Abū 'Ubaydah's history of Persia, taken from 'Umar called Kisrā (?), is the correct filiation "Narsī, son of Sābūr (I)" given in the other Arabic sources, for which see n. 141 below.

141. Narseh's reign was 292–302. His name appears on his coins as NRSFHY. See on his coins Paruck, *Sāsānian Coins*, 56, 335–36, 426–28, Plate VIII, Table V; Göbl, *Sasanian Numismatics*, 45, Table V, Plate 5; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 95–96; Malek, "A Survey of Research on Sasanian Numismatics," 234.

The other Arabic sources on his reign include Ibn Qutaybah, *Ma'ārif*, loc. cit.; al-Ya'qūbī, *Ta'rikh*, loc. cit. (name and length of reign only); al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 47 (name and length of reign); al-Mas'ūdī, loc. cit.; idem, *Tanbīh*, loc. cit.; Ḥamzah al-Isfahānī, *Ta'rikh*, 46–47; Ibn al-Athīr, *Kāmil*, loc. cit. Of Persian sources, see Ṭabarī-Bal'amī, trans. II, 90. Of modern studies, see Christensen, *Sassanides*, 102, 231–32; Frye, *The Heritage of Persia*, 218, 220–21; idem, "The Political History of Iran under the Sasanians," 129–31, 178.

Narseh had become "High King of Armenia" on his father Shābūr I's death. The story of his eventual achievement of the throne and the setting aside of Bahrām III, the enumeration of local kings or princes on the fringes of the Sāsānid empire who were presumably tribute to him, and the exposition of his concept of his own royal power, are set forth in the inscription (NPi) which he had carved on the commemorative tower built by him at Paikuli in Kurdistan. He also made known his feeling that his legitimate right to the throne after Hormizd Ardashīr's death had been usurped by the succession of the three Bahrāms, by altering Bahrām II's investiture relief at Bishāpūr and substituting his own name for that of his elder brother; and he added to the relief the prostrate figure of a vanquished foe under the monarch's horse (this enemy being probably the noble Wahnām who had organized the putsch in Fārs raising Bahrām III to the throne). See E. Herzfeld, *Paikuli. Monument and Inscriptions of the Early History of the Sasanian Empire*, I, 94–

[Hurmuz II]

Then there ruled Hurmuz (II), son of Narsī, son of Bahrām (II),<sup>142</sup> son of Bahrām (I), son of Hurmuz (I), son of Sābūr (I), son of Ardashīr (I). The people had been in awe of him, and had experienced harshness and severity [from him]. But he told them that he had been fully aware of their fears over his severity and strong rule, and informed them that he had exchanged the roughness and harshness in his nature for mildness and clemency. He then ruled them in the most considerate fashion and behaved in the most equitable manner possible. He was eager to succor and revive the weak, to render the land prosperous and flourishing, and to spread justice among the subjects. Then he died without leaving any son. The people were distressed at that and, because of their favorable feeling for him, they asked about his wives and were told that a certain one of them was pregnant. Others have said, moreover, that Hurmuz had entrusted the royal power to that unborn child in his mother's womb, and that the woman in question gave birth to [the future] Sābūr (II) Dhū al-Aktāf ("The Man with the Shoulders").<sup>143</sup> Hurmuz's tenure of royal power, according to

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119, and now H. Humbach and P. O. Skjaervø, *The Sassanian Inscription of Paikuli*, III/1-2; Herrmann, *The Iranian Revival*, 99, 106-107; Wiesehöfer, *Ancient Persia*, 184-85.

Narseh's warfare against the Romans was not very successful, though we have little information on the actual course of events. The Romans were able to restore their protégé, the Armenian Arsacid Tiridates I, to the throne of Armenia, and the Persian had to cede part of Little Armenia to Rome. However, the peace of 298 between Narseh and Diocletian was to endure for forty years. Narseh is also said to have been tolerant toward the Christians and Manichaeans, possibly in the hope of securing the support of their coreligionists within the boundaries of the Roman territories. See Nöldeke, trans. 50 n. 3; Christensen, *Sassanides*, 233; Stein, *Histoire du Bas-Empire*, I, 79-80; Chaumont, "Les Sassanides et la Christianisme de l'Empire iralien," 200; Frye, "The Political History of Iran under the Sasanians," loc. cit.; *Elr*, s.v. Armenia and Iran. ii. The Pre-Islamic Period (M. -L. Chaumont), at II, 426.

142. For the correct filiation here, see p. 45 n. 134 above.

143. Nöldeke, trans. 52 n. 1, surmised that this sobriquet was initially one of honor, "the man with broad shoulders." i.e., suitable for bearing the burdens of royal power and leadership in war. The folkloric explanation of the Arabic sources, given by al-Ṭabarī, I, 843-44, p. 63 below, that Shābūr acquired the title from piercing the shoulders of Arab captives from eastern Arabia, would accordingly be a later, fanciful explanation. Al-Ṭabarī's younger contemporary, Hamzah al-Isfahānī, *Ta'rikh*, 47, followed by al-Khwārazmī, *Mafāṭih al-'ulūm*, 102-103, give the alleged Persian original of "shoulder borer" as *hūyah sunbā* (thus vocalized by al-Khwārazmī's editor Van Vloten: NP *hūyah/hūbah* "shoulder" + *sunbā*, "auger,

what some authorities say, was six years and five months, but according to others, seven years and five months.<sup>144</sup>

[*Sābūr II Dhū al-Aktāf*]

Then there was born Sābūr Dhū al-Aktāf, son of Hurmuz (II), son of Narsī, son of Bahrām (II), son of Bahrām (I),<sup>145</sup> son of Sābūr (I), son of Ardashīr (I), who succeeded to the royal power by virtue of the testament of his father Hurmuz's appointment of him as his successor.<sup>146</sup> The people rejoiced at his birth; they spread the news about it to the farthest lands, they wrote letters and the couriers of the postal and intelligence system (*al-burud*) conveyed news of it to the most distant regions and frontiers.<sup>147</sup> The viziers

instrument for boring"). Whether this goes back to a Pahlavi original or is a later, back formation from the Arabic, is, as Nöldeke observed, impossible to determine.

144. Hormizd II's reign was 302-309. His name appears on his coins as 'WHRMZDY. See on his coins Paruck, *Sāsānian Coins*, 57-58, 337-41, 428-30, Plates VIII-IX, Table VI; Göbl, *Sasanian Numismatics*, 45-46, Table V, Plate 5; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 97-98; Malek, "A Survey of Research on Sasanian Coins," 234.

The other Arabic sources on his reign include Ibn Qutaybah, *Ma'ārif*, 655; al-Ya'qūbī, *Ta'rikh*, I, 182 (name and length of reign, death while Sābūr (II) was still a baby) al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 47 (information that he died when his wife was several months pregnant; the succession of his putative son was confirmed by placing the crown on his wife's abdomen, a tale known also to Western historians such as Agathias, see Cameron, "Agathias on the Sassanians," 122-25, 144); al-Mas'ūdī, *Murūj*, II, 174 = § 600; idem, *Tanbīh*, 100, trans. 144 (name and reign only); Hamzah al-īshfahānī, *Ta'rikh*, 47 (he founded a *rustāq* in the Rām-Hurmuz district); Ibn al-Athīr, *Kāmil*, I, 391-92. Of Persian sources, see Ṭabarī-Bal'ami, trans. II, 90-91. Of modern studies, see Nöldeke, trans. 51 nn. 1, 2; Christensen, *Sassanides*, 233-34; Frye, "The Political History of Iran under the Sasanians," 131-32, 178; *EP*<sup>2</sup>, s.v. Sāsānids (M. J. Morony).

145. For the correct filiation here, see p. 45 n. 134 above.

146. Concerning the folkloric touch of Hormizd's entrusting the royal power to his infant Shābūr while he was still in his mother's womb, aside from the fact that he could not have known the sex of the child at that point, the ascertainable historical facts are that Hormizd left several sons by various wives, all older than Shābūr. The great men of state and the Zoroastrian priesthood saw their chance of securing a dominant influence in affairs, hence killed the natural successor to power, Hormizd's eldest son Adhar Narseh, blinded another, forced a third to flee to Roman territory, and then raised to nominal headship of the realm the infant Shābūr, born forty days after his father's death. See the references in n. 144 above, and *Elr*, s.v. Ardashīr II (A. Sh. Shahbazi)

147. The institution of a state-organized postal and intelligence network was an ancient Near Eastern one, known in Persia from as far back as Achaemenid times and in such neighbors of the Sāsānids as the Romans and Byzantines. While there is

and secretaries retained the official functions they had held during his father's reign. They continued in these positions until news about them (sc., about these officials) spread, and there was disseminated on the distant frontiers of the land of the Persians [the news] that the people there had no king and that the Persians were merely waiting for a child, [at that time] in the cradle, not knowing how he would turn out. Hence the Turks<sup>148</sup> and the Romans cast envious eyes on the lands of the Persians.

Now the lands of the Arabs were the nearest ones to Fārs,<sup>149</sup> and these Arabs were among the most needy of all the nations for something to provide them with daily sustenance and with lands, because of their wretched condition and the harshness of their way of life. So a great horde of them crossed the sea from the region of the lands of 'Abd al-Qays, al-Baḥrayn, and al-Kāzimah, until they set up military encampments against (*anākhū 'alā*) [the town of] Abruwān, on the shores that had Ardashīr Khurrah as their hinterland (*sawāḥil Ardashīr Khurrah*) and in the coastlands (*asyāf*) of Fārs.<sup>150</sup> They seized the local people's herds of cattle,

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little direct information on the postal and intelligence network of the Sāsānids, a certain amount of information can be inferred from both Pahlavi sources and from Firdawsī's *Shāh-nāmah*; see B. Geiger, "Zum Postwesen der Perser," 309–14. As well as the rapid conveyance of information and intelligence, such a system of riding-beasts and post-houses provided one of the few possible means for rulers to exercise control over the remoter parts of their kingdoms. Popular early Islamic etymology derived the term *barīd* (sing. of *burud*) from Persian *burīdah-dunb* "having a docked tail," but the true derivation is from Late Latin *veredus* "post-horse" [whence *veredarius* "courier"], as was recognized by Quatremère over a century and a half ago. See Bosworth, "Abū 'Abdallāh al-Khwārazmī on the Technical Terms of the Secretary's Art," 141–42; *EP*, s.v. Barīd (D. Sourdell); *Elr*, s.v. Barīd (C. E. Bosworth).

148. Nöldeke, trans. 53 n. 2, held that mention here of "Turks" was anachronistic and pointed to al-Ṭabarī's source having been composed in the later sixth century at the earliest, because only in that century did Khusrāw Anūsharwān come into contact with the Turks, those of the Western Türk empire, which had established its influence over both sides of the Tien Shan and over Transoxania (see al-Ṭabarī, I, 895–96, pp. 152–53 below). This is probably true, but it is not totally impossible that the "Turks" whom Bahrām V Gūr repelled from the eastern borders of his kingdom in the second quarter of the fifth century included elements of genuine Turks, but perhaps as members of the following of the hordes like those of the Kidarites or Chionites. See the discussion in n. 244 below.

149. Altheim and Stiehl have pointed out (*Die Araber*, II, 346) that Nöldeke, trans. 53, was mistaken in rendering Fārs here as "Persien" when the geographical context makes it clear that the province of Fārs is meant. When, as in I, 839, p. 55 below, al-Ṭabarī specifically means "the land of Persia," he has *mamlakat Fārs*.

150. 'Abd al-Qays were an ancient Arab tribe, originally from the inland regions



[837] their cultivated lands, and their means of subsistence, and did a great deal of damage in those regions.

They (sc., the Arab invaders) remained engaged in these activities for a considerable time, with none of the Persians able to launch a counterattack because they had set the royal crown on the head of a mere child and because of people's [consequent] lack of awe and respect for him. [This continued] until Sābūr grew up and became stirred to action. When he was grown up, the first thing that was manifested of his good management of affairs and his acute understanding, so it has been mentioned, was that he was awakened from sleep one night, toward early morning, in the royal palace at Ctesiphon, by the anguished clamor of the people. He enquired what that was, and was told that it was the clamor of the people crowded together on the bridge over the Tigris, coming and going. So he gave orders for another bridge to be built, so that one of the bridges could be used for people crossing in one direction and the other bridge for people crossing from the opposite direction; thus people using the two bridges would no longer be crowded together. When the people perceived the acuteness of his mind in

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of eastern Najd, and accounted genealogically as part of Rabī'ah. They early migrated toward the western shores of the Persian Gulf, to Qaṭīf and Baḥrayn, which, as al-Ṭabarī shortly relates (I, 838–39, pp. 54–56 below) were from Shābūr II's time directly under Persian rule, with garrisons and governors, and then made over to the Persians' representatives, the Lakhmids. The 'Abd al-Qays were thus well placed, under the stimulus of inadequate resources for supporting them in the oases of the eastern Arabian coastlands, to make incursions across the gulf to the coast (*sīf*, pl. *asyāf*) of Fārs. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Tables 141, 168, II, 28–29, 127; *EP*<sup>2</sup>, s.v. 'Abd al-Qays (W. Caskel); *EIr*, s.v. 'Abd-al-Qays (P. Oberling). Al-Kāzimah was a place on the Baḥrayn coast south of the mouth of the Shaṭṭ al-'Arab but not further specified. See al-Bakrī, *Mu'jam mā ista'jam*, III, 1109–10; Yāqūt, *Buldān*, IV, 431.

The reading of the name rendered here as Abruwān (tentatively translated by Nöldeke, trans. 53, as Rīshahr, on which see al-Ṭabarī, I, 820, p. 16 and n. 62 above) varies greatly in the mss. Altheim and Stiehl, *Die Araber in der Alten Welt*, II, 347–48, have suggested that this is the town of \*Abruwān mentioned by al-Ṭabarī, I, 870, p. 105 below, as being in the province of Ardashīr Khurrah and as a place where Mihr Narsi erected lofty buildings (see p. 105 n. 267 below, for varying suggestions about this place-name). Also, Altheim and Stiehl have suggested, as certainly seems appropriate in the context, that one should follow ms. C's reading *anākhū 'alā*, "they set up encampments against . . ." (thus likewise in the Cairo edition, II, 55, apparently following here the Constantinople/Istanbul ms.), instead of the text's weaker *anākhū bi-*, "they halted at . . ." (cf. Dozy, *Supplément*, II, 734, on *anākhā 'alā*: "se présenter hostilement devant [une place ou un homme]").

working out a solution for this problem, despite his tender years, they rejoiced at this, and hastened to fulfil what had been commanded regarding this [construction of the new bridge]. It is mentioned that the [second] bridge was constructed in the vicinity of the existing bridge before sunset of that day. In this way, the people were relieved of the necessity of endangering their lives when crossing the bridge. The child [Sābūr] grew in stature and prestige in that single day, what for others would have taken a long period.

The secretaries and viziers began successively to lay before Sābūr various state matters. Among the matters they brought to his notice was the position of the troops along the frontiers and those directly facing enemies there, for news had arrived that the greater part of them had been reduced to a sorry state. The secretaries and viziers stressed to him the seriousness of the situation, but Sābūr told them, "Don't worry about this excessively, since the remedy for it is simple." Then he ordered a letter to be sent to the whole of these troops, stating that he had learned about how long they had been stationed in those regions of the provinces where they were, and about the intensity of their deprivation of their dependents and brothers.<sup>151</sup> Hence whosoever wished to return to his family was free to do so, with full permission for that; and whosoever wished to complete the rest of his service by remaining standfast in his post, that would be reckoned to him favorably. He further ordered that those who chose to return could remain with their families on their own lands until the time when they were needed again. When the viziers heard all these words of his, they approved of them highly and said, "Even if this youth had had long experience of state affairs and the management of troops, his judgment and the soundness of his eloquent speech could not be greater than what we have just heard!"

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151. Complaints about the long periods of service along distant frontiers, entailing separation from homes and families, were among the accusations hurled two centuries or so later at Khusraw II Abarwēz by his own son and supplanter Shērōy, see al-Ṭabarī, I, 1047, p. 383 below. Also, in early Islamic times complaints about this process, called in Arabic *tajmīr* (literally, "bringing together, collecting," i.e., of troops in garrisons, with the Arab warriors or *muqātilah* being stationed on the inhospitable fringes of what is now Afghanistan, were a powerful factor in the revolt of 'Abd al-Raḥmān Ibn al-Ash'ath and the "Peacock Army" in 81-82/700-701 which nearly toppled the Umayyad government. See *EP*<sup>2</sup>, s.vv. Ibn al-Ash'ath (L. Vecchia Vaglieri) and *Tajmīr* (C. E. Bosworth).

Then there were issued successively his instructions to the provinces and the frontier lands, which gave heart to his own troops and humbled his enemies. At last he reached sixteen years of age and was able to bear weapons and ride cavalry horses, and his physical strength became great. He summoned together the commanders of his guards and troops and made an oration to them. He mentioned how bountiful God had been to him and to them through his forefathers, what these last had accomplished through their good conduct and how they had crushed their enemies, and how all these achievements of theirs had, however, fallen into confusion in the period that had elapsed during his youth. He then told them that he was going to make a start on the work [of restoring the position] by securely defending the heartland; and that [after that] he was making plans to move against one of his enemies and make war on him, and that he was going to take with him a force of one thousand warriors only. The assembled people rose up, calling down blessings [on him] and expressing their thanks, but asking that he should remain in his place and send forward in his stead the commanders and troops on this expedition he had planned.

He rejected their request, however, that he should stay in his capital. Then they requested him to increase the number [of troops] he had mentioned, but again he refused. [On the contrary,] he selected one thousand cavalymen from among the stoutest and most heroic of the troops. He commanded them to go forward and accomplish his design and forbade them to spare any of the Arabs they encountered or to turn aside in order to seize booty. Then he led them forth, and fell upon those Arabs who had treated Fārs as their pasture ground while they were unaware, wrought great slaughter among them, reduced [others of] them to the harshest form of captivity, and put the remainder to flight.<sup>152</sup>

[839] Then he crossed the sea at the head of his troops and reached al-Khaṭṭ. He marched through the land of al-Baḥrayn, killing its people, not letting himself be bought off by any kind of payment and not turning aside to take plunder. He went back on his tracks and reached Hajar,<sup>153</sup> where there were Bedouins from the tribes of

152. Reading here *wa-harraba*.

153. That is, the western Persian Gulf coastland, of what is now Kuwait, Qatar, and eastern Saudi Arabia, the term appearing in Syriac sources as Hagar; it was also

Tamīm, Bakr b. Wā'il, and 'Abd al-Qays. He spread general slaughter among them, and shed so much of their blood that it flowed like a torrent swollen by a rainstorm. Those who were able to flee realized that no cave in a mountain nor any island in the sea was going to save them.

After this he turned aside to the lands of the 'Abd al-Qays and destroyed all the people there except for those who fled into the desert sands. He passed on to al-Yamāmah,<sup>154</sup> where he made general slaughter like that of the previous occasion. He did not pass by any of the local Arabs' springs of water without blocking them up, nor any of their cisterns without filling them in. He approached the neighborhood of Medina and killed the Arabs whom he found there and took captives. Then he turned aside to the lands of the Bakr and Taghlib, which lie between the land of Persia (*mamlakat Fārs*) and the frontier fortresses (*manāzir*)<sup>155</sup> of the Romans in the land of Syria. He killed the Arabs he found there, took captives, and filled in their water sources. He settled members of the tribe of Taghlib, who were in al-Baḥrayn, at Dārīn and al-Samāhīj, and at al-Khaṭṭ;<sup>156</sup> members of the 'Abd al-Qays

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called al-Baḥrayn (in this sense comprehending both the island and the adjacent mainland) in early Islamic times and, right up to modern times, al-Aḥsā' or al-Ḥasā. See Nöldeke, trans. 56 n. 1; *EP*<sup>2</sup>, s.v. al-Ḥasā (F. S. Vidal). For al-Khaṭṭ, see n. 64 above.

154. An extensive region of eastern Arabia, with several important oases, running westward to the scarp of the Jabal Ṭuwayq and eastward to the Dahnā, i.e., comprising much of the modern Saudi provinces of al-Riyāḍ, al-Kharj, and al-'Āriḍ. At the beginning of the Islamic period, Yamāmah was the home of the semi-Christianized Banū Ḥanīfah and the epicenter of activity by the rival prophet to Muḥammad, Musaylimah. See *EP*<sup>1</sup>, s.v. al-Yamāma (A. Grohmann).

155. Sing. *manẓarah*, literally "look-out posts," largely synonymous with the more common term for these frontier guard stations, *maslaḥa*, pl. *masāliḥ*.

156. Taghlib b. Wā'il were an important tribe of the Rabī'ah group who lived in Najd till their defeat in the famous "War of Basūs" (early sixth century), and already within the sphere of the Sāsānids and their Lakhmid allies, as Shābūr I's policy here shows. When the Lakhmids regained control of al-Ḥīrah after the interlude of Kindah control there in the 520s, the considerably Christianized Taghlib became one of the firm supports of Lakhmid power there. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Tables 141, 163, II, 27-28, 541-42; *EP*<sup>2</sup>, s.v. Taghlib b. Wā'il (M. Lecker).

The mediaeval geographers were confused and vague about these places in al-Baḥrayn. According to Yāqūt, *Buldān*, II, 432, Dārīn was either on Baḥrayn island or on the nearby Persian Gulf coast. Concerning Samāhīj, see al-Bakrī, *Mu'jam*, III, 754, IV, 1382: "a place in al-Baḥrayn belonging to the 'Abd al-Qays" (but in Yāqūt, op. cit., III, 432, an island in the gulf). Al-Ṭabarī's text here, *Dārīn wa-ismuhā*

and some groups of the Banū Tamīm in Hajar; and those members of the Bakr b. Wā'il who were in Kirmān (the so-called Bakr Abān)<sup>157</sup> and those of them from the Banū Ḥanzalah at al-Ramaliyyah in the province of al-Ahwāz.<sup>158</sup>

He gave orders for the building of a city in the Sawād, which he called Buzurj Sābūr, [that is, Ukbarā, and another city which he

*Hayj*, is disturbed, and should be corrected to *Dārīn wa-Samāhīj* in the light of the parallel passage in Ibn al-'Adīm's *Bughyat al-ṭalab*. It is not surprising that Nöldeke, trans. 57 n. 2, was unable to find anything about a putative place called Hayj. In fact, we have here reference to two distinct places. Dārīn was the main town of the island of Tarut, still known today as such and lying in the bay off the eastern Arabian coast near the modern towns of al-Qaṭīf and al-Dammām. Samāhīj was a village on the island of al-Muḥarraḡ lying just off the northern edge of the main al-Baḡrayn island (and now linked to it by a causeway); it is mentioned around this time as the seat of a Nestorian Christian bishopric. See the detailed discussion of these place-names by Joëlle Beaucamp and Chr. Robin, "L'évêché nestorien des Māshmāhīg dans l'archipel d'al-Baḡrayn (V<sup>e</sup>-IX<sup>e</sup> siècle)," 171-96; D. T. Potts, *The Arabian Gulf in Antiquity*, II, 151-52.

157. Bakr b. Wā'il were also a tribe of Rabī'ah, originally nomadizing in the Yamāmah region, but, also like the Taghlib, migrating northward to the desert fringes of the lower and middle Euphrates. Hence they came into contact with the Lakhmids, especially after Taghlib moved on again into Upper Mesopotamia, and began to clash with the rival pastoralists of Tamīm, a discord subsequently reflected in the events leading up to the skirmish of Dhū Qār (see al-Ṭabarī, I, 1030, pp. 359-60 below). Several leading poets of Bakr, including Ṭarafah b. 'Abd, al-Ḥārith b. Ḥillizah, and al-A'shā Maymūn, came within the cultural ambit of the Lakhmid court. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Tables 141, 162-66, II, 22-23, 223; *EP*<sup>2</sup>, s.v. Bakr b. Wā'il (W. Caskel).

Abān was a place in eastern Fārs, lying to the southeast of Yazd in the district of Rūdhān, and is now the modern town of Anār. See Nöldeke, trans., 57 n. 3; Le Strange, *Lands*, 286; Schwarz, *Iran*, 21, 191-92.

158. Ḥanzalah b. Mālik were a subdivision of the great tribe of Tamīm b. Murrah or Ma'add, forming the main group within its branch of Zayd Manāt. Tamīm's center was in Yamāmah, where they were rivals and opponents of the Rabī'ah tribes of Taghlib and Bakr. Tamīm in general had close connections with the Sāsānids and Lakhmids, cooperating with the Persian authorities in Hajar and policing trade routes across central Arabia to Yemen for the above two powers. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Tables 59, 68, 72-73, II, 7-10, 298; *EP*<sup>2</sup>, s.vv. Ḥanzala b. Mālik (W. Montgomery Watt) and Tamīm b. Murra (M. Lecker).

Al-Ramaliyyah may well be the Qaryat al-Ramal of subsequent Islamic times situated in western Khūzistān, between Qurqūb and the Nahr Tīrā on or near the Karkhā affluent of the Kārūn. In the later third/eighth century, it was the scene of amphibious operations led by the Regent al-Muwaffaq against the Zanj rebels, according to al-Ṭabarī, III, 1952-53, tr. P. M. Fields, *The History of al-Ṭabarī, an Annotated Translation*, XXXVII, *The 'Abbāsīd Recovery. The War against the Zanj Ends*, 17-18. See Nöldeke, trans. 57 n. 4; Schwarz, *Iran*, 368.

called Fayrūz Sābūr, that is] al-Anbār.<sup>159</sup> He further founded in the province of al-Ahwāz two cities, one called Īrān-Khurrah-Sābūr, which means "Sābūr and his land," and which is called in Syriac al-Karkh, and the other al-Sūs, a city he built at the side of the fortress that has within it a sarcophagus containing the corpse of the prophet Dāniyāl (Daniel), may God pray over him and grant him peace.<sup>160</sup> He led an expedition into the land of the Romans, took a great number of prisoners there, and then planted [them] in the city of Īrān-Khurrah-Sābūr, which the Arabs called al-Sūs after

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159. The interpolated words were supplied by Nöldeke; see text, n. e, and trans. 57 n. 5. 'Ukbarā, Syriac 'Okbarā, was a town on the east bank of the Tigris above Ctesiphon, famed for its gardens and vineyards. Arabic authorities like Ibn Khurradādhbih, Ḥamzah al-Īsfahānī and Yāqūt confirm that its Persian name was indeed Buzurg Shāpūr. See Yāqūt, *Buldān*, IV, 142; Le Strange, *Lands*, 50–51. Anbār (for which, see n. 77 above) was doubtless meant as one of the fortified points in the belt of strongholds and garrisons (*masāliḥ*) protecting the Sawād, and would have had Arab auxiliaries planted along this line as frontier guards, the equivalent of the *limitanei* of the Romans and Byzantines on the opposite, western side of the Syrian Desert. Not mentioned by al-Ṭabarī but known from other Arabic sources, e.g., al-Balādhurī, *Futūḥ*, 298, is the defensive trench and rampart, the *khandaq Sābūr*, which the Sāsānid ruler had dug out from Hīt in the north to al-Kāzimah, south of where the Islamic *misr* of al-Basrah was later to be laid out. See Altheim and Stiehl, *Die Araber in der Alten Welt*, II, 349–50; Frye, "The Political History of Iran under the Sasanians," 138.

160. The text is somewhat disturbed here, as pointed out by Altheim and Stehl, *Die Araber*, II, 352. The definition "Sābūr and his land" must in reality go with Īrān-Shahr-Sābūr, this being the first of Shābūr's two foundations; the second, Īrān-Khurrah-Sābūr, must be Sūs, especially as Ḥamzah al-Īsfahānī, 47, specifically equates Khurrah Sābūr with al-Sūs. We thus have Īrān-Shahr-Sābūr = Karkh, and Īrān-Khurrah-Sābūr = Sūs.

Both towns lay in the ancient region of Susiana. Al-Karkh[ah] (Syriac *karkh* = "fortified town") appears in the Eastern Christian sources as Karkhā dhə Lēdhān or Rēdhān, and was the seat of a Nestorian bishop; it was situated near Susa/al-Sūs, with its present site marked by the ruins called locally Aywān-i Karkh. See Yāqūt, *Buldān*, IV, 449; Marquart, *Ērānšahr*, 145; Le Strange, *Lands*, 240; Barthold, *Historical Geography*, 185; Markwart-Messina, *Catalogue of the Provincial Capitals*, 97; Altheim and Stiehl, op. cit., II, 353–55; *EP*<sup>2</sup>, s.v. *Karkha* (P. Schwarz-A. Miquel); *Elr*, s.v. *Ērān-Xwarrah-Sābuhr* (Rika Gyselen).

[Al-]Sūs was the early Islamic form for the ancient city of Susa (also the seat of a bishopric in later Sāsānid and early Islamic times), which lay on the plain between the Kārūn and Kharkhā rivers. One of its greatest attractions for religious devotees was that it claimed to have the tomb of Daniel, the Islamic prophet Dāniyāl, although another town of Khūzistān, Rustar or Shushtar, also claimed to have it. See Yāqūt, *Buldān*, III, 280–81; Nöldeke, trans. 58 n. 1; Marquart, *Ērānšahr*, 144–45; Le Strange, *Lands*, 240–41; Schwarz, *Iran*, 358–64; Markwart-Messina, *Catalogue of the Provincial Capitals*, 96–90; Barthold, *Historical Geography*, 185–86; *EP*<sup>2</sup>, s.v. Dāniyāl (G. Vajda), al-Sūs (M. Streck-C. E. Bosworth).

shortening the name.<sup>161</sup> He gave orders also for the building of a city in Bā Jarmā, which he called Khunī<sup>162</sup> Sābūr (?), which he laid out as an administrative region (*kūrah*) and for the building of a city in the land of Khurāsān, which he named Naysābūr and likewise laid it out as an administrative region.<sup>163</sup>

Sābūr had made a truce with Qusṭanṭīn (Constantine), the King of the Romans, the one who built Constantinople and who was the first king of the Romans who became a Christian.<sup>164</sup> Qusṭanṭīn died, and his kingdom was divided between three of his sons, who also died, so that the Romans appointed as their king a man from Qusṭanṭīn's house named Lulyānūs (Julian[us]), who was an adherent of the religion of the Romans that had prevailed before Christianity.<sup>165</sup> He used to conceal this, and ostensibly follow Christianity before he became king, but when he actually came to power he openly proclaimed his adhesion to the religion of the [ancient] Romans, restored it in its former state, and gave orders for its revival. He commanded that the churches should be pulled

161. On Shābūr's deportations and plantations of prisoners of war, see Nöldeke, trans. 59 n. 1; *Elr*, s.v. Deportations. ii. In the Parthian and Sasanian Periods (E. Ketterhofen), at VII, 299.

162. Text, *J.nī*, thought Nöldeke though that the whole name was dubious. For Bā Jarmā, see al-Ṭabarī, I, 827, p. 32 and n. 98 above.

163. Naysābūr, the Arabised form of MP Nēw-Shāhbuhr, NP Nīkū Shābūr Nīshābūr, less correctly Nīshāpūr, "fair [city] of Shābūr." Some sources attribute its foundation to Shābūr I, e.g., Ḥamzah al-Isfahānī, *Ta'rikh*, 44, presumably to be considered as part of his consolidation of power in the realm described in al-Ṭabarī, I, 819–20, pp. 14–15 above. Others attribute its foundation to Shābūr II, and if he was its founder, this action may have been part of his activities in the East against the "Kushans," *Cuseni*, which are poorly documented but which apparently fell within the 350s or 360s while Shāpūr was also disputing control of Armenia with the Romans. What may have happened was a rebuilding by Shābūr II of his great-grandfather's original foundation. See in addition to the references in n. 59 above, Yāqūt, V, 331–33; Nöldeke, trans. 59 n. 3; Marquart, *Ērānshahr*, 50; R. Ghirshman and T. Ghirshman, *Les Chionites-Hephtalites*, 70–74.

164. Constantine the Great (r. 324–37). See on him *PW*, IV/1, s.v. Constantinus (der Grosse) (Benjamin).

165. Julian the Apostate (r. 361–63), successor to Constantine I's second son Constantine II, was actually the son of a half-brother of Constantine the Great. See on him *PW*, XI/1, s.v. Iulianus (Apostata) (E. von Borries). The rendering here with initial *l*- stems from a Syriac form of the name, see Nöldeke, trans. 60 n. 1, who also in 59 n. 4 points out that the following information is of no historical value, but must stem from the Syriac romance of the Emperor Julian, see his "Ueber den syrischen Roman von Kaiser Julian," 291–92 (see on this romance p. 63 n. 173 below).

down and that the bishops and learned scholars of the Christians should be killed. He assembled contingents of the Romans and Khazars,<sup>166</sup> and of the Arabs who were within his kingdom, in order to use them for making war on Sābūr and the armies of Persia.

The Arabs seized that opportunity as an occasion for revenge on Sābūr for his killing the Arabs. One hundred and seventy thousand Arab warriors were gathered together in Lulyānūs's army.<sup>167</sup> The latter sent them forward under the command of one of the Patriarchs of the Romans called Yūsānūs (Jovian[us]),<sup>168</sup> whom he placed in charge of his vanguard. Lulyānūs marched on until he

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166. Nöldeke, trans. 60 n. 2, thought that this anachronistic mention of the Turkish Khazars was an interpolation in the text of al-Ṭabarī's source, since the Khazars do not firmly appear in Middle Eastern history till the early seventh century, when they were allies of Byzantium against the Persians in Transcaucasia; see *EP*<sup>2</sup>, s.v. Khazar (W. Barthold and P. B. Golden). Shahīd has suggested, in his *Byzantium and the Arabs in the Fourth Century*, 116 n. 38, that al-Ṭabarī's "Khazars" were probably Goths (an important auxiliary element in the Roman forces at this time, the same nation whom Ammianus Marcellinus (see below, n. 167) calls "Scythians"), but this seems unlikely. It is admittedly true that the Scythians, the original Goths, and the Khazars all inhabited the South Russia-lower Volga basin region.

167. The undeniable, in many ways obscure, Arab dimension to Julian's short, eighteen-months' reign, has been considered in close and perspicuous detail by Shahīd in his *Byzantium and the Arabs in the Fourth Century*, especially at 82–86, 110ff. and 132–37, depending, on the classical side, on such sources as the Latin historian Ammianus Marcellinus's *Res gestae*, the Greek Libanius's *Orationes* and some church historians. Julian's own attitude toward the Arabs was somewhat ambiguous, but became generally hostile: he himself describes them as *lēstas* "robbers," echoing Ammianus's stigmatizing of them as a *natio perniciosā*. But here it is very probably the *Sarakenoi*, the nomadic Arabs of the desert interiors of Syria, Palestine, Sinai, and Egypt who are envisaged; whereas, the Romans' prime contact with the Arabs was with the sedentary or semisedentary ones of the borderlands between the desert and the town, the *foederati* or confederates, many of them Christianized, those who at the time of the Arab conquests are described in the sources as *musta'ribah*. Shahīd argues that Julian failed to make the best use of the Arab auxiliary element in his forces when he thrust through the northern part of the Syrian Desert via his concentration point at Callinicum (the later Islamic al-Raqqah) across the Euphrates at Ctesiphon. For the Arabs were familiar with the terrain, as well as with the Middle Eastern climate, whereas the Roman troops were more used to fighting in the temperate climes of Europe and the Mediterranean basin. Also, whereas many elements back in Rome were lukewarm about the Persian campaign, arguing that the threat from the Goths was a more imminent one than that from the Persians, the Arabs were, as al-Ṭabarī notes, eager to take vengeance for Shābūr's savage treatment of their compatriots in eastern Arabia.

168. The Syriac form of Jovian's name, according to Nöldeke, trans. 60 n. 4.



reached the land of Persia. When Sābūr got news of the magnitude of Lulyānūs's army—Romans, Arabs, and Khazars—he became alarmed, and sent out spies to bring back to him information about the size of their forces and their state of fighting spirit and effectiveness for wreaking damage. But the reports these spies brought back to him concerning Lulyānūs and his troops were at variance with each other. Hence Sābūr disguised himself and went along with a group of some men from his trusty entourage to see for themselves the opposing army. When he drew near to the army of Yūsānūs, the commander of Lulyānūs's vanguard, he sent forward a small group from those accompanying him to Yūsānūs's army, in order that they might ferret out information and bring back to him authentic reports. However, the Romans became aware of them, seized them, and brought them back to Yūsānūs. Not one of them confessed the purpose for which they had gone out to Yūsānūs's army except for one man, who told Yūsānūs about the whole affair, exactly as it was, and where Sābūr was, and who asked Yūsānūs to send back with him a detachment of troops so that he might bring Sābūr back to them. But when Yūsānūs heard this story, he sent to Sābūr one of his own close intimates who would tell him what Yūsānūs had learned about Sābūr's position and warn him. Sābūr accordingly rode away rapidly, back to his own army. The Arab troops in Lulyānūs's army asked him for permission to launch an attack on Sābūr and he acceded to their request. So they advanced toward Sābūr, fought with him, routed his force and wrought great slaughter among them. Sābūr fled, together with what remained of his army, and Lulyānūs took possession of Sābūr's seat of power, the city of Ctesiphon, and seized Sābūr's stores of wealth and treasures there.<sup>169</sup>

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169. Julian's army advanced into Persian Mesopotamia in spring 363 and won a great victory over Shābūr outside Ctesiphon. Al-Ṭabarī emphasizes the outstanding role of the Arab auxiliary troops here, presumably as mounted lancers within the cavalry division of the army, whereas Ammianus Marcellinus does not mention it. Shahīd, *Byzantium and the Arabs in the Fourth Century*, 117, notes the Latin historian's dislike of the Arabs, and thinks that the truth may be somewhere in between the two partisan viewpoints; for Nöldeke, however, trans. 61 n. 1, the emphasis on the Arab role was a later addition to the story by an Arab hand concerned to vaunt the exploits of his nation. Despite the victory in the field over the Persian army, Ctesiphon resisted a siege by the Romans (*pace* al-Ṭabarī's information here that it was captured), and in June 363 Julian and his forces turned

Sābūr at that point sent letters to those elements of his army in distant regions, telling them what he had suffered at the hands of Lulyānūs and his Arab contingents, and he ordered all the commanders there to hasten back to him with the troops of his army under their command. Very soon, armies from all quarters of the land had gathered round him. He then marched back again, attacked Lulyānūs, and recovered from him the city of Ctesiphon. Lulyānūs encamped with his army at the city of Bih-Ardashīr<sup>170</sup> and in the region nearby. Envoys were at this point going backward and forward between him and Sābūr. One day, however, Lulyānūs was seated in his chamber when a stray arrow, from an invisible hand, struck him in the heart and killed him.<sup>171</sup>

The hearts of his troops were thrown into perturbation, and they became fearful because of what had happened to him. They fell into despair about extracting themselves from the land of Persia. They coalesced into an advisory council, with no king or leader, and asked Yūsānūs if he would take over the business of ruling, and they would accordingly raise him to the throne. He refused this, however, and when they pressed him, he told them that he was a Christian and that he would not rule over a people who were opposed to him in religion; but the Romans told him that they were really Christians too, and that they had only concealed this in fear of Lulyānūs. So he agreed to their request, and they made him their king and publicly displayed their Christian faith.<sup>172</sup>

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back toward Antioch. Al-Dīnawarī, *al-Akhhār al-tiwāl*, 50, states that Julian's army occupied the city of Ctesiphon but were held up outside its citadel (*qaṣr*), with Julian killed by a stray arrow in the course of the siege.

170. That is, Seleucia, the Bihrasīr of al-Ṭabarī, I, 819, p. 15 above.

171. The circumstances of Julian's sudden death in June 363 were mysterious. Contemporaries were unsure whether he was killed, as al-Ṭabarī says, by a stray arrow or lance thrust, or whether he was struck down by an assassin; and if the latter, was the murderer in the pay of the Persians or one from Julian's own troops? The possibility that the assassin was an Arab and that it was the result of a grudge against the emperor (since according to Ammianus, Julian had withheld the Arab troops' pay) is discussed by Shahīd, *Byzantium and the Arabs in the Fourth Century*, 124-31.

172. On Julian's death, the Roman troops offered the imperial crown first to the Praetorian Prefect Salutius Secundus, who refused it, and then to the commander Jovian. See E. Stein, *Histoire du Bas-Empire*, I, 169-71.

[843] Sābūr came to know about Lulyānūs's death, and sent a message to the commanders of the Roman army in which he said, "God has brought you into our power and has made us to prevail over you, in return for your violence towards us and your trampling over our land. We hope that you will perish there from hunger without our having to wield a sword against you in battle or to point a spear at you; but dispatch to us a leader [to treat with us], if you have appointed a leader over yourselves." Yūsānūs resolved to go to Sābūr, even though none of his army commanders agreed with his judgment in this. Nevertheless, he insisted on following his own view here and went to Sābūr with a guard of eighty of the noble warriors from his camp and from his army, and wearing his crown. Sābūr received news of his coming; he went out to meet him, and each of them prostrated themselves before the other [in obeisance], and then Sābūr embraced Yūsānūs out of thankfulness for what he had previously done for him (i.e., letting him escape back to his camp). He feasted with Sābūr that day and felt at ease. Sābūr sent a message to the commanders of the Roman army and their leaders informing them that, if they had raised to power anyone except Yūsānūs, their destruction in the land of Persia would have ensued, and that their appointing Yūsānūs as their king had [alone] preserved them from his violence. Yūsānūs's prestige became strong through his action [on this occasion].

Sābūr continued: "The Romans have launched attacks into our land and have killed a great number of people. They have chopped down date palms and other trees in the Sawād, and have ruined its agricultural prosperity. So either you must pay us the full value of what you have destroyed and ruined, or else you must hand over to us, in recompense for all that damage, the town of Nišibīn and its surrounding region." Nišibīn had previously been part of the kingdom of Persia, but the Romans had then conquered it. Yūsānūs and the leading commanders of his army agreed to Sābūr's demand for reparations, and handed over Nišibīn to him. The people of Nišibīn heard about this, and emigrated from there to various cities in the Roman empire, fearful of their safety under the power of a king opposed to their own religion (sc., to Christianity). When news of this reached Sābūr, he transferred twelve thousand persons of good lineage from among the people of Iṣṭakhr, Iṣbahān, and other regions of his country and his provinces, to Nišibīn, and

settled them there. Yūsānūs returned with his troops to Roman territory, where he reigned for only a short time and then died.<sup>173</sup>

Right up to his death, Sābūr became occupied with great eagerness in killing the Arabs and tearing out the shoulder-blades of their leaders; this was why they called him Dhū al-Aktāf "The Man of the Shoulders." Certain of the historians (*ahl al-akhbār*) mention that after Sābūr had wrought great slaughter among the Arabs and had expelled them from the regions they had entered, namely the lands adjoining Fārs, al-Baḥrayn and al-Yamāmah,<sup>174</sup> he went down into Syria and proceeded to the frontiers of the Roman empire.<sup>175</sup> He explained to his companions that he intended to enter the territory of the Romans in order to find out

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173. Jovian died at Dadastana in central Anatolia en route from Antioch to Constantinople, thus terminating his brief reign of slightly more than six months (363–64). See on him *PW*, IX/2, s.v. Iovianus (Seeck). It seems quite likely that many Christians did flee from Nišibīn before the Persians and that Shāpūr did resettle Persians from the interior of his kingdom in this strategically placed city of Nišibīn. The infusion of a Persian ethnic element there helps perhaps to explain the city's preference thereafter for the Persian connection. Jovian's action in making peace with Shāpūr enabled the Roman army to withdraw intact, but at the price of the cession of Nišibīn, Singara (Sinjār), and the territories in Upper Mesopotamia conquered by Diocletian more than sixty years before. The peace treaty was, however, regarded by contemporaries in the Roman empire as a dishonorable one. Agathias expressed this view when he called it "a shameful and disgraceful truce, so bad that it is even now [i.e., ca. 570] harmful to the Roman state"; see Cameron, "Agathias on the Sassanians," 124–25, 146. From this time onward, Nišibīn was to be the great bastion of Persian arms against Roman and Byzantine attacks and pressure from the west, and was never to return to Christian control.

The preceding story of the Roman-Persian warfare during Shabūr's reign is paralleled in the account of these events in the Syriac romance of the Emperor Julian, an original Syriac work and not a translation from Greek, probably written in Roman-held Edessa. Nöldeke thought that it was directly or indirectly known to the Arabs, since al-Ṭabarī's account, derived from Ibn al-Kalbī, accords in general with the romance, especially in such episodes as Julian's being killed by a stray arrow, Shābūr's secret visit to the enemy encampment and his personal understanding with Jovian. See his "Ueber den syrischen Roman von Kaiser Julian," 263–92.

174. This is a sketchy and not very accurate résumé of Shabūr's campaigns in eastern Arabia in the year 326 already described by al-Ṭabarī, I, 836–37, pp. 51–52 above.

175. The following story apparently contradicts the previous narrative of the warfare of Julian and Jovian with Shābūr, but is in Nöldeke's view, trans. 64 n. 2, really a genuine Persian recounting, not of events in the time of Shābūr II but of those in the time of Shābūr I (see al-Ṭabarī, I, 826–27, pp. 28–31 above), the captured Qayṣar being, of course, Valerian.

their secrets and to acquire information about their cities and the numbers of their troops. Accordingly, he entered Roman territory and wandered about there for a considerable period of time. News reached him that Qayṣar had given a great feast and had ordered all the people to be gathered together to attend his feast. Sābūr set out, therefore, disguised as a beggar, with the aim of attending that gathering, so that he might thereby see Qayṣar, familiarize himself with his appearance, and discern how he behaved at his feast. But his identity was discovered; he was arrested and Qayṣar gave orders for him to be wrapped in a bull's hide.

Qayṣar now traveled with his troops toward the land of Persia, bearing with him Sābūr in that condition. He made extensive slaughter and destroyed many cities and villages, and cut down date palms and other trees until finally he came to the city of Junday Sābūr. The local people had fortified themselves in it, but Qayṣar set up ballistas (*majānīq*) and demolished part of the city. While matters stood thus, the Roman guards entrusted with watching over Sābūr were negligent one night. There were some prisoners from al-Ahwāz in his vicinity, so he instructed them to pour oil from nearby skins on to his bonds. They did this; the ox hide became soft, and he wriggled out of the bonds. He then slipped quietly away until he drew near to the city gate. He told the city guards who he was. When he came out among its people, they were overjoyed at seeing him. Their voices were raised in praise and invocations to God, to such an extent that Qayṣar's troops woke up at the sound of the voices.<sup>176</sup>

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Sābūr gathered together all those who were in the city, provided them with weapons and equipment, and marched out against the Romans that very same night toward the morning. He killed the Romans, took Qayṣar captive and seized as booty his treasures

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176. The whole story of Sābūr's foray into the Roman camp, his capture, and escape, is part of a well-known topos, that of the prince slipping behind enemy lines in disguise for spying purposes. Nöldeke, trans. 65 n. 1, cites such a role being ascribed to Alexander the Great in the *Alexander Romance*, the legendary biography of the emperor by Pseudo-Callisthenes (whence taken over by Firdawsī into the Persian epic) [see Nöldeke, "Beiträge zur Geschichte des Alexanderromans," 1-56]; to a Roman emperor, probably Galerius (r. 305-11) by Synesius; and here to Shābūr, being taken, as already noted, from the Syriac Julian Romance.

and his womenfolk. Then he loaded Qayṣar with iron fetters and required him to restore to prosperity all that he had ruined. It is said that Sābūr required him to bring earth from the Roman lands to al-Madā'in and Junday Sābūr, so that he might thereby restore what he had destroyed, and to plant olive trees in place of the date palms and other trees he had chopped down. Then he cut off Qayṣar's heels, sewed them up, and sent him back to the Romans on an ass with the words, "This is your punishment for your crimes against us." Because of that, the Romans abandoned the use of straps over the heels (i.e., for shoes and sandals) and sewed up the parts of the shoes hanging down over the feet.<sup>177</sup>

Sābūr remained in his kingdom for a considerable time, and then he led an expedition against the Romans. He killed many of them and took many captives. He settled these last in a city he built in the vicinity of al-Sūs and called it Irānshahr-Sābūr. Then he sought peace with the Arabs, and settled some tribes of Taghlib, 'Abd al-Qays, and Bakr b. Wā'il in Kirmān, Tawwaj,<sup>178</sup> and al-Ahwāz. He built the city of Naysābūr and other cities in Sind and Sijistān.<sup>179</sup> He had a physician brought from India and established him at al-Karkh by al-Sūs; when this man died, the people of Sūs

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177. Nöldeke observed in his text, n. b, that he had not correctly understood this last sentence when he made his translation, 66. Other Arabic sources, including Ibn Qutaybah, *Ma'ārif*, 657-58, and al-Mas'ūdi, *Murūj*, II, 181-83 = §§ 605-606, 608, give the story of Sābūr's spying mission, his capture, his being sewn up in a bull's hide, and his escape. This last historian, *ibid.*, II, 184 = § 607, gives the story of Sābūr's mutilation of the captured Qayṣar in a slightly different form: that he shod with iron the Roman's feet after cutting his Achilles tendons and cauterizing his heels, which is why the Rūm subsequently did not shoe their horses with iron or themselves wear boots with heels (*al-khifāf al-mu'aqqabah*).

178. Tawwaj or Tawwaz was an ancient town, the Taokē mentioned in the itinerary of Alexander's Indian campaign and in the classical geographers. It lay in western Fārs on the Shābūr river about midway between Kāzarūn and the Persian Gulf shore. In early Islamic times it was an important center of the textile trade, but in later mediaeval times it fell into ruins, and its exact site is now unknown. See Yāqūt, *Buldān*, II, 56-57; Le Strange, *Lands*, 259-60; Schwarz, *Iran*, 66-68; Wilson, *The Persian Gulf*, 74-75; Markwart-Messina, *A Catalogue of the Provincial Capitals*, 94-95; Barthold, *Historical Geography*, 163; *El*, s.v. Tawwadj [C. E. Bosworth].

179. It is unlikely that Shābūr could have built cities in a frontier province like Sijistān, disputed with the Sakas and their epigoni, and almost impossible that he could have constructed any in the Indian province of Sind, at this time under local Brahman rulers.

inherited his medical skill, and for this reason the people of that region are the most expert of medical practitioners among the Persians.<sup>180</sup> Shābūr bequeathed the royal power to his brother Ardashīr (II). Sābūr's reign lasted seventy-two years.<sup>181</sup>

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180. Although the Arabic sources mention an Indian connection for the foundation of medical studies as Sūs and Jundayshābūr, it is difficult to discern any factual basis for this beyond a general belief in early Islamic society that Indian physicians were especially skillful, a belief that was perpetuated because of the infinitesimal number of Indian physicians known against which the belief could be tested (but cf. the story given by al-Ṭabarī, III, 747-48, of Hārūn al-Rashīd's summoning from India the physician Mankah to treat an obstinate illness). Much clearer than Indian influences are the undoubted Hellenistic ones brought by persons resettled in Khūzistān from the Byzantine lands and by Nestorian Christian immigrants, who brought the traditions and techniques of the medical schools of Antioch and Alexandria. See *EP*<sup>2</sup>, s.v. Gondēshāpūr (Aydın Sayılı).

181. Shābūr II's reign was 309-79. Shabūr's name appears on his coins as ŠHPW-ĦRY. See on his coins Paruck, *Sāsānian Coins*, 58-59, 341-52, 430-35, Plates IX-XI, Tables VI-VIII, Göbl, *Sasanian Numismatics*, 46-47, Table VI, plates 6-7; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 99-103; Malek, "A Survey of Research on Sasanian Numismatics," 234-35.

The other Arabic sources on his reign include Ibn Qutaybah, *Ma'ārif*, 656-59; al-Ya'qūbī, *Ta'rikh*, I, 182-83 (his raids on and violence against the Arabs, and his wars with the Romans); al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 47-51 (a very detailed section: the raids into Jazīrah and the Sawād by a Ghassānid king [cf. Shahīd, *Byzantium and the Arabs in the Fourth Century*, 117], Shabūr's attacks on al-Ḥaḍr, his war with Julian [here called Mānūs!] and acquisition of Nišībīn, and his urban foundations); al-Mas'ūdī, *Murūj*, II, 175-89 = §§ 601-11 (much detail on the attacks of the Arabs on Iraq and Shābūr's revenge, his adventures in Rūm and his plantation of Byzantine captives in Khūzistān); idem, *Tanbīh*, 100, trans. 144; Ḥamzah al-Isfahānī, *Ta'rikh*, 47-48 (his raids on the Arabs, his being captured while spying in Rūm, his captivity, his gaining of Nišībīn, and his building works); Ibn al-Athīr, *Kāmil*, I, 392-97. Of Persian sources, see Ṭabarī-Bal'ami, trans. II, 91-102. Of modern studies on Shābūr II's reign in general, see Christensen, *Sassanides*, 234-53; Frye, *The Heritage of Persia*, 224; idem, "The Political History of Iran under the Sasanians," 132-41, 178; *EP*<sup>1</sup>, s.v. Shāpūr (V. F. Büchner); *EP*<sup>2</sup>, s.vv. Sāsānids (M. J. Morony), *Shāpūr* (C. E. Bosworth).

Nöldeke, trans. 68 n. 1, noted that the Arabic and Persian sources used by al-Ṭabarī and other Islamic writers mention nothing of Shābūr's persecutions of the Christian community in Persia and, to a lesser extent, of the Jews and Manicheans. The great sufferings of the Christians are, however, known to us from the Syriac *Acts of the Martyrs* and from such works as Sozomenus's *Ecclesiastical History*. Thus in 341 the Catholicos in the see of Seleucia-Ctesiphon (whose primacy had only become established with difficulty in the mid-fourth century), Simon Bar Šabba'ē, was martyred after he had protested his inability to burden his indigent community with the capitation tax at double rate in order to finance Shābūr's wars with the Romans/Byzantines; and two years later, Narsēs, the metropolitan of Bēth Garmāyē, was arrested and executed. Given that Shābūr was

[*The History of al-Ḥīrah*]

During the reign of Sābūr, his governor over the desert fringes of Muḍar and Rabī'ah was Imru' al-Qays al-Bad' b. 'Amr b. 'Adī b. Rabī'ah b. Naṣr, and then Sābūr appointed over the latter's governorship his son, 'Amr b. Imri' al-Qays, according to what has been mentioned. He remained in the office for the remainder of Sābūr's reign, the whole of his brother Ardashīr (II), son of Hurmuz (II) b. Narsī's reign and part of that of Sābūr (III), son of Sābūr (II). The total length of his governorship over the Arabs, as I have just mentioned, and his exercise of authority over them, amounted to thirty years, according to Ibn al-Kalbī.<sup>182</sup>

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[*Ardashīr II*]

Then there took charge of the royal power, after Sābūr (II) Dhū al-Aktāf, his brother Ardashīr.

[He was] the son of Hurmuz (II), son of Narsī, son of Bahrām (II), son of Bahrām (I), son of Hurmuz (I), son of Sābūr (I), son of Ardashīr (I), son of Bābak. After he was crowned, he sat there [to receive] the great men of state. When they came into his presence, they prayed for his victoriousness and conveyed thanks to him for his brother Sābūr. Ardashīr replied to them enthusiastically and told them about the warm place in his heart for their thanks to him regarding his brother. When he was securely on the throne, he turned his attention to the great men and the holders of authority,

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indeed much involved with warfare against the Christian Romans/Byzantines, a political element was doubtless at work here, but the king is known to have hated the Christians and to have been a keen enforcer of Zoroastrian orthodoxy within his dominions. Christians could not accept elements like the sun, earth, and fire as objects of cultic reverence, and found such Zoroastrian practices as marriage within close degrees of relationship as equally abhorrent. Shābūr's reign was the worst thirty or forty years or so that the Christians of Persia had to endure, and the total ruin of Christianity within Persia was only averted by his death and the succession of kings who either did not wish to continue his policy or did not have the means to do so. See Labourt, *Le Christianisme dans l'empire perse*, 20-25, 43-82; J. P. Asmussen, "Christians in Iran," 936-39. Shābūr's reign concomitantly saw a rise in power and prestige of the Zoroastrian priesthood, whom a strong monarch like Shābūr could afford to cultivate and shower with favors.

182. The middle and later decades of the fourth century are an obscure period in the history of the Lakhmids. See Rothstein, *Lahmiden*, 41ff.



and killed a great number of them, The people then deposed him from power after a reign of four years.<sup>183</sup>

[*Sābūr III*]

Then there assumed the royal power Sābūr.

He was the son of Sābūr (II) Dhū al-Aktāf, son of Hurmuz (II), son of Narsī. The subjects rejoiced at his accession and at the return of his father's royal authority to him. He met with them in the most handsome way possible, and wrote letters to the provincial governors enjoining them to good conduct and to kindness with the subjects, and he ordered the same thing to his viziers, secretaries, and court entourage, and addressed them in eloquent terms. He continued to behave justly to his subjects, showing compassion to them because of the love, affection, and obedience they clearly bore him. His paternal uncle, the deposed Ardashīr (II), behaved submissively toward him and vouchsafed obedience to him. But the great men of state (*al-'uẓamā'*) and the members of noble houses (*aḥl al-buyūtāt*) cut the ropes of a large tent Sābūr had had erected in one of his palace courts, and the tent fell down on top of him [and killed him]. He had reigned for five years.<sup>184</sup>

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183. Ardashīr II's reign was 379–83. As Nöldeke, trans. 69 n. 2, remarked, Ardashīr must have been an old man when he came to the throne, since he was only slightly younger than his half-brother Shābūr II, and he lived on into the next reign of Shābūr III (see below). Ardashīr had been a governor at Ḥajab in Adiabene for Shābūr II, and his depiction of himself in the Tāq-i Bustān rock reliefs, together with his brother, as subduers of a slain Roman emperor (possibly Julian; see R. Sellheim, "Tāq-i Bustān und Kaiser Julian (361–363)," 354–66), implies that he participated in Shābūr's wars with the Romans. Al-Ṭabarī's information that Ardashīr slaughtered many of the nobility points to his being a strong personality who continued Shābūr's policy of firm rule. Ardashīr's name appears on his coins as 'RTHŠTR. See on his coins Paruck, *Sāsānian Coins*, 59, 352–53, 435–36, XII, Table VIII; Göbl, *Sasanian Numismatics*, 47, Table VII, Plate 7; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 104–105; Malek, "A Survey of Research on Sasanian Numismatics," 235.

The other Arabic sources on his reign include Ibn Qutaybah, *Ma'ārif*, 659; al-Ya'qūbī, *Ta'rikh*, I, 183; al-Mas'ūdī, *Murūj*, II, 189 = § 611; idem, *Tanbīh*, 100, trans. 144; Ḥamzah al-Isfahānī, 48; Ibn al-Athīr, *Kāmil*, I, 397. Of Persian sources, see Ṭabarī-Bal'amī, trans. II, 102. Of modern studies, see Christensen, *Sassanides*, 254–55; Frye, "The Political History of Iran under the Sasanians," 141, 178; *Elr*, s.v. Ardashīr II (A. Sh. Shahbazi).

184. Shābūr III's reign was 383–88. As Nöldeke, trans., 70 n. 2, observed, the

## [Bahrām IV]

Then there assumed the royal power after him his brother Bahrām (IV).

[He was] the son of Sābūr (II) Dhū al-Aktāf, and had the title of Kirmān-Shāh, since his father Sābūr had made him governor of Kirmān during his own lifetime.<sup>185</sup> He wrote a letter to his army commanders urging them to obedience and adjuring them to fear God and to furnish sound advice to the king. At Kirmān he built a city. He governed his subjects in a commendable fashion and was praised for his rule. His reign lasted eleven years. A group of murderous evildoers rose up against him, and one of them killed him by shooting an arrow at him.<sup>186</sup>

briefness of the reigns of Shābūr III and his two successors, as well as their violent ends, show that this was a "time of troubles" for the Sāsānid state, with enfeeblement of the crown and aggrandizement of the nobility. It was fortunate for the Persians that Rome was largely preoccupied with the Goths, the readjustments to the frontiers between Persian-protected Armenia and the smaller, Roman-protected part were achieved peacefully in the reigns of Shābūr III and Bahrām IV. Shābūr's name appears on his coins as ŠHPWHRY. See on his coins Paruck, *Sāsānian Coins*, 59–60, 3534–56, 437–40, Plate XII, Table IX; Göbl, *Sasanian Numismatics*, 47–48, Table VII, Plate 8; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 106–107; Malek, "A Survey of Research on Sasanian Numismatics," 235.

The other Arabic sources on Shābūr's reign include Ibn Qutaybah, *Ma'ārif*, 659; al-Ya'qūbī, *Ta'rikh*, I, 183 (his death under the collapsing tent); al-Mas'ūdī, *Murūj*, II, 189 = § 611 (his wars against the Arabs of Iyād and other tribes; a confusion with the activities of Shābūr II?); idem, *Tanbīh*, 100, trans. 144; Ḥamzah al-Iṣfahānī, 48; Ibn al-Athīr, *Kāmil*, I, 397–98. Of Persian sources, see Ṭabari-Bal'amī, trans. II, 89. For recent studies on his reign, see Christensen, *Sassanides*, 256–57, 259; Frye, "The Political History of Iran under the Sasanians," 141, 178.

185. It nevertheless seems more probable, as already noted by Nöldeke, trans. 71 n. 2, that Bahrām was a son of Shābūr III.

186. Bahrām IV's reign was 388–99. His name appears on his coins as WRĤR'N. See on his coins Paruck, *Sāsānian Coins*, 60–61, 356–60, 440–43, Plates XII–XIII, Table X; Göbl, *Sasanian Numismatics*, 48, Table VIII, Plate 8; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 108–11; Malek, "A Survey of Research on Sasanian Numismatics," 235.

The other Arabic sources on his reign include Ibn Qutaybah, *Ma'ārif*, 659; al-Ya'qūbī, *Ta'rikh*, I, 183 (his pursuit of justice and good rule); al-Dīnawarī, *al-Akḥbār al-ṭiwāl*, 51 (makes him the direct successor of his father Shābūr II) [*recte* III?]; al-Mas'ūdī, *Murūj*, II, 190 = § 612; idem, *Tanbīh*, 101, trans. 144; Ḥamzah al-Iṣfahānī, *Ta'rikh*, 48 (a proud, harsh ruler, negligent of his subjects' welfare); Ibn al-Athīr, *Kāmil*, I, 398. Of Persian sources, see Ṭabari-Bal'amī, trans. II, 90–91. Of modern studies on his reign, see Christensen, *Sassanides*, 253–54, 269; Frye, "The Political History of Iran under the Sasanians," 142–43, 178; *Elr*, sv. Bahrām IV (O.

## [Yazdajird I]

Then there assumed the royal power after him Yazdajird (I).<sup>187</sup>

He had the epithet of "The Sinful One" (*al-Athīm*),<sup>188</sup> and was the son of Bahrām (IV), who was called Kirmān-Shāh, son of Sābūr (II) Dhū al-Aktāf. Some of the scholars knowledgeable about the genealogies of the Persians say [on the other hand] that this Yazdajird the Sinful One was the brother of Bahrām, who had the title Kirmān-Shāh, and not his son, and they state that he was Yazdajird, son of Sābūr Dhū al-Aktāf. Among those who attribute this filiation to him and assert this, is Hishām b. Muḥammad (sc., Ibn al-Kalbī).<sup>189</sup>

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According to what has been mentioned, he was rough and harsh and possessed many defects. One of the worst and most serious of these last, it is said, was that he did not use his keenness of intellect, his good education, and the wide-ranging varieties of knowledge he had thoroughly mastered in their proper place, and also his extensive delving into harmful things and his use of all the powers he possessed for deceiving people, using his sharpness, wiles, and trickery — all this together with his keen mind, which had a propensity toward evil-doing, and his intense enjoyment in employing these faculties of his. Also, he scoffed at and poured scorn upon other people's knowledge and cultural attainments, counting them as of no account, and he paraded at length before people his own achievements. In addition to all that, he was ill-natured, of

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Klīma). The name of the town Bahrām built (when he was governor?) survives today as the small town of Kirmānshāh to the south-southeast of Yazd (and perhaps survives also in the name of the better-known Kirmānshāh of western Persia, cf. Nöldeke, trans., 71 n. 3).

187. Literally, "made by a god," Yazdgird being a MP formation from *yazad* (< Avestan *yazata*-) and *kird* (< Old Persian *kṛta*-) (*yazata*- denotes the lesser deities of Zoroastrianism, below the supreme position of Ahura Mazdā), Greek *Isdigerdēs*. See Nöldeke, trans. 72 n. 3; Justi, *Namenbuch*, 148-49; Chr. Bartholomae, *Altiranisches Wörterbuch*, cols. 443-48, 1279-80; Gignoux, *Noms propres sassanides*, no. 1047.

188. Ḥamzah al-Iṣfahānī, *Ta'rikh*, 49, and al-Khwārazmī, *Mafātiḥ al-'ulūm*, 103, give a Persian equivalent for *al-athīm al-mujrim*, which Nöldeke, trans. 72 n. 4, interpreted as *dabz* ("rough, harsh" = Arabic *ghalīz*) + *bazah* ("sin," whence *bazagar* "sinner").

189. The filiation is obviously confused here. Yazdagird I was the son of Shābūr III and thus the brother of Bahrām IV. See Nöldeke, trans. 73 n. 1; Frye, *The Heritage of Persia*, genealogical table at p. 295.

bad morals, and of depraved propensities, to the point that his bad nature and violent temper made him consider minor lapses as great sins and petty slips as enormities. As a result, no one, whatever close relationship he might have with him, ever dared to intercede on behalf of a person who had offended him in the slightest way. He was suspicious of people for the whole period of his life, and trusted no one in any thing whatever. He would never recompense anyone who had done a good service, but if he conferred the most exiguous benefit on a person, he made that out to be a great favor. If anyone was bold enough to speak to him over some matter which another person had already spoken to him about, he would say to him, "The person on whose behalf you have spoken to me, how much did he give you, or how much have you already received from him?"<sup>190</sup> Only delegations of envoys coming to him from the rulers of the various nations could speak with him on these things and similar topics. His subjects could only preserve themselves from his harshness and the affliction of his tyranny, and from the tout ensemble of his evil defects, by holding fast to the good customs of the rulers before his period of power and to their noble characters. They could only band together and help each other in the face of his reprehensible conduct and fear of his harshness. It was part of his policy that he should punish anyone guilty of an error in regard to him, or who had committed an offense against him, with such a severe penalty that the sum stipulated could never be gathered together by the offender in the space of three hundred years; and for the same reason, such a person would never be beaten with a number of lashes without expecting further punishment later on, which would be even more unpleasant. Whenever he received a report that one of his entourage had shown especial favor toward one of those dependent on him, or whom he had encouraged and patronized (*min ahl šinā'atihi*), or one of those of equal social standing (*[min ahl] ṭabaqatihi*), he sent him away from his service.<sup>191</sup>

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190. A recognition, if evidence were necessary, of the antiquity in Persian life of the tradition of offering presents, *pishkash*, in return for expected favors from a superior. See *EP*<sup>2</sup>, s.vv. Hiba. iv. Persia (H. Busse), *Pishkash* (A. K. S. Lambton).

191. The universally black picture of Yazdagird in the Islamic sources depending on the Persian historical tradition has been seen by modern scholars as the

[849] When Yazdajird had achieved power, he had appointed as his vizier Narsī, the outstandingly wise man of his age; Narsī was perfect in manners and education, excellent in all his conduct, and the preeminent figure among the men of his time. They used to call him Mihr Narsī or Mihr Narsih, and he had the by-name of al-Hazārbandah.<sup>192</sup> The subjects hoped that his policies and his abilities would take away some of Yazdajird's [bad] characteristics and that Narsī would have a beneficial effect on him. But when Yazdajird became firmly established on his throne, his contempt for the

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reflection of a struggle between the king and such powerful and ambitious classes in the state as the nobility and the Zoroastrian priesthood. Nöldeke, trans. 74 n. 3, adduced as a counterbalance to this image, promulgated by aristocratic and clerical circles, the very favorable image of the king in contemporary Christian sources, and other faiths such as Judaism seem to have enjoyed more freedom during his time. According to one story (not, however, very probable), he married Shōshendukht, daughter of the Rēsh Gālūthā, the Jewish Exilarch; see Neusner, "Jews in Iran," 915. While Frye, "The Political History of Iran under the Sasanians," 143–44, states that not all Christian sources are eulogistic, and there was some persecution toward the end of his reign, he does agree that Yazdagird was, in comparison with earlier emperors, tolerant toward minority faiths in Persia, even if this may have involved an element of *Realpolitik*, in that he sought the maintenance of peace and good relations with the Romans. One source optimistically states that Yazdagird was on the verge of becoming a Christian himself. Agathias calls Yazdagird "friendly and peaceable," a ruler who never once made war on the Romans; see Cameron, "Agathias on the Sassanians," 126–27. Procopius likewise praises Yazdagird's peace policy and retails the colorful story that the Roman emperor Arcadius (r. 383–408) entrusted his young son, the future Theodosius II, to Yazdagird's guardianship. See *The Persian Wars*, l.ii.1–10; Garsoian, "Byzantium and the Sasanians," 578–79; Cameron, *Procopius and the Sixth Century*, 153–54. Also, it was during Yazdagird's reign, in 410, that the first synod of the Nestorian Church on Sāsānid territory was held, under the headship of the Catholicos Mār Ishāq of Seleucia-Ctesiphon, and a hierarchy of metropolitan bishops, headed by Seleucia-Ctesiphon, set up. See Labourt, *Le Christianisme dans l'empire perse*, 87–109; Asmussen, "Christians in Iran," 939–40; *EP*<sup>2</sup>, s.v. Sāsānids (M. J. Morony); *Elr*, s.v. Christianity. 1. In Pre-Islamic Persia (J. R. Russell), at V, 525.

192. Literally, "having or commanding a thousand slaves," see Justi, *Namenbuch*, 128. Mihr Narsēh was from one of the noblest families in Persia, the Spendiyārs; for his genealogy, see al-Ṭabarī, I, 868–69, pp. 103–104 below. See on him Nöldeke, trans., 439, and Lukonin, "Political, Social and Administrative Institutions: Taxes and Trade," 704. That the emperor made him his chief minister immediately on his accession is improbable, as Nöldeke, trans. 76 n. 1, noted, seeing that Mihr Narsēh was active as a minister and field commander until forty years later in the reigns of Bahrām V and Yazdagird II; see al-Ṭabarī, I, 866, 868, 871, 872, pp. 99–100, 103, 106, 108 below.

nobles and great men of state grew intense, he bore down hard on the weak, shed copious amounts of blood, and exercised power in so tyrannical a manner as the subjects had never experienced in his time. When the prominent personages and the nobles perceived that Yazdajird was only rushing further into the paths of tyranny, they came together and complained [to God] about the oppression by Yazdajird from which they were suffering. They made humble supplications to their Lord and implored Him to send them a speedy deliverance from Yazdajird.

They assert that Yazdajird was in Jurjān.<sup>193</sup> One day, he looked out from his palace at a horse coming toward him, the like of whose fine appearance and perfection of form had never before been seen in a horse. It stopped at his gate. The people marveled at it because the beast was of an extraordinary nature. Yazdajird was told about it, and he then gave orders for it to be saddled and bridled. His grooms and the master of his stables all tried to do this but failed. Yazdajird was informed of the horse's refractoriness with them, so he went out personally to the spot where that horse was, placed a bridle on it with his own hand, threw a saddle blanket over its back and a saddle on top of it, secured the girth strap, and put a halter round its neck, without the horse moving an inch at any of this. Finally, he lifted its tail to fix the crupper, when the horse wheeled round behind him and struck him such a blow on the heart that he died from it. Subsequently, that horse was never seen again. It is said that the horse galloped off at a great pace, without anyone being able to catch up with it, nor could anyone ascertain the reason for its behavior. The subjects were thus freed from him and exclaimed, "This is God's work and a manifestation of His beneficence to us."<sup>194</sup>

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193. That is, the region at the southeastern end of the Caspian Sea, Gurgān, OP Vrkāna, classical Hyrcania. See Yāqūt, *Buldān*, II, 119–22; Marquart, *Ērānšahr*, 72–74; Le Strange, *Lands*, 376–78; Barthold, *Historical Geography*, 88; *EP*<sup>2</sup>, s.v. Gurgān [R. Hartmann-J. A. Boyle].

194. Following the reading of the Sprenger ms. given in Nöldeke's text, n. a, *khalaṣat al-ra'yyah minhu*. The remarkable mode of Yazdagird's death is closely linked with the story in the Perso-Islamic sources concerning his evil ways, as being a fittingly mysterious end for such an impious tyrant. See Nöldeke, trans. 77 n. 1.

Some state that Yazdajird reigned for twenty-two years, five months, and sixteen days, others that he reigned for twenty-one years, five months, and eighteen days.<sup>195</sup>

[*The History of al-Ḥīrah*]

When 'Amr b. Imri' al-Qays al-Bad' b. 'Amr b. 'Adī died during the time of Sābūr, son of Sābūr,<sup>196</sup> the latter appointed to his office Aws b. Qal(l)ām, according to Hishām [Ibn al-Kalbī]; Aws was one of the Amalekites, from the tribe of 'Amr b. 'Amaliq (or 'Imliq). But Jaḥjabā b. 'Atik b. Lakhm rose up against him and killed him, Aws having reigned for five years.<sup>197</sup> His death fell in the time of Bahrām, son of Sābūr Dhū al-Aktāf. There was appointed to succeed him in the office Imru' al-Qays al-Bad',<sup>198</sup> the son of 'Amr b.

195. Yazdagird I's reign was 399–420. His name appears on his coins as (L'MŠ-TRY) YZDKRTY, i.e., (Rāmsšahr) Yazdagird. See on his coins Paruck, *Sāsānian Coins*, 61–62, 360–62, 443–47, Plates XIII–XIV, Table XIII; Göbl, *Sasanian Numismatics*, 48, Table VIII, Plate 9; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 112–15; Malek, "A Survey of Research on Sasanian Numismatics," 235.

The other Arabic sources on his reign include Ibn Qutaybah, *Ma'ārif*, 659–60; al-Ya'qūbī, *Ta'riḫ*, I, 183 (both sources on his evil ways and tyrannical rule); al-Dīnawarī, *al-Akḥbār al-ṭiwāl*, 51–52 (his harsh rule and his sending his son Bahrām [V] to al-Ḥīrah for his education); al-Mas'ūdī, *Murūj*, II, 190 = § 612; idem, *Tanbīh*, 101, trans. 144; Ḥamzah al-Iṣfahānī, *Ta'riḫ*, 49; Ibn al-Athīr, *Kāmil*, I, 398–401. Of Persian sources, see Ṭabarī-Bal'amī, trans. II, 127–28. For recent studies of his reign, see Christensen, *Sassanides*, 269–73; Frye, "The Political History of Iran under the Sasanians," 143–44, 178; *ET*<sup>2</sup>, s.v. Sāsānids [M. J. Morony].

196. Correctly, "during the time of Sābūr (II), great-grandson of Sābūr (I)." The father of 'Amr, Imru' al-Qays al-Bad', was the "King of the Arabs" of the Namārah inscription; see al-Ṭabarī, I, 834, p. 44 and n. 133 above.

197. The five years' rule of Aws b. Qal(l)ām (fuller genealogy in Ḥamzah al-Iṣfahānī, 87: . . . b. Buṭayn[ah]. . . b. Liḥyān al-'Amaliqī) forms the first interregnum of Lakhmid domination in al-Ḥīrah. Very little is known of Aws, whose reign must have fallen within the 380s or 390s if he died during Bahrām IV's reign, but he must have been a member of one of the leading Arab families of al-Ḥīrah. It was a descendant of his, the Christian bishop of the town, Jābir b. Sham'un, who lent the impoverished last Lakhmid king al-Nu'mān III b. al-Mundhir IV (see al-Ṭabarī, I, 1016ff., pp. 339ff. below) eighty thousand dirhams so that he could live in a royal style (see Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>1</sup>, II, 26 = *Aghānī*<sup>3</sup>, II, 115). If al-'Amaliqī did occur as a tribal *nisbah* among the ancient Arabs, it cannot of course have had any direct connection with the Old Testament Amalekites; cf. Nöldeke, trans. 78 n. 1.

198. That is, Imru' al-Qays (II), see Rothstein, *Lahmididen*, 52, 55, 58, 65. As Nöldeke, 79 n. 1, observed, it is highly improbable that two Lakhmid rulers could both have had the cognomen *al-Bad'* "the first"; accordingly, we find in the text of

Imri' al-Qays [al-Bad'] (?) b. 'Amr, [who ruled for] twenty-five years; he died in the time of Yazdajird the Sinful one. The latter appointed in his stead his son al-Nu'mān b. Imri' al-Qays al-Bad' b. 'Amr b. Imri' al-Qays b. 'Amr b. 'Adī,<sup>199</sup> whose mother was Shaqī-qah, daughter of Rabi'ah b. Dhuhl b. Shaybān, [al-Nu'mān being], the rider of [the celebrated horse] Ḥalimah and the builder of al-Khawarnaq.

The reason for his building al-Khawarnaq,<sup>200</sup> according to what has been mentioned, was that Yazdajird the Sinful One, the son of Bahrām the Kirmān-Shāh, son of Sābūr Dhū al-Aktāf, had [at that time] no surviving son. Hence he made enquiries concerning a spot that was healthy and free from diseases and maladies. As a result, he was directed to the elevated region of al-Ḥīrah, and he sent his [subsequently born] son Bahrām Jūr to this al-Nu'mān, ordering the latter to build al-Khawarnaq as a residence for him. He made him reside there, and instructed him to send out Bahrām Jūr into the deserts of the Arabs. The actual builder of al-Khawarnaq was a man called Sinnimār.<sup>201</sup> When Sinnimār had completed its construction, people were amazed at its beauty and the perfection of its workmanship. Sinnimār, however, commented, "If I had believed that you [sc., al-Nu'mān] would pay me

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Ḥamzah al-Iṣfahānī, 87, the second occurrence of al-Bad' changed to [the meaningless in the context] al-Badan.

199. That is, al-Nu'mān (I), called al-A'war "the one-eyed" and al-Sā'ih "the wanderer, ascetic" (cf. regarding this last cognomen, p. 81 n. 217 below). See Rothstein, *Lahmidien*, 52, 55, 56, 58, 65-68; *EP*<sup>2</sup>, s.v. Lakhmids (Irfan Shahīd).

200. This famous palace of the Lakhmids would accordingly date from the first two decades of the fifth century. It lay just to the east of al-Ḥīrah, hence of the Islamic al-Najaf also, and was regarded by the early Arabs as one of the wonders of the world. The name is most probably of Iranian origin. F. C. Andreas suggested an etymology from \**huwarna*, "having a fine roof," but Mr F. C. de Blois has pointed out to the present writer the great unlikelihood of this, given that there is no trace of a word \**warna*- "roof" in Middle Persian. Al-Khawarnaq was used as a palace in early 'Abbāsīd times, but later fell into ruins. Its site is visible today. See Yāqūt, *Buldān*, II, 401-403; Rothstein, *Lahmidien*, 15-16; Le Strange, *Lands*, 75-76; Musil, *The Middle Euphrates*, 35, 103-106; *EP*<sup>2</sup>, s.v. al-Khawarnaq (L. Massignon). The story that it was built specially for the prince Bahrām Gūr would be, according to Noldeke, 79 n. 3, a later suggestion.

201. Ḥamzah al-Iṣfahānī, 90, gives him the *nisbah* of al-Rūmī, "the Greek," and makes him the builder of a palace called Sinnin (?). Sinnimār's being described as a Rūmī is a reflection of the Romans' reputation as architects and fine builders. Nothing is known of the historicity of Sinnimār; see Nöldeke, 80 n. 1.



the whole of my due and would have treated me as I deserve, I would have constructed a building which would have gone round with the sun, wherever it went in its course." The king then exclaimed, "So you could have built something more splendid than this, yet you didn't do it?" and he ordered him to be thrown down from the top of al-Khawarnaq.<sup>202</sup> It is in connection with this that Abū al-Ṭamaḥān al-Qaynī has recited:<sup>203</sup>

He paid a recompense to her and her lord, just as Sinnimār was paid a recompense—by Allāt and al-'Uzzā!<sup>204</sup>—the recompense which must be paid by someone seeking release from an oath.

Saliṭ b. Sa'd has likewise said,<sup>205</sup>

Abū Ghaylān's sons recompensed him for his advanced age and his handsome behaviour just as Sinnimār was recompensed.

Also, Yazīd b. Iyās al-Naḥshalī has said,<sup>206</sup>

May God recompense Kammāl for his most evil action with the recompense of Sinnimār, one which is paid out in full!

'Abd al-'Uzzā b. Imri' al-Qays al-Kalbī also related poetry [with this reference].<sup>207</sup> It happened that he gave a present of some

202. Hence "the reward of Sinnimār" became proverbial, see Rothstein, loc. cit.

203. Abū al-Ṭamaḥān Hanzalah b. al-Sharqī was a *ṣu'lūk* or bandit poet of the *mukhaḍram*. See Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>3</sup>, XIII, 3–14, with this verse quoted at II, 145; Blachère, *Histoire de la littérature arabe*, II, 318; *EP*<sup>2</sup> Suppl., s.v. Abu 'l-Ṭamaḥān al-Qaynī (ed.).

204. That is, the two goddesses of the pre-Islamic Arabs of Ḥijāz, who with Manāt made up the so-called Daughters of Allāh, mentioned specifically in Qur'an, LIII, 19–20. The shrine of Allāt was at al-Ṭā'if and that of al-'Uzzā at al-Nakhlah near Mecca. See Ibn al-Kalbī, *Kitāb al-aṣnām*, text in F. Klinckschield, *Das Götzenbuch. Kitāb al-aṣnām des Ibn al-Kalbī*, 10–17, Ger. trans. 37–44, Eng. trans. N. A. Faris, *The Book of Idols*, 14–23; J. Wellhausen, *Reste arabischen Heidentums*<sup>2</sup>, 24, 29–45; T. Fahd, *Le panthéon de l'Arabie centrale à la veille de l'hégire*, 111–20, 163–82; *EP*<sup>1</sup>, s.v. al-'Uzzā (F. Buhl); *EP*<sup>2</sup>, s.v. al-Lāt (Fahd).

205. The poet himself is obscure, but the verse is quoted in Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>3</sup>, II, 145.

206. This poet is not traceable, but the Naḥshal were a subtribe of Dārim of Tamīm. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Tables 60, 62, II, 8, 433.

207. The story of 'Abd al-'Uzzā and the Ghassānid king is given in Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>3</sup>, loc. cit., with the first two verses of the following poem.

horses to al-Ḥārith b. Māriyah al-Ghassānī and went to him.<sup>208</sup> The horses delighted al-Ḥārith, as did 'Abd al-'Uzzā's own presence and conversation. The king had a son who had been put out for suckling among the Banū al-Ḥamīm b. 'Awf of the Banū 'Abd Wudd of Kalb.<sup>209</sup> A snake bit the son [and killed him], but the king imagined that they had fallen upon and murdered him. He said to 'Abd al-'Uzzā, "Bring these fellows to me!" 'Abd al-'Uzzā replied, "These are a free people, I have no superiority over them in lineage or achievements [that I might compel them to come back with me]." The king threatened, "Either you bring them to me or else I shall do such-and-such [to you]!" He replied, "We expected something as a gift from you, but we are getting punishment from you instead!" He summoned his two sons Sharāḥīl and 'Abd al-Ḥārith, and sent the following verses with them to his people:

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208. The Ghassān were a section of the great tribal group of Asd or Azd. The tribe apparently migrated to west-central Arabia during the course of the fourth century, and the *Gs'n* are mentioned in a South Arabian inscription dated 470 of the Ḥimyarite era/A.D. 360-61 (on the correspondence of these eras, see n. 409 below) as located in western Najd at Sijah/Siyyan (roughly midway between Mecca and the modern al-Riyāḍ). Some of the Ghassān, though not necessarily all of them, migrated to the fringes of the Byzantine province of Arabia in the later fifth century, where they then assumed the role of frontier auxiliaries for the Byzantine emperors—a role corresponding to that of the Lakhmids for the Sāsānids on the other side of the Syrian Desert—under chiefs from the family of Jafnah. See Chr. Robin, "Le royaume ḥijride, dit «royaume de Kinda» entre Ḥimyar et Byzance," 693 and n. 101, 697 n. 118, who, because of the fact that not all members of the Banū Ghassān necessarily established themselves in Syria, would prefer to style the chiefs in Syria who were allies of Byzantium "Jafnids" (see n. 211 below), a point already made implicitly by Nöldeke in the title of his pioneer monograph on the family. See in general on the Jafnid/Ghassānid chiefs at this time, Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Table 176, II, 31-33, 273; Nöldeke, *Die Ghassānischen Fürsten aus dem Hause Gafna's*, 5ff.; Shahīd, *Byzantium and the Arabs in the Fourth Century*, 89-91; idem, *Byzantium and the Arabs in the Fifth Century*, 32-49, 61-72; *EP*, s.v. Ghassānids (Shahīd).

The greatest of the Jafnid/Ghassānid princes, Abū Shamir al-Ḥārith b. Jabalah al-Aṣfar (r. 529-69) is here attributed to his mother, the famous Kindī princess Māriyah. According to al-Mas'ūdī, *Murūj*, II, 217 - § 1079, al-Ḥārith, the son of Māriyah Dhāt al-Qurtayn bt. Arqam, was the third of the Jafnid/Ghassānid governors of Syria for the Byzantines. See *EP*, s.v. al-Ḥārith b. Djabala (Irfan Shahīd).

209. This ancient custom of placing babies with foster mothers in the healthy environment of the desert is later seen in the infant Muḥammad the Prophet's being entrusted for suckling (*ridā'*) to a woman of the Sa'd b. Bakr tribe of the Hawāzin groups outside Mecca. See F. Buhl, *Das Leben Muhammeds*, 117, noting that such a custom goes back well before Muḥammad's time; W. M. Watt, *Muhammad at Mecca*, 33.

He has recompensed me—may God recompense him with the worst of His recompenses—just as Sinnimār, who was entirely innocent, was recompensed.

[It was] simply that he raised up the building over a period of twenty years long, lavishing on it repeatedly fired bricks and molten lead.

When [the king] saw that the building had reached a great height and had become like a lofty mountain with steep and difficult slopes,

It rendered him suspicious (or: he became suspicious of him) after a long period of time and after the people of East and West had shown abhorrence of him.

Sinnimār imagined that he would gain from him all sorts of joys of life and achieve a position of affection and close friendship with him.

But the king exclaimed, "Throw the barbarian (*al-'ilj*)<sup>210</sup> from the top of his own tower!" By God, this is one of the most remarkable affairs!

And, as you well know, I am guilty of no offense against Ibn Jafnah<sup>211</sup> that could make him swear an oath [to act] against Kalb (sc., the poet's own tribe).

He will certainly seek out the heart of their lands with his cavalymen, but—may you avoid all curses!<sup>212</sup>—become free of it by your far-traveling words!

210. In its original meaning, *'ilj* means "coarse, strong, burly," but it was applied—obviously with a deprecatory meaning—by the Arabs to non-Arabs, and especially to the Aramaic-speakers of Iraq and to the Persians. The implicit contrast is with the spare, lean Arabs, devoid of any superfluous flesh through their harsh and frugal desert way of life, cf. n. 813 below, where 'Adī b. Zayd counsels the Lakhmid al-Nu'mān III to impress the emperor Hormizd IV by appearing before him as a lean, half-starved, battle-hardened desert warrior.

211. That is, the Jafnid/Ghassānid prince referred to in the poem, the name "Ibn Jafnah" going back to the eponymous founder of the line in its original Yemen home, Jafnah b. 'Amr Muzayqiyā b. 'Amīr. See al-Mas'ūdi, *Murūj*, II, 182, 217, III, 391 = §§ 1037, 1079, 1276; Ḥamzah al-Isfahānī, *Ta'rikh*, 99; Nöldeke, *Die Ghassānischen Fürsten*, 6.

212. *abayta 'l-la'na*, a common formula in addressing Arab chiefs and princes of the Jāhiliyyah, with the implication "may you not do anything which will merit your being cursed!" Used here, as Nöldeke notes, trans. 82 n. 5, with a contemptuous tinge to the wish. Numerous examples from early Arabic literature of its use are collected in M. Ullmann, *WbKAS*, II/2, 859–60.

Opposing what Ibn Jafnah has willed for himself are men who  
 repel the perpetrator of evil from the tribe!  
 Already the man Hārith sent before you<sup>213</sup> has launched an  
 attack on us, but he has been left mortally wounded in the  
 lungs (literally, "afflicted by consumption") on the reddish-  
 colored hills.

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Hishām has related: This al-Nu'mān had raided Syria many times and had brought down numerous calamities on its people, taking captives and plunder.<sup>214</sup> He was one of the most violent of kings in inflicting hurt on his enemies and one of the most effective in penetrating deeply into their lands. The king of Persia had given him two corps of troops, one called Dawsar—these being from Tanūkh—and the other one called al-Shahbā' ("the Brightly Gleaming Ones"), these being Persians.<sup>215</sup> These are the two

213. One could also vocalize here *min qibalika* "on your authority."

214. Nöldeke, trans. 83 n. 3, thought that such attacks were not impossible, even within the period of general peace between Byzantium and Persia negotiated by Theodosius II and Shābūr III, but that it was more likely that a confusion has been made with the Lakhmid al-Nu'mān II (r. ca. 499–503), who was certainly active in the Byzantine-Persian warfare in Upper Mesopotamia toward the end of the fifth century. See Shahīd, *Byzantium and the Arabs in the Fifth Century*, 121–22.

215. The Arabic sources mention various groups on whom the Lakhmids relied for military backing. Since they were a family in al-Ḥīrah ruling over a population which was in large part an urban, sedentary one, with the 'Ibād different also from their rulers in their Christian faith, the Lakhmids had generally to rely on foreign or mercenary troops except when they could take advantage of tribal conflicts within Arabia and thus utilize as allies one of the tribal groups involved. As well as these two groups of the Dawsar and the Shahbā', supplied, according to al-Ṭabarī, by the Persian emperors, the sources mention other groups in Lakhmid service. These include the Waḍā'i' (sing *waḍī'ah*, "those set down, planted, mawḍū", perhaps "garrison troops set down on the desert frontiers." or else "levied, stipulated according to an agreement," *waḍī'ah*); the Ṣanā'i' (sing. *ṣanī'ah*, "creatures [of the king], those attached to his service through royal favor and patronage"); the Rahā'in (sing. *rahīnah*, "pledges, hostages," taken from the nearby Arabian tribes); and others.

Rothstein, *Lakhmiden*, 134–38, discussed these various bodies of troops at length, and the discussion has been taken up more recently by M. J. Kister in his "Al-Ḥīra. Some Notes on Its Relations with Arabia," 165–68, who was able to use important additional information on the Lakhmids in the British Library ms. of Abū al-Baqā' Hibat Allāh al-Ḥillī's *al-Manāqib al-mazydiyyah fī akhbār al-mulūk al-asadiyyah* (since Kister wrote, available in the printed edition of Ṣāliḥ Mūsā Danādīkah and Muḥammad 'Abd al-Qādir Khuraysāt).

The Dawsar(ah), says Abū al-Baqā', were an élite force of valiant and courageous

groups known as "the two tribes." He used to raid the land of Syria and the Arabs who did not recognize his authority, by means of these troops.

Hishām related: It has been mentioned to us—but God knows best [the truth of it]—that al-Nu'mān sat one spring day in his audience chamber at al-Khawarnaq and looked down at al-Najaf, with the gardens, date-palms, orchards, and canals adjoining it, on his western side, and down at the Euphrates on his eastern side, he being on the ridge of al-Najaf.<sup>216</sup> He was pleased with all the greenness, the flowers, and the water courses he could see, and exclaimed to his vizier and companion, "Have you ever seen the like of this view?" The vizier replied, "No; if only it were to last!" The king said, "What then endures?" He replied, "That which is with God in the next world." The king asked, "How can that be attained?" He replied, "By your abandoning this present world, by devoting yourself to God and by seeking that which is laid up with Him." So the king renounced his kingdom that very night; he put on coarse garments and left secretly in flight, without anybody knowing. The people came next morning, knowing nothing about

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cavalrymen. The Shahbā' were called al-Ashāhib (pl. of *ash'hab*, fem. *shahbā'*, literally, "white mingled with grey," often applied to armies because of the glint of their weapons and breastplates) because of their handsomeness and splendid appearance ("the shining ones") (but according to an alternative tradition, these were a detachment of Persians, the Waḏā'ī). A third group, according to this author, were the Malhā' (fem. of *amlah*, "greyish, ashen colored," thus called from their grey, iron cuirasses). See *al-Manāqib al-mazydiyyah*, 110, and cf. Kister, op. cit., 167, and Bosworth, "Iran and the Arabs before Islam," 599–600. No source offers an etymology for the name Dawsar, but Nöldeke, trans. 83 n. 4, influenced by al-Ṭabarī's information that these were troops sent by the Persian monarch, saw clearly in it Persian *du sar* "having two heads," without being able to suggest any reason for this designation. One might speculate that the contingent had two component detachments, each with its own commander. Shahīd, in his *Byzantium and the Arabs in the Fifth Century*, 29 n. 26, cf. 30, has recently suggested a possible link with the Arabian tribe Dawsar, the modern Dawāsīr, whose name is enshrined in that of the Wādī al-Dawāsīr in southern Najd, see *EP*<sup>2</sup>, s.v. al-Dawāsīr (G. Rentz). The question remains unresolved.

<sup>216</sup> Al-Najaf, in Islamic times known also as Mash'had 'Alī from its being the last resting place of the fourth caliph, lay on the edge of the desert some six miles to the west of where the early Islamic *miṣr* or military encampment of al-Kūfah was to arise; nothing, however, appears to be known of the pre-Islamic history of the place or whether this history was in any way separate from al-Hīrah. See Yāqūt, *Buldān*, V, 271–22; Le Strange, *Lands*, 76–78; Musil, *The Middle Euphrates*, 34–35; *EP*<sup>2</sup>, s.v. al-Nadījaf (E. Honigmann-C. E. Bosworth).

what had happened to him; they came to his door, but received no permission to enter into his presence, as he normally gave. When they had waited for a considerable time without this permission to enter, they made enquiries about him, but could find no trace of him.<sup>217</sup>

Concerning this, 'Adī b. Zayd al-'Ibādī says:

Consider the example of the lord of al-Khawarnaq, when he looked out one day, and he had an [inward] vision of divine guidance.

His position made him rejoice, and the great extent over which he ruled, the river [Euphrates] stretched out before him, and al-Sadīr.<sup>218</sup>

But his heart became troubled and he said, "What happiness can a king enjoy, when he is heading toward death?"

Then after prosperity, royal power, and ease of life, the graves have closed over them there.

Then they have become like shriveled leaves, which the east and west winds snatch away.<sup>219</sup>

Al-Nu'mān's reign, up to the point when he renounced the world and wandered the earth, was twenty-nine years and four months. Ibn al-Kalbī says that fifteen years of that fell within Yazdajird's time and fourteen years within that of Bahrām Jūr,<sup>220</sup>

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217. The theme of the ruler experiencing a revulsion from the world and suddenly renouncing it is a topos, but the Arabic sources do attribute ascetic tendencies to al-Nu'mān (whether ex post facto is unknown), whence his by-name of al-Sā'iḥ, "the wanderer," and he is said to have visited the Syrian pillar saint, Simeon Stylites, between 413 and 420. See Nöldeke, trans. 85 n. 1; Shahīd, *Byzantium and the Arabs in the Fifth Century*, 162-64; EI<sup>2</sup>, s.v. Lakhmids (Shahīd).

218. Another famed palace of the Lakhmids, often mentioned in Arabic lore and linked with al-Khawarnaq. Some of the Arabic philologists sought a forced etymology for its name in *si dihlī(z)* "having three compartments, porticoes." See Yāqūt, *Buldān*, III, 201-202; Rothstein, *Lahmiden*, 15-16, 96; Le Strange, *Lands*, 75.

219. The complete poem is in 'Adī's *Dīwān*, 84-92, no. 16. Its atmosphere of world-weariness would lead one to place it among 'Adī's *ḥabsiyyāt*, poems composed when he was in the prison to which a credulous and ungrateful al-Nu'mān had consigned him.

220. Jūr, the Arabized form of Persian *gōr*, "wild ass," famed for its endurance and hardiness. The epithet would thus be parallel to that of al-Ḥimār given to the last Umayyad caliph Marwān II b. Muḥammad, and would be applied here to Bahrām for his heroic qualities. One could also take Bahrām-i Gōr as meaning "Bahrām of the wild asses," i.e., a hunter of those beasts. Popular romance con-

son of Yazdajird; but as for the Persian scholars knowledgeable about their historical accounts and affairs, they relate concerning this what I am [now] about to relate.

[*Bahrām V Jūr*]

[He was] the son of Yazdajird the Harsh One (*al-Khashin*), son of Bahrām (IV) Kirmān-Shāh, son of Sābūr Dhū al-Aktāf.

It is mentioned that his birth took place on Hurmuzd day in the month of Farwardīn at the seventh hour of the day.<sup>221</sup> At the instant of Bahrām's birth, his father Yazdajird summoned all the astrologers who were at his court and ordered them to cast his horoscope and to explain it in such a clear way that what was going to happen to him in the whole of his life would be indicated. They measured the height of the sun and observed the ascension of the stars. Then they informed Yazdajird that God would make Bahrām the heir to his father's royal power, that he would be suckled in a land not inhabited by the Persians, and that it was advisable that he should be brought up outside his own land. Yazdajird had it in mind that he should commit the child for suckling and rearing to one of the Romans or Arabs or other non-

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nects Bahrām's acquisition of the name with a hunting feat when he killed both a lion and a wild ass with a single arrow, see the story as given by al-Ṭabarī, I, 857, pp. 85–86 below, and n. 226 below; thus the etymology from *gōr* "wild ass" became hallowed in romance and story. Various other bases for the name have been proposed, including one from a supposed Sogdian royal title, *gula*, which the Indo-Europeanist Olaf Hansen thought occurred, e.g., in the name of the Hephthalite or "White Hun" ruler over northern India Mihrakula/Mihragula, r. ca. 515–44 (but of which there is actually no trace in Sogdian), and an Indian one (cf. Ghirshman, *Les Chionites-Hephthalites*, 109 n. 4). In our present state of knowledge, the traditional explanation seems most feasible.

221. That is, toward midday on the Persian New Year's Day, it is emphasized in the popular romantic legends surrounding Bahrām that he was born at this most auspicious and fortunate hour and date. Firdawsī's information that he was born in the eighth year of Yazdajird I's reign would make Bahrām fourteen or fifteen years old at his accession, but the statement in al-Ṭabarī, I, 863, p. 93 below, that Bahrām was twenty years old when he became king seems more historically correct.

moned al-Mundhir b. al-Nu'mān<sup>222</sup> and he committed to his charge the upbringing of Bahrām. He lavished on al-Mundhir signs of nobility and honour and gave him rule over the Arabs, and he bestowed on him two high ranks, one of them called Rām-abzūd-Yazdajird, meaning "Yazdajird's joy has increased,"<sup>223</sup> and the other called Mīhisht, meaning "chiefest servant." He also singled him out for presents and robes of honor befitting his high rank, and he ordered al-Mundhir to take Bahrām to the land of the Arabs.

So al-Mundhir went with Bahrām to his dwelling place in the land of the Arabs. He selected for suckling him three women, daughters of the nobles, with healthy bodies, keen intelligence, and acceptable education: two of them from the Arab ladies and one Persian lady. He gave orders for them to be provided with all the clothing, carpets, food, drink, and other items they needed, and they then took turns in suckling him over a period of three years.

In the fourth year, he was weaned. When he was five, he said to al-Mundhir, "Bring me knowledgeable teachers, well trained in methods of instruction, who can teach me writing, archery, and knowledge of law (*fiqh*; or perhaps just "intellectual skills"). Al-Mundhir replied, "You are still young in years, and the time is not yet ripe for you to embark on education. Occupy yourself with the things young children concern themselves with until you reach an age ready for being educated and for being taught good behavior; then I will appoint teachers for everything in which you have sought instruction." But Bahrām told al-Mundhir, "By God, I am indeed young in years, but my intelligence is that of a man of adult judgment; whereas, you are old in years, yet your mind is that of a weak child. Do you not know, O man, that everything which is

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222. This is al-Mundhir I (r. ca. 418–62), son of al-Nu'mān I (r. ca. 400–18), on whom see Rothstein, *Lahmidien*, 52, 55, 58, 69–70; for al-Mundhir's role in Lakhmid-Persian relations and his share in the war of 421–22 between Bahrām and Byzantium, see Shahid, *Byzantium and the Arabs in the Fifth Century*, 28–32.

223. This title was restored, with difficulty, by Nöldeke from the mss., see text, n. b. In his trans., 86 n. 1, he noted that one would expect *Rām-abzūd* (for *aßzūd*, *afzūd*) *ba-Yazdagird* and that this seems to be an isolated attestation of the epithet in the sources for Sāsānid history, leading him to wonder whether its form has arisen from a misunderstanding by the older Arab transmitters/authors.



[856] sought after prematurely is reached in its right time, that which is sought in its own time is reached at some other time, and that which is not sought at the right time (i.e., too late) is lost and not attained at all? I am the offspring of kings, and with God's permission, royal power will come to me. Now the most appropriate obligation for kings and the most important thing they should see is beneficial knowledge, for this last is an adornment for them and a pillar of their royal power; by means of it they become powerful. So busy yourself and procure quickly for me the teachers for whom I have asked you!"

The very moment that al-Mundhir heard these words of Bahrām's, he sent envoys to the king [of Persia's] court who would bring back to him a group of scholars of the Persians versed in law, instructors in archery and the equestrian arts, and teachers in writing and in all the share of attainments of those possessing a good education.<sup>224</sup> He furthermore assembled for Bahrām wise men from among those of the Persians and Romans and retellers of the stories of the Arabs. Bahrām thereupon gave them binding instructions, and he appointed specific times for the specialists in each sector of those skills in which they were to come to him, and he laid down a fixed period of time within which they had to communicate to him all their appropriate knowledge. Bahrām devoted his skills exclusively to learning everything that he had asked to be taught and to listening to the wise men and the transmitters of stories. He firmly comprehended everything he heard and quickly grasped everything he was taught with the minimum of tuition. It was found that after he had reached twelve years of age he had derived benefit from everything that had been taught him, had stored it all in his mind, and had surpassed his teachers and all the highly educated persons round him to such an extent that they acknowledged to him his superiority over themselves.<sup>225</sup>

Bahrām now expressed his gratitude to al-Mundhir and his

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224. The Cairo text has *khāṣṣat*<sup>am</sup>. "and especially those knowledgeable about polite education," for Leiden's *ḥiṣṣati*.

225. Bahrām's education in both the Persian and the Arabic sciences and knightly virtues is also described, in much less detail, in al-Ya'qūbī, *Ta'rikh*, I, 183; al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 51-52; Ṭabarī-Bal'amī, trans. II, 110-12.

teachers, and commanded the latter to return home. He now ordered the instructors in archery and the equestrian arts to be present with him, in order that he might acquire from them everything requisite for him to be trained in and to achieve mastery over. Then, [after having acquired these skills,] Bahrām sent for al-Nu'mān b. al-Mundhir and instructed him to make the Arabs come forward and bring their horses, both stallions and mares, with information on their pedigrees. Hence al-Nu'mān instructed the Arabs to do that. When al-Mundhir was informed about Bahrām's intention of selecting a horse as his mount, he said to Bahrām, "Don't require the Arabs to let their horses run forth [in competition with each other], but order each of them to pass in review his horse before you, and then take your pick, just as it pleases you, and tether the horse for your own use." Bahrām replied, "You have spoken well; but I am the most outstanding of men in rulership and nobility, and it is necessary that my mount should only be the very best of horses. The superiority of one horse over another can only be known by trial, and there can be no trial without a competitive race." Al-Mundhir approved of his words, and al-Nu'mān ordered the Arabs to bring forward their horses. Bahrām and al-Mundhir rode out to the horses assembled for the race. The horses went off from [a place which was] two farsakhs [from the winning post]. A sorrel horse of al-Mundhir's outstripped all these horses and came in first, and then the rest of them came along in successive groups, of two or three horses following each other or coming in separately or coming right at the end. Al-Mundhir led that sorrel horse over to Bahrām with his own hand, saying, "May God bring you blessing by means of it!" Bahrām ordered the steed to be secured for him; his joy was great, and he gave thanks to al-Mundhir.

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One day, Bahrām rode the sorrel horse, which al-Mundhir had given him as a mount, out hunting. He spotted a herd of wild asses, loosed an arrow at them, and rode towards them, but lo and behold, there was a lion that had seized one of the asses in the herd, and had gripped its back with its jaws in order to smash it and kill it! Bahrām shot an arrow into the lion's back; the arrow pierced through its body to its belly, and then to the wild ass's back and its navel, until it ended up in the ground, penetrating into it to about a third of its length, and was fluttering there for a

considerable time. All this took place in the presence of a group of Arabs and of Bahrām's guards and other persons. Bahrām gave orders for the episode of him, the lion, and the wild ass to be set down in picture form in one of his court chambers.<sup>226</sup>

Then Bahrām informed al-Mundhir that he was going to return to his father, so he set out to see the latter. But his father Yazdajird, because of his evil character, paid no attention to any of his children and merely took Bahrām as one of his servants, so that Bahrām suffered great hardship in this.<sup>227</sup> At that point, an embassy came to Yazdajird under a brother of the Roman Emperor, called Thiyādhūs (Theodosius), seeking a peace agreement and a truce in fighting for the emperor and the Romans.<sup>228</sup> Hence Bahrām asked Thiyādūs to speak with Yazdajird and to secure for Bahrām permission to return to al-Mundhir. So he returned to the land of the Arabs, where he devoted himself to a life of ease and enjoyment.

Bahrām's father Yazdajird died while Bahrām was away. A group of the great men of state and nobles came together and made an agreement among themselves not to raise to the throne any of Yazdajird's offspring because of his evil conduct. They said, "Yazdajird has not left any son capable of assuming the royal power except for Bahrām; but he has not yet governed any province [of the realm] by means of which his abilities may be tested and his capabilities thereby known. Nor has he had an education

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226. The tale of Bahrām's prowess in the chase—thus explaining his sobriquet "the Wild Ass," see n. 220 above—figures in or is echoed by various other sources. See, e.g., Ibn Qutaybah, *ʿUyūn al-akhbār*, I, 178; al-Dīnawārī, *al-Akhbār al-tiwāl*, 52; Ṭabari-Bal'amī, trans. II, 117-18; al-Mas'ūdī, *Murūj*, II, 191 = § 613, referring for further details to his *Akhbār al-zamān* and his *Kitāb al-Awsaṭ* (both now lost, despite the existence of apocryphal works bearing these titles, see Ahmad M. H. Shboul, *Al-Mas'ūdī and His World. A Muslim Humanist and His Interest in Non-Muslims*, 72-73 and nn. 126-27; *EP*, s.v. al-Mas'ūdī [Ch. Pellat]). Hunting scenes depicting Bahrām, such as this adventure with the lion and wild ass, became favorite subjects for later Persian miniature painting. See *EIr*, s.v. Bahrām. vi. Bahrām V Gūr in Persian Legend and Literature (W. L. Hanaway).

227. Although this piece of information would seem to be just one more item with which to blacken Yazdajird's reputation, Nöldeke, trans. 90 n. 2, thought that there might conceivably have been some bad blood between father and son, with Bahrām's being sent to al-Ḥīrah as a sort of exile. This is, however, pure conjecture.

228. The name of the "brother of the Roman Emperor" is in fact that of the then emperor himself, Theodosius II (r. 408-50).

in Persian ways, but his education has been solely in Arab ways, so that his nature is like the Arabs' nature, seeing that he has grown up among them." The view of the great men of state and the nobles agreed with that of the mass of people (*'āmmah*) (i.e., of the military and landed classes below the topmost ranks of society): that the royal power should be diverted from Bahrām to a man from the family of Ardashīr, son of Bābak (i.e., a man from a collateral line of descent from the first Sāsānid emperor) called Kistrā, and without delay they raised this last to the royal power.<sup>229</sup>

The news of Yazdajird's death, and the leading men's raising of Kistrā to the throne, reached Bahrām at a time when he was out in the Arabian Desert. He sent for al-Mundhir and his son al-Nu'mān, plus a group of the chiefs of the Arabs, and said to them, "I feel sure that you will not deny my father's special favor (*khiṣṣīsā*) which you have enjoyed, O Arabs, and the beneficence and largesse he has showered upon you, while at the same time he has been harsh and savage against the Persians." He then passed on to them the information that had reached him announcing his father's death and the Persians' appointment of a king as a result of deliberations among themselves. Al-Mundhir replied, "Don't let that make you apprehensive; I will find some stratagem for dealing with the situation." Al-Mundhir therefore fitted out a force of ten thousand cavalymen from the Arabs and sent them, under his son's command, against Ctesiphon and Bih-Ardashīr, the two royal cities.<sup>230</sup> He further ordered him to encamp near to them and to keep sending forward reconnaissance units against them. If anyone were to make a move toward giving battle to him, he should fight him, and he should raid into the territory adjacent to

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229. Before this happened, Shābūr, the eldest of Yazdagird's three sons, in fact hastened from Persian Armenia, where he had acted as king since the death of its Arsacid ruler in 414, to Ctesiphon in order to enforce his claim by seniority to the crown, but was killed at the capital by the nobles and priests, according to the Armenian writer Moses Khorenac'i. See Nöldeke, trans. 91 n. 4; Christensen, *Sassanides*, 274-75; Frye, "The Political History of Iran under the Sasanians," 144; *Elr*, s.v. Bahrām V (O. Klīma).

230. Presumably from the Dawsar, the Shahbā' and other units of the Lakhmid forces. The forces sent to the Sawād and to Bih Ardashīr near Ctesiphon in order to support Bahrām's bid for the throne may well have been numerous, but ten thousand looks like a suspiciously round number.

the two cities, take captives, adults and children; but he forbade him to shed blood. Al-Nu'mān advanced until he encamped near to the two cities, sent out advanced reconnaissance units toward them, and made fighting with the Persians his chief task.

Then the great men of state and the nobles at the [Persian] court dispatched Juwānī,<sup>231</sup> the head of Yazdajird's chancery, to al-Mundhir, and they wrote letters to the latter informing him of what al-Nu'mān was doing. When Juwānī reached al-Mundhir and read out the letter that had been written to him, al-Mundhir said, "Go and meet King Bahrām," and he provided him with someone who would conduct him to Bahrām. Juwānī went into Bahrām's presence, but the sight of Bahrām's handsomeness and splendid appearance reduced him to a state of alarm and, out of confusion, he forgot to prostrate himself before Bahrām. Bahrām realized at that moment that Juwānī had only omitted the prostration because he had been awe-stricken by his own outstandingly beautiful form.<sup>232</sup> Bahrām spoke to him and personally assured him of promises of favor. He sent him back to al-Mundhir, whom he told that he would give an answer to what he had written. Al-Mundhir said to Juwānī, "I have been thinking about the letter which you have brought to me. It was only King Bahrām who sent al-Nu'mān to your region, since God has given him the royal power after his father and conferred [power] on him over you." When Juwānī heard al-Mundhir's words, and recalled to mind Bahrām's outstandingly beautiful form, which he had seen face to face, and the awe for Bahrām he had felt in his spirit, [he realized] that all those who

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231. Thus in Nöldeke's text, but interpreted by him in his trans., 92 n. 1, as Juwānūyah, with the Persian hypocoristic ending *-ōy/ūyah*, which the Arab philologists turned into *-wayhi*, and as probably being a contracted form of some compound name like Juwānmard or Juwānshīr. Cf. Justi, *Namenbuch*, 123.

232. This is the royal *xvarənah* of the Avesta, MP *xwarrah* or *farr(ah)*, NP *farr*, the divine aura of the ruler, one of the most enduring concepts in Persian national history, the "royal glory" that legitimized the authority of theocratic rulers and brought them success. See Marquart, "Beiträge zur Geschichte und Sage von Erān," 667–69; Yarshater, "Iranian Common Beliefs and World View," 345–46, and the references at 345 n. 2; Almut Hintze, *Der Zamyād-Yašt. Edition, Übersetzung, Kommentar*, 15–33, with further literature (the most up-to-date discussion of the term's etymology and meaning; she believes that it means "Glücksglanz").

had advised depriving Bahrām of his royal power were revealed as persons to be discounted and rejected in argument. He said to al-Mundhir, "I am not going to take back any reply.<sup>233</sup> But you yourself, if you see fit, go to the royal residence, so that the great men of state and the nobles who are there may gather round you and take counsel together about the matter. Bring forward good arguments, for they will oppose you in nothing which you advise."

Al-Mundhir then sent back Juwānī to those who had sent him in the first place. He made his preparations and went forth, just one day after Juwānī's departure, in company with Bahrām and at the head of thirty thousand cavalymen, courageous and mighty warriors, from amongst the Arabs, against the two cities of the [Persian] king. When they reached the two cities, he gave orders, and the people gathered together, with Bahrām seated on a golden throne (*minbar*) encrusted with jewels and al-Mundhir at his right hand. The great men of state and the nobles of the Persians spoke, and in their speech set out before al-Mundhir how harsh Bahrām's father had been and his evil conduct; how, through his perverted judgment, the land had been ruined; and how he had killed large numbers of people unjustly and had even slaughtered the people of his own land; and [they recounted] many other enormities. They mentioned that it was only because of these facts that they had taken counsel together and made an agreement to divert the royal power away from Yazdajird's offspring. They asked al-Mundhir not to force them to accept anything in regard to the royal power that they would dislike. Al-Mundhir fully comprehended all that they had pointed out regarding this matter, but he said to Bahrām, "It is more fitting that you, rather than me should answer the people."

Bahrām replied, "I cannot deny as false, O group of spokesmen, any part of the deeds for which you have accused Yazdajird of responsibility, because I am myself convinced of its truth. I have personally denounced him for his evil example and have avoided him, on account of his way of behavior and belief; hence I have unceasingly asked God graciously to bestow upon me the royal

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233. Reading, with the Cairo text, *muḥīr*<sup>an</sup> for the Leiden text's *mukhbīr*<sup>an</sup>.

power so that I might put right all that he has done wrong and repair what he has split asunder.<sup>234</sup> If I reign for just one year and have not fulfilled all the things I have enumerated to you, then I will freely and willingly renounce all claim to the throne. I call upon God, His angels, and the Chief Mōbadh to bear witness that I do this, and let the last named be the arbiter and judge between us. Moreover, despite what I have explained to you, I am ready to tell you that I am content to accept your appointing as king the person who can snatch the crown and the regalia from between two ravening lions with their cubs;<sup>235</sup> let such a person be king!"

[861] When the people heard these words of Bahrām's and what he had, personally and from the heart, promised, they rejoiced at that, their hopes were raised, and they said among themselves, "We cannot reject Bahrām's words. Seeing that, if we carry to its conclusion the decision to exclude Bahrām from the throne, we will thereby be thrown into fear of bringing about our own destruction, given the large numbers of Arabs he has brought to his aid and has summoned up. We shall, on the other hand, be able to test him in regard to what he has laid before us, promises which only confidence in his own strength, bravery, and boldness would have led him to make. If he is really as he has described himself, our decision can only be to hand over the royal power to him and to show him obedience and submission. But if he perishes through weakness and impotence, we shall be guiltless of any part in his death and secure from any malevolence and trouble from him."

With this resolution, they dispersed. Bahrām came back again [on the next day] after he had originally spoken to them and sat down just as he had sat down the previous day. The persons who had previously opposed him were also there. He told them: "Either you agree to what I proposed to you yesterday or else you keep silent, humbling yourselves and giving obedience." The people answered: "We ourselves have made the choice of Kisrā to direct the affairs of state, and have only experienced good actions from

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234. According to Nöldeke, trans. 94 n. 2, the Sprenger manuscript elaborates on this prayer: that Bahrām promises to lower the land tax, to increase the army's pay, and to give the nobles and great men still higher offices. Nöldeke thought that these express promises certainly belonged to the original source used here.

235. That is, alluding to the contest, described below, between himself and the rival contender for the throne, Khusraw.

him. Nevertheless, we are willing that the crown and regalia should be set down, as you have suggested, before two lions and that you and Kisrā should contend together for them; to whichever of you manages to snatch them from among the lions we will transfer the royal power."

Bahrām was agreeable to what they proposed, so the Chief Mōbadh, who was responsible for placing the crown on the head of every king who was invested with royal power,<sup>236</sup> brought in the crown and regalia, and he placed them at one side. Bistām the Iṣbahbadh<sup>237</sup> brought in two fierce, hungry lions with their cubs, and stationed one of them at the side of the place where the crown and regalia had been set down and the other opposite it, and released their chains. Bahrām said to Kisrā, "You have first go at the crown and regalia!" Kisrā responded, "It is more fitting that you should have the first attempt at getting them for yourself, because you are seeking the royal power by right of inheritance while I am an usurper in regard to it." Bahrām had nothing against his words because of his confidence in his own bravery and strength. He took up a mace<sup>238</sup> and made toward the crown and regalia. The

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236. Nöldeke, trans. 96 n. 1, noted that, among the Persian Arsacids and in Armenia, it was one of the leading nobles who had the hereditary right to crown the ruler.

237. The *Spāhbed* or "Army chief," Arabized as *Iṣbahbadh*, whose title goes back to Achaemenid times, was the supreme military commander and war minister in the Sāsānid empire. In the first three centuries or so of the dynasty's rule, there was a single, supreme *Spāhbed*, but in the sixth century Khusraw Anūsharwān, fearing such a concentration of power in the hands of a single person, divided the office and appointed four *Spāhbeds* for each of the quarters of the realm. See Justi, *Namenbuch*, 306; Marquart, "Beiträge zur Geschichte und Sage von Erān," 635-39; Christensen, *Sassanides*, 99, 104, 130-31, 370-71, 519-21; Eilers, "Iranisches Lehngut im arabischen Lexikon," 215; Wiesehöfer, *Ancient Persia*, 198; *EP*, s.v. *Ispahbadh* (C. E. Bosworth).

Bistām is the Arabized form of NP *Bistahm*, from the unattested OP \**Vistaxma*, with the parallel form *Gustahm*, literally, "wielding far-extending power." See Justi, *Namenbuch*, 371-72; *Elr*, s.v. *Bestām* (W. Eilers). The *Spāhpat* named here as *Bistahm* or *Bistām* is apparently the Bistām, "*Iṣbahbadh* of the Sawād, who held the rank of *Hazār-raft*" (on which latter title, see Nöldeke, trans. 76 n. 2, and Justi, op. cit., 88, 128), who, according to al-Dīnawarī, *al-Akhbār al-tiwāl*, 55, was one of the great men of state who had met together on Yazdagird's death to exclude any descendant of the deceased ruler from the succession in favor of the remote kinsman Khusraw (al-Ṭabarī, I, 858, p. 86 above, cf. also I, 993, p. 303 and n. 711 below).

238. The mace or club (Pers. *gurz*, here Arabized to *jurz*) appears in Persian lore



Chief Mōbadh said to him "What you have embarked upon puts you in mortal danger; this is all done freely and of your own accord, and none of the Persians has put the idea into your head. We are blameless before God of your [possible] self-destruction." Bahrām replied, "[Yes], you are absolved of all responsibility and have no burden of blame regarding it." Then he darted quickly toward the lions. When the Chief Mōbadh perceived Bahrām's vigor in confronting the lions, he cried out to him [again], saying, "Confess openly your sins and show repentance for them, then step forward, if you are completely determined on doing so." Bahrām confessed the sins he had committed<sup>239</sup> and advanced towards the two lions. One of them sprang towards him, but when it got near, Bahrām leapt with a single bound onto its back, squeezed the lion's flanks with his thighs so firmly that he threw the lion into distress, and he set about beating its head with the mace he had brought.

At that point, the other lion hurled itself at him, but he seized it by its two ears, rubbed them violently with both his hands, and kept on dashing its head against the head of the other lion on which he was riding until he had battered out their brains; then he killed them both by raining blows on their heads with the mace he had with him. This action he did before the eyes of Kistrā and all the persons assembled for the occasion.<sup>240</sup> After that, Bahrām took up for himself the crown and regalia. Kistrā was the first to call out to him, saying, "May God grant you long life, Bahrām, to whom all around are giving their ear and their obedience, and may He give you rule over the seven climes of the earth!"<sup>241</sup> At that, all

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and epic as the weapon par excellence of heroes. Ḥamzah al-İsfahānī, *Ta'rikh*, 49, describes Bahrām Gūr, after representations which he had seen, as seated on his throne with a mace in his hand.

239. A touch noted by Nöldeke, trans. 97 n. 1, as very characteristic of the Perso-Islamic transmission of this story.

240. The story of Bahrām's contest with the lions appears in al-Ya'qūbī, *Ta'rikh*, I, 183-84; al-Mas'ūdī, *Murūj*, II, 191 = § 613; Ṭabarī-Ba'āmī, trans. II, 117-18. It became a favorite tale in the Islamic *adab* works; see, e.g., Ps.-al-Jāhīz, *Kitāb al-tāj fī akhlāq al-mulūk*, tr. Ch. Pellat, *Le livre de la couronne*, 182-84.

241. Here, *aqālīm*, sing. *iqlīm*, refers to the ancient Iranian idea of the seven *kishwars* or "regions of the earth" grouped round the central *kishwar*, the inhabited world, the region most favored by nature for human life and human development, the Avestan *xwāniratha-*, MP *khwanirah*; see C. Brunner, "Geographical

those present cried out, saying, "We submit to King Bahrām, we humble ourselves before him and are content to have him as king," and they sent up profuse prayers for him. The great men of state, the nobles, the provincial governors and the viziers came to al-Mundhir after that day, and besought him to speak with Bahrām, asking forgiveness for their injurious conduct toward him, pardon, and overlooking of their faults. Al-Mundhir spoke to Bahrām regarding their request, and asked him to bestow now as benevolence all the personal animus he had [previously] borne against them. Bahrām satisfied al-Mundhir in what he had asked, and gave them hopes of future beneficence.<sup>242</sup>

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Bahrām assumed the royal power when he was twenty years old. On the very same day, he ordered his subjects to celebrate a general holiday and festivities. After that, he sat in public audience for all the people for seven days continuously, giving them promises of his benevolent rule and enjoining upon them fear of God and obedience to Him. But when he had become king, Bahrām continuously devoted himself to pleasure, to the exclusion of everything else, until his subjects reproached him profusely for this conduct and the neighboring monarchs became desirous of conquering his land and seizing his kingdom.<sup>243</sup>

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and Administrative Divisions: Settlements and Economy," 747. The idea later took shape that the six lands surrounding Persia were those of India, China, the Turks, the Rūm, Africa, and the Arabs; see *EP*<sup>2</sup>, s.v. *Iqlīm* (A. Miquel).

242. Nöldeke, trans. 98 n. 1, held that Bahrām had succeeded to power against the desires of the nobility and priesthood, and was now in a position of strength vis-à-vis those two classes. In fact, this initial lack of support from the nobility probably placed Bahrām in a somewhat weak position, and explains his cooperation with the commanding figure in the state of Mihr Narsēh. The latter was known as an implacable foe of the Christians, and almost immediately on Bahrām's accession, the emperor ordered, or at least condoned, a savage persecution of the Persian Christians; several members of the Persian nobility suffered. It was reaction to the plight of refugees from the western frontier regions of Persia fleeing to Byzantine territory which led to the Perso-Byzantine war of 421-22, mentioned by al-Ṭabarī, I, 868, p. 103, and see n. 261 below. See Labourt, *Le Christianisme dans l'empire perse*, 109; Christensen, *Sassanides*, 280-81; Frye, "The Political History of Iran under the Sasanians," 148; Asmussen, "Christians in Iran," 940-41.

243. Other sources, such as al-Ya'qūbī, *Ta'rikh*, I, 184, and al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 56, describe Bahrām's excessive love of sport and diversion (*lahw*) and of hunting, until he was reproached for this by the great men of the kingdom, and ambitious neighboring rulers, like the king of the Turks, were emboldened to

The first ruler to set himself up as a rival to Bahrām in power was Khāqān, the king of the Turks, who attacked Bahrām with an army of 250,000 Turks.<sup>244</sup> News of Khāqān's approaching their land with a powerful force reached the Persians. It appeared to them a catastrophe and terrified them. A group of the Persian great men of state, known for their firm judgment and their solicitude

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attack Persia. Ḥamzah al-Isfahānī, 49, has a story about Bahrām's passion that people should enjoy themselves and busy themselves with music making, to the extent that he sent to the king of India a request for musicians (*mulhīn*). The king sent twelve thousand of these, whom Bahrām spread throughout his realm; this was the origin of the Zutt, i.e., gypsies. Al-Mas'ūdī, *Murūj*, II, 157-58 = § 582, states that Bahrām promoted musicians to a higher rank in society than previously.

244. The mention here of the Turks, with their grossly inflated army, is, as noted in n. 148 above, probably an anachronism, although there seem to have been Turks in the Eurasian steppelands by the fifth century, as was certainly the case in the sixth century when the first Turk empire, with its western and eastern wings, was constituted. See D. Sinor and S. G. Klyashtorny, "The Turk Empire," 332-35; Sinor, "The Establishment and Dissolution of the Türk Empire," 285, 287; *EP*, s.v. Turks. I. History. 1. The Pre-Islamic Period (L. Bazin). The "Turks" mentioned here by al-Ṭabarī were, in Bahrām's time, quite likely the Kidarites or Chionites, successors to the Kushans in Bactria, i.e., the upper Oxus lands and what is now northern Afghanistan; the Sprenger manuscript speaks of a *marzbān-i Kūshān* who guarded the eastern frontiers of the Sāsānid kingdom, but "the land of the Kushans" was by now a generic term for all the lands in the east. See Nöldeke, trans. 99 n. 1, 102 n. 2; Ghirshman, *Les Chionites-Hephtalites*, 83-84; Frye, "The Political History of Iran under the Sasanians," 142; A. D. H. Bivar, "The History of Eastern Iran," 211-14.

The rendering in Arabic, Khāqān, of the Turkish ruler's title corresponds to the oldest attestation of the title in Turkish, the Qaghan of the Tonyuquq inscription in Mongolia (ca. 720), and in the Orkhon inscriptions it has the meaning of "an independent ruler over a people or tribe," hence not only applicable to the Qaghans of the two empires of the Eastern and Western Turks but also, e.g., to the Chinese emperor, referred to as Tabghach Qaghan in both the Tonyuquq and the Kül Tigin inscriptions. In Byzantine Greek sources of this time it appears as *Chaganos* (Gy. Moravcsik, *Byzantinoturcica. II. Sprachreste der Türkvölker in den byzantinischen Quellen*, 332-34). The title is undoubtedly an ancient one, clearly recognizable as a royal title of such Inner Asian peoples as the Juan-juan and the T'u-yü-hu (ca. A.D. 400) but possibly recognizable from Chinese transcriptions as a title of the much earlier Hsiung-nu, according to E. G., Pulleyblank, "The Consonantal System of Old Chinese. Part II," 260-62, and Doerfer, confirming the earlier opinion of Marquart, *Ērānšāhr*, 54. Its etymology must accordingly be lost in the obscurity surrounding these Inner Asian peoples known to us only as names from the Chinese sources. See the discussions in C. E. Bosworth and Sir Gerard Clauson, "Al-Xwarazmī on the Peoples of Central Asia," 9; G. Doerfer, *Türkische und mongolische Elemente im Neupersischen. Türkische Elemente*, II, 141-79 no. 1160; Clauson, *An Etymological Dictionary of Pre-Thirteenth-Century Turkish*, 611.

for the masses of the people, went into Bahrām's presence and told him, "O king, there has suddenly come upon you the calamitous appearance of the enemy, and this should be enough to rouse you from the pleasure and merrymaking in which you are sunk. So get ready to tackle it, lest we become afflicted by something which will entail revilement and shame for you." Bahrām merely replied, "God, our Lord, is powerful, and we are under His protection," and he only increased in his exclusive pursuit of pleasure and merry-making. But then he fitted out an expedition and proceeded to Azerbaijan, in order to worship at the fire temple there,<sup>245</sup> then to Armenia to seek game for hunting in its thickets and to enjoy himself on the way. He was accompanied by a group of seven of the great men of state and the nobles plus three hundred mighty and courageous men from his personal guard. He left one of his brothers, called Narsī, to act as his governor over the kingdom.

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When the people heard about Bahrām's expedition and his appointment of his brother as his deputy to govern the kingdom, they felt sure that this was an act of flight from his enemy and an act of abandonment of his kingdom. They took counsel together and resolved to send an embassy to Khāqān and to undertake that they would pay him tribute, out of fear that he would invade their land and would annihilate their own troops unless they showed themselves submissive to him by handing the money over. Khāqān heard about what the Persians had agreed upon, that they would submit and show themselves submissive to him, so he gave a guarantee of security for their land and ordered his army to hold back. Bahrām, however, had sent forward a spy to bring back to him information about Khāqān; the spy now returned and told him about Khāqān's doings and intentions. So Bahrām marched against him with the force accompanying him and fell on him by

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245. This is the ancient, celebrated fire temple of Ādur-Gushnasp at Shīz, by or near Ganzak or Ganjak, the Greek Ganzaka, to the southeast of Lake Urmiya (to be distinguished from the Ganjah in Arrān, in Transcaucasia), in more recent times known as Takht-i Sulaymān. In the next century after this, Khusraw Anūsharwān transferred the fire to a site in the mountains of southern Azerbaijan less open to Byzantine attack. See on its location, V. Minorsky, "Roman and Byzantine Campaigns in Atropatene," 97-101; also Nöldeke, trans. 100 n. 1; Herrmann, *The Iranian Revival*, 113-18, 128-31; *EP*<sup>2</sup>, s.v. Shīz (J. Ruska and C. E. Bosworth).

night, killing Khāqān with his own hand and spreading slaughter among Khāqān's troops. Those who escaped being killed were put to flight and showed their backs. They left behind their encampment, their wives and children and their baggage. Bahrām exerted himself assiduously in hunting them down, killing them, gathering up the plunder he had seized from them and enslaving their women and children, and returned with his own army intact.<sup>246</sup>

Bahrām had seized Khāqān's crown and diadem and had conquered his country in the land of the Turks. He appointed a Warden of the Marches (*Marzbān*)<sup>247</sup> over these conquered territories, providing him with a silver throne. A group of people from the regions bordering on the land of the Turks that he had conquered came to Bahrām, submissive and offering him obedience, and they asked him to demarcate for them the boundary between his and their territories, which they would not then cross. So he duly delimited the frontier for them, and ordered the construction of a tall and slender tower (*manārah*); this is the tower which Fayrūz, son of Yazdajird (II) [later] gave orders for its [re]building, and it was erected in a forward position on [the frontier of] the land of the Turks. Bahrām also sent one of his military commanders to

[865] Transoxania in the land of the Turks and instructed him to fight the people there. So he made war on them and wrought great slaughter among them, until they promised submission to Bahrām and the payment of tribute.

Bahrām now went back to Azerbaijan and then to his residence

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246. Al-Dīnawarī, *al-Akhbār al-tiwāl*, 56–57, gives details of Bahrām's itinerary as he marched to engage the "Turkish" army through Ṭabaristān and the Caspian coastlands to Gurgān, then across northern Khurāsān via Nasā to Marw. The battle then took place at Kushmayhan, a village in the Marw oasis (see on this village, Le Strange, *Lands*, 400; *Ḥudūd al-'ālam*, trans. 105). As Nöldeke commented, trans. 101 n. 2, there seems no reason to doubt the authenticity of this location for the battle, and Marquart equated this more or less exactly with the site of the battle between Wishtāsp and the Chionites in the *Ayādgār ī Zarērān* "Memorial of Zarēr," see his *Ērānshahr*, 51–52.

247. *Marzbān* "protector of the frontier," Arabized as *marz(u)bān*, is used in Sāsānid administrative and military terminology from the fourth century onward for the military governor of such frontier provinces as Upper Mesopotamia (the commander here being based on Nišibīn), Bēth Aramāyē, and, as here, Khurāsān. See Nöldeke, trans. 102 n. 2; Justi, *Namenbuch*, 197–98; Eilers, "Iranisches Lehngut im arabischen Lexikon," 219; *EI*<sup>2</sup>, s.v. *Marzpan* (J. H. Kramers-M. J. Morony).

in the Sawād [of Iraq]. He ordered that the rubies and other jewels in Khāqān's diadem should be hung up in the fire temple of Azerbaijan, and then he set off and came to the city of Ctesiphon. He took up his quarters in the administrative headquarters (*dār al-mamlakah*) there. He sent letters to his troops and provincial governors announcing how he had killed Khāqān and what he and the Persian army had accomplished. Then he appointed his brother Narsī governor of Khurāsān, instructed him to make his way thither and to establish his residence at Balkh, and ordered for him whatever he required.<sup>248</sup>

Toward the end of his life, Bahrām went to Māh for hunting there.<sup>249</sup> One day he rode out to the chase, fastened tenaciously onto a wild ass and pursued it closely. But he fell into a pit and sank into the mud at the bottom. When his mother heard of that accident, she hurried along to that pit, taking with her a large sum of money. She remained near the pit, and ordered that the money should be paid out to whoever might rescue Bahrām from the hole. They excavated a vast amount of earth and mud from the pit, until they had made a number of large mounds from this; but they were never able to find Bahrām's corpse.<sup>250</sup>

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248. Balkh lay in the heart of Bactria, the early Islamic Tukhāristān, and must at this time have been in the hands of a power like the Kushans or their epigoni; subsequently, it was a principal residence of the king of the northern Hephthalites. It is highly unlikely that any Sāsānid control could have been exerted at this time as far east as Balkh; Marw was probably the northeasternmost bastion of Persian power. See Nöldeke, trans. 103 n. 1.

249. The OP Māda-, i.e., Media or northwestern Persia, a name that survived into Islamic times as Māh, included in the toponyms Māh al-Başrah = Nihāwand, and Māh al-Kūfah = Dīnawar. See Le Strange, *Lands*, 190 and n. 2; *EP*<sup>2</sup>, s.v. Māh al-Başra (M. J. Morony).

250. This story of Bahrām's end also appears, very cursorily in al-Ya'qūbī, *Ta'rikh*, I, 184, and al-Mas'ūdī, *Murūj*, II, 190 = § 612, but in detail in al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 58, who says that the story of Bahrām's death was still current in the area of Dāy-marj, the place where the king was swallowed up (this lay near Hamadhān and was later famous as the site of a battle in 584/1188 when the last Seljuq sultan of the East, Toğhrīl III, defeated the 'Abbāsīd caliph al-Nāṣir's forces; see Schwarz, *Iran*, 553). This whole region of Māh or Media was a favorite one of Bahrām's, and he is said to have had a splendid palace at Mādharūstān near Hūlwān and a fortress near Hamadhān (Le Strange, *Lands*, 191, 195). Nöldeke, trans. 103 n. 3, thought that this tale of the manner of Bahrām's death originated in an attempt to provide an alternative explanation to his by-name Gūr/jūr in the sense of "wild ass," i.e., one from gōr in the sense of "pit, grave."

It is mentioned that, when Bahrām returned to his realm from his expedition against the Turks, he addressed the people of his kingdom for several days continuously, urging them in his speech to maintain their obedience and informing them that his intention was to render circumstances easy for them and to bring them a good way of life; but if they should stray from the straight way of righteousness, they would suffer treatment from him more severe than what they had experienced under his father. The latter had begun his reign over them with lenience and equity; but then they, or at least some of them, had rejected that policy and not shown themselves submissive, as servants and slaves should in fact show themselves toward kings. This had impelled him into harsh policies: he had beaten people and had shed blood.

[866] Bahrām's return journey from that expedition [against the Turks] was via the road to Azerbaijan; he presented to the fire temple at al-Shīz the rubies and jewels that were in Khāqān's diadem, a sword belonging to Khāqān encrusted with pearls and jewels, and many other precious adornments. He gave Khātūn, Khāqān's wife,<sup>251</sup> to the temple as a servant there.<sup>252</sup> He remitted to the people three years' land tax as a thank offering for the victory he had achieved in his expedition, and he divided up among the poor and destitute a great sum of money, and among the nobles and persons of meritorious behavior twenty million dirhams. He sent letters to the distant lands with news about his

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251. In Orkhon Turkish, *qatun/khatun* denoted the wife of the Qaghan, borrowed into Mongolian as *qadun*, but later it tended to mean "noble woman" and, eventually, by Ottoman times, little more than "married lady, woman" in the form *kadīn* (a relationship noted by Nöldeke, trans. 104 n. 2). It has traditionally been considered as a loan word from Sogdian *xwt'yn*, "wife of the lord or ruler," but from Paul Pelliot onward, doubts have been raised over this. Pulleyblank, "The Consonantal System of Old Chinese. Part II," 262–64, states that related forms are to be found among the Inner Asian Altaic people of the T'o-pa, successors of the Hsiung-nu in the early centuries A.D. G. Doerfer has pointed out the phonological difficulties in the transition Sogdian *khwatēn* > Old Turkish *\*khaghatun/qaghatun*. Instead, he posits an ultimate origin in the Inner Asian peoples of Turco-Mongolian stock known to us from Chinese sources, perhaps from as far back as the eastern Hsiung-nu. See *Türkische und mongolische Elemente im Neupersischen. Türkische Elemente*, II, 132–41 no. 1149; Clauson, *Etymological Dictionary*, 602–63.

252. That is, as a manifestation of contempt for the paganism of the captured queen; cf. Nöldeke, trans. 104 n. 3.

dealings with Khāqān, in which he mentioned how reports had reached him that Khāqān had invaded his lands, and how he had extolled and magnified God and had depended completely on Him, how he had marched against Khāqān with a guard of [only] seven men from the nobility and three hundred cavalrymen from the choicest warriors of his personal guard, via the Azerbaijan and Caucasus Mountains road until he had reached the deserts and wastes of Khwārazm, and how God had then tested him [in battle] with a most successful outcome. He further mentioned to them how much land tax he had remitted to them. His letter containing this information was an eloquent and penetrating one.

When Bahrām had first achieved the royal power, he had given orders that the arrears of the land tax from previous years (*al-baqāyā*) and for which the taxpayers were still liable, should be cancelled.<sup>253</sup> He had been informed that these arrears amounted to seventy million dirhams, but had nevertheless given orders that they were to be remitted. He also remitted one-third of the land tax for the year in which he had acceded to power.<sup>254</sup>

It is said that, when Bahrām Jūr returned to Ctesiphon from his expedition against Khāqān the Turk, he appointed his brother Narsī as governor of Khurāsān and assigned him Balkh as his capital [there].<sup>255</sup> He appointed as his vizier Mihr Narsī, son of Bur-āzah,<sup>256</sup> made him one of his intimates and nominated him as Buzurjfarmadhār.<sup>257</sup> He then announced to him that he was going

253. In Arabic administrative literature of the fourth/tenth century, *al-baqāyā* "arrears of taxation from previous years" seems to be distinguished from *al-bāqī* "taxation of the current year still uncollected." See Bosworth, "Abū 'Abdallāh al-Khwārazmī on the Technical Terms of the Secretary's Art," 135.

254. If the report is authentic, this concession was presumably possible because of the great amount of plunder taken from the Turks; cf. Nöldeke, trans., 105 n. 5.

255. See al-Ṭabarī, I, 865, p. 97 and n. 248 above.

256. This paternal name is somewhat problematical. Nöldeke, trans. 106 n. 2, unconvincingly connected it with place names in Fārs. Justi, *Namenbuch*, 70, noted the Greek form Bōrazē for Hebrew Bigtā, the name of one of the seven eunuchs who served King Ahasuerus as chamberlains in Esther, i.10.

257. The *framadār* was originally, it appears, the steward of the royal household and then administrator of the royal estates, and finally, by Sāsānid times, the first civilian minister in the state. The extended title of *wuzurg* "great" *framadār* is characteristic of the later Sāsānid period, and this Mihr Narsēh (whose genealogy al-Ṭabarī subsequently traces back to pre-Sāsānid times (see I, 868-69, p. 104 below) appears as one of the first persons mentioned as holding the office. See



[867] to the land of India in order to get information about conditions there and to find out by subtle means whether he could add part of the Indian lands to his own territory, in order that he might thereby lighten some of the tax burden on his own subjects. He gave him (sc., Mihr Narsī) the necessary orders concerning all the matters relative to his appointment as regent up to the time of his own return, and set off on the journey from his kingdom until he reached Indian territory, traveling in disguise. He remained there a considerable time, without any of the local people asking at all about him and his situation, except that they were favorably impressed by what they saw regarding him: his equestrian skill, his killing of wild beasts, his handsomeness, and the perfection of his form.

He continued thus until he heard that there was in one region of their land an elephant, which had made the roads unsafe for travelers and had killed a great number of people. He accordingly asked one of the local people to direct him toward the beast so that he might kill it. This intention came to the ears of the king; he summoned Bahrām and sent an envoy to accompany him, who was to go back to him with an account of Bahrām's actions. When Bahrām and the envoy came to the patch of dense jungle where the elephant was, the accompanying envoy shinned up a tree in order to see what Bahrām would do. Bahrām went forward to try and lure out the elephant, and shouted to it. The elephant came forth toward him, foaming with rage, trumpeting loudly and with a fearsome appearance. When it got near, Bahrām shot an arrow at it right between the eyes, in such a way that the arrow almost disappeared in the beast's head, and he showered arrows on it until he

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Christensen, *Sassanides*, 114-16, 136, 265-66, 519-26; V. J. Lukonin, "Political, Social and Administrative Institutions: Taxes and Trade," 737-38.

The *wuzurg framadār* has been seen by some scholars as a forerunner of the early Islamic vizier, the chief minister of the caliphs from 'Abbāsīd times onward (for whose name, *wazīr*, some have sought a Persian etymology, see below, although more recent opinion favors an indigenous Arabic one—not that this philological question is particularly relevant anyway to the question of continuity in function and practice). See the discussion in D. Sourdel, *Le vizirat 'abbāsīde de 749 à 936 (132 à 324 de l'Hégire)*, I, 41-61; and for a reassertion of a Persian origin for the word, in MP *wizīr* (apparently attested, however, in the opinion of Mr F. C. de Blois, only as an abstract noun "decision, judgment"), see Eilers, "Iranisches Lehngut im arabischen Lexikon," 207.

reduced it to a sorry state. He then leaped upon it, seized it by the trunk and dragged it downward, which made the elephant sink down on its knees. He kept on stabbing it until he got the upper hand over it and was then able to cut off its head. He rolled it over on to its back and brought it forth to the roadside. The king's envoy was meanwhile watching all this.

When the envoy returned, he related the whole story of Bahrām's doings to the king. The king was full of wonder at Bahrām's strength and boldness, gave him rich presents and questioned him about himself and his background. Bahrām told him that he was one of the great men of the Persians, but had incurred the wrath of the king of Persia for a certain reason, hence had fled from him to the king of India's protection. Now that latter monarch had an enemy who had tried to deprive him of his kingdom and had marched against him with a large army. The king, Bahrām's patron, had become fearful of the enemy because of what he knew of this enemy's might and the fact that the latter demanded of him submission and payment of tribute. Bahrām's patron was on the point of acceding to the enemy's demands, but Bahrām dissuaded him from that, and guaranteed to him that the affair would be brought to a satisfactory conclusion. The king's mind became tranquil and confident in Bahrām's words, and Bahrām set out, prepared for war.

[868]

When the two armies encountered each other, Bahrām said to the Indian cavalymen (*asāwirah*),<sup>258</sup> "Protect my rear," and then

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258. This is the Arabic broken pl. formed from the sing. *aswār/uswār*, from MP *aswār*, "cavalryman," used specifically in Sāsānid times for the heavy, mailed cavalymen who formed the backbone of the army. It seems originally to have denoted a high military rank, the chief of a military unit, but in Sāsānid times came to be applied to the cavalymen in general. These *aswārān* were certainly ranked among what might be called the aristocracy and landed gentry of Persia, and in the fiscal reforms of Khusraw Anūsharwān they were one of the classes exempted from paying the poll tax on account of their great services to the state (thus enumerated by al-Ṭabarī, I, 962, p. 259 below, where *al-muqātilah* = *aswārān*; in his equivalent passage, al-Dinawarī specifically has *asāwirah*, see n. 625 below). In the accounts of the battles of the Muslim Arabs with the armies of the last Sāsānids, e.g., in al-Balādhurī's *Futūḥ al-buldān*, the *asāwirah* emerge as an élite of mounted archers. See Løkkegaard, *Islamic Taxation in the Classic Period*, 171, and the detailed discussion in Widengren, "Recherches sur le féodalisme iranien," 170-76; and for later usage of the term *aswārī*, extending up to Mughal and British Indian times as *suwār*, Anglicised as *sowar*, see *Elr.* s.v. *Asāwera* (C. E. Bosworth).

he led an assault on the enemy. He began to strike their heads with blows that split the head down to the mouth; to strike another in midbody so that he cut him in half; to go up to an elephant and sever its trunk with his sword; and to sweep a rider off his saddle. The Indians are a people who are not very skillful in archery, and most of them fought on foot, not having horses; when, on the other hand, Bahrām shot an arrow at one of the enemy, the shaft penetrated right through him. When the enemy saw what was happening, they wheeled round and fled, without turning aside to do anything. Bahrām's patron seized as plunder everything in the enemy's camp, and returned home rejoicing and glad, in company with Bahrām. As a reward for Bahrām's efforts, the king bestowed on him his daughter in marriage and granted to him al-Daybul, Makrān, and the adjacent parts of Sind.<sup>259</sup> He wrote out for him an investiture patent for all this, had the grant to him confirmed before witnesses, and gave orders for those territories to

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259. Daybul, the Arabized form of a possible original something like Dēwal, was the great port of Sind in pre-Islamic and early Islamic times, and the first city of the province to be captured by the Arab commander Muḥammad b. al-Qāsim al-Thaqafi in 92/711–12. Moses Khorenac'i (later sixth century to early eighth century?) mentions the district of \*Dēpuhl, linking it, as here, with Makrān and Sind in general (see Marquart, *Ērānšahr*, 45). It lay in the Indus delta region to the west of the river's then main channel, but its location is still a matter for conjecture since an identification with the archaeological site Bhanbore is by no means certain. See S. Qudratullah Fatimi, "The Twin Ports of Daybul. A Study in the Early Maritime History of Sind," 97–105; *EP*<sup>2</sup>, s.v. Daybul (A. S. Bazmee Ansari).

Makrān is the coastal region of what is now Pakistani and Persian Baluchistan. Whether it embraced the region known from Sumerian and old Akkadian texts as Magan, in the OP inscriptions Maka (where it is described as a satrapy of Darius the Great), and also from Akkadian texts as Melukhkha, has called forth varying opinions. Recently, de Blois, on the evidence of the texts of two Elamite tablets from the so-called Persepolis fortification tablets, has argued that Maka denotes the region called in Middle Iranian, Syriac, Armenian, and Arabic sources, from the third century A.D. onward, Mazūn = 'Umān; see his "Maka and Mazūn." 160–67. Whatever the truth, Greek historians of the time of Alexander call Makrān Gedrosia; and the region appears in the Naqsh-i Rostam inscription of Kerdēr as *Mkw'l'n*. Despite the reported pretensions of early Persian monarchs to control it, as here with Bahrām Gūr, Makrān probably remained always within the Indian rather than the Persian political and cultural sphere, in Bahrām's time under the influence of the Brahman kings of Sind. See *Hudūd al-'ālam*, trans. 123, comm. 373; Marquart, op. cit., 33–34; Le Strange, *Lands*, 329–30; *EP*<sup>2</sup>, s.v. Makrān (C. E. Bosworth).

be added to the Persian lands, with their land tax to be paid to Bahrām. Bahrām then returned [to his homeland] rejoicing.<sup>260</sup>

After this, Bahrām sent Mihr Narsī, son of Burāzah, on an expedition against the Roman lands, at the head of a force of forty thousand warriors. He ordered him to make for their supreme ruler ('*azīm*) and discuss with him the question of the tribute and other things, tasks that only a man of Mihr Narsī's caliber could undertake. Mihr Narsī then marched off with this army and matériel, and entered Constantinople. He played a notable role there, and the supreme ruler of the Romans made a truce with him. He returned homeward having achieved all that Bahrām had desired, and the latter heaped honors unceasingly on Mihr Narsī.<sup>261</sup>

His name was sometimes rendered in a "lightened" (*mukhaffaf*) form as just Narsī, and sometimes people would say Mihr Narsih.

260. Naturally, there is no question of the historicity of these fabulous Indian adventures of Bahrām, which appear, however, in other Arabic sources such as Ibn Qutaybah, *Ma'ārif*, 660-61 (detailed account, clearly based on the same source as al-Ṭabarī); al-Mas'ūdī, *Murūj*, II, 191 - § 612 (describes Bahrām's secret mission to the court of King Shubrumah, probably to be identified with the Gupta monarch Chandragupta II, r. 376-415); Ḥamzah al-Isfahānī, *Ta'rikh*, 49 (very brief mention); and Ṭabarī-Bal'ami, trans. II, 122-25.

261. This is a cursory mention of the war with Byzantium that broke out in 421 shortly after Bahrām's accession. It ran counter to the general trend in Byzantine-Persian relations of the period, which had been one of peace since the treaty of 384 between Theodosius II and Shābūr III. It seems to have been provoked, on the one hand, by the violent persecution of Christians within the Persian lands that broke out at the beginning of the new reign (see n. 242 above), and, on the other hand, by Byzantine attempts to use Christian missionary activities in order to secure the allegiance of Arab tribes of the Syrian Desert fringes and by the Byzantines' sheltering of Christian converts from those fringes under Persian control.

The war was ended by a peace treaty in the following year. It promised religious freedom for Christians of Persia and for Zoroastrians in the Byzantine lands, each side was prohibited from accepting and sheltering Arab allies of the other side if these Arabs should rebel; and the Greeks were to pay an annual tribute, ostensibly for the defense of the pass at Darband against the barbarians beyond the Caucasus, but which contained no territorial changes to the boundary between the two powers. See J. B. Bury, *A History of the Later Roman Empire from Arcadius to Irene (395 A.D. to 800 A.D.)*, I, 304-305; idem, *History of the Later Roman Empire from the Death of Theodosius to the Death of Justinian (A.D. 395 to A.D. 565)*, I, 4-5; Labourt, *Le Christianisme dans l'empire perse*, 118; Christensen, *Sassanides*, 281; Frye, "The Political History of Iran under the Sasanians," 145; Z. Rubin, "Diplomacy and War in the Relations between Byzantium and the Sassanids in the Fifth Century A.D.," 679-81; G. Greatrex, "The Two Fifth-Century Wars between Rome and Persia," 1-14.

[869] He was Mihr Narsī, son of Burāzah, son of Farrukhzhādh, son of Khūrahbādh, son of Sīsfādh, son of Sīsanābrūh, son of Kay Ashak, son of Dāra, son of Dāra, son of Bahman, son of Isfandiyār, son of Bishtāsb.<sup>262</sup> Mihr Narsī was held in high honor by all the kings of Persia because of his fine education and manners, the excellence of his judgment, and the contentedness and tractability of the masses of the people with him. He had, moreover, several sons, who approached him in worth and who fulfilled various offices for the monarchs that almost reached his own office [in rank and importance]. There were three of them who had reached an outstanding position. One was Zurwāndādh,<sup>263</sup> whom Mihr Narsī had intended for religion and the religious law. In this sphere he attained such a leading position that Bahrām Jūr appointed him Chief Hērbadh (Hirbadhān Hirbadh), a rank near to that of Chief Mōbadh. The second was called Mājusnas, who remained in charge of the department of the land tax all through the reign of Bahrām Jūr, the name of his rank in Persian being Wastrā'i'ūshān Sālār.<sup>264</sup> The third was called Kārd[ār], supreme commander of the army, the name of his rank in Persian being Rathāštārān Sālār,<sup>265</sup> this is a rank higher than that of al-İşbahbadh and is near to that of al-Arjabadh.<sup>266</sup>

262. Mihr Narseh's Arsacid descent, in fact linking the first Arsacids with a Darius of the Achaemenids and then with the legendary kings of early Persia (only detailed here and in the Sprenger ms.), shows that scions of the previous, fallen dynasty could nevertheless rise to high office under the Sāsānids, cf. n. 84 above.

263. Following here the version of this name preferred by Nöldeke from the Sprenger ms., set forth in his n. e, instead of the text's Zarāwandadh, the name Zurvandād is, in fact, attested, see Gignoux, *Noms propres sassanides*, no. 1091. The name would then mean "given by Zurvān," i.e., by the deification of time put forward by some heretical (?) Zoroastrian circles, erecting it into a guiding principle for the universe as the father of both Ahura Mazdā and Ahriman, hence above all gods and men. See R. C. Zaehner, *The Dawn and Twilight of Zoroastrianism*, 236-47 (his chapter here being more manageable than his exhaustive work, *Zurvan, a Zoroastrian Dilemma*, Oxford, 1955).

264. Following the form reconstructed by Nöldeke, in n. g for the text's *Rās.t.r.āi w.shān.s.lān*, i.e., *Wāstaryōshān-sālār*. The title would then be that of "head of [the class of] cultivators."

265. Following the form reconstructed by Nöldeke in n. i for the text's *As.ṭ.rān s.lān*, i.e., *Artēštārān-sālār*. The title would then mean "head of [the class of] warriors." Cf. for this and the preceding note, Nöldeke, trans. 110 n. 4.

266. For the exalted title of *argabadh*, "commander of a fortress," see al-Ṭabarī, I, 815, p. 6 and n. 15 above.

Mihr Narsī's own title of rank was in Persian Buzurjfarmadhār, [870] which means in Arabic "supreme vizier" (*wazīr al-wuzarā'*) or "supreme executive" (*ra'īs al-ru'asā'*). He is said to have come from a town (*qaryah*) called Abruwān<sup>267</sup> in the rural district of Dasht-i Bārīn<sup>268</sup> in the province of Ardashīr Khurrah. He had lofty buildings erected there and at Jirih, in the province of Sābūr, because of the contiguity of that and Dasht-i Bārīn, and he constructed there for himself a fire temple, which is said to be still in existence today,<sup>269</sup> with its fire still burning to this present moment. It is called Mihr Narsiyān. In the vicinity of Abruwān he founded four villages, with a fire temple in each one. He set up one of these for himself and called it Farāz-marā-āwar-khudāyā, meaning [in Arabic] "come to me, O my lord,"<sup>270</sup> with the aim of showing great veneration for the fire. The second one was meant for Zarāwandādh, and he called it Zarāwandādhān. The third was for Kārd[ār], and he called it Kārdādhān; and the last was for Mājushnas, and he called it Mājushnasfān.<sup>271</sup> He also laid out three gardens in this region: in one of them he planted twelve thousand date palms; in another, twelve thousand olive trees; and in [the third] garden, twelve thousand cypress trees. These villages, with the gardens and the fire temples, have remained continuously in the hands of his descendants, who are well known till today, and it has been mentioned that all these remain in the best possible condition at the present time. [871]

It has been mentioned that, after he had finished with Khāqān and the King of the Romans, Bahrām proceeded to the land of the

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267. The text has 'b.r.wān, but the form of this name is uncertain. It may possibly be the Artuwān of al-Maqdisī, *Aḥsan al-taqāsīm*, 258, in the list of the towns and districts of Sāsānid Persia attributed to Qubādīh (I), son of Fayrūz, or the Arduwāl/Arduwān of Yāqūt, *Buldān*, I, 149, as a small town of southwestern Persia.

268. The "plain of Bārīn" was a district of southwestern Fārs whose urban center was in Islamic times Ghundijān. See Nöldeke, trans. III n. 4; Le Strange, *Lands*, 260, 268, 294; Schwarz, *Iran*, 68–70.

269. When "today" and "at the present time" were, is unfortunately not known.

270. With feminine forms in the Arabic: *iqbalī ilayya sayyidatī, nār*, "fire" being a feminine noun. Cf. Nöldeke, trans. III n. 7.

271. These names of estates or places in -ān demonstrate connections with their founders or developers (the -ān being originally a genitive pl. ending) and were especially notable in Iraq during early Islamic times for the names of estates, canals, etc.; e.g., Go Masrūqān, Not Artashīrakān, Nahr Sūrān.

blacks, in the region of Yemen,<sup>272</sup> and fell upon them, wreaking great slaughter among them and taking large numbers of captives before returning to his kingdom. Then followed his death in the manner we have described. There are differing views on the length of his reign. Some say that it was eighteen years, ten months, and twenty days, others that it was twenty-three years, ten months, and twenty days.<sup>273</sup>

[*Yazdajird II*]

Then there succeeded to the royal power after him Yazdajird, son of Bahrām Jūr. When the crown had been placed on his head, the great men of state and the nobles (*ashrāf*) came into his presence, invoked blessings on his head, and congratulated him on his accession to the royal power. He replied to them in pleasant terms and mentioned his father, his virtues, how he had behaved toward the subjects, and how lengthy his sessions for them (sc., for hearing complaints and receiving petitions) had been. He told them that if they did not experience from him just what they had been used to experience from his father, they should not condemn him, for his periods of withdrawal from public gaze at court were only for some aspect of public good for the kingdom and to trick enemies. [He went on to say] that he had appointed Mihr Narsī, the son of Burāzah, his father's aide, as his vizier, that he would

272. The *bilād al-sūdān* would be the regions of the Horn of Africa and East Africa adjacent to South Arabia, but the story that Bahrām penetrated to there is quite legendary, and may have been influenced by the Persian expeditions to Yemen in the later sixth century, see al-Ṭabarī, I, 948ff., pp. 239ff. below.

273. Bahrām V Gūr's reign was 420–38. His name appears on his coins as (R'MŠ-TRY) WRHR'N, i.e., (Rāmshahr) Bahrām See on his coins Paruck, *Sāsānian Coins*, 62, 363–66, 447–48, Plates XIV–XV, Table XII; Göbl, *Sasanian Numismatics*, 49, Table IX, Plate 9; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 116–18; Malek, "A Survey of Research on Sasanian Numismatics," 235.

The other Arabic sources on his reign include Ibn Qutaybah, *Ma'ārif*, 661; al-Ya'qūbī, *Ta'rikh*, I, 183–84; al-Dīnawarī, *al-Akhbār al-tiwāl*, 56–58; al-Mas'ūdī, *Murūj*, II, 190–93 = §§ 612–14; idem, *Tanbīh*, 101, trans. 144; Ḥamzah al-Iṣfahānī, *Ta'rikh*, 49; Ibn al-Athīr, *Kāmil*, I, 401–406. Of Persian sources, see Ṭabarī-Bal'amī, trans. II, 118–26. Of modern studies of his reign in general, see Christensen, *Sassanides*, 274–82; Frye, "The Political History of Iran under the Sasanians," 144–46, 178; *EP*<sup>2</sup>, s.vv. Bahrām (Huart-H. Massé) and Sāsānids (M. J. Morony); *EIr*, s.vv. Bahrām V Gūr (O. Klīma), Bahrām V Gūr in Persian Legend and Literature (W. L. Hanaway).

behave with them in the best possible manner and would lay down for them the best of ways of conduct, and that he would unceasingly humble his enemies but continuously behave with mildness and benevolence to his subjects and his troops.<sup>274</sup>

Yazdajird had two sons, one called Hurmuz, who was ruler over Sijistān, and the other called Fayrūz. It was Hurmuz (II) who seized the royal power after his father Yazdajird's death. Fayrūz fled from him and reached the land of the Hephthalites (*al-Hayāṭilah*).<sup>275</sup> He told their king the story of what had happened between him and his brother and that he had a better right to the throne than Hurmuz. He asked the king to provide him with an army with which he could combat Hurmuz and gain control of his father's kingdom, but the king of the Hephthalites refused to respond to his request until he received information that Hurmuz really was a tyrannical and unjust king. He said, "God is not pleased with injustice, and He does not let the works of those

[872]

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274. Christian sources nevertheless describe Yazdagird II as a savage persecutor of the Christians, both within his kingdom proper (with records of many martyrs in Mesopotamia) and also in Armenia, where his edict of 449 imposing Zoroastrianism on Armenia and Georgia provoking the revolt there, mentioned in n. 277 below. Jews also suffered when in 454-55 Yazdagird forbade the observance of their Sabbath, and later the Persian authorities are said to have closed all Jewish schools. See Nöldeke, trans. 114 n. 1; Labourt, *Le Christianisme dans l'empire perse*, 126-30; Christensen, *Sassanides*, 283-89; Lang, "Iran, Armenia and Georgia," 520-21; Neusner, "Jews in Iran," 915-16; Asmussen, "Christians in Iran," 942.

275. Hayāṭilah is the Arabic broken plural of Hayṭal, correctly \*Habṭal. This last seems originally to have been a dynastic name, with forms of it appearing in, e.g., Byzantine sources (*Hephthalitai*) and Chinese ones (*Ye-tai-i li-to*), the Greek form corresponding to the Sogdian nominative pl. \*Heβtalīt; see W. B. Henning, "Neue Materialien zur Geschichte des Manichäismus," 17 n. 2. At the time of Fayrūz/Firūz's flight to the land beyond the eastern frontiers of the Sāsānid realm (i.e., in 457), the Kidarites were still ruling in Bactria and Gandhara, but were about to be replaced there, in the second half of the fifth century, by the Hephthalites (J. Harmatta places the Hephthalite attack on the Kidarite territories in Transoxania in 466). The Hephthalites did not apparently arrive in a sudden wave from the Inner Asian steppes, but had doubtless been infiltrating into Transoxania, Bactria, and the northern fringes of Khurāsān for some time. It was to these that the fugitive Firūz was able to appeal for the help that enabled him to gain his father's old throne. See Nöldeke, trans. 115 n. 2; Moravcsik, *Byzantinoturcica. I. Die byzantinischen Quellen der Geschichte der Türkvolker*, 69-70; Sinor, "The Establishment and Dissolution of the Türk Empire," 298-99; E. V. Zeimal, "The Kidarite Kingdom in Central Asia," 124-26; B. A. Litvinsky, "The Hephthalite Empire," 138-39.



committing it prosper; under the rule of an unjust king, a man cannot succeed properly in any enterprise or practice any trade successfully except by injustice and tyranny likewise." Then, after Fayrūz had made over al-Ṭālaqān to him, he provided Fayrūz with an army.<sup>276</sup> Fayrūz advanced with it and gave battle to his brother Hurmuz, killing him, scattering his forces, and seizing control of his kingdom.

The Romans had been dilatory in forwarding to Yazdagird, the son of Bahrām, the tribute they used to pay to his father. Hence Fayruz sent against them Mihr Narsī, the son of Burāzah, with an army and matériel such as Bahrām had originally sent against them for that purpose (sc., of exacting tribute), and Mihr Narsī secured the imposition of his master's will for him.<sup>277</sup>

276. There was more than one Ṭālaqān in the eastern Persian lands and their fringes. See Nöldeke, trans. 116 n. 1, *EP*<sup>2</sup>, s.v. Ṭālaqān (C. E. Bosworth and J. R. Lee). The Ṭālaqān intended here is most likely the one in what was the later mediaeval Islamic province of Gūzgān, at a site now unknown but somewhere in the vicinity of modern Maymanah in northwestern Afghanistan. At the time of the Arab invasions of Bactria/Tukhāristān, it had a local (Iranian?) ruler of its own. Less likely to be the Ṭālaqān mentioned here is the one beyond Balkh and toward Badakhshān. See Yāqūt, *Buldān*, IV, 6–8; Le Strange, *Lands*, 423; *EP*<sup>2</sup>, art. cit., sections 1 and 3. The mention here of the cession of Ṭālaqān to the Hephthalites implies that the Sāsānid territories never extended as far as the Oxus.

277. This was the war launched by Yazdagird II in 439 soon after he had achieved the throne. It caught the Roman empire at a critical moment when its North African provinces had just been invaded by the Germanic Vandals and when the Huns from Inner Asia were pressing on the Balkans and eastern parts of the empire. As al-Tabarī implies in his mention of the arrears of tribute, there were financial reasons behind the outbreak of war, the Byzantines' refusal to contribute to the cost of defenses in the Caucasus to keep out barbarians pressing down from the north, as well as continuing disputes over the allegiance of Arab tribes in the Mesopotamian frontier region, which had caused the war with Yazdagird I twenty years previously (see n. 261 above). The war was terminated by the commander of Theodosius II's eastern army, the *Strategus Anatolicus*, coming to an agreement with Yazdagird, essentially on a basis of retaining the status quo, and with the additional proviso that neither side should erect new fortresses in the frontier zone between the two empires. See Nöldeke, trans. 116 n. 2; Stein, *Histoire du Bas Empire*, I, 291; Frye, "The Political History of Iran under the Sasanians," 146; Rubin, "Diplomacy and War in the Relations between Byzantium and the Sassanids in the Fifth Century AD," 681ff.; Greatrex, "The Two Fifth-Century Wars between Rome and Persia," 2–14.

In addition to this war against the Byzantines, Nöldeke notes, trans. 113 n. 4, that Yazdagird II was involved in several other wars during his reign, unmentioned by the Arabic sources but known from Greek, Armenian, and Syriac authors. The emperor suppressed with difficulty a revolt of the Christian princes of Armenia

According to some authorities, Yazdajird's period of royal power was eighteen years and four months, but according to others, seventeen years.<sup>278</sup>

[Fayrūz I]

Then there succeeded to the royal power Fayrūz,<sup>279</sup> son of Yazdajird (II), son of Bahrām Jūr, after he had killed his brother and three [other] members of his family. There was related to me a report going back to Hishām b. Muḥammad [in which] he said: Fayrūz prepared for war with the resources of Khurāsān and called upon the men of Ṭukhāristān and regions neighboring on it for support,<sup>280</sup> and marched against his brother Hurmuz, son of Yazdajird (II), who was at al-Rayy. Both Fayrūz and Hurmuz had a common mother, called Dīnak, who was at al-Madā'in governing that part of the kingdom adjacent to it. Fayrūz captured and im-

(see n. 274 above), the battle of 451 between the Persians and Armenians leaving behind the memory of a host of slain Armenian martyrs whose deaths are commemorated by the Armenian Church to this day. Yazdagird also repelled an invasion of the Huns through the Caucasus that had penetrated to Darband and Shīrwān; and, most importantly, he engaged in strife with the Kidarites or their supplinters, defeating their king in a battle near Marw al-Rūdh. It was probably in the course of this last campaign on his eastern frontiers that Yazdagird subdued the local ruler of Šūl or Chōl in the vicinity of Gurgān (see on Šūl, al-Ṭabarī, I, 874, pp. 112–13 and n. 290 below) and founded there a town, Shahrīstān-i Yazdagird, whose exact location is unknown.

278. Yazdagird II's reign was 438–57. His name appears on his coins as (KDY) YZDKRTY, i.e., (Kay) Yazdagird. See on his coins Paruck, *Sāsānian Coins*, 63, 366–67, 450–52, Plate XV, Table XIII; Göbl, *Sasanian Numismatics*, 49, Table IX, Plate 10; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 119–21; Malek, "A Survey of Research on Sasanian Numismatics," 236.

The other Arabic sources on his reign are Ibn Qutaybah, *Ma'ārif*, 661; al-Ya'qūbī, *Ta'rīkh*, I, 184; al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 58–59; al-Mas'ūdī, *Murūj*, II, 193–94 = §§ 615–16; idem, *Tanbīh*, 101, tr. 144–45; Ḥamzah al-Isfahānī, *Ta'rīkh*, 49; Ibn al-Athīr, *Kāmil*, I, 407. Of Persian sources, see Ṭabarī-Bal'amī, trans. II, 127–28. Of modern studies of his reign in general, see Christensen, *Sassanides*, 282–89; Frye, "The Political History of Iran under the Sasanians," 146–47, 178; *EI*<sup>2</sup>, s.v. Sāsānids (M. J. Morony).

279. In Pahlavi, Pērōz, NP Pērōz/Firūz, literally, "successful, victorious." See Nöldeke, trans. 117 n. 3; Justi, *Namenbuch*, 247–51; Gignoux, *Noms propres sassanides*, no. 759.

280. That is, the Kidarites or Hephthalites of Bactria.

[873] prisoned his brother.<sup>281</sup> He displayed just rule and praiseworthy conduct, and showed piety.<sup>282</sup> During his time, there was a seven-year-long famine, but he arranged things very competently: he divided out the monies in the public treasury, refrained from levying taxation, and governed his people to such good effect that only one person died through want in all those years.

He then marched against a people called the Hephthalites, who had taken over Ṭukhāristān. At the outset of his reign, he had strengthened their power, because they had helped him against his brother (sc., Hurmuz).<sup>283</sup> They allegedly practiced sodomy, hence Fayrūz did not deem it permissible (or: it was not deemed permissible) to leave the land in their hands.<sup>284</sup> He attacked the Hephthalites, but they killed him in battle, together with four of his sons and four of his brothers, all of whom bore the title of king. The Hephthalites conquered the whole of Khurāsān, until there rose up against them a man of Fārs, from the people of Shīrāz,

281. The Arabic sources on the civil war include Ibn Qutaybah, *Ma'ārif*, 661; al-Ya'qūbī, *Ta'rikh*, I, 184; al-Dīnawarī, *al-Akhhār al-tiwāl*, 58–59 (the most detailed account); al-Mas'ūdī, *Murūj*, II, 195 = § 617; Ibn al-Athīr, *Kāmil*, I, 408. Of Persian sources, see Ṭabarī-Bal'amī, trans. II, 127–28.

282. *kāna yatadayyanu*, reflecting the fact that, in the civil warfare between the two brothers, Firūz had the support of the Zoroastrian priesthood. Subsequently in his reign, he enforced harsh measures against the Christians and Jews of the empire. See Nöldeke, trans. 118 n. 4; Labourt, *Le Christianisme dans l'empire perse*, 130; Christensen, *Sassanides*, 290–92. Reflecting the state of affairs in the middle of the fifth century, i.e., at this time, al-Mas'ūdī, *Tanbīh*, 193, trans. 147–48, places the Chief Mōbadh at the head of the social hierarchy in the state, just below the king himself. See Christensen, op. cit., 265, 290.

283. At the time of his first war with the powers of the eastern lands, Firūz's enemies there were probably still the Kidarites, who controlled Balkh, as they were likewise the Persian ruler's foes in his second war of 467, in the opinion of Zeimal, "The Kidarite Kingdom in Central Asia," 125–26, and see n. 275 above. It would thus have been natural for Firūz to have sought aid from the Kidarites' enemies, soon to replace them as the dominant power in Transoxania and Bactria, the Hephthalites, and equally natural that he should fall out with his erstwhile allies once the formidable power of the Hephthalites was firmly established just across his eastern frontiers.

284. This accusation laid against the Hephthalites is also in al-Balādhuri, *Futūh*, 403, cf. Nöldeke, trans. 120 n. 1. In the Shu'ūbiyyah controversies of the third/ninth century within the Islamic caliphate, the Arabs asserted that it was the Persians, and especially the Khurāsānians, who had brought the vice into the central lands of the caliphate.

among whom he was a chief, called Sūkhṛā.<sup>285</sup> Sūkhṛā went forth with a band of followers, like a volunteer fighter and one seeking a heavenly reward for his action, until he encountered the ruler of the Hephthalites and expelled him from the land of Khurāsān. The two sides now disengaged and made peace; all those members of Fayrūz's army who had not by then perished and had been made captive—men, women and children—were repatriated. Fayrūz had reigned for seven years.<sup>286</sup>

Another purveyor of historical traditions other than Hishām<sup>287</sup> has stated that Fayrūz was a man of limited capability, generally unsuccessful in his undertakings, who brought down evil and misfortune on his subjects, and the greater part of his sayings and the actions he undertook brought down injury and calamity upon both himself and the people of his realm. During his reign, a great famine came over the land for seven years continuously. Streams, qanāts, and springs dried up; trees and reed beds became desiccated; the major part of all tillage and thickets of vegetation were reduced to dust in the plains and the mountains of his land alike,

285. The form of the name is uncertain, but it appears to have been a family name rather than one of an individual, at all events, Sūkhṛā clearly represented a powerful family of the Shīrāz region. In al-Ṭabarī, I, 877-78, 880, pp. 116-18, 120 below, and see n. 298, he is linked with the great family of Qārin and his genealogy given back to legendary Iranian times. See Nöldeke, trans. 120 n. 3.

286. There were actually three wars of Firūz with the powers of the East, in the first two he made no headway, while the third campaign ended in the supreme disaster of his death. In the first campaign, Firūz may have been taken prisoner and later released in exchange for a ransom, paid in part by the Byzantines, on the evidence of the history attributed to Joshua Stylites, *The Chronicle of Joshua the Stylite*, tr. W. Wright, 8. He was certainly captured at the end of the second campaign, and his son Qubādh/Kawādh, the future king, had to spend two years in the East as a hostage until sufficient ransom money could finally be raised. The third and last campaign was undoubtedly against the Hephthalites proper, who had by now overrun Transoxania and Bactria and were pressing southward over the Hindu Kush into northern India, where there were to appear in Indian chronicles as the "White Huns." See Litvinsky, "The Hephthalite Empire," 138-39. Al-Ṭabarī, or his source, was not able to distinguish these three campaigns, but conflated them into one calamitous war that destroyed the Persian king himself and left his country tributary to the Hephthalites for several years.

287. According to Nöldeke, trans 121 n. 1, this new authority for Firūz's reign is Ibn al-Muqaffa', who is indeed cited in Ibn Qutaybah's *Uyūn al-akhbār* as "the author of the *Kitāb siyar al-'Ajam*" for Firūz's débacle with the Hephthalite king Akhshunwār, see al-Ṭabarī, I, 874ff., pp. 113ff. below.

[874] bringing about the deaths there of birds and wild beasts; cattle and horses grew so hungry that they could hardly draw any loads; and the water in the Tigris became very sparse. Dearth, hunger, hardship, and various calamities became general for the people of his land. He accordingly wrote to all his subjects, informing them that the land and capitation taxes were suspended, and extraordinary levies (*nā'ibah*)<sup>288</sup> and corvées (*sukhrah*) were abolished, and that he had given them complete control over their own affairs, commending them to take all possible measures in finding food and sustenance to keep them going. He wrote further to them that anyone who had a subterranean food store (*maṭmūrah*), a granary, foodstuffs, or anything that could provide nourishment for the people and enable them to assist each other, should release these supplies, and that no one should appropriate such things exclusively for himself. Furthermore, rich and poor, noble and mean, should share equally and aid each other. He also told them that if he received news that a single individual had died of hunger, he would retaliate upon the people of that town, village, or place where the death from starvation had occurred, and inflict exemplary punishment on them.

In this way, Fayrūz ordered the affairs of his subjects during that period of dearth and hunger so adroitly that no one perished of starvation except for one man from the rural district of Ardashīr Khurrah, called Dīh. The great men of Persia, all the inhabitants of Ardashīr Khurrah and Fayrūz himself considered that as something terrible. Fayrūz implored his Lord to bestow His mercy on him and his subjects and to send down His rain (or, assistance, *ghayth*) upon them. So God aided him by causing it to rain. Fayrūz's land once more had a profusion of water, just as it had had previously, and the trees were restored to a flourishing state.<sup>289</sup>

Fayrūz now gave orders for a town to be built near al-Rayy and called it Rām Fayrūz; another town between Jurjān and the Gate of

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288. See for this meaning, *Glossarium*, p. DXXXIII.

289. Firūz employs here what would have been called, in an Arabian context, *istisqā'*, "offering up pleas, prayers [to God] for rain," and this is in fact the very term used here by al-Dīnawari, *al-Akhhbār al-ṭiwāl*, 59, in his account of Firūz's measures to relieve the famine and dearth and to restore fertility. See *EP*<sup>2</sup>, s.v. *Istisqā'* (T. Fahd and P. N. Boratav).

Šūl and called it Rūshan Fayrūz; and a third one in the region of Azerbaijan, which he named Shahrām Fayrūz.<sup>290</sup>

When Fayrūz's land had revived and his kingly rule there was firmly established, when he had inflicted condign violence on his enemies and subdued them, and when he had completed the building of these three towns, he set off with his army for Khurāsān, with the aim of making war on Akhshunwār, king of the Hephthalites.<sup>291</sup> When news of this reached Akhshunwār, he

290. Firūz's building activities are also recorded by al-Dīnawarī, al-*Akhbār al-tiwāl*, 59–60, and Ḥamzah al-Iṣfahānī, *Ta'rikh*, 50 (in the latter source, as far as Hindī). Rām Firūz is mentioned by the Arabic geographers, but they are uncertain whether it was the predecessor of the mediaeval Islamic city of Rhagae/Ray or a town near it, as al-Ṭabarī states here. See Yāqūt, *Buldān*, IV, 283; Schwarz, *Iran*, 744–45. The "Gate of Šūl" guarded the ancient corridor for peoples from the steppe passing via Dihistān and Gurgān on to the Persian plateau. The Šūl in question is possibly the Šūl or Chūl known as a family or tribal name; Marquart, *Ērānšahr*, 51, 73, connected it with Turkish *chöl*, "steppe, desert," regarded skeptically by Barthold, *Four Studies on the History of Central Asia. III. A History of the Turkmen People*, 87–88. Von Gutschmid, however, in his "Bemerkungen zu Tabari's Sasanidengeschichte," 736, preferred to connect Šūl with the Qaghan of the Western Turks known in Chinese sources as Su-lu and the Arabic ones as Abū Muzāḥim, r. 717–38. De Blois adduces the MP name for "Sogdian," *sūlig* (*sughdī* > *sughli* > *sūli*). Whatever the origin of this name, the local rulers of Šūl are certainly described as "Turks" at the time of the first Arab probes into Gurgān and Dihistān, i.e., in the mid-first/seventh century; see al-Balādhurī, *Futūh*, 335–36. But the family of these rulers must gradually have become Islamized and integrated into Perso-Islamic life and culture. A Šūl [Ēr-] Tigin was a leading commander under the caliphs al-Mu'taṣim and al-Wāthiq in the first half of the third/ninth century (al-Ṭabarī, III, 1194, 1313), and the family produced several scholars and *adibs* in Arabic, most notably Abū Bakr Muḥammad b. Yahyā al-Šūlī (d. 335/947) (see *EP*<sup>2</sup>, s.v. al-Šūlī [S. Leder]).

The Sāsānids constructed walls across the coastal plain of Gurgān between the Caspian and the inland Elburz mountain chain to keep out the barbarians, including Firūz himself (al-Ṭabarī, I, 895, p. 152 below) and Khusraw Anūsharwān (Ibn Rustah, *Kitāb al-a'laq al-nafisah*, 150, tr. 173). Rūshan Firūz seems, however, to be the wrong appellation for Firūz's foundation in Gurgān, since certain other sources place this in the district of Kaskar on the lower Tigris (see Nöldeke, trans., 123 n. 2). Later, at I, 894–95, pp. 150–52 below, al-Ṭabarī mentions Khusraw Anūsharwān's stone defenses in the regions of the Šūl and the Alan region of the Caucasus, his quelling of the Šūl people and his settling them at the (already existing?) Shahrām (= Shahr Rām) Firūz. This last is most likely the correct name for Firūz's foundation in Gurgān, especially as there seem to be no other mentions, apart from the one here of a Shahr Rām Firūz in Azerbaijan. See Nöldeke, trans. 123 nn. 1–3.

291. It is not immediately obvious whether this is a personal name or a title; the *Khushnawāz* of Firdawsī and other Persian sources looks like an attempt to mold this into an intelligible Persian name. Nöldeke, trans. 123 n. 4, connected the name Akhshunwār with the Kougchas, king of the Kidarites in ca. 485, mentioned

[875] was stricken with terror. It is mentioned that one of Akhshunwār's retainers offered up his life for him and told him, "Cut off my hands and feet and hurl me down in Fayrūz's way; but look after my children and family." He intended by this, so it has been mentioned, to trick Fayrūz. Akhshunwār did this to the man, and threw him down in Fayrūz's way. When Fayrūz passed by him, he was distressed at the man's state, and asked him what had happened to him. The man informed him that Akhshunwār had done that to him because he had told Akhshunwār that he would be unable to stand up against the Persian troops. Fayrūz accordingly felt pity and compassion for him, and ordered him to be carried with him. The man told Fayrūz, by way of advice, so it is alleged, that he would show him and his followers a short cut, which no one had ever previously used, to get to the king of the Hephthalites. Fayrūz was taken in by this trickery, and he and his troops set off along the route the mutilated man had told him about. They kept on floundering through one desert after another, and whenever they complained of thirst, the man would tell them that they were near to water and had almost crossed the desert. Finally, when the man had brought them to a place where, he knew, they could neither go forward nor back, he revealed to them what he had done. Fayrūz's retainers said to him, "We warned you about this man, O King, but you would not be warned. Now we can only go forward until we encounter the enemy, whatever the circumstances may be." So they pressed ever onward; thirst killed the greater part of them, and Fayrūz went on with the survivors against the enemy. When they contemplated the state to which they had been reduced, they appealed to Akhshunwār for a peace

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by the Byzantine historian Priscus (see on this Greek rendering, Moravcsik, *Byzantinoturcica*, II, 165–66), and this was followed up by Ghirshman, who adduced a coin of Firūz's with the counterstamp of a ruler called Akūn, which he connected with Kougchas; see his *Les Chionites-Hephthalites*, 87–88. The name looks like a distinctly Iranian one, and certainly not a Turkish one, and Henning put forward the view that it was a title, which should be read as *'kh.sh.n.dār* = Sogdian *'xš'wnd'r* "power holder"; see his "Neue Materialien zur Geschichte des Manichäismus," 17 n. 2. Widengren, however, saw no need to amend the *w* of the ending of the name, and suggested that it represents Sogdian *'xš'wnw'r* "power bearer"; see his "Xosrau Anōšurvān, les Hephthalites et les peuples turcs. Etude préliminaire des sources," 75 n. 1.

agreement, on the basis that he would allow them freely to return to their homeland, while Fayrūz would promise Akhshunwār, with an oath and an agreement sworn before God, that he would never in the future mount raids against him, covet his territories, or send against him an army to make war on them. Fayrūz further undertook to establish a boundary between the two kingdoms, which he would not cross. Akhshunwār was content with these promises. Fayrūz wrote for him a document, properly sealed and with his obligations guaranteed by professional witnesses. Akhshunwār then allowed him to depart, and he returned home.

However, once Fayrūz arrived back in his kingdom, overweening pride and uncontrollable rashness led him to renew the war with Akhshunwār. He led an attack on Akhshunwār, despite the advice of his viziers and his close advisers against this, since it involved breaking the agreement; but he rejected their words and would only persist in following his own judgment.<sup>292</sup> Among those who counseled against this course of action was a man called Muzdbuwadh (?) who was especially close to Fayrūz and whose opinion Fayrūz used to seek out. When Muzdbuwadh perceived Fayrūz's firm determination, he set down what had passed between him and the king in a document, which he asked Fayrūz to seal.<sup>293</sup>

Fayrūz now set off on his expedition toward Akhshunwār's territory. Akhshunwār had dug a great trench (*khandaq*) between his own and Fayrūz's territory. When Fayrūz came to this, he threw bridges across it and set up on them banners which would be guiding markers for him and his troops on the way back home, and then crossed over to confront the enemy. When Akhshunwār came up to their encampment, he publicly adduced before Fayrūz the document with the agreement he had written for Akhshunwār, and warned him about his oath and his undertaking; but Fayrūz rejected this and only persisted in his contentiousness and

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292. The narrative emphasizes Firūz's personal responsibility, as the breaker of his oath, for the ensuing catastrophe; but as Nöldeke skeptically observes, if Firūz had been victorious, all mention of his oath-breaking would have been tossed aside!

293. That is, so that the record should be clear, that he had opposed Firūz's planned attack and was thus in no way responsible for the consequences.



[877] squaring up to his opponent. Each one of them addressed his opponent in lengthy speeches, but in the end, they became enmeshed in the toils of war. Fayrūz's followers were, however, in a weakened and defeatist state because of the agreement that had existed between them and the Hephthalites. Akhshunwār brought forth the document Fayrūz had written out for him and raised it up on the tip of a lance, calling out, "O God, act according to what is in this document!"<sup>294</sup> Fayrūz was routed, mistook the place where the standards had been set up [as markers], fell into the trench, and perished. Akhshunwār seized Fayrūz's baggage, his womenfolk, his wealth, and his administrative bureaus (*dawāwīnuhu*). The Persian army suffered a defeat the like of which they had never before experienced.

There was in Sijistān a man of the Persians, from the people of the district of Ardashīr Khurrah, who had insight, strength in battle, and bravery, and who was called Sūkhṛā.<sup>295</sup> He had with him a detachment of cavalymen. When he received the news about Fayrūz, he rode off that same night, traveling as far as he could, till he came up with Akhshunwār. He sent a messenger, announcing to him his intention of making war and threatening him with destruction and ruin. Akhshunwār dispatched a mighty army against Sūkhṛā. When the two sides met, Sūkhṛā rode out against them, and found them eager for battle. It is said that he shot an arrow at a man who had ridden out to attack him; the arrow struck the latter's horse between the eyes and became almost totally sunk in its head. The horse fell down dead, and Sūkhṛā was able to capture its rider. Sūkhṛā spared his life, and instructed him to go back to his master and inform him about what he had seen. The (Hephthalite) troops went back to Akhshunwār bearing with them the horse's corpse. When Akhshunwār saw the effects of the arrow shot, he was amazed, and sent a message to Sūkhṛā, saying, "Ask whatever you want!" Sūkhṛā told him, "I want you to return to me the government exchequer (*al-dīwān*) and to release the captives: The king did that. When Sūkhṛā had taken possession of the ex-

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294. That is, bring down upon Firūz the stipulated curse for his breaking the agreement he had made with Akhshunwār.

295. Presumably the Sūkhṛā mentioned by al-Ṭabarī, I, 873, pp. 110-11 above, as from Fārs, Ardashīr Khurrah being the district in which Shirāz lay.

chequer and had secured the release of the captives, he extracted from the exchequer records a certified statement of the monies<sup>296</sup> that Fayrūz had had with him, and then wrote to Akhshunwār that he was not going to leave without this money. When Sūkhrā's determination became apparent to Akhshunwār, he purchased his freedom (i.e., from the threatenings of Sūkhrā, by handing over the missing money).

Sūkhrā was able thus to return to the Persian land after rescuing the captives and after getting hold of the exchequer, with the money and all the contents of the treasuries that had been with Fayrūz, now given back. When he arrived back to the Persians, they received him with great honor, extolled his feats, and raised him to a lofty status such as none but kings were able to attain after him. He was Sūkhrā, son of Wīsābūr,<sup>297</sup> son of Z.hān, son of Narsī, son of Wīsābūr, son of Qārin, son of K.wān, son of 'b.y.d, son of 'w.b.y.d, son of Tīrūyah, son of K.r.d.n.k, son of Nāw.r, son of Ṭūs, son of Nawdhar,<sup>298</sup> son of M.n.shū, son of Nawdar, son of Manūshihhr.

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296. Reading, with the Sprenger ms., *thabat* (or *thubūt*), "certification, record," as in n. b, for the text's *buyūt*.

297. For Wihshāpūr, as in Nöldeke, trans. 127 n. 1.

298. Text, *N.w.d.kā*. The *Addenda*, p. DXXI, refer back to the name Ṭūs, son of Nawdharān, in al-Ṭabarī, I, 601, amended there by the editor from the form in the *Bundahishn* and the *Shāh-nāmah*, the name Nawdhar being in Persian legendary history the son and successor of king Manūchihhr, killed by Afrāsiyāb. See Nöldeke, *Das iranische Nationalepos*, 8–9; Yarshater, "Iranian National History," 373, 404, 435. The Naōtara/Nawdhar were, in fact, one of the great princely houses of legendary history, figuring in the Avesta. From the time of Wištāspa onward—apparently through Wištāspa's marriage connection with Hutaosā, of the Naōtara—the Naōtara were reckoned as the royal house, now prominent in the national epic. See Christensen, *Les Kayanides*, 23–25; Yarshater, op. cit., 413, 460–61; and on the family name, appearing in the Avesta as the eponymous epithet *naōtairya-*, see Mayrhofer, *Die altiranischen Namen*, no. 228, regarding it as of uncertain origin.

This impressive genealogy for Sūkhrā, going back to mythological times, indicates the importance of the family and places it as one of the great Arsacid families, that of Qārēn or Qārin, which was to survive the Islamic conquest of Persia and play a role in Islamic history for some two centuries further. Qārin, son of Sūkhrā, received from the Sāsānid monarch lands in Ṭabaristān, the Wandā-Ummīd Kūh in the hinterland of Amul, and in early Islamic times the Qārinid principality may have been centered on Firrīm. The most famed representative of the line in the Islamic period was Māzyār b. Qārin, then only a recent convert to Islam, who rebelled against the Ṭāhirid governors of Khurāsān in the caliphate of al-Mu'ṭasim and was executed in 225/840, with the line disappearing from history after this point. See al-Ṭabarī, III, 1268–98; Nöldeke, trans. 127 n. 2, 438 Excursus 3; *EI*<sup>1</sup>, s.v.

Another authority knowledgeable about the historical narratives of the Persians has mentioned the story of Fayrūz and Akhshunwār in similar terms to what I have just recounted,<sup>299</sup> except that he has stated that when Fayrūz set out and headed toward Akhshunwār, he appointed as his deputy over the cities of Ctesiphon and Bahurasūr—the two royal residences—this person Sūkhṛā.<sup>300</sup> He related: The latter was called, on account of his rank, Qārin,<sup>301</sup> and used to be governor of Sijistān as well as of the two cities. Fayrūz came to a tower (*manārah*) Bahrām Jūr had constructed in the zone between the border of the land of Khurāsān and the land of the Turks in order that the latter should not cross the frontier into Khurāsān—all this in accordance with the covenant between the Turks and the Persians providing that each side should renounce transgressing the other's frontiers. Fayrūz likewise had made an agreement with Akhshunwār not to pass beyond the tower into the land of the Hephthalites.

[879] [After reaching the tower,] Fayrūz gave orders, and had fifty elephants plus three hundred men linked together,<sup>302</sup> and had it (sc., the tower) dragged forward, while he came along behind it. He intended by means of this to assert that he had ostensibly kept faith with Akhshunwār regarding his agreement with him.<sup>303</sup> Akhshunwār got news of what Fayrūz was up to in connection with that tower. He sent an envoy to Fayrūz with the message, "Desist, O Fayrūz, from what your forefathers abandoned, and don't embark on what they didn't attempt to do!" Fayrūz took no notice of his words and Akhshunwār's message left him unmoved. He began to try and tempt Akhshunwār into a direct military

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Māzyār (V. Minorsky); *EP*<sup>2</sup>, sv. Qārinids (M. Rekaya).

299. In this version, Firūz's enemies in the East have become not Hephthalites but Turks, and Firūz's offense consists in advancing beyond the tower that Bahrām Gūr had set up as a boundary between the two powers. Cf. Nöldeke, trans. 128 n. 3.

300. Al-Dīnawari, *al-Akhbār al-ṭiwāl*, 60, has Shūkhār for this name and also states that Firūz was accompanied on the expedition by, among others, the Chief Mōbadh.

301. Nöldeke, trans 128 n. 4, notes that Greek sources also tend to attach family or clan names, associated with great offices in the state, to the offices themselves.

302. The Cairo text, II, 96, has *fa-ṣuffida* "were linked together," with the same meaning as the Leiden text's *fa-dumida*.

303. That is, by not leading the attack on Akhshunwār directly from the front of his troops.

engagement and summoned him to this, but Akhshunwār kept on holding back and showing an aversion for this, because the Turks' method of warfare consists for the most part in trickery, deceitfulness, and stratagems. Furthermore, Akhshunwār ordered a trench to be dug behind the lines of his own army, ten cubits wide and twenty cubits deep. He had light branches of wood laid over it and then had it covered with earth. Then he retired with his troops to a spot not too far away. Fayrūz received news of Akhshunwār's departure from his encampment with his troops, and had no doubt that this meant Akhshunwār's withdrawal and flight. He ordered the drums to be beaten, and rode out at the head of his troops in pursuit of Akhshunwār and his followers. They rushed forward impetuously, heading directly toward that trench. But when they reached it, they rushed blindly on to the trench's covering.<sup>304</sup> Fayruz and the whole mass of his army fell into the pit and perished to the last man. Akhshunwār wheeled round to Fayrūz's encampment and took possession of everything there. He took captive the Chief Mōbadh, and among Fayrūz's womenfolk who fell into his hands was Fayrūzdukht,<sup>305</sup> his daughter. Akhshunwār gave orders for the corpses of Fayrūz and all those who had fallen into that trench with him to be retrieved, and they were laid out on funerary structures (*al-nawāwīs*).<sup>306</sup> Akhshunwār sent for Fayrūzdukht, wishing to join with her in sexual congress, but she refused.<sup>307</sup>

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304. The *Addenda*, p. DXC1, suggest reading the text's '*alā ghimā'īhi (ghimā'* - "roof made of reeds and earth" as '*alā 'amāyat*<sup>in</sup>, "erroneously." Cf. *Glossarium*, p. CCCXCII.

305. Literally, "daughter of Firūz"; cf. Justi, *Namenbuch*, 250, and Gignoux, *Noms propres sassanides*, no. 761. As Nöldeke, trans. 130 n. 2 notes, her true name would not be known outside the royal harem and its circle of attendants.

306. In conformity thereby with the Zoroastrian practice of exposing corpses to the air rather than inhumation. The *nawāwīs* would be hastily assembled, temporary structures for dealing with large numbers of the slain, corresponding to the more permanent *dakhmas* or "towers of silence."

307. Nöldeke, trans 130 n. 3, notes that this is a distortion of the apparent truth, meant here to emphasize Persian royal pride. According to Ps.-Joshua Stylites, *Chronicle*, trans. 15-16, the victorious Akhshunwār took Firūz's daughter into his harem, and she gave birth to a daughter who subsequently married her own paternal uncle Kawādh, son of Firūz; the king's daughter was obviously not given back with any other royal womenfolk.

[880] When the news of Fayrūz's death reached the Persian lands, the people were thrown into perturbation and terror. However, when Sūkhrā became convinced of the exact truth of Fayrūz's fate, he got ready and advanced with the greater part of the troops at his disposal against the Hephthalite lands. When Sūkhrā reached Jurjān, Akhshunwār received news of his expedition to attack him, so he prepared for war and moved toward engaging Sūkhrā in battle; and at the same time he sent to Sūkhrā asking him about his intentions and enquiring what his name and his official position were. Sūkhrā sent back the message that he was a man with the personal name of Sūkhrā and the official rank of Qārin, and that his intention in marching against Akhshunwār was to take vengeance on him for Fayrūz's death. Akhshunwār returned a message to him: "Your way of proceeding in this affair you have undertaken is exactly like Fayrūz's was, since despite the numerousness of his troops, the sole consequence of his attacking me was his own destruction and perdition." But Akhshunwār's words did not deter Sūkhrā, and he paid no heed to them. He gave orders to his troops, and they got ready for battle and girded on their weapons. He moved forward against Akhshunwār, advancing with firm determination and a keen mind (literally, "heart"). Akhshunwār sought a truce and a peace agreement with Sūkhrā, but the latter refused to contemplate any peace agreement with him unless he could recover everything Akhshunwār had appropriated from Fayrūz's encampment. So Akhshunwār returned to him everything he had seized from Fayrūz's camp, including his treasuries, the contents of his stables, and his womenfolk, including Fayrūzdukht, and he handed back to him the Chief Mōbadh and every single one of the great men of the Persians in his possession. Sūkhrā then went back to the land of the Persians with all that.<sup>308</sup>

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308. The Arabic sources on Firūz's last campaign and Sūkhrā's putative campaign of vengeance include Ibn Qutaybah, *Ma'ārif*, 661-62; idem, *Uyūn al-akhbār*, I, 117-21 (from the *Kitāb siyar al-'Ajām*); al-Ya'qūbī, *Ta'rikh*, I, 184-85; al-Dinawarī, *al-Akhbār al-ṭiwāl*, 60; al-Mas'ūdī, *Murūj*, II, 195 = § 617, placing the battle in which the Persian monarch was killed at Marw al-Rūdh, whereas Firdawsī places it in the vicinity of Marw; Ibn al-Athīr, *Kāmil*, I, 408-409. The Persian ones include Ṭabari-Bal'amī, trans. II, 131-44. Nöldeke, trans. 131 n. 2, saw in the campaign of Sūkhrā a reminiscence of Bahram Gūr's campaigns in the East, and in his *Das iranische Nationalepos*, 9, drew attention to the role of Sūkhrā

The authorities differ concerning [the length of] Fayrūz's reign. Some say it was twenty-six years, others twenty-one years.<sup>309</sup>

*Mention of Events in the Reigns of Yazdajird (II), Son of Bahrām (V), and Fayrūz and the Relations of Their Respective Governors with the Arabs and the People of Yemen*<sup>310</sup>

Information was transmitted to me from Hishām b. Muḥammad, who said: The sons of the nobles of Ḥimyar and others from the Arab tribes used to serve the kings of Ḥimyar during their

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as retriever of the military situation and restorer of Persian national honor after Firūz's ill-omen actions had abased it, and he mentioned the parallel role of the house of Qārin (to which al-Ṭabarī attaches Sūkhṛā, see above) in Iranian legendary history, possibly an attempt to glorify the house of Qārin by giving it a splendid part in the nation's remote past. Christensen, *Sassanides*, 296, notes that the whole episode of Sūkhṛā's campaign of revenge against the Hephthalite king is unmentioned in the contemporary sources (i.e., the Christian chroniclers), and may likewise have been a patriotic Persian invention by circles unwilling to accept the possibility of such a dismal defeat for Firūz, especially when the latter had been the darling of the Zoroastrian clergy. Of Byzantine sources on the wars with the Hephthalites, Procopius, *The Persian War*, I.iii.1-iv.35 is detailed but inclined very much to the anecdotal, with undue space devoted to, e.g., the story of a pearl earring of Firūz's; see Cameron, *Procopius and the Sixth Century*, 154-55. Agathias, however, has a more lively account, influenced by Syrian and Armenian traditions very hostile to Firūz; see Cameron, "Agathias on the Sassanians," 152-54. Of modern studies on the wars, see Ghirshman, *Les Chionites-Hephthalites*, 87-90; K. Hannestad, "Les relations de Byzance avec la Transcaucasie et l'Asie Centrale aux 5<sup>e</sup> et 6<sup>e</sup> siècles," 438-40; Litvinsky, "The Hephthalite Empire," 138-40.

309. Firūz I's reign was 459-84; hence more like twenty-six (lunar) years. His name appears on his coins as (KDY) PYRWCY, i.e., (Kay) Pērōz. See on his coins Paruck, *Sāsānian Coins*, 63, 367-70, 452-57, Plate XVI, Table XIV, Göbl, *Sāsānian Numismatics*, 49-50, Table IX, Plate 10; Sellwood, Whitting, and Williams, *An Introduction to Sāsānian Coins*, 21, 123-27; Malek, "A Survey of Research on Sāsānian Numismatics," 236.

The other Arabic sources for his reign include Ibn Qutaybah, *Ma'ārif*, 661-62; al-Ya'qūbī, *Ta'rikh*, I, 184-85; al-Dīnawarī, *al-Akhbār al-tiwāl*, 58-60; al-Mas'ūdī, *Murūj*, II, 195 - § 617; idem, *Tanbih*, 101, trans. 145; Ḥamzah al-Iṣfahānī, *Ta'rikh*, 50; Ibn al-Athīr, I, 407-11. Of Persian sources, see Ṭabarī-Bal'amī, trans. II, 128-44. For modern studies of his reign in general, see Christensen, *Sassanides*, 290-96; Frye, "The Political History of Iran under the Sasanians," 147-8, 178.

310. From this point, I, 880 l. 17 to 882, l. 4, Nöldeke did not bother to translate, considering the events narrated there as too "fabelhafte" (but see regarding such omissions, Translator's Foreword, p. xvi above).

[881] period of royal power.<sup>311</sup> Among those who served Ḥassān b. Tubba' was 'Amr b. Ḥujr al-Kindī, the chief of Kindah during his time.<sup>312</sup> When Ḥassān b. Tubba' led an expedition against the Jadīs,<sup>313</sup> he appointed 'Amr as his deputy over certain affairs.<sup>314</sup> When 'Amr b. Tubba' killed his brother Ḥassān b. Tubba' and

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311. The earliest firm mention of Himyar (Sabaic *ḥmyr*) and the Ḥimyarites comes from Pliny the Elder in the late first century A.D., but it is unclear whether the term originally denoted an ethnic identity or a grouping of diverse ethnic elements. It then appears, in what is probably the next century, in the *Periplus of the Erythraean Sea*, where is mentioned Charibael "king of the two nations (*ethnē*), the Homeritae and the Sabaeans." In the first centuries A.D., the Ḥimyarites seem to have been led by minor princes, *qayls*, and chiefs, rather than kings. Only in the sixth century do we find epigraphic evidence for kings of Ḥimyar, when the Ḥiṣn al-Ghurāb inscription (CIH 621) speaks of their being killed by the Abyssinians. See Beeston, "The Ḥimyarite Problem," 1-7.

312 Kindah (*kdt* = *kndt*) in the South Arabian inscriptions, with assimilation of the intervocalic *n*) was the great Arabian tribe which, according to Arab tradition, migrated from Ḥaḍramawt to central Arabia, though this may be an inversion of what actually happened. It was accordingly accounted South Arabian in *nasab* by the overwhelming majority of genealogists (see for certain exceptions to these, Kister and Plessner, "Notes on Caskel's Gamharat an-nasab," 58-59). Certainly, in the third century A.D., Kindah was established in southwestern Najd with their center at Qaryat al-Fāw, an important settlement on the main caravan route from Yemen and Najrān northward to Najd (see A. R. al-Ansary, *Qaryat al-Faw. A Portrait of Pre-Islamic Civilisation in Saudi Arabia*); they appear in recorded history as nomad auxiliaries of the kings of Saba, and then, after ca. A.D. 275, of the Ḥimyarite kings. In the second half of the fifth century, Kindah are found further to the north in Najd, with what seems to have been a semipermanent camp/capital at Baṭn 'Āqil (localized by U. Thilo, *Die Ortsnamen in der altarabischen Poesie*, 29, in the wadi of that name, an affluent of the Wādī al-Rummah, to the west of modern 'Unayzah and Buraydah) under the celebrated Ḥujr b. 'Amr, called Ākil al-Murār (on this cognomen, n. 408 see below). It seems that, as part of the general policy of extending Ḥimyarite power into central Arabia at this time, this chief of Kindah was placed in power as a "king" (in fact, merely a tribal chief) over the local Arab tribes there of Ma'add. The Arab historical tradition makes the Ḥimyarite ruler involved here either the Tubba' As'ad Abū Karib or his son Ḥassān (in the South Arabian inscriptions, *ḥsʿn*) Yuha'min, whose reigns should be placed, according to the inscriptions, in the second quarter of the fifth century. As well as appearing in the Arabian historical tradition, it now seems likely that Ākil al-Murār is the "Ḥujr b. 'Amr, king (*mlk*) of Kiddat" of a Sabaeen rock inscription found near Kawkab, roughly half-way between Najrān and al-Fāw (see Iwona Gajda, "Ḥuḡr b. 'Amr roi de Kinda et l'établissement de la domination ḥimyarite en Arabie centrale," 65-73). The fortunes of Kindah were thus for long connected with the rulers of South Arabia, until the fall of the Ḥimyarites under Abyssinian pressure deflected many elements of the tribe in southwestern Najd into Ḥaḍramawt. See, in general, Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Tables 176, 233, II, 47-53, 371-2; G. Olinder, *The Kings of Kinda of the Family of Ākil al-Murār*, 32-50; Chr. Robin, in idem (ed.), *L'Arabie antique de Karib'il à*

assumed the royal power in his stead, he took 'Amr b. Ḥujr al-Kindī into his personal service. 'Amr b. Ḥujr was a man of sound judgment and sagacity. 'Amr b. Tubba' intended to honor him and at the same time to diminish the status of his brother Ḥassān's sons, and as part of this policy he gave Ḥassān b. Tubba's daughter in marriage to 'Amr b. Ḥujr. The Ḥimyarites grumbled at this, and among them were some young men who were concerned about her, because none of the Arabs had previously been bold enough to desire a marriage alliance with that house (sc., the Ḥimyarites).

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*Mahomet. Nouvelles données sur l'histoire des Arabes grâce aux inscriptions*, 80-81; idem, "Le royaume ḥiride, dit «royaume de Kinda», entre Ḥimyar et Byzance," 666-68; idem, in *Supplément au Dictionnaire de la Bible*, s.v. Sheba, 2, col. 1141; *EP*<sup>2</sup>, s.v. Kinda (Irfan Shahīd).

Ḥujr's son 'Amr, mentioned here, had the cognomen al-Maḡṣūr, apparently because he was "limited, confined" to his father's sphere of power and unable to expand it (regarding his personal qualities, al-Ṭabarī, below, stresses his judgment and sagacity). He succeeded Ḥujr in the main center of Kindī authority, Najd, with another branch of the family under his brother Mu'āwiyah al-Jawn controlling the eastern Najd regions of Yamāmah, Hajar and Baḥrayn. See Olinder, op. cit., 47-50, and nn. 314, 408 below.

313. This was one of the extinct tribes of the Arabs, *al-'Arab al-bā'idah*, according to Arabic lore and legend, which relates that Jadīs and his brother Thamūd were three generations after Sām b. Nūḥ, i.e., Noah's son Shem. The story goes that Jadīs, living in Yamāmah, rebelled against the tyranny of a sister tribe, Ṭasm, but that the sole survivors of the latter called in Ḥassān Tubba', whose army exterminated the Jadīs. See al-Ṭabarī, I, 215, 217, 219-21, 771-75; Ibn al-Kalbi-Caskel-Strenziok, *Jamharat al-nasab*, I. 40; *EP*<sup>2</sup>, s.v. Ṭasm (W. P. Heinrichs).

314. Tubba', with the Arabic broken plural Tabābi'ah, is used by writers of Islamic times as a dynastic title (comparable to Fir'awn, pl. Farā'inah, for the Pharaohs of Egypt and Kisrā, pl. Akāsirah, for the Sāsānid kings (on this last title, see n. 374 below), etc.) for this line of Ḥimyarite rulers who controlled the southwestern part of Arabia from the late third century A.D. to the early sixth century. It is probably true that Tubba' was a title rather than a personal name, but its meaning and/or etymology are unknown; the explanations of the Arabic lexicographers (see Lane, *Lexicon*, 295b-c) can be disregarded. At all events, ca. 275 the Ḥimyarite Shamir Yur'ish or Yuhar'ish overthrew the Sabaeen rulers in Yemen, together with the independent rulers in Ḥaḍramawt, and constituted himself "king of Saba and Dhū Raydān and of Ḥaḍramawt and Ymnt" (see further on him, al-Ṭabarī, I, 890, p. 142 and n. 364 below). Almost all the Tubba' rulers mentioned by Islamic authors, most notably by al-Ḥasan b. Aḥmad al-Ḥamdānī (d. 334/945) in his *Iklīl*, can be validated from South Arabian inscriptions, but there remain lacunae, and a definitive dynastic list cannot be worked out. See Beeston, "Ḥamdānī and the Tabābi'ah," 5-15; *EP*<sup>2</sup>, s.v. Tubba' (A. F. L. Beeston).

'Amr b. Tubba's patronage of 'Amr b. Ḥujr al-Kindī further illustrates the closeness of the links between Kindah and the kings of Ḥimyar, as does his giving in marriage his own niece to 'Amr b. Ḥujr, mentioned a few lines below. Cf. Olinder, *The Kings of Kinda*, 48, who is unnecessarily skeptical about this marriage.



Ḥassān b. Tubba's daughter bore al-Ḥārith b. 'Amr to 'Amr b. Ḥujr.

After 'Amr b. Tubba', 'Abd Kulāl b. Muthawwib succeeded to the royal power. This was because the sons of Ḥassān were only small, except for Tubba' b. Ḥassān, whom the jinn had rendered mentally unbalanced. Hence Abū Kulāl b. Muthawwib assumed the royal power [temporarily], fearing lest someone outside the royal house of the kingdom might covet it. He was qualified to exercise this power through his mature years, his experience, and his excellent powers of governing. According to what has been mentioned, he was an adherent of the original form of Christianity (*'alā dīn al-Naṣrāniyyah al-ūlā*), but used to conceal this from his people. He had been converted to that faith by a man of Ghassān who had come from Syria, but whom the Ḥimyarites had then attacked and killed.<sup>315</sup>

At that point, Tubba' b. Ḥassān recovered his sanity and was restored to health. He was highly knowledgeable about the stars, the most intelligent among those who had learned [the sciences] in his time, and the one with the most information and lore concerning both the past and what was to come after him in the future. Hence Tubba' b. Ḥassān b. Tubba' b. Malikay Karib b. Tubba' al-Aqran was raised to the kingship. Ḥimyar and the Arabs stood in intense awe of him. He then sent his sister's son al-Ḥārith b. 'Amr b. Ḥujr al-Kindī at the head of a powerful army against the lands of Ma'add,<sup>316</sup> al-Ḥīrah, and the districts adjacent to them both. Al-Ḥārith marched against al-Nu'mān b. Imri' al-Qays b. al-Shaqīqah and fought with him; al-Nu'mān and a number of his family were killed, and his companions were routed. Only al-Mundhir b. al-Nu'mān al-Akbar,<sup>317</sup> whose mother was Mā' al-

[882]

<sup>315</sup>. That is, by al-Nu'mān I b. Imri' al-Qays II (r. ca. 400–18). See Rothstein, *Lahmidien*, 52, 65–68, 70.

<sup>316</sup>. Ma'add is a general designation for the North Arab tribes in Islamic times, as is also that of his father 'Adnān and his son Nizār. Ma'add was originally, in pre-Islamic times, a tribal group in central Arabia, presumably the Nizār of the Namārah inscription of A.D. 328, and then in the early sixth century the Ma'add are mentioned in South Arabian inscriptions as the North Arab subjects of Kindah. See Ibn al-Kalbī-Casquel-Strenziok, *Jamharat al-nasab*, I, Table 1, II, 1–2, 379; *EP*<sup>2</sup>, s.v. Ma'add (W. M. Watt).

<sup>317</sup>. That is, al-Mundhir I b. al-Nu'mān I (r. ca. 418–76). See Rothstein, *Lahmidien*, 53, 68–70.

Samā', a woman of the Banū al-Namir,<sup>318</sup> managed to escape from al-Ḥārith. In this way the royal power of the house of al-Nu'mān passed away, and al-Ḥārith b. 'Amr al-Kindī succeeded to their former power and possessions.<sup>319</sup>

Hishām related: After al-Nu'mān, his son al-Mundhir b. al-Nu'mān succeeded to the royal power, al-Mundhir's mother being Hind bt. Zayd Manāt b. Zayd Allāh b. 'Amr al-Ghassānī,<sup>320</sup> for forty-four years, of which eight years and nine months fell within

318. The Namir b. Qāsiṭ were a minor tribe of Rabī'ah. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Table 141, II, 444; Ibn Durayd, *Kitāb al-Ishtiqāq*, 334-35.

319. Al-Ḥārith b. 'Amr al-Maqṣūr (*fl.* in the first thirty years or so of the sixth century) was a dominating personality on the political and military scene of Arabia and the adjacent fringes of the Byzantine and Persian lands. The Lakhmids and the chiefs of Kindah had had connections, despite being rivals for the control of northern and eastern Arabia; already in the later fifth century al-Aswad b. al-Mundhir I had married a daughter, Umm al-Malik, of the Kindī 'Amr b. Ḥujr Ākil al-Murār.

The campaign against the Lakhmids mentioned here was preceded by an attack on the Byzantine frontiers in Syria led by two of al-Ḥārith's sons, Ḥujr and Ma'dī Karib, in ca. 500, forcing the Greeks to agree to a peace treaty in 502. According to the South Arabian tradition of Ibn al-Kalbī and the Bakrī tribal one set forth in Abū Muḥammad al-Qāsim b. Muḥammad al-Anbārī's commentary on the *Mufaḍḍaliyyāt* poetical anthology, al-Ḥārith and the Rabī'ah attacked al-Nu'mān al-Akbar, al-Mundhir's father, and then al-Ḥārith became head of the Arabs of Iraq. This accords *grosso modo* with the South Arabian tradition of Ibn al-Kalbī also given here by al-Ṭabarī, that al-Nu'mān was killed but his son al-Mundhir III b. al-Nu'mān II b. Mā' al-Samā' managed to escape. All other Arabic traditions are concerned only with al-Mundhir, variously described as the son of Imru' al-Qays, of al-Nu'mān, and of Mā' al-Samā', and not with his father. Concerning the date of the event, the Ps.-Joshua the Stylite, *Chronicle*, trans. 45-46, states that the Arab (i.e., Kindī) invasion of the Lakhmid lands took place when al-Nu'mān was away with the Persian army combatting the Greeks, which would place an attack by al-Ḥārith on al-Ḥīrah in 503. It is probable that the Kindī ruler was then able to control the greater part of the Lakhmid dominions from 503 till 506, the years when the Byzantine-Persian war was at its most intense, and Kawād was unable to afford the Lakhmids any assistance. According to the Bakrī tradition again, al-Mundhir, bereft of Persian help, had to agree to marry al-Ḥārith's daughter Hind, who, as a Christian, was subsequently held in great honor at al-Ḥīrah and was the founder of a monastery in the region of al-Ḥīrah, the Dayr Hind (al-Ṣuḡhrā); see al-Shābushtī, *Kitāb al-diyārāt*, 244-46, and n. 914 below. See for these events, Rothstein, *Lahmidien*, 69-71, 87ff.; Olinder, *The Kings of Kinda*, 57-63; S. Smith, "Events in Arabia in the 6th Century A.D.," 445-46; *EP*<sup>2</sup>, s.v. Kinda (Irfan Shahīd). For the general background of relations between Byzantium and Kindah, Shahīd, "Byzantium and Kinda," 57-63.

320. As Rothstein pointed out, *Lahmidien*, 68, this *nasab* does not necessarily imply that Hind was a princess of the Jafnid/Ghassānid royal house in Syria; there were members of Ghassān living in al-Ḥīrah, e.g., the Āl Buqaylah.

the time of Bahrām (V) Jūr, son of Yazdajird (I), eighteen years fell within the time of Yazdajird (II), son of Bahrām, and seventeen years within the time of Fayrūz b. Yazdajird (II). After him there reigned his son al-Aswad b. al-Mundhir, whose mother was Hirr bt. al-Nu'mān from the descendants of al-Hayjumānah bt. 'Amr b. Abī Rabī'ah b. Dhuhl b. Shaybān.<sup>321</sup> It was he whom the Persians imprisoned. [He reigned for] twenty years, of which ten years fell within the time of Fayrūz, son of Yazdajird (II), four years in the time of Balāsh, son of Yazdajird (II), and six years in the time of Qubādh, son of Fayrūz.<sup>322</sup>

[Balāsh]

Then there succeeded to the royal power after Fayrūz, son of Yazdajird (II), his son Balāsh.<sup>323</sup>

[883] (He was) the son of Fayrūz, son of Yazdajird (II), son of Bahrām (V) Jūr. His brother Qubādh had disputed the succession with him, but Balāsh had emerged victorious and Qubādh had fled to Khāqān, king of the Turks, seeking his help and military aid.<sup>324</sup> When the crown was placed on Balāsh's head, the great men of state and the nobles gathered round him, hailed him with congratulations, and invoked divine blessings on him. They requested him to re-

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321. Nöldeke, trans. 133 n. 1, thought that the unusual name al-Hayjumānah (found in ancient Arab onomastic in both masculine and feminine forms) stemmed from Greek *hegoumenē* or *hegemōn*, and this seems very probable.

322. The reign of al-Aswad b. al-Mundhir I is poorly documented, but must have fallen substantially within the third quarter of the fifth century and just beyond it, apparently from 462 onward in Rothstein's computation. The lists of the Lakhmid kings in the Arabic sources, where they mention al-Aswad (here from Ibn al-Kalbī, and also in al-Mas'ūdī, *Murūj*, III, 200 = § 1060) accord in giving him a reign of twenty years, which would take his reign up to 482. See Rothstein, *Lahmidien*, 55, 70.

323. Correctly, the brother of Fayrūz and not his son. See Frye, *The Heritage of Persia*, 295. On the name Balāsh/Walāsh, see n. 31 above.

324. Some sources, both Christian and Muslim, mention two flights of Kawād from Walāsh to the rulers of the East, others only one. He had certainly been a hostage for two years with the Hephthalite king Akhshunwār, according to al-Ya'qūbī and other authorities. Further sources state, however, that Walāsh had to struggle for the succession of his father's death with another brother, Zarēr. See Nöldeke, trans. 133 n. 6.

ward Sūkhṛā for what he had done, so Balāsh marked him out as one of his special favorites, honored him and gave him rich presents.<sup>325</sup> Balāsh invariably behaved in a praiseworthy manner and had an intense care for the prosperity of the land. His laudable concern for this reached the extent that, whenever he heard of the ruin of a house and the flight of its inhabitants, he would punish the owner of the village<sup>326</sup> because of his lack of lively concern for them and lack of relief for their need, so that they would not have been compelled to abandon their homes. He built in the Sawād a city he called Balāshāwādh, which is Sābāt near al-Madā'in.<sup>327</sup> His reign lasted four years.<sup>328</sup>

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325. Sūkhṛā was clearly a dominant influence during Walāsh's short reign. It may well be that Sūkhṛā and his son Zārmīhr, mentioned just below, are one and the same person. See Nöldeke, trans. 134 n. 1.

326. *ṣāhib al-qaryah*, i.e., the *dihqān*, a member of the lesser nobility of rural and small-town landowners.

327. This is a false equation of the two places mentioned, which extracts a fictitious name from the later port of Balāshābād, a genuine Sābāt, Sābāt al-Madā'in, in Persian, Walāshābād, existed as the port of Ctesiphon from Arsacid times, having been founded by Vologeses I (r. ca. A.D. 51-80), whose name really lies behind al-Ṭabarī's Balāshāwādh. See Nöldeke, trans. 134 n. 4; Fiey, "Topographie chrétienne de Mahozé," 398-99.

328. Walāsh's reign was 484-88. His name appears on his coins as (H)WKDY) WLK'S, i.e., Hu Kay Wālaksh. See on his coins Paruck, *Sāsānian Coins*, 63-64, 370-73, 457-59, Plates XVI-XVII, Table XVI; Göbl, *Sasanian Numismatics*, 50-51, Table X, Plate X, 11; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 128-29; Malek, "A Survey of Research on Sasanian Numismatics," 236.

Of the other Arabic sources on his reign, al-Ya'qūbī, al-Dīnawarī, and al-Mas'ūdī merely give his name and the length of his reign. Ibn Qutaybah, *Ma'ārif*, 610, mentions him in connection with a popular proverb, *hijām Sābāt*, and 662-63 in regard to his brief reign and his deposition in favor of his brother Qubādh. Ḥamzah al-Isfahānī, *Ta'rīkh*, 50, notes that he built Balāshābād and another town near Hulwān called Balāshfarr (read thus for the text's *Balāsh*.z). See also Ibn al-Athīr, *Kāmil*, I, 411. Of Persian sources, see Ṭabarī-Bal'amī, trans. II, 144-46. For modern studies of his reign, see Christensen, *Sassanides*, 295-96; Frye, "The Political History of Iran under the Sasanians," 149, 178.

Despite Walāsh's good rule, he was overthrown and blinded by a conspiracy of the great men of state and the Zoroastrian clergy; Ps.-Joshua the Stylite, *Chronicle*, trans. 12-13, says that the clergy hated him and condemned him for introducing public baths into Persia, presumably because it was a foreign, Roman practice and such use of water profaned one of the sacred elements of creation. His measures had perhaps clashed with the interests of the aristocracy and the *dihqāns*, while his conciliatory policy toward the Christians had aroused the ire of the priesthood of the state church. See Nöldeke, trans. 134 n. 5; Labourt, *Le Christianisme dans l'empire perse*, 154.

## [Qubādh I]

Then there succeeded to the royal power Qubādh, son of Fayrūz, son of Yazdajird (II), son of Bahrām (V) Jūr.<sup>329</sup> Before Qubādh attained the throne, he had fled to Khāqān, seeking help from him against his brother Balāsh. On his way there, he passed through the vicinity of Naysābūr, accompanied by a small band of companions fleeing with him in disguise. Among these was Zarmihr, son of Sūkhṛā. Qubādh had an intense desire for sexual satisfaction, complained about this to Zarmihr, and asked the latter to seek out a wife of good family (*dhāt ḥasab*) for him.<sup>330</sup> Zarmihr did that, and went along to the wife of his major-domo (*ṣāḥib manzilihi*), who was one of his cavalrymen; she had a virgin daughter, of outstanding beauty. He asked her, as a sincere friend, for her daughter, and indicated to her that he would send her along to Qubādh. The wife told her husband about that, while Zarmihr kept on setting forth to the two of them how attractive a proposition it was and spelling out to them how alluring a prospect it was for them, until finally they consented. The girl, who was called Nīwāndukht,<sup>331</sup> came to Qubādh; he lay with her that very night, and she became pregnant with Anūsharwān.<sup>332</sup> He ordered for her

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329. Qubādh is the Arabized form of MP Kawād, Greek form Kabatēs, on his coins KWT and KDY KWT, i.e., (Kay) Kawād, which goes back to Kawi Kawāta, the legendary founder of the Kayānid dynasty. Following Nöldeke, Christensen, *Les Kayanides*, 40–41, and *Sassanides*, 350–51, noted the increasing popularity from the fifth century onward of personal names from Persian legendary history and that it was from this time that the legendary, epic history assumed the form as we know it in the *Xwadāy-nāmag*. See Nöldeke, trans. 135 n. 1, 147 n. 1; Justi, *Namenbuch*, 159–60; Mayrhofer, *Die altiranischen Namen*, no. 209; Gignoux, *Noms propres sassanides*, no. 493; Yarshater, "Iranian National History," 374.

330. Necessary for her, since she was to be the mother of the great Anūsharwān. For the name Zarmihr ("golden Mithra"), see Justi, *Namenbuch*, 383; P. Gignoux, *Noms propres sassanides*, no. 1082. The historicity of this whole episode of Kawād's flight to the Khāqān of the Turks during his brother Balāsh's reign is, however, difficult to accept, and may be a confusion arising from the fact of Kawād's undoubted one, probably two, stays among the Hephthalites. The begetting of Anūsharwān at Nishābūr must, at all events, be pure fable.

331. Following the reading of this name by Nöldeke in text, n. a; cf. his trans. 502. Justi, *Namenbuch*, 228–29, interpreted Nīwāndukht as "daughter of heroes."

332. Anūshrawān or Anūsharwān (the most common form of the name in later Islamic times), in its original form Anōshag-ruwān, literally "of immortal soul"; see Justi, *Namenbuch*, 17–18; Gignoux, *Noms propres sassanides*, no. 102. According to Nöldeke, 136 n. 2, we do not possess any contemporary evidence for this

a handsome present and gave her a noble reward. It has been said that the mother of that girl asked her about Qubādh's appearance and bodily form: The girl told her that she knew nothing about that except that she noticed that his trousers were embroidered with gold.<sup>333</sup> Her mother knew thereby that he was a royal prince, and this gave her happiness.

Qubādh traveled on to Khāqān, and when he reached him, he told him that he was the son of the king of Persia and that his brother had contested the throne with him and had gained the upper hand. Hence he was now coming to Khāqān seeking help. The latter gave him fine promises, but Qubādh remained at Khāqān's court for four years, during which Khāqān kept putting off his promise to Qubādh. Qubādh became tired of waiting and sent a message to Khāqān's wife requesting her to adopt him as her own child, and requesting her to speak with her husband regarding him and ask him to fulfill his promise. She did this, and kept on at length pressing Khāqān until he dispatched an army with Qubādh.<sup>334</sup>

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cognomen of the future Khusraw I, hence we cannot say whether this original form of the by-name was used for him or whether a shorter form was current. De Blois has, moreover, pointed out that this epithet is commonly used in Middle Persian with the simple meaning of "deceased" (hence the equivalent of Arabic *al-marḥūm* "the one on whom [God] has had mercy"), thus strengthening the probability that it was not applied to Khusraw till after his death; see *Burzōy's Voyage to India and the Origin of the Book of Kalilah wa Dimnah*, 96.

333. Trousers were regarded by classical writers as a characteristic garment for the Persians (according to Herodotus, *History*, I.71, adopted by the Persians from the Scythians, steppe people of Inner Asia). A Byzantine author like Theophylactus Simocatta speaks of the "gold-embroidered trousers" of the Persian kings. Ḥamzah al-Isfahānī, *Ta'rikh*, 50, says that Kawād's trousers were red. The Arabic word for trousers, *sirwāl* is of doubtful etymology, though often assumed to be a loanword from Persian (cf. Siddiqi, *Studien über die persischen Fremdwörter*, 18, 24; the parallel Persian form *shalwār* is often derived from a word *shāl* said to mean "thigh," but an origin in a putative OP word *zārawāro*, as asserted by W. Björkman in his *EP<sup>2</sup>* article "Sirwāl," is impossible [personal communication from Professor N. Sims-Williams]).

334. According to some Western sources, when Kawād was in exile among the Hephthalites, he married the king's daughter, the offspring of the ruler and Firūzdukht (see n. 307 above). This would appear to be the basis for the story here in al-Ṭabarī's text, in which he becomes the adoptive son of the Khāqān's wife and, by extension, of the Khāqān himself. He would in any case now be in a strong position to secure military help from a father-in-law/adoptive father in gaining the Persian throne.

When Qubādh departed with the military force and reached the vicinity of Naysābūr, he asked the man who had brought the girl to him about what had happened to her. The man made enquiries of the girl's mother, who told him that she had given birth to a boy. Qubādh gave orders for the child to be brought to him. She came to him, bringing Anūsharwān by her hand. When she entered his presence, he asked her about the boy's history, and she informed him that he was his own son, that the boy resembled him in his bodily form and handsomeness. It is said that the news of Balāsh's death reached him on that very spot. He regarded the child's birth as a good augury, and ordered him and his mother to be conveyed in wagons of the type customary for royal womenfolk.<sup>335</sup>

[885] When Qubādh reached al-Madā'in and had gathered together firmly in his hands all the reins of royal power, he sought out Sūkhṛā for special honor, delegated to him all his executive powers, and gave him thanks for the service rendered to him by his son (sc., by Zarmihr). He then sent out troops to the distant frontiers, which inflicted hurt on his enemies and brought back numerous captive women and children.<sup>336</sup> Between al-Ahwāz and Fārs he built the town of Arrajān, and likewise he built the town of Ḥulwān, and, in the administrative district (*kūrah*) of Ardashīr Khurrah, in the neighborhood of Kārazīn, a town called Qubādh Khurrah. All this was in addition to [other] towns and villages he founded and to [other] canals he had dug and bridges he had constructed.<sup>337</sup>

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335. Carts and wagons, originally with two wheels and shafts for the animals drawing them, are known to have been used by the steppe peoples of Inner Asia from early Christian times onward, hence this form of transport would be familiar to Kawād from his residence among the Hephthalites, but they seem in any case to have been employed in Persia, on the testimony of classical authors, from Achaemenid times onward for conveying royal consorts. See also *EP*<sup>2</sup>, s.v. 'Araba. II. (M. Rodinson).

336. According to al-Balādhurī, *Futūh*, 194, Kawād sent one of his commanders into the region of Arrān in Transcaucasia to secure the Caucasus passes against the Khazars (at this early period, this is probably an anachronism, and the steppe peoples to the north of the Caucasus were probably Huns, the Turkish Onughurs, and/or Avars, since it is only ca. 630 that a distinct Khazar state begins to emerge; see P. B. Golden, *Khazar Studies*, I, 28ff., 58–59). Kawād then ordered the building in Arran of various towns and defences between Shirwān and the Alan Gates.

337. For Arrajān, see al-Ṭabarī, I, 818, p. 12 and n. 45 above. Ḥulwān, at the entrance from the Iraq plain to the pass through the Zagros mountains and thence

Now when the greater part of Qubādh's days had gone by, with Sūkhṛā in charge of the government of the kingdom and the management of affairs, the people came to Sūkhṛā and undertook all their dealings with him, treating Qubādh as a person of no importance and regarding his commands with contempt.<sup>338</sup> At last, Qubādh became desirous of resuming power and was no longer able to endure that state of affairs or remain content with it. He wrote to Sābūr of al-Rayy, [a man] from the house called Mihrān, who was Supreme Commander of the Land (*iṣbahbadh al-bilād*),<sup>339</sup> to come to him with the troops under his command.<sup>340</sup> Sābūr came to him with these, and Qubādh sketched out for him the position regarding Sūkhṛā and gave him the necessary orders concerning this last. The next morning, Sābūr went into Qubādh's presence and found Sūkhṛā seated there with the king. He walked toward Qubādh, passing before Sūkhṛā and paying no attention to him. Sūkhṛā [for his part] gave no heed to this part of Sābūr's

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to Khurāsān, is a much older town than this, being known in Assyrian times as *Khalmanu*; at most, Kawādh can only have refounded it. Kārzīn, to the southwest of Jahrum, within the bend of the Sakkān river in southern Fārs, was still in early Islamic times a town of significance, with the surrounding region still known as "the glory of Qubādh." See Yaqūt, *Buldān*, II, 290-93, IV, 428-29; Nöldeke, trans. 138 n. 3; Le Strange, *Lands*, 191, 254; Schwarz, *Iran*, 70-71, 677-83; Barthold, *Historical Geography*, 198-99; *EP*, s.v. Hulwān [L. Lockhart].

338. Nöldeke, trans. 138 n. 4, thought that the opening words "when the greater part of Qubādh's days had gone by . . ." required emendation, since they would place the fall of Sūkhṛā in the later part of his reign, well after his exile among the Hephthalites and his restoration. On this analysis, the obvious sense of the episode would be that the newly acceded Kawād was at first under the tutelage of Sūkhṛā but grew to resent this tutelage once he had acquired experience and the will to govern independently. This view is ostensibly confirmed by such Arabic sources as al-Ya'qūbi, *Ta'rikh*, I, 185, and al-Dīnawarī, *al-Akhbār al-tiwāl*, 64-65, which state that Kawād was fifteen years old on his accession and remained under Sūkhṛā's dominance for the first five years of his reign, i.e., till 493, until he rebelled against this control. On the other hand, further sources make Kawād eventually die as an old man (Firdawsī, at the age of eighty; the Byzantine historian John Malalas, at the age of eighty-two), who must therefore have begun a reign spanning forty-three years in his late thirties; also, the account in al-Ṭabarī, I, 886, places the fall of Zarmihr-Sūkhṛā after Kawād's restoration, see nn. 342-43, 345 below.

339. An attempt to render the title *Ērān-spāhbed*; see n. 237 above.

340. The Mihrāns were one of the greatest noble families at this time, in part at least descended from the Arsacid royal house, and Bahrām Chūbīn (see al-Ṭabarī, I, 992ff., pp. 301ff. below) was to be one of their most illustrious members. See the references gathered together in Nöldeke, trans. 139 n. 3, 438-39 Excursus 3, and also Lukonin, "Political, Social and Administrative Institutions: Taxes and Trade," 704.



cunning plan, until Sābūr threw round his neck a noose he had with him and then dragged him off. He was taken away, loaded with fetters, and consigned to gaol. People commented at that time, "Sūkhṛā's wind has died away, and a wind belonging to Mihrān has now started to blow," and this became proverbial. After that, Qubādh ordered Sūkhṛā to be executed, and this was done.<sup>341</sup>

[886] When ten years had elapsed of Qubādh's reign, the Chief Mōbadh and the great men of state agreed together on deposing Qubādh from his throne, so they did this and imprisoned him. This was because he had become a follower of a man named Mazdak and his partisans, who proclaimed, "God has established daily sustenance in the earth for His servants to divide out among themselves with equal shares, but men have oppressed each other regarding it." They further asserted that they were going to take from the rich for the poor and give to those possessing little out of the share of those possessing much; moreover, [they asserted that] those who had an excessive amount of wealth, womenfolk, and goods had no more right to them than anyone else. The lower ranks of society took advantage of this and seized the opportunity; they rallied to Mazdak and his partisans and banded together with them. The people (*al-nās*, i.e., the higher levels of society) suffered from the activities of the Mazdakites, and these last grew strong until they would burst in on a man in his own house and appropriate his dwelling, his womenfolk, and his possessions without the owner being able to stop them. They contrived to make all these doctrines attractive to Qubādh, but also threatened him with deposition (if he did not cooperate with them). Very soon it came to pass that a man among them (i.e., the Mazdakites?) no longer knew his own son, nor a child his father, nor did a man any longer possess anything with which he could enjoy amplexness of life.<sup>342</sup>

341. As Nöldeke observed, 140 n. 2, Kawād's seeming ingratitude here may have sprung from fear of Sūkhṛā's ascendancy in the state and of his behavior as an overmighty subject; if so, it would accord with the information given in n. 338 above.

342. No episode in Sāsānid history has engendered so much discussion by modern scholars, from Nöldeke himself (in his trans., 455-67 Excursus 3 on Mazdak and the Mazdakites) through Christensen to recent scholars like F. Altheim and Ruth Stiehl, N. V. Pigulevskaya, O. Klíma, Mansour Shaki (viewing the Mazdakite revolt as an upsurge of oppressed peasantry had obvious attractions for scholars

They now consigned Qubādh to a place to which only they had access and set up in his place a brother of his called Jāmāsb.<sup>343</sup> They told Qubādh, "You have incurred sin by what you have done in the past, and the only thing that can purify you from it is handing over your womenfolk." They even wanted him to make

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writing behind the Iron Curtain), H. Gaube, and Patricia Crone, as the appearance of the Mazdakite movement and Kawād's involvement in it. Two recent summations of the problem are Ehsan Yarshater's chapter "Mazdakism" and, more controversially, Patricia Crone's article "Kavad's Heresy and Mazdak's Revolt." In brief, it seems unlikely that Mazdak espoused a thoroughgoing program of communism of property and wives, entailing a total upheaval in society, or that Kawād would adopt such a program in its entirety, thereby subverting the hierarchy of classes on which Persian monarchy and society had rested from time immemorial and laying a disordered land open to attacks from the Byzantines on one side and the Hephthalites on the other.

What Yarshater and Crone bring out, despite their different emphases, is that, when Mazdakism came to prominence in the first part of Kawād's reign (488-96), at a time when the emperor was still a youth or young man, it clearly appeared as a Zoroastrian heresy. As such it stemmed from the ideas of a third century A.D. heresiarch, Zarādusht of Fasā in Fārs (the Zarādhusht, son of Khurrakān, of al-Ṭabarī, I, 893, p. 148 below), not connected in any way with Manichaeism, and in *Weltanschauung* and ethos directly opposed to Mani's asceticism and suspicion of the present world. Kawād could never have become, by definition as a Sāsānid monarch, a fervid communist, but did see the utility of some of Mazdak's ideas in his endeavors to reduce the excessive power of the nobility, to modernize the Sāsānid state, to make its social structure more flexible, and to render it more able to withstand attacks from its powerful external enemies in both east and west. His proposed reform of marriage practices did not involve communism of wives, *ibāhat al-nisā'*, but rather a widening of such existing practices as wife lending, a redistribution of women immured within princely and noble harems, and the allowing of women to marry outside their own class. Regarding property, some redistribution, rather than confiscation, was probably envisaged. Such policies would have reduced social distinctions and destroyed the purity of noble lineages. They must have been anathema to the Persian nobility, and only Kawād's youth explains how he thought he could enforce such measures, given that the only coercive power at his disposal was that of an army staffed by the great nobility and *dihqāns* themselves, members of the classes most likely to be directly affected by the reforms. Hence the ending of what was apparently the first phase of the Mazdakite movement with Kawād's deposition in 496 by a conspiracy of the nobility, who replaced him by what was hoped would be a more pliant Jāmāsb/Zāmāsp, is wholly explicable.

343. Nöldeke, 142 n. 1, noted that all is straightforward in the narrative up to this point, but that it now becomes illogical and absurd, with Kawād dethroned by the Mazdakites but with them influential enough once more after his restoration to procure the killing of Sūkhṛā/Zarmihr. Nöldeke attributed this section to Ibn al-Muqaffa'.

Jāmāspa- is in Persian legendary history a son of Kay Khusraw. Whether this is the name appearing here as Jāmāsb/Zāmāsp, Greek form Zamaspēs, on his coins

over himself to them as a sacrifice, so that they could kill him and make him an offering to the fire. When Zarmihr, son of Sūkhṛā, perceived this, he went forth with an accompanying group of the nobles, ready to expend his own life, and then killed a great number of the Mazdakites, restored Qubādh to his royal power and drove out his brother Jāmāsb. After this, however, the Mazdakites kept on inciting Qubādh against Zarmihr to the point that Qubādh killed him.<sup>344</sup> Qubādh was always one of the best of the Persian kings until Mazdak seduced him into reprehensible ways. As a result, the bonds linking the outlying parts of the realm became loosened and the defense of the frontiers fell into neglect.<sup>345</sup>

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Z'M'SP, i.e., Zāmāsp, is unclear. See on Jāmāsb's coins Paruck, *Sāsānian Coins*, 64, 375-76, 461-63, Plate XVII, Table XVIII; Göbl, *Sasanian Numismatics*, 51, Table X, Plate 11; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 137-39; Malek, "A Survey of Research on Sasanian Numismatics," 236-37. See on the name, Nöldeke, trans. 142 n. 2; Justi, *Namenbuch*, 109. His brief reign, separating the two parts of Kawād's one, was 496-98. See on it, Christensen, *Sassanides*, 349-51; Frye, "The Political History of Iran under the Sasanians," 150.

344. It is strange, as pointed out by Nöldeke, trans. 140 n. 2, that after putting Sūkhṛā to death, Kawād should take into his service the son Zarmihr and that Zarmihr should then take a leading part in the release and restoration of Kawād after the Mazdakite ascendancy, only to be killed in his turn by the emperor. He suggested that we do not need to assume that Kawād killed successively father and son, but that we have to deal instead with one minister only, Sūkhṛā Zarmihr, who had been with Kawād in exile among the Hephthalites but who now, after the emperor's return, had arrogated too much power in the state to himself, leading to his elimination in the usual fashion. This event would have been split into two episodes corresponding to the two elements making up Sūkhṛā Zarmihr's name. The actual occasion of the execution must, on this analysis, be accordingly pushed back to the second part of Kawād's reign; but see n. 338 above.

345. That Kawād was a weakling who allowed his empire to fall apart as alleged here [and in al-Ṭabarī, I, 888-89, pp. 139-41 below, in regard to Kawād's relations with the Kindī chief al-Ḥārith b. 'Amr] could well be a slanderous report going back to the Zoroastrian priestly and Persian aristocratic tradition exemplified in the *Book of Kings* which Ibn al-Muqaffa' made available for later Arabic authors, and reflecting Kawād's involvement early in his reign with Mazdakite doctrines and attempts to curb the Zoroastrian clergy and the nobility (see n. 342 above and n. 349 below). It is further reflected, for instance in the report of al-Balādhurī, *Futūḥ*, 292, that in Kawād's reign the irrigation channels in the district of Kaskar on the Tigris in Lower Iraq were allowed to fall into disrepair.

Nöldeke, trans. 142 n. 3, endeavored at length to refute this partisan verdict, pointing out that Kawād held the throne, with just a two or three years' break, for the lengthy period of forty-three years. During this time he undertook two protracted wars against the Greeks (see al-Ṭabarī, I, 887, p. 137 and n. 351 below).

A certain person knowledgeable about the history of the Persians has [on the other hand] mentioned that it was the great men of state of the Persians who imprisoned Qubādh when he became a partisan of Mazdak and one of the followers of his doctrines, and who raised to the royal power in his stead his brother Jāmāsb, son of Fayrūz. Now a sister of Qubādh's went to the prison where he was incarcerated<sup>346</sup> and tried to gain entry, but the official responsible for guarding the prison and its inmates prevented her from entering. This man became roused by the desire to ravish her at that opportunity, and told her how much he desired her; she informed him that she would not resist him in anything he might desire of her, so he let her in. She entered the prison, and spent a day with Qubādh. Then at her bidding, Qubādh was rolled up in one of the carpets in the gaol, and this was borne by one of his male attendants, a strong and hardy youth, and brought out of the prison. When the lad went past the prison commander, the latter asked him what he was carrying. He was unable to answer, but Qubādh's sister came up behind the lad and told the prison commander that it was a bed roll she had slept on during her menstrual periods and that she was only going forth to purify herself and would then return. The man believed her, and did not touch the carpet or go near it, fearing lest he become polluted by it, and he allowed the lad who was bearing Qubādh to pass freely out. So he went along with Qubādh, the sister following after him.

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Procopius regarded Kawād as exceptionally clever and energetic. He also maintained a general peace with the Hephthalites in the east; see n. 348 below. Similarly, Crone, "Kawād's Heresy and Mazdak's Revolt," 25-26, stresses that, while Kawād ruled in a mild and pacific fashion, and abstained during his pro-Mazdakite phase from meat (al-Ṭabarī, I, 889, p. 142 below), after his restoration he behaved in a bellicose enough manner and in his warfare with the Byzantines was as savage as any of his predecessors.

346. According to Greek sources, Kawād's fortress-prison lay in Susiana. Procopius, who makes the ingenious woman here Kawād's wife and not his daughter (followed in this by Agathias, see Cameron, "Agathias on the Sassanians," 128-29, 157-58), simply calls it "the fortress of oblivion" and has a lengthy digression on its past history within his already extended account of Kawād's enforced interregnum, imprisonment, and escape. See *The Persian War*, I.v.1-vi.19; Cameron, *Procopius and the Sixth Century*, 155. Theophrastes, however, calls the fortress Gīligerda, which led the nineteenth-century traveler and historian Sir Henry Rawlinson to identify it with Gilgird in the mountains to the east of Shushtar. See Nöldeke, trans. 144 n. 1.

Qubādh now took to flight until he reached the land of the Hephthalites, in order to ask their king to help him and to provide him with an army, so that he might make war on those who had rebelled against him and deposed him. It has been further related that, on his outward journey to the Hephthalites, he halted at Abarshahr at the house of one of its leading citizens, who had a daughter of marriageable age, and it was on the occasion of this journey that he had sexual relations with the mother of Kisrā Anūsharwān.<sup>347</sup> It has been also related that Qubādh returned from that journey with his son Anūsharwān and the latter's mother.<sup>348</sup>

He defeated his brother Jāmāsb in the contest for royal power after the latter had reigned for six years.<sup>349</sup> Then after that,

347. Abarshahr was the district around Nishābūr, see al-Ṭabarī, I, 819, p. 15 and n. 59 above. Al-Ya'qūbī, *Ta'rikh*, I, 185–86, has Abatrsahr here, but al-Dīnawarī, *al-Akhbār al-tiwāl*, 65–66, in a more detailed account than that of al-Ṭabarī, has Kawād fleeing for refuge in the house of a *dihqān*, whose ancestry went back to Faridūn, on the borders of Khūzistān and Iṣfahān, and Firdawsī follows al-Dīnawarī substantially. The emperor's flight to a refuge in south-western-western Persia obviously accords better with his incarceration just before this in Susiana. Cf. Nöldeke, trans. 145 nn. 2–4.

348. There is little information in the Arabic sources on Kawād's stay among the Hephthalites (with whom he already had links from his time as a hostage at the Hephthalite court during his father's reign), but useful information in Procopius and the Western sources. The fugitive Kawād was sheltered—after what must have been a lengthy journey right across Persia—by the king of the Hephthalites (whose personal name is unknown, unless Akhshunwār was still ruling). The king gave to Kawād in marriage his daughters, actually the child of the Persian princess who had been captured by the Hephthalites on the defeat of her father Firūz, hence Kawād's niece; such a union would not have been regarded in Zoroastrian custom as at all incestuous. Kawād regained his throne with Hephthalite assistance, but at the price of continued dependence on them. He had to finance the Hephthalite army that placed him back on the throne, to cede territory along the Oxus to them, and to pay a tribute, in Sāsānid coinage counterstamped with the Hephthalite name. This last was in fact paid for over thirty years till the opening of Khusraw Anūsharwān's reign. See Marquart, *Erānshahr*, 63–64; Christensen, *Sassanides*, 349–50; Ghirshman, *Les Chionites-Hephthalites*, 16–17 and Pl. II (coins) 92–93; Litvinsky, "The Hephthalite Empire," 140.

349. The reign of Jāmāsb/Zāmāsp seems in reality to have been shorter than this, with Kawād resuming power—apparently without striking a blow—in 498 or 499, which would make his period of deposition, imprisonment, and exile only two or three years. The fate of Zāmāsp, now in turn deposed, is uncertain. Elias of Nisibis alone states that Kawād had him killed. More probable is the leniency toward his brother attributed to Kawād by the well-informed Agathias, that Zāmāsp renounced the throne of his own accord, preferring a life of safe obscurity, and was pardoned (confirmed in the Arabic source of al-Dīnawarī, *al-Akhbār al-*

Qubādh led an expedition against the land of the Romans, conquered one of their towns in al-Jazīrah called Āmid<sup>350</sup> and carried off the women and children as captives.<sup>351</sup> He gave orders for a town to be built in the borderland between Fārs and the land of al-Ahwāz and named it Wām Qubādh;<sup>352</sup> this is the town named

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*ṭiwāl*, 66). The Christian Arabic *Chronicle of Se'ert* does, however, record a purge of the Zoroastrian priesthood by Kawād, with executions and imprisonments. See Nöldeke, trans. 145 n. 5; Christensen, *Sassanides*, 350–51; Cameron, "Agathias on the Sassanians," 130–31; eadem, *Procopius on the Sixth Century*, 155 (Procopius in his *The Persian War* confuses Jāmāsb with Firūz's successor Balāsh/Blasēs); Frye, "The Political History of Iran under the Sasanians," 150.

350. Āmid, classical Amida, was a key point in the fighting between the Byzantines and Sāsānids. It lay on the west bank of the upper Tigris, in what was in early Islamic times the district of Diyār Bakr in the province of Jazīrah, and is now the modern Turkish city of Diyarbakir. See *PW*, I/2, col. 1833, s.v. Amida (Baumgartner) Le Strange, *Lands*, 108–11; Canard, *H'amdanides*, 79–81; *Elr*, s.v. Amida (D. Sellwood). Al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 66, adds that Kawād also captured another town of the region, Mayyāfāriqīn (the Greek Martyropolis).

351. These are the sole details in the oriental sources on the great four years' war launched by Kawād against the Byzantines (summer 502–autumn 506) soon after his regaining the Persian throne. Kawād's pretext for opening hostilities was the emperor Anastasius's refusal to contribute to Kawād's expenses in financing the Hephthalite army, which had backed him, and in paying the ongoing tribute to the Hephthalites. The Byzantines must have had the hope that, if Kawād were unable to pay his former allies, a rupture between these two latter powers would occur. It seems that the Byzantine frontier fortresses and fortified towns had not been kept in good repair during the fifth century and, as a result, were at this time inadequate to withstand the Persians, especially as the Persians had acquired important stretches of territory in the later fourth century, above all the important bridgehead of Nišibīn (see al-Ṭabari, I, 826, p. 28, and nn. 90–91 above). Hence early successes for Kawād's army, which included Hephthalite contingents, were the sack of Theodosiopolis (the later Erzerum) in western Armenia, this capture of Amida/Āmid (see n. 350 above), and that of Martyropolis or Mayyāfāriqīn (the bare information recorded in al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 66, and Yaqūt, *Buldān*, I, 143). However, the war is fully documented in Greek and Syriac sources, such as Procopius's *The Persian War*, I.vii.1–I.x.19; cf. Cameron, *Procopius and the Sixth Century*, 155–56; Ps. Joshua the Stylite's *Chronicle*, trans. 37–62, 63–75. See Nöldeke, trans. 146 n. 1; Bury, *A History of the Later Roman Empire from Arcadius to Irene*, I, 307–309; idem, *History of the Later Roman Empire from the Death of Theodosius I to the Death of Justinian*, I, 10–15; Christensen, *Sassanides*, 352; Ghirshman, *Les Chionites-Hephthalites*, 92–93; Hannestad, "Les relations de Byzance avec la Transcaucasie et l'Asie Centrale aux 5<sup>e</sup> et 6<sup>e</sup> siècles," 442; Stein, *Histoire du Bas-Empire*, II, 267–72; Frye, "The Political History of Iran under the Sasanians," 150–51; M. Whitby, "Procopius and the Development of Roman Defences in Upper Mesopotamia," 725–26; Greatrex, *Rome and Persia at War*, 502–532, 73–119.

The fame of the Persian victory at Amida resonated several decades later in a verse of the Hiran poet 'Adi b. Zayd:

We struck to the ground Qubādh, the lord of all the Persians, even though they

Būqubādh,<sup>353</sup> also called Arrajān.<sup>354</sup> He laid out an administrative division (*kūrah*) and added to it rural districts (*rasātīq*) from the *kūrah* of Surraq and that of Rām Hurmuz.<sup>355</sup> He then nominated his son Kīsrā as his successor in the royal power, and wrote this out in a document, which he sealed with his seal ring.<sup>356</sup> When

had stirred up with their hands the glistening swords of Āmid. (*Dīwān*, 124 no. 41) 352. Following *Addenda et emendanda*, p. DXXI, as being a crasis of \*Weh-Āmid-Kawād for the text's Rām Qubādh.

353. Following *Addenda et emendanda*, loc. cit., for the text's Barqubādh.

354. These two alternative names for Arrajān are somewhat dubious; the second one should be read as \*Abarqubādh, as the consonant ductus in al-Dīnawārī, *al-Akhbār al-tiwāl*, 66, and Yāqūt, *Buldān*, I, 143, allows. Cf. Nöldeke, trans. 146 n. 2.

355. Al-Dīnawārī, *al-Akhbār al-tiwāl*, 66–67, mentions a number of changes in administrative geography carried out by Kawād in the provinces of \*Abarqubādh (locating this, however, in central Iraq), Bihqubādh al-Awsaṭ and al-Asfal, and Iṣfahān. These may have been connected with the cadastral survey of the Sawād of Iraq instituted by Kawād, accompanied by a new tax system, to be continued by his successor Khusraw Anūsharwān, which is mentioned in the Arabic sources. See Crone, "Kawād's Heresy and Mazdak's Revolt," 33–34.

356. According to al-Dīnawārī, *al-Akhbār al-tiwāl*, 67, Kawād had several sons, out of whom Khusraw was the most favored, despite the emperor's having certain grounds for suspicion (*zinnah*) regarding him. According to Procopius, Kawād's eldest son was Kaosēs, i.e., Kāwūs (called by Theophanes *Pthasouarsan* in an attempt to render *Padashkhwār-shāh*, this being Kāwūs's title as provincial ruler of Ṭabaristān), and there was another son Zamēs, i.e., Jam. But Kawād wished his third, younger son Khusraw to succeed him, since, according to Theophanes, Kāwūs had been brought up (in the first part of his father's reign?) as a Mazdakite.

Toward the end of his reign, Kawād was in a stronger position vis-à-vis the nobility, and was able to make these succession arrangements himself rather than leave the choice and the election of his successor to the nobles and clergy; but he cannot have wished to provoke a strong reaction by nominating Kāwūs if the latter was still indeed an adherent of the Mazdakites. Procopius, *The Persian War*, I.x.1–18, further relates that Kawād had sought from the Byzantine emperor Justin I (518–27) that the latter should adopt Khusraw (just as, at the end of the fourth century, the emperor Arcadius had made the Sāsānid Yazdagird I protector of his son and desired heir Theodosius (II) (see n. 191 above). No doubt Kawād had the intention of strengthening Khusraw's claim to the succession, which might then have been backed, if necessary, by Byzantine arms; but Justin had refused. The second son Jāmāsb (= Procopius's Zamēs/Jam) was disqualified from succeeding to the throne through the loss of an eye. Hence when Kawād fell mortally ill in 531, he wrote out this succession document (al-Ṭabarī's *kitāb*, al-Ya'qūbī's *waṣīyyah*) for Khusraw. According to the Byzantine chronicler John Malalas, he actually had him crowned. Again according to Procopius, *ibid.*, I.xxi.20–22, Kāwūs laid claim to the throne on his father's death, and according to the later historian of Ṭabaristān Ibn Isfandiyār, raised a rebellion, which failed and caused him to lose his life. See Nöldeke, trans. 147 n. 1; Christensen, *Sassanides*, 353–55; Frye "The Political History of Iran under the Sasanians," 151; Crone, "Kawād's Heresy and Mazdak's Revolt," 31–32.

Qubādh died after having reigned for forty-three years, including the years of his brother Jāmāsb, Kisrā put into execution the measures which Qubādh had commended to him.<sup>357</sup>

*Mention of What Has Been Recorded Concerning the Events Taking Place among the Arabs in Qubādh's Reign in His Kingdom and Involving His Governors*

There was related to me a narrative going back to Hishām b. Muḥammad, who said: Al-Ḥārith b. 'Amr b. Ḥujr b. 'Adī al-Kindī met al-Nu'mān b. al-Mundhir b. Imri' al-Qays b. al-Shaqīqah in battle and killed him, with al-Mundhir b. al-Nu'mān al-Akbar escaping from al-Ḥārith. Al-Ḥārith b. 'Amr al-Kindī then assumed power over the lands al-Nu'mān had ruled. At this point, Qubādh, son of Fayrūz, the ruler of Persia, sent a message to al-Ḥārith b. 'Amr, informing him that there had been formerly an agreement between him and his predecessor as king [among the Arabs] and that he would welcome a meeting with al-Ḥārith.<sup>358</sup>

Qubādh was a Zindīq who did only good deeds, who abhorred shedding blood and who, in his dislike for shedding blood, treated

357. Kawād I's reign was 488–531, with the interlude of Jāmāsb/Zāmāsp's two or three years from 496 to 498 or 499. Concerning his possible age at death, see n. 338 above. Concerning his name on his coins, see n. 329 above. See on his coins, Paruck, *Sāsānian Coins*, 64–65, 373–75, 376–80, 459–61, 464–70, Plates XVII–XVIII, Tables XVII, XIX; Göbl, *Sasanian Numismatics*, 51–52, Table X, Plate 11; Sellwood, Whitting, and Williams, *An Introduction to Sasanian Coins*, 21, 130–36; Malek, "A Survey of Research on Sasanian Numismatics," 236.

The other Arabic sources for his reign include Ibn Qutaybah, *Ma'ārif*, 663; al-Ya'qūbī, *Ta'rikh*, I, 185–86 (mainly on Kawād's flight to the Hephthalites and the circumstance of Khusraw's birth); al-Dīnawari, *al-Akhbār al-ṭiwāl*, 64–67 (considerable detail on Mazdak's movement); al-Mas'ūdī, *Murūj*, II, 195–96 = §§ 617–18 (brief note of Mazdak); idem, *Tanbih*, 101, trans. 145; Ḥamzah al-Iṣfahānī, *Ta'rikh*, 50–51 (concentrates on his foundation of cities); Ibn al-Athīr, *Kāmil*, I, 412–14, 421. Of the Persian sources, see Ṭabarī-Bal'amī, trans. II, 146–55.

Of modern studies on his reign in general, see Christensen, *Le règne du roi Kawād I et le communisme mazdakite*; idem, *Sassanides*, 336–62; Frye, "The Political History of Iran under the Sasanians," 149–51, 178; *EP*, s.v. Sāsānids (M. J. Morony); also references on the Mazdakite movement in n. 338.

358. This is a repetition *grosso modo* of the events treated by al-Ṭabarī at I, 881–82, pp. 124–25 above, but bringing in Kawād at the end causes chronological difficulties, since Kawād only acceded to power in 488, and the events involving al-Nu'mān I's death and the succession of his son al-Mundhir I are probably to be placed in the second decade of the century, hence some seventy years earlier; see nn. 315, 319 above.



[889] his enemies with leniency.<sup>359</sup> In his time, heretical opinions (*al-ahwā'*) became rife, and the people came to regard Qubādh as a weak ruler. Al-Ḥārith b. 'Amr al-Kindī, however, set out with a numerous and well-equipped army, until the two forces met at the bridge of al-Fayyūm.<sup>360</sup> Qubādh ordered a dish of dates and extracted their stones. Then he ordered another dish and placed in it dates in which the stones had been left. These two dishes were placed before them (sc., Qubādh and al-Ḥārith). The dish of dates with stones by al-Ḥārith b. 'Amr, and the one with no date stones in it was placed by Qubādh. Al-Ḥārith began to eat the dates and to spit out the stones. Qubādh set about eating [everything in] the dish in front of him, and said to al-Ḥārith, "What's the matter with you? Why aren't you eating exactly what I'm eating?" Al-Ḥārith replied, "Among us, only camels and sheep eat date stones," and he realized that Qubādh was deriding him. After this, the two of them made peace on the basis that al-Ḥārith b. 'Amr and those he wished of his followers should bring their horses to drink from the Tigris up to their saddle girths but not pass any further beyond that point.<sup>361</sup>

But when al-Ḥārith saw Qubādh's weakness, he began to covet the Sawād, and ordered the men in his garrison posts (*masāliḥiḥi*) to cross the Euphrates and carry out raids into the Sawād.<sup>362</sup> The

359. See on the term Zindīq, n. 118 above.

360. According to Yāqūt, *Buldān*, IV, 286, this was in central Iraq, near Hit on the Euphrates; cf. Musil, *The Middle Euphrates*, 350. As a bridge, it would be regarded as neutral ground, hence suitable for a meeting between the two opposing sides. Cf. Nöldeke, trans. 149 n. 1.

361. According to Nöldeke, trans. 149 n. 3, citing the Talmud, this occurs as a formulaic legal expression.

362. These garrison posts must in reality have been part of the Sāsānid defenses along the desert fringes against Arabs from the interior of the peninsula like those of Kindah. From this point onward, al-Ṭabarī's account slides into legend, as recognized by Nöldeke, trans. 150 nn. 1-2, and Rothstein, *Lahmiden*, 88-89. What is, nevertheless, firmly historical is that between approximately 525 and 528 al-Ḥārith was indeed able to expel the Lakhmids from al-Ḥīrah, having taken over parts of the Iraqi borderlands some twenty years before (see al-Ṭabarī, I, 881-82, pp. 124-25 and n. 319 above). From 528 till his death in 531, Kawād was preoccupied with warfare with the Byzantines, with the emperor Justin I at the outset and then with the great Justinian I, this warfare being centered on Georgia and Transcaucasia on one front and on the Upper Mesopotamian frontier on another one (see Bury, *A History of the Later Roman Empire from Arcadius to Irene*, I, 372-80; idem, *History of the Later Roman Empire from the Death of Theodosius I to the*

cries for help [of the local people] reached Qubādh when he was at al-Madā'in, and he exclaimed: "This has occurred under the protection of their king," and he then sent a message to al-Ḥārith b. 'Amr that some robbers of the Arabs had mounted raids and that he wanted a meeting with him. When al-Ḥārith came, Qubādh said to him, "You have done something which no one before you has ever done," but al-Ḥārith replied, "I haven't done anything, and don't know anything about it; it was some Arab robbers, and I myself cannot keep a firm hand over the Arabs except by financial subsidies and regular troops." Qubādh said to him, "What do you want, then?" and he replied, "I want you to make over to me a grant of part of the Sawād so that I can get weapons ready by means of it." So Qubādh made over to him the side of the lower Euphrates bordering on the Arabs, comprising six *ṭassūjs*. Al-Ḥārith b. 'Amr al-Kindī at that point sent a messenger to Tubba' in Yemen,

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*Death of Justinian*, I, 79–89; Stein, *Histoire du Bas-Empire*, II, 267–71, 283–84, 287–94; Greatrex, *Rome and Persia at War*, 502–532, 139–212. It seems, however, to have been a withdrawal of support from al-Mundhir III by Kawād that allowed al-Ḥārith to take over al-Ḥīrah. Al-Mundhir had apparently been negotiating with the Persians' enemy, Byzantium. Hence credence should not be placed in the information retailed in some Arabic sources (e.g., Abū al-Faraj al-Isfahānī, *Aghānī*, VIII, 63 = *Aghānī*<sup>3</sup>, 78–79; Ibn al-Athīr, *Kāmil*, I, 434) that Kawād tried first to impose Mazdakism on al-Mundhir but failed, whereupon al-Ḥārith b. 'Amr agreed to accept Mazdakism, and was rewarded by a grant of the former Lakhmid lands. See Christensen, *Le règne du roi Kawād I et le communisme mazdakite*, and (regarding the tale with skepticism), Olinder, *The Kings of Kinda*, 63–64. In any case, Kawād had broken decisively with the Mazdakites on his restoration in 498 or 499, and it seems highly unlikely that he would make adherence to the heresy an instrument of diplomacy nearly thirty years later. Abū al-Baqā', *al-Manāqib al-mazydiyyah*, 121, simply states that Kawād was unable to answer al-Mundhir's appeal for help because his kingdom was disturbed by the Mazdakites.

Al-Mundhir regained control of al-Ḥīrah in 528. The sources all state that it was Khusraw Anūsharwān who restored him, but Khusraw did not come to the throne until 531; it thus seems that al-Mundhir had somehow regained possession of his capital and that Khusraw merely confirmed this. At all events, Lakhmid power was now firmly reestablished on the Iraq fringes, backed by the might of their traditional patrons and supporters, the Sāsānids. At some unspecified point, al-Mundhir managed to get hold of al-Ḥārith b. 'Amr, who had had to retreat into the interior of northern Arabia after clashing with the Byzantines and Ghassānids on the Syrian frontiers. Al-Mundhir seized the Kindī leader's camels, killed al-Ḥārith himself, and massacred forty-eight members of the ruling house of Kindah, an event alluded to in the *Dīwān* of al-Ḥārith's grandson Imru' al-Qays (*Dīwān*, ed. Muḥammad Abū al-Faḍl Ibrāhīm, 200, no. 37 vv. 1–2). Other traditions make al-Ḥārith's death at the hands of the Kalb. See Rothstein, *Lahmidien*, 89–90; Olinder, *The Kings of Kinda*, 63–68.

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saying, "I covet strongly the kingdom of the Persians, and have already acquired six *ṭassūjs* of it. So gather your troops together and advance, for there is nothing between you and their kingdom, since the king does not eat meat and does not consider the shedding of blood lawful, for he is a Zindīq." So Tubba' assembled his troops and advanced until he encamped at al-Ḥīrah. He drew near to the Euphrates, where the midges plagued him. Al-Ḥārith b. 'Amr ordered a canal to be dug for him as far as al-Najaf, and this was done: this is the Canal of al-Ḥīrah. He encamped against there and sent his nephew Shamir Dhū al-Janāḥ ("Shamir of the Wing") against Qubādh.<sup>363</sup> He fought with Qubādh and routed him, compelling him to flee as far as al-Rayy. He then caught up with Qubādh there and killed him.<sup>364</sup>

Tubba' now sent Shamir Dhū al-Janāḥ to Khurāsān and his son Ḥassān to Sogdia (al-Ṣughd), telling them, "Whichever of you

363. See on Shamir Yur'ish or Yuhar'ish, n. 314 above and n. 364 below, and al-Ṭabarī, I, 910, pp. 176–77 and n. 451 below.

364. All this is pure fantasy. As implied by al-Ṭabarī in I, 888, pp. 138–39 above, Kawād died a natural death, doubtless at an advanced age after such a long reign. Ibn al-Athīr, *Kāmil*, I, 411, criticizes al-Ṭabarī for his confusion here and lack of critical acumen, such defects of an absence of discrimination and discernment being common, he says, to all writers dealing with the ancient Arabs, Nōldeke in his translation omitted this passage on the legendary exploits of the Tubba' kings, that from I, 890 l. 4 to 892 l. 14. The only genuine feature in al-Ṭabarī's account is that the Tubba' prince Shamir Yur'ish mentioned in al-Ṭabarī, I, n. 910, pp. 176–77 below, the first recorded Tubba' king (on this dynasty, see n. 314 above), really did exist.

For the king's name, *Sh.m.r.*, the vocalization is of course speculative, there being no indication of vowels in the South Arabian script with the probable exception of *w* and *y* used both consonantly and vocally (see Beeston, *Sabaic Grammar*, 6–7). But Shamir or Shimr seem to have better claims that Shammar for the vocalization of the first component of the king's full name, despite the fact that the rather late author Nashwān b. Sa'īd al-Ḥimyārī (d. 573/1178) expressly gives Shammar in his *Shams al-'ulūm* (see 'Azīmuddīn Aḥmad, *Die auf Südarabien bezüglichen Angaben Nashwāns im Šams al-'ulūm*, 56–57). The choice of this latter form by such later writers as Nashwān was probably influenced by the rise of the North Arabian tribe of Shammar and their home, the Jabal Shammar, since there is no orthographic sign in the South Arabian script to indicate gemination (Beeston, *ibid.*, 7–8). G. Ryckmans, *Les noms propres sud-sémitiques*, I, 210, has Šimr, comparing this with Classical Arabic *shimr*, "energetic, capable"; G. Lankester Harding, *An Index and Concordance of Pre-Islamic Names and Inscriptions*, 357, has Šamir; and Robin, *Supplément à la dictionnaire du Bible*, s.v. Sheba. 2., writes Shammir. In the line of verse (apocryphal, naturally) placed in Shamir's mouth in al-Ṭabarī, I, n. 910, p. 177 below, the *wafir* metre does require *ShVmVr<sup>un</sup>* or *ShVm<sup>un</sup>*.

reaches China first shall become ruler over it." Each one headed a mighty army, said to be of 640,000 men. He further sent his nephew Ya'fur against the Romans; it was he who recited:

O my companion, you may well be full of wonder at Ḥimyar,  
 when they encamped at al-Jābiyah!<sup>365</sup>  
 Eighty thousand is the number of their chiefs,<sup>366</sup> and for each  
 group of eight men there is a chief!

Ya'fur proceeded until he reached Constantinople (al-Qusṭantīniyyah), whose people then gave him their obedience and promised to pay tribute, and then went on to Rome (Rūmiyyah), a journey of four months, and besieged it. The troops accompanying him suffered great hunger, were afflicted by plague, and became weakened. The Romans perceived what had hit them, so fell upon them and killed them, with not a single man escaping. Shamir Dhū al-Janāḥ traveled on until he reached Samarqand.<sup>367</sup> He besieged it but was unable to capture any part of it. When he realized that, he went round to the city guard, captured one man of it, and interrogated him about the city and its ruler. The man told him that, regarding its ruler, he was the most stupid of mankind, with no interest except in drinking and eating, but that he had a daughter and it was she who decided the affairs of the populace. Hence Shamir sent the man back to her with a present, telling him, "Inform her that I have only come from the land of the Arabs because of what I have heard about her intelligence, and in order that she might marry me and I might acquire through her a boy

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365. This settlement in the Jawlān or Golan region south of Damascus was a main residence of the Byzantines' allies, the chiefs of the Jafnid family of Ghassān, probably their summer encampment, and it was further important in the periods of the Arab conquest of Syria and of the early Umayyads as a military encampment and concentration point for troops. See Yāqūt, *Buldān*, II, 91-92; Le Strange, *Palestine under the Moslems*, 460-61; Nöldeke, *Die Ghassānischen Fürsten*, 47-49; H. Lammens, "L'évènement des Marwānides et le califat de Marwān I<sup>er</sup>," 77-79; Donner, *The Early Islamic Conquests*, 44.; *EP*, s.v. *Djābiya* (H. Lammens-J. Sourdel-Thomine).

366. Thus interpreting *rawāyāhum*, pl. of *rāwiyah*, "a camel used for drawing water," such a camel being likened to the chief who bears the burden of blood money, to be paid in camels by his tribe. See Lane, *Lexicon*, 1196c; *Glossarium*, p. CCLXXIII: *rāwiyah* = *dux*.

367. Presumably to Transoxania via Khurāsān, Ḥassān having, as it later appears, preceded him to Sogdīa and then China.

who will rule over both the Persians and the Arabs. [Tell her also] that I have not come seeking wealth but that I have here with me four thousand chests of gold and silver and that I will hand it over to her and proceed onward to China. If I succeed in gaining the land, she will become my wife; but if I perish, all that wealth will be hers."

When his message was brought to her, she said, "I have fallen in with his wishes, so let him send what he has mentioned." Hence he sent to her four thousand chests, with two men inside each chest. Now Samarqand had four gates, with four thousand men by each gate. He fixed as a sign of recognition between himself and them the striking of camel bells, and gave orders regarding that to the envoys he sent with them. When they got inside the city, he had the camel bells struck; they sprang out [from the chests] and seized control of the gates. Shamir led a frontal attack with his troops and entered the city, killing its populace and seizing as plunder everything within it.<sup>368</sup> He then marched onward to China. He encountered the hosts of the Turks, put them to flight, and went on to China, but found that Ḥassān b. Tubba' had preceded him by three years. According to what certain people have mentioned, the two of them remained in China until they died, their stay there extending to twenty-one years.

He related: Those who have asserted that they both remained in China until they died have said that Tubba' built [a chain of] lighthouses (*al-manār*) spanning the expanse between him and them, and when any affair of moment occurred, they lit fire beacons at night, and the news was thereby conveyed in a single night. He laid down as a sign between him and them that, "If I light two fires at my end, this signifies the death of Ya'fur, and if I light three fires, that means the death of Tubba'; whereas, if a single fire is kindled at their end, it means the death of Ḥassān, and if two fires, the death of both of them." They kept to this arrangement, until he lit two fires, and that signified the death of Ya'fur, and then he lit three fires, and that signified the death of Tubba'.

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368. A Persian popular etymology derived the city's name (presumably after its supposed rebuilding) from this legendary episode, *Shamir kand* "Shamir destroyed, uprooted (it)," according to Ḥamzah al-İṣfahānī, 108.

He related: According to the story generally agreed upon, Shamir and Ḥassān returned via the road they had previously taken when they had originally started out, until they came into Tubba's presence with the wealth they had obtained in China plus various kinds of jewels, perfumes, and slave captives. Then they all went back together to their own land, Tubba' traveled onward till he reached Mecca, where he lodged in the ravine of the cook shops (*al-maṭābikh*).<sup>369</sup> Tubba' died in Yemen. None of the kings of Yemen after him ever sallied forth from Yemen on raids to any other land. His reign lasted for one hundred and twenty-one years.

He related: It is said that Tubba' had become a convert to Judaism because of the rabbis (*al-aḥbār*), a large group of whom had gone from Yathrib to Mecca with him.<sup>370</sup> He related: They say that Ka'b al-Aḥbār's lore came from the surviving material those rabbis had bequeathed; Ka'b al-Aḥbār came from the Ḥimyār.<sup>371</sup>

369. Tubba's coming to Mecca and his designs against the Ka'bah are treated in more detail by al-Ṭabarī at I, 901ff., pp. 164ff. below. In giving this story, also from Ibn Ishāq, the historian of Mecca al-Azraqī specifies that *al-shi'b min al-maṭābikh* got its name because Tubba' set up his own kitchens in the ravine of Mecca later called that of the early Umayyad governor of the city, 'Abdallāh b. 'Āmir b. Kurayz (*Kitāb akhbār Makkah*, I, 85).

370. We certainly know of the presence of Judaism in pre-Islamic Yathrib, the Islamic Medina, notably from the story of the Prophet Muḥammad's relations with the local Jewish tribes there after he had made the *hijrah* from Mecca to Medina. These Jews must have emigrated from Palestine to settlements along the Wādī al-Qurā in western Arabia, Yathrib being the farthest south of these colonies; the stimulus for this migration may well have been the fall of Jerusalem in A.D. 70 or the aftermath of Bar Kokhba's revolt. i.e., after A.D. 135. The term used for "rabbi" in early Arabic, *ḥabr/ḥibr*, given the Arabic broken plural *aḥbār*, stems directly from Hebrew *ḥabēr*, and was already known in pre-Islamic Arabia. See C. C. Torrey, *The Jewish Foundation of Islam*, 34; A. Jeffery, *The Foreign Vocabulary of the Qur'ān*, 49-50. Al-Khwārazmī, *Mafātīḥ al-'ulūm*, 35, equates *al-ḥabr* with the Muslim *al-'alim*.

How the town which became the Islamic Medina/al-Madīnah had acquired its earlier name of Yathrib (still appearing in Qur'ān, XXXIII, 13) is uncertain, but the name is undoubtedly ancient. A cuneiform inscription from Ḥarrān mentions *ya-at-ri-bu* as one of the towns in Arabia to which Nabū-nā'id or Nabonidus of Babylon (r. 556-539 B.C.) penetrated; in the Greek geographer Ptolemy we have *Iathrippa*; and in Minaean inscriptions we find *Ytrb*. See Buhl, *Das Leben Muhammeds*, 201 n. 1; F. Rosenthal, introd. to Torrey, *The Jewish Foundation of Islam*, repr. p. xi; *EP*, s.v. al-Madīna. i. History to 1926 (W. M. Watt).

371. Abū Ishāq Ka'b al-Aḥbār ("Ka'b of the rabbis") was a Yemeni convert from Judaism to Islam, probably in 17/638 (thus in al-Ṭabarī, I, 2514), dying in 32/652-

As for Ibn Ishāq's account, he has mentioned that the member of the Tubba' dynasty who went to the Orient was Tubba' the Second (*al-ākhar*); namely, Tubba' Tubān As'ad Abū Karib b. Malkī Karib b. Zayd b. 'Amr Dhī al-Adh'ār, who was the father of Ḥassān.<sup>372</sup> Ibn Ḥumayd transmitted that information to us, saying that he had it from Salamah.<sup>373</sup>

[*Kisrā I Anūsharwān*]

Then there assumed the royal power Kisrā Anūsharwān, son of Qubādḥ, son of Fayrūz, son of Yazdajird (II), son of Bahrām (V) Jūr.<sup>374</sup> When he became king, he wrote letters to the four Fādhūsāns, each of whom was governor over a region of the land of Persia, and to their subordinate officials.<sup>375</sup> The text of his letter to the Fādhūsān of Azerbaijan is as follows:

53 or shortly afterward. He was considered the greatest authority of his time on Judaeo-Islamic traditions, the *Isrā'īliyyāt*, and also on South Arabian lore. See *EP*, s.vv. *Isrā'īliyyāt* (G. Vajda) and *Ka'b al-Aḥbār* (M. Schwitz).

372. This genealogy in Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 12 = ed. al-Saqqā *at alii*, I, 20, tr. A. Guillaume, 6. As'ad Abū Karib is attested in the inscriptions as reigning ca. A.D. 425.

373. Abū 'Abdallāh Muḥammad b. Ḥumayd al-Rāzī (d. 248/862) was one of al-Ṭabarī's most important transmitters, in both his *History* and his *Tafsīr*, especially as a second-generation *rāwī* for Ibn Ishāq, and it is very often Abū 'Abdallāh Salamah b. al-Faḍl al-Anṣārī (d. 191/806) who provides the link between the two scholars. See Sezgin, *GAS*, I, 242; Rosenthal, *The History of al-Ṭabarī, an Annotated Translation*, I, *General Introduction and From the Creation to the Flood*, 17-19, 172 n. 26, 174 n. 49.

374. . Kisrā, the Arabized form of MP Husraw (thus according to Gignoux) or Khusrōy, and NP Khusraw, Greek Chosroēs, going back to Avestan *haosrawah-*, "of good reputation," a name stemming from the Persian legendary past; in Firdawsī, Kay Khusraw is the son of Siyāwush and Parangīs (for Wasāfrīd), daughter of Afrāsiyāb, who is the victorious leader of the host of Iran against Turan, and the vanquisher and slayer of Afrāsiyāb. See Justi, *Namenbuch*, 134-39; Bartholomae, *Altiranisches Wörterbuch*, cols. 1737-38; Mayrhofer, *Die altiranischen Namen*, no. 167; Gignoux, *Noms propres sassanides*, no. 465; Yarshater, "Iranian National History," 375-76. Because the two prolonged reigns of Khusraw I Anūsharwān (531-79) and Khusraw II Abarwēz (590 and 591-628) made them especially well known to the Arabs, and because those monarchs' actions impinged very much on the history of the pre-Islamic Arabs and the beginnings of Islam, the assumption arose among the Arabs that Kisrā was a generic term for all the Persian kings, and it actually acquired a broken plural, *al-Akāsīrah*. See Nöldeke, trans. 151 n. 1. For the component Anūsharwān, see n. 332 above.

375. The exalted title Pādḥūspān stems from a non-Persian form corresponding to MP *pāyḡōs*, "land, region," + the suffix *-pān*. The Pādḥūspāns of the four quarters of the Sāsānid empire seem to have been the civil administration counterparts

In the name of God, the Merciful, the Compassionate,<sup>376</sup> from the King Kistrā, son of Qubādh, to Wārī, son of the Nakhīrjān,<sup>377</sup> Fādhūsban of Azerbaijan and Armenia and their territories, and Dunbāwand and Ṭabaristān and their adjacent territories,<sup>378</sup> and his subordinate officials, greetings! The thing that most strikes fear into the hearts of people is the feeling of deprivation felt by those who fear the ending of their state of comfortable living, the eruption of civil disorders, and the advent of unpleasant things to the best of individuals, one after the other of such individuals, in regard to their own persons, their retainers, their personal wealth, or what is dearest to them. We know of no cause for fear or absence of a thing that brings more crushing ill-fortune for the generality of people, nor one likely to bring about universal disaster, than the absence of a righteous king.<sup>379</sup>

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of the Ispahbadhs or provincial military commanders (on Khusraw Anūsharwān's division of the supreme military command into four commands corresponding to the quarters of the empire, see al-Ṭabarī, I, 894, p. 149 below), although the civil and military functions doubtless often overlapped in frontier regions. In some sources, notably al-Ya'qūbī, *Tārīkh*, I, 260, the Pādhūsban is placed under the control of the Ispahbadh. See Nöldeke, trans. 151 n. 2, 445-46 Excursus 3; Christensen, *Sassanides*, 139, 265, 352, 519.

376. It is hardly conceivable that the Sāsānids should have used the exact form of the Islamic *basmalah*; whether they used a corresponding formula at the opening of their chancery documents, etc., is unknown, although Mr F. C. de Blois points out that extant Pahlavi texts (known, of course, in Islamic-period manuscripts) often begin with the formula *pad nām ī yazadān* "by the name of the gods," or words to the same effect. He cites Saul Shaked, "Some Iranian Themes in Islamic Literature," 152-54, who is skeptical, however, that there was any Persian influence on Islam in this regard.

377. This appears both as a family name and as a title, but was perhaps originally a patronymic. In al-Balādhurī, *Futūḥ*, 262, we have this same form, al-Nakhīrjān, as the name or title of the defender of al-Madā'in against the Arabs of 'Umar's army. See Nöldeke, trans. 152 n. 2. N. a of Nöldeke's text suggests the possible reading Zādhūyah for the son of the Nakhīrjān, which would make more sense than the unusual Wārī.

378. These would be the territories making up the "northern quarter" of the realm, the arrangements made by Khusraw Anūsharwān being variously defined in an Armenian source and in the later Islamic historians and geographers: see n. 385 below.

379. As Nöldeke remarks, trans. 153 n. 2, the sententious and moralizing tone of the document (this being merely its introduction) is not untypical of what we know of Sāsānid chancery documents.



When Kisrā had gained firm control of power, he took measures to extirpate the religious beliefs of a hypocritical person from the people of Fasā, called Zarādhushht, son of Khurrakān,<sup>380</sup> a new faith which he had brought into existence within the Mazdaean religion. A considerable number of people followed him in that heretical innovation, and his movement became prominent on account of this. Among those who carried out missionary work for him among the masses was a certain man from M.dh.riyyah (?) called Mazdaq, son of Bamdādh.<sup>381</sup> Among the things he ordained for people, made attractive to them, and urged them to adopt, was holding their possessions and their families in common. He proclaimed that all this was part of the piety that is pleasing to God, and that He will reward with the most handsome of recompenses, and that, if that religious faith he commanded them to observe and urged them to adopt were not to exist, the truly good way of behavior, the one which is pleasing to God, would lie in the common sharing of property. With those doctrines, he incited the lower classes against the upper classes. Through him, all sorts of vile persons became mixed up with the best elements of society, criminals seeking to despoil them of their possessions found easy ways to do this, tyrannical persons had their paths to tyranny facilitated, and fornicators were able to indulge their lusts and get their hands on high-born women to whom they would never have been able to aspire. Universal calamity overwhelmed the people to an extent they had never before experienced.<sup>382</sup>

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380. Fasā was an important town and district of southeastern Fārs. See Yāqūt, *Buldān*, IV, 260–61; Le Strange, *Lands*, 290; Schwarz, 97–100; Barthold, *Historical Geography*, 152–53; *EP*<sup>2</sup>, s.v. Fasā (L. Lockhart). That Zarādhushht came from Fasā is stated in the *Dēnkard*. See Nöldeke, trans. 456; Crowe, “Kavad’s Heresy and Mazdak’s Revolt,” 24.

381. The sources variously attribute Mazdak to this mysterious M.dh.riyya (which Nöldeke, trans. 154 n. 3, compared with Manādhir in Susiana and which Christensen, *Le règne du roi Kawādh I<sup>er</sup>*, 100, sought to interpret as Mādharayyā in Lower Iraq), to Ištakhr in Fārs (al-Dīnawarī, *al-Akhbār al-ṭiwāl*, 65), and to Nasā in Khurāsān (al-Bīrūnī, *al-Āthār al-bāqiyah*, 209). See Nöldeke, trans. 457 and n. 3; Crone, “Kawad’s Heresy and Mazdak’s Revolt,” 24.

382. The question of whether there were two Mazdakite revolts, one toward the end of Kawād’s reign and another one at Khusraw’s accession or shortly after it, and the exact timing of the revolt(s) anyway, has been much discussed. Most recently, Crone has suggested that it is simplest to assume that a single revolt broke out on Khusraw’s accession in 531, at a time when he was combating the rival succession

Hence Kisrā forbade the people<sup>383</sup> to act in accordance with any of the heretical innovations of Zarādusht, son of Kharrakān, and Mazdaq, son of Bamdādh. He extirpated all their heresy, and he killed a great number of their fervid adherents and did not allow himself to be deflected from any of what he had forbidden the people. [He further killed] a group of the Manichaeans, and made firm for the Magians the religion they had always held.

Before Kisrā became king, the office of Iṣbahbadh—that is, the supreme commander of the armed forces—was held by one man, who was responsible for this supreme command over all the land.<sup>384</sup> Kisrā now divided this office and rank between four Iṣbahbadhs, namely, the Iṣbahbadh of the East, comprising Khurāsān and its adjoining regions; the Iṣbahbadh of the West; the Iṣbahbadh of Nīmruz, that is, the land of Yemen; and the Iṣbahbadh of Azerbaijan and its adjoining regions, that is, the Khazar lands.<sup>385</sup> He

claims of his elder brother Kāwūs and military control over the realm was obviously relaxed. Khusraw may have bought time by offering the Makdakites some degree of toleration, and he certainly brought the protracted, but by now rather desultory war with Justinian to an end. Once firmly in command of affairs, *lammā istahkama lahu al-mulk*, as al-Ṭabarī, I, 893, puts it, he turned on the Mazdakites, massacred them and gradually restored order in the land. A *terminus ad quem* for this would be 540, when Khusraw resumed the war with Byzantium. See Crone, 30–33.

383. The syntax here is somewhat unusual in that we have verb-object-subject instead of the normal verb-subject-object, but one only derives sense if *Kisrā* is taken as the subject and *al-nās* as the object, as here and as in Nöldeke's translation, "Da verbot nun Chosrau . . ."

384. This is the *iṣbahbadh al-bilād/Ērān-spahbed* of al-Ṭabarī, I, 885, p. 131 above.

385. The division of the realm into four quarters (probably in MP, *kustag*; Arabic, *rub'*, *nāḥiya*), described by their geographical orientation, is attested to in the Armenian geography ascribed to Moses Khorenac'i, which considers the various places in the Persian lands according to a division of (1) *K'usti Khorbaran*, the West; (2) *K'usti Nemroy*, the midday region, the South; (3) *K'usti Khorasan*, the East; and *K'usti Kapkoh*, the direction of the Caucasus, the North. See Marquart, *Ērānšahr*, 16–17, and for the exact delimitation in this work of the Quarter of the South, see n. 969 below. The Islamic sources have similar information about these divisions. Thus Ibn Khurradadhbih, *Kitāb al-masālik wa-al-mamālik*, 118: Jibāl and its components, Rayy, Azerbaijan, Ṭabaristān, Dumbāwand, and Qūmis; al-Ya'qūbī, *Ta'rikh*, I, 201–202: Ṭabaristān, Rayy, Jibāl, and its components, and Azerbaijan; al-Dīnawāri, *al-Akbār al-ṭiwāl*, 67: Iṣfahān, Qum, Jibāl, Azerbaijan and Armenia. See Nöldeke, trans. 155 n. 2; and the discussion in Marquart, *Ērānšahr*, 94–95. The Khazar lands were never, of course, controlled by the Sāsānids (or any other rulers of Persia), and the mention of this Turkish people in a context as early

saw in this new arrangement a way of improving the good ordering of his kingdom. He strengthened the fighting quality of the soldiers with weapons and mounts.<sup>386</sup> He recovered lands belonging to the kingdom of Persia, some of which had slipped out of the hand of King Qubādh and into the control of other monarchs of the nations, through various causes and reasons, including Sind, Bust, al-Rukhkhaj, Zābulistān, Ṭukhāristān, Dardistān, and Kābulistān.<sup>387</sup> He inflicted extensive slaughter among a people called the Bāriz, transported the remaining ones of them from their land, and resettled them in various places of his kingdom. They submitted to him as his servants, and he utilized them in his military campaigns.<sup>388</sup> He gave orders for another people, called the Ṣūl, to be made captives, and they were brought before him.

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as the first part of the sixth century is an anachronism anyway; see further n. 390 below.

386. For Khusraw's military reforms, see al-Ṭabarī, I, 963–65, pp. 262–63, and n. 633 below.

387. Bust, al-Rukhkhaj (classical Arachosia), Zābulistān, Kābulistān, and Dardistān (the pre-Islamic region of Gandhara, this name properly read in Nöldeke's text, whereas in his translation, 156, he had read it, with justifiable doubt, as "Dihistān") were all in the southeastern or eastern part of what is now Afghanistan, while Ṭukhāristān (older Bactria) was in its northern part. It is possible that the success in the mid-560s of the Western Turks against the Hephthalites north of the Oxus, with the resultant fragmentation of the northern Hephthalite kingdom, enabled the Persian king to extend Sāsānid control toward the Oxus and into Bactria (cf. al-Ṭabarī, I, 899, p. 160 below). But Marquart, in his *Ērānšāhr*, 32–33, was dubious that Persian armies ever penetrated south of the Hindu Kush into eastern Afghanistan at this time, where the southern Hephthalite kingdom was to persist for a considerable time further, and hardly credible that they should have reached Sind. Later, however, in his "Das Reich Zābul und der Gott Zūn vom 6.-9. Jahrhundert," 257 n. 2, he apparently accepted that Khusraw did actually conquer the Hephthalite lands south of the Hindu Kush as far as the borders of India. See Nöldeke, trans. 156 n. 1; Ghirshman, *Les Chionites-Hephthalites*, 94; Widengren, "Xosrau Anōšurvān, les Hephthalites et les peuples turcs," 69–74 (a penetrating critique of the information in the various sources and the traditions they represent); Frye, "The Political History of Iran under the Sasanians," 156. For the regions mentioned above, see *EP*<sup>2</sup>, s.vv. Bust (J. Sourdel-Thomine), Dardistān (A. S. Bazmee Ansari), Kābulistān (C. E. Bosworth), al-Rukhkhaj (idem), Zābulistān (idem, forthcoming).

388. The mountain people of the Jabal Bāriz in the southeastern part of Kirmān province seem to have supplied infantry for the Achaemenid armies, and were always regarded as a bellicose and predatory race. In early Islamic times, various of the ruling dynasties of Persia launched punitive expeditions against these Kūfichīs. See Bosworth, "The Kūfichīs or Quṣ in Persian History," 9–17; *EP*<sup>2</sup> Suppl., s.v. Bāriz, *D*Jabal (idem).

He commanded that they should be killed, except for eighty of their boldest warriors, whom he spared and had settled at Shahrām Fayrūz, where he could call upon them for his military campaigns.<sup>389</sup> There was also a people called the Abkhaz, and other ones of the B.n.j.r, Balanjar, and al-Lān, who came together in a coalition to raid his lands.<sup>390</sup> They made an incursion into Armenia in order to raid and despoil its people. Their route thither was at that moment easy and unimpeded, and Kisrā closed his eyes to their activities until, when they had firmly established themselves in his territories, he dispatched against them contingents of troops, who fought with them, and exterminated them apart for ten thousand of them, whom they took prisoner and then settled in Azerbaijan and the neighboring regions.<sup>391</sup>

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389. For the Šul and Shahrām Fayrūz, see al-Ṭabarī, I, 874, pp. 112–13 and n. 290 above.

390. The Abkhāz were, and still are, a people living on the eastern shores of the Black Sea to the northwest of Georgia and on the southern slopes of the north-western prolongation of the Caucasus range; under Soviet Russian rule there was an Abkhazian ASSR within the Georgian SSR, now part of the independent Georgian Republic. The lands of the Abkhāz were invaded by the Byzantine armies of Justinian and the people converted to Christianity; subsequently, their history was closely linked with the other Christian peoples of the Georgians and Alans. See Marquart, *Osteuropäische und ostasiatische Streifzüge*, 175–78; *EP*<sup>2</sup>, s.v. Abkhāz (W. Barthold-V. Minorsky). However, Marquart read al-Ṭabarī's Abkhaz as *al-Khazar*, without any discussion of the questions involved. If this were correct, it would be an early mention of the appearance of this Turkish people in the steppes north of the Caucasus; cf. D. M. Dunlop, *The History of the Jewish Khazars*, 23. But whether it is possible to speak of the Khazars, in what was at this time their prehistory, as a separate Turkish people or just part of the Inner Asian Türk empire, is impossible to decide. See Moravcsik, *Byzantinoturcica*, II, 335–36; P. B. Golden, *Khazar Studies*, I, 49–50.

The Alans originally lived north of the Caucasus, but as a result of pressure from the Huns, were pushed into the central Caucasus. At the time of Khusraw Anūsharwān they must have been still pagan, and were not converted to Christianity till the early tenth century. Their modern descendants are the Ossetians. See Marquart, *Ērānšahr*, 65, 95, 105–06; idem, *Streifzüge*, 164–72; *EP*<sup>2</sup>, s.v. Alān (Barthold-Minorsky); Marquart, op. cit., 16, also in *Addenda et emendanda*, p. DXC1, took B.n.j.r for *Bulghār*, adducing the Pahlavi form *Burgar* for this Turkish people of the middle Volga basin and South Russian steppe; see *EP*<sup>2</sup>, s.v. *Bulghār* (I. Hrbek). The Balanjar are here a people, but subsequently they gave their name to what became a well-known city of the Turkish Khazars, in eastern Caucasia to the north of Darband or Bāb al-Abwāb. See Nöldeke, 157 n. 3; Marquart, op. cit., 16–18; *EP*<sup>2</sup>, s.v. Balandjar (D. M. Dunlop).

391. On this Caucasian campaign of Khusraw, see Christensen, *Sassanides*, 369–70; Hannestad, "Les relations de Byzance avec la Transcaucasie et l'Asie

King Fayrūz had previously erected in the regions of the Şül and al-Lān buildings of stone, with the intention of strengthening his lands against the encroachments there of those nations. Moreover, King Qubādh, son of Fayrūz, had begun the construction, after his father, of a great number of building works in those regions, until, when Kisrā achieved the royal power, he gave orders for the construction in the region of the Şül, with stone hewn in the vicinity of Jurjān, of towns, castles, fortified mounds, and many other buildings, which would serve as a protection for the people of his lands, where they might seek refuge from the enemy in the event of a sudden attack.<sup>392</sup>

The Khāqān Sinjibū was the most implacable, the most courageous, the most powerful, and the most plentifully endowed with troops of all the Turks. It was he who attacked W.r.z (?) the king of the Hephthalites, showing no fear of the numerousness or the fierce fighting qualities of the Hephthalites, and then killed their king W.r.z and the greater part of his troops, seizing their possessions as plunder and occupying their lands, with the exception of the part of them that Kisrā had conquered.<sup>393</sup> Khāqān won

Centrale aux 5<sup>e</sup> et 6<sup>e</sup> siècles," 444-56; Dunlop, *The History of the Jewish Khazars*, 22-23; Frye, "The Political History of Iran under the Sasanians," 155-56.

392. See al-Ṭabarī, I, 874, pp. 112-13 and n. 290 above.

393. The episode briefly noted here reflects the fact that the Hephthalites were at this time squeezed between the growing might of the Sāsānids under Khusrāw Anūsharwān and that of the Western Turks of Ishtemi or Istemi (see n. 394 below) and, subsequently, his son Tardu (Qaghan by 576). In the years from 560 to 563 the Qaghan of the Western Turks invaded Transoxania, seized Chāch (the later Tashkent) and defeated the Hephthalites near Bukhārā. The Hephthalite state in Transoxania thus came to an end, although minor Hephthalite principalities continued in Sogdia and the upper Oxus lands, with the main focus of the surviving Hephthalite power now in eastern and southeastern Afghanistan south of the Paropamisus mountains and the Hindu Kush, and in northwestern India. As a share of the spoils from operations contemporary with those of the Turks, Khusrāw now received Bactria, but was to lose it to the Turks shortly afterward.

The name W.r.z (text, W.z.r) is probably to be connected with the Avestan name Varāza-, Middle Persian Warāz, Warāzān, frequent also in compound names in both Persian and Armenian, and meaning "boar, wild boar," especially as Ghirshman, *Les Chionites-Hephthalites*, 22-23, records an undated Hephthalite coin mentioning VRZ; whether this coin was issued by the W.r.z mentioned here is impossible to tell. See on the name Nöldeke, trans. 240 n. 1; Justi, *Namenbuch*, 348-50; Mayrhofer, *Die altiranischen Namen*, no. 355; Gignoux, *Noms propres sassanides*, nos. 940-44; Widengren, "Xosrau Anōšurwān, les Hephthalites et les peuples turcs," 93 n. 4; and for the compound name Shahrbarāz/Shahrwarāz, n. 749 below. Among the titles of the petty princes of Khurāsān at the time of the Arab

over the Abkhaz, the B.n.j.r and the Balanjar to his side, and they vouchsafed him their obedience. They informed him that the kings of Persia had always sought to ward them off by paying tribute, thereby securing safety from their raids on their (sc., the Persians') lands. Khāqān now advanced with 110,000 warriors until he reached the fringes of the land of the Šūl. He sent a message to Kistrā, uttering threats and using peremptory language against him, to the effect that Kistrā must send to him treasure and to the Abkhaz, the B.n.j.r, and the Balanjar the tribute money the Persian kings had customarily paid before Kistrā came to power. [He further threatened] that, if Kistrā did not expedite the forwarding of all that he asked, he would enter his land and attack it. Kistrā paid no heed to his menaces and did not offer Khāqān a single item of what he had demanded, since he had strongly fortified the region of the gates of the Šūl and had blocked the ways and the tracks through defiles that the Khāqān Sinjibū would have to follow in order to reach him. He also knew the strength of his defensive forces in the frontier region of Armenia: five thousand warriors, cavalrymen, and infantry. The Khāqān Sinjibū got word of Kistrā's fortifying of the frontier regions of the Šūl, hence returned to his own land with all his troops and with his intentions frustrated. Those of the enemy who were massed against Jurjān were likewise, because of the fortifications Kistrā had built in its neighborhood, unable to mount any raids on it and to conquer it.<sup>394</sup>

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invasions are mentioned Barāz-bandah in Gharchistān, 'b.rāz in Nasā, and Barāzān in Herat, Būshanj, and Bādhghis, according to Ibn Khurradādhbih, *al-Masālik wal-mamālik*, 39-40. The last king of the northern Hephthalites, the one defeated and killed by the Western Turks, appears in the contemporary Greek sources as Katoulphos. See Christensen, *Sassanides*, 501; Ghirshman, *Les Chionites-Hephthalites*, 23, 94-95; Moravcsik, *Byzantinoturcica*, II, 156; Grousset, *The Empire of the Steppes*, 82-83; Litvinsky, "The Hephthalite Empire," 143-44.

394. Sinjibū is to be identified with the Turkish ruler mentioned by such Byzantine historians as Menander Protector (on whom see Moravcsik, *Byzantinoturcica*, I, 422-26) in their accounts of the diplomatic and commercial exchanges between the Greeks and the Western Turks from 563 onward as Sizaboulos, Silziboulos, etc. The reigning Western Turkish Qaghan at this time was Ishtemi or Istemi, the *Ištimi* or *Štimi* of the Orkhon inscriptions and the Stembischagan of Greek sources. Marquart, *Ērānšahr*, 216-17, identified him with Silziboulos, but this is linguistically difficult, and it is more likely that Silziboulos/Sinjibū, and his son Turkhath, mentioned by Menander, were lesser Turkish rulers in the southern, Transoxanian part of the extensive Türk empire. It has not so far been possible to recover the (presumably) Turkish original form of Silziboulos/Sinjibū; it seems to be rendered in the Chinese annals as *Shê-li čao-wu*. Marquart's analysis of the first

The people had recognized Kisrā Anūsharwān's excellent judgment, knowledge, intelligence, bravery, and resolution, combined with his mildness and clemency toward them.<sup>395</sup> When he was crowned, the great men of state and the nobles came into his presence, and with all their might and eloquence called down

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element *Silz-* as connected with the *Sir*, the *Seres* of the Byzantine historian Jordanes, who located this people as living east of the Caspian Sea, and whose name seems to be enshrined in that of the Syr Darya river, is convincing, but his equation of the second element with *Yabghu*, the Turkish title of the leader holding the rank just below that of the Qaghan (*Ērānšahr*, loc. cit. and 247), is less so. This war between Khusraw and the Turks—who, after the defeat of the Hephthalites (see above), must have become uneasy neighbors in the Oxus region—is to be placed in the late 560s. See Nöldeke, trans. 158 n. 2, 159 n. 1; Grousset, *The Empire of the Steppes*, 82–83; Moravcsik, *Byzantinoturcica*, II, 275–76, 291; H. W. Haussig, "Die Quellen über die zentralasiatische Herkunft der europäischen Awaren," 31–32; Sinor, "The Establishment and Dissolution of the Türk Empire," 302–305; Sinor, "The Türk Empire. I. The First Türk Empire (553–682)," 332–33.

The Khāqān's advance to "the fringes of the land of Šūl" (here, then, Arabic al-Šūl would correspond to the Armenian name for Darband, Ć'or) is taken by Dunlop, *The History of the Jewish Khazars*, 24–25, as relating to Bāb al-Abwāb (the *bābs* "gates" being the mouths of the river valleys running down from the mountains to the sea) or Darband, which commanded a particularly constricted point on the narrow route between the western Caspian shore and the easternmost spurs of the Caucasus. This would imply that the Western Turks were already operating in the South Russian steppes and the Kuban steppes north of the Caucasus, in the latter region perhaps through the agency of the tribal chief of the Khazars (if, again, as in al-Ṭabarī, I, 895, p. 151 above, one reads *al-Khazar* for *Abkhaz*). To Khusraw Anūsharwān is traditionally ascribed the building of the famous Wall of Darband, said to have been seven farsakhs long, to keep out the northern barbarians as part of his general plan of fortifying the Caucasus region and thereby protecting Caucasian Albania or Arrān and also Azerbaijan (impressive remains of fortifications are in fact still visible at Darband). However, many romantic and legendary elements were subsequently added to the story, e.g., in al-Balādhurī, *Futūh*, 195–96; Qudāmāh b. Ja'far, *Kitāb al-kharāj*, 259–61; Yāqūt, *Buldān*, I, 304, s.v. Bāb al-Abwāb. See Minorsky, *A History of Sharvān and Darband*, 14, 86–88, 144; Dunlop, op. cit., 24–26; *EP*<sup>1</sup>, s.v. Derbend (W. Barthold); *EP*<sup>2</sup>, s.v. Bāb al-Abwāb (D. M. Dunlop).

395. Nöldeke, trans. 160 n. 2, notes that a new report on Khusraw's reign begins here, one which stems, on the basis of parallel reports in other sources, both Christian and Muslim, from Ibn al-Muqaffa'.

The image of Khusraw as a just, beneficent monarch, solicitous for the interests of rich and poor alike, while vigorous and powerful enough to defend the borders of his realm against the Greeks in the west and the Hephthalites and Turks in the east, and to extend Persian authority into lands as distant as Yemen, all contributed to the picture of an ideal ruler. Already, the Prophet Muḥammad is said to have praised him as a just king, although the prevalent Islamic image of the Kisrās, meaning the Sāsānid kings in general, came to be one of regarding them as examples of supreme royal pride and pomp. Nevertheless, for Khusraw Anūsharwān

blessings on his head. When they had concluded their speeches, he stood up and delivered an oration. He began by mentioning God's favors on His people when He had created them, and his own dependency on God for regulating their affairs and the provision of foodstuffs and the means of life for them. He left nothing [which ought to have been said] out of his oration. Then he told the people what they had suffered [through the spreading of Mazdak's teachings]; namely, the loss of their possessions, the destruction of their religion and the damage to their position regarding their children and their means of life. He further informed them that he was looking into ways and means of putting all that right and rendering affairs strong again, and urged the people to aid him in this.

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Next, he ordered the heads of the leaders of the Mazdakites to be chopped off and their possessions to be shared out among the poor and needy.<sup>396</sup> He killed a large number of those people who had

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specifically, the image of him in the Islamic sources was in general positive, as can be discerned from anecdotes about him in *adab* works like the *Kitāb al-tāj* and the *Kitāb al-maḥāsin wa 'l-addād* of Ps.-al-Jāhīz, and the *Marzubān-nāmah* of Sa'd al-Dīn Warāminī, as also in the "Mirrors for Princes" such as Kay Kāwūs b. Iskandar's *Qābūs-nāmah* and Niẓām al-Mulk's *Siyāsat-nāmah*; often, he is linked with his supremely wise (semilegendary) vizier Buzurgmihr.

Even if there is truth in this picture—and certainly, the Sāsānid empire reached its apogee during his reign—it did not prevent Khusraw from being also a skillful exponent of *Realpolitik*, ready to use violence and terror to achieve his aims, and some of the later anecdotes about him stress his cunning and duplicity in dealing with opponents. The Christians of Persia had to endure some bouts of persecution during his time (although nothing as severe as that under Shābūr II), usually linked with resumption of Byzantine-Persian warfare. The Catholicos Mar Aba, a former Zoroastrian, survived imprisonment in Azerbaijan but died of the hardships he had suffered after Khusraw released him in 552. Clearly, the emperor must always have been careful to retain the support of the Zoroastrian priesthood. Yet, to be set against a natural feeling on the part of the Sāsānid authorities that Persian Christians must inevitably have a prime loyalty, through religion, to their co-religionists in the west, many of the Persian Christians seem, in fact, to have felt a strong attachment to their native land, Persia, and their own ethnos as Persians, and this counterbalanced any feelings of religious solidarity with the Greeks, especially as Byzantium stood for Chalcedonian orthodoxy as against the dominant Nestorianism and, to a lesser extent, Monophysitism, in the Persia empire. At least one high commander in the Persian army is known to have been a Christian. See for a detailed consideration of these attitudes, and the tensions between ethnos and faith which must often have been at work within the Persian Christian community, Asmussen, "Christians in Iran," 933–35, and Brock, "Christians in the Sasanian Empire," 10–17.

At all events, there was, on the whole, during Khusraw's reign, some amelioration of the Christians' lot. According to John of Ephesus, the king allowed the Monophysites to organize themselves within the realm and to choose a Catholicos



confiscated other people's possessions, and restored these possessions to their original owners. He commanded that every child concerning whom there was dispute before him about his or her origin should be attributed to that person in whose family the child was, when the real father was not known, and that the child should be given a [legal] share in the estate of the man to whom the child was now attributed, provided that the latter acknowledged the child. In regard to every woman who had been forced to give herself unwillingly to a man, that man was to be held to account and compelled to pay the bride price to her so that her family was thereby satisfied. Then the woman was to be given the choice between remaining with him or marrying someone else, except that if she had an original husband, she was to be restored to him. He further commanded that every man who had caused harm to another person in regard to his possessions, or who had committed an act of oppression against another person, should make full restitution and then be punished in a manner appropriate to the enormity of his offense. He decreed that, where those responsible for the upbringing of the children of leading families had died, he himself would be responsible for them. He married the girls among them to their social equals and provided them with their bridal outfit and necessities out of the state treasury; and he gave the youths in marriage to wives from noble families,

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of their own. The peace treaty of 561 with Justinian promised freedom of worship for Christians in Persia, and for Zoroastrians in the Byzantine lands, provided that there was no proselytism between the two faiths (but the inference is that apostasy from Zoroastrianism had been tolerated till then). A certain amount of intellectual freedom and a spirit of enquiry seem to have characterized Khusraw's court, and this was an innovation among the Sāsānids. Some sources attribute to the emperor himself an interest in philosophical ideas and in the tenets of other faiths. There was indeed a movement for the translation of scientific, medical, and other works from languages like Greek and Sanskrit into Middle Persian. Translations from Sanskrit are especially attributed to the monarch's physician Burzōy. See Nöldeke, trans. 160 n. 3; Labourt, *Le Christianisme dans l'empire perse*, 177-90; Christensen, *Sassanides*, 372-73, 374-440; Frye, "The Political History of Iran under the Sasanians," 161-62; Asmussen, "Christians in Iran," 946; Wiesehöfer, *Ancient Persia*, 216-19; *EP*<sup>2</sup>, s.v. Kīsrā [M. J. Morony], and Tardjama. 2. Translations from Greek and Syriac (D. Gutas), 3. Translations from Middle Persian (Pahlawī) [F. C. de Blois].

396. According to Nöldeke, trans. 163 n. 1, other, later sources state that he merely banished the leaders of the Mazdakites from his land. And among these sources, Euty chius says that the confiscated goods and property were made into a charitable foundation for the common benefit.

presented them with money for dowries, awarded them sufficient riches, and ordained that they should be members of his court so that he might call upon them for filling various of his state offices. He gave the wives of his [dead] father the choice between staying with his own wives and sharing in their maintenance and provision, and enjoying the same income as these last, or alternatively, he would seek out for them husbands of the same social standing as themselves.<sup>397</sup>

He further ordained the digging of canals and the excavation of subterranean irrigation conduits (*al-qunī*), and provision of loans for the owners of agricultural lands and support for them. He likewise ordered the rebuilding of every wooden bridge or bridge of boats (*jisr*) that had been destroyed and of every masonry bridge (*qanṭarah*) that had been smashed, and further ordered that every village that had fallen into ruin should be restored to a better state of prosperity than previously. He made enquiries about the cavalrymen of the army (*al-asāwirah*), and those lacking in resources he brought up to standard by allocating to them horses and equipment, and earmarked for them adequate financial allowances. He assigned overseers for the fire temples and provided good roads for the people. Along the highways he built castles and towers. He selected [good] administrators, tax officials, and governors, and gave the persons appointed to these functions stringent orders. He set himself to peruse the conduct, the writings, and the legal decisions of Ardashīr, and took them as a model to imitate, urging the people to do likewise.

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Once he had a firm grip on the royal power and all the lands were under his control, and some years after he had been reigning, he marched against Antioch, where were stationed leading commanders of Qayṣar's army, and conquered it. He then gave orders that a plan should be made for him of the city of Antioch exactly to scale (literally, "according to its extent"), with the number of its houses, streets, and everything contained in it, and orders that a [new city] should be built for him exactly like Antioch but situated at the side of al-Madā'in. The city known as al-Rūmiyyah was built exactly on the plan of Antioch. He thereupon had the inhabitants of Antioch transported and settled in the new city, when

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397. That is, the state of widowhood was, according to Persian custom, to be avoided as far as possible.

they entered the city's gate, the denizens of each house went to the new house so exactly resembling their former one in Antioch that it was as if they had never left the city.<sup>398</sup> Kistrā now attacked the town of Heraclea and conquered it, followed by Alexandria and the lands extending up to it. He left behind a detachment of

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398. The attack on Antioch was part of the resumed war of 540–43. Khusraw had made peace with the Byzantines in 532, just after his accession, thus ending the war that had begun toward the end of Kawād's reign (see n. 362 above); the Persians had evacuated several fortresses in Lazica and the Byzantines had agreed to pay a very substantial annual tribute in return for "eternal peace," since the empire was being hard pressed by external enemies in the West; see Greatrex, *Rome and Persia at War*, 502–532, 213–24. The resumption of war resulted from a general Byzantine resentment at the inferior position which the paying of tribute implied, and from the sheer inability to keep up these payments at a time when the empire was being threatened on so many fronts, with military defense expenditure causing a disastrous drain on the Byzantine treasury. A considerable effort had been made in the early years of Justinian's reign (527–32) to continue the earlier work of Anastasius. Thus he improved Daras/Dārā or Anastasiopolis, founded by Anastasius in 505 at a strategic point some 25 km/15 miles southeast of Mārdīn and facing the Persian bastion of Nişibīn, which lay further to its southeast (see on it Yāqūt, *Buldān*, II, 424; Le Strange, *Lands*, 96–97; *Elr*, s.v. Dārā [M. Weiskopf]; Whitby, "Procopius' Description of Dara" [*Buildings* II, 1–3], 737–83, who describes and elucidates those of its buildings and defensive walls still visible), making it the single most important point in the Byzantine defensive system in Upper Mesopotamia. Justinian also strengthened the forward fortified points at such places as Circesium, Martyropolis, and Theodosiopolis. However, the "eternal peace" may have involved some restrictions on Byzantine frontier construction, and the emperor had in any case become involved in the defense of his western provinces. Hence when Khusraw marched against Antioch through the more weakly defended, more southerly middle Euphrates region, he found a city with deficiencies in its defenses, in part because of an earthquake some years previously. In June 540 he sacked it, a disastrous setback for the Greeks, in the course of which the overstretched Byzantine army was revealed as lacking the manpower really to protect this and other cities of northern Mesopotamia and northern Syria until troop reinforcements could be brought up from further west and the military position in Mesopotamia stabilized. See Cameron, *Procopius and the Sixth Century*, 163–65; Whitby, "Procopius and the Development of Roman Defenses in Upper Mesopotamia," 726–29.

Meanwhile, Justinian had to agree to a truce on the basis of the Greeks paying an indemnity and arrears of tribute. The new city for the dispossessed citizens of Antioch on the Orontes was called by the Persian emperor Weh Andiyōg Khosrōy, "Khusraw [has built this] better than Antioch," and was popularly known by the Persians as Rūmagān "town of the Greeks" = al-Rūmiyyah; it formed part of the urban complex of al-Madā'in. See Nöldeke, trans., 165 nn. 2–4; Bury, *A History of the Later Roman Empire from Arcadius to Irene*, I, 420–27; idem, *History of the Later Roman Empire from the Death of Theodosius I to the Death of Justinian*, I, 91–112; Christensen, *Sassanides*, 386–87; Stein, *Histoire du Bas-Empire*, II, 486–92; Frye, "The Political History of Iran under the Sasanians," 154–55; Shahīd, *Byzantium and the Arabs in the Sixth Century*, I/1, 209–36.

his troops in the land of the Romans after Qayṣar had submitted to him and had paid him ransom money.<sup>399</sup>

He returned home from Rūm and then took the field against the Khazars, and sought revenge on them for the damage they had wrought on him by afflicting his subjects.<sup>400</sup> Next, he turned his attention to Aden. He blocked up part of the sea there which lay between two mountains and is adjacent to the land of Abyssinia (al-Ḥabashah), with large ships, rocks, iron columns, and chains, and he killed the great men of state of that land.<sup>401</sup> He then returned to al-Madā'in, having brought under his control all those regions of the land of Rūm and Armenia that are situated on this side of Heraclea plus the whole area between his capital and the sea,<sup>402</sup> in the region of Aden. He appointed al-Mundhir b. al-Nu'mān as king over the Arabs and loaded him with honors.<sup>403</sup> Then he took up residence in his own kingdom at al-Madā'in, and

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399. The truce was denounced by Justinian because of Persian operations in 541 in the Black Sea region of Lazica, which was adjacent to Byzantine defense points in eastern Pontus and which had been recently Christianized, and because of what were regarded as unjustified exactions of Khusraw from the people of the Byzantine fortified points and towns in Upper Mesopotamia during the previous year, leading to Persian invasions of Upper Mesopotamia in 542, of Armenia in 543, and of Upper Mesopotamia again in 544. In the course of this last campaign, Edessa was strenuously besieged by the Persians (see on this event, J. B. Segal, *Edessa, 'The Blessed City'*, 105, 113, 158-60). There does not, however, seem to have been at any of these times a Persian advance into southern Anatolia as far as Heracleia (the Hiraqlah of later Islamic historians and geographers, modern Ereğli, see on it Yāqūt, *Buldān*, V, 398-99; Le Strange, *Lands*, 149; *EP*<sup>2</sup>, s.v. Ereğli [J. H. Mordtmann-F. Taeschner]), which, according to the contemporary church historian Evagrius Scholasticus, was not sacked by the Persians till much later, in the time of Justin II (r. 565-78). The mention of Alexandria (in Egypt!) must be a confusion with the Persian invasion of Egypt under Khusraw II Abarwēz some seventy years later in the imperial reign of Heraclius (see al-Ṭabarī, I, 1002, pp. 318-19 below). But as al-Ṭabarī says here, Edessa had been forced to pay an indemnity to the Persians after the final siege, and the peace or five years' truce of 545 between Khusraw and Justinian involved the handing over by the Greeks of a substantial indemnity. See Bury, *A History of the Later Roman Empire from Arcadius to Irene*, I, 427-40; idem, *History of the Later Roman Empire from the Death of Theodosius I to the Death of Justinian*, I, 91-112; Stein, *Histoire du Bas-Empire*, II, 492-502; Frye, "The Political History," 155-56; Lang, "Iran, Armenia and Georgia," 521.

400. Presumably a repetition of the notice by al-Ṭabarī at I, 895, p. 151 above, of the emperor's Caucasian expedition.

401. An anticipatory mention of the South Arabian expedition, see al-Ṭabarī, I, 947ff., pp. 238ff. below.

402. Following the text's n. f, with the correct reading of the Sprenger ms. *al-bahr* for the text's *al-Baḥrayn*.

403. See al-Ṭabarī, I, 899-900, p. 161 below.

turned his attention once more to affairs needing his personal care. After this, he led an expedition against the Hephthalites, seeking revenge for his grandfather Fayrūz. Previously, Anūsharwān had married Khāqān's daughter, so he now wrote to him before setting off on the expedition, informing him of his intentions and enjoining him to march against the Hephthalites. Anūsharwān came up against them, killed their king, and extirpated the whole of his family. He penetrated to Balkh and what lies beyond it and quartered his troops in Farghānah. He then returned home from Khurāsān.<sup>404</sup> When he got back to al-Madā'in, a deputation came to him seeking help against the Abyssinians. So he sent back with them one of his commanders heading an army of the men of Daylam and adjacent regions; they killed the Abyssinian Masrūq in Yemen and remained there.<sup>405</sup>

Thus Kisrā enjoyed an unbroken run of victories and conquests; all the nations were in awe of him; and numerous delegations from the Turks, the Chinese, the Khazars, and similar [distant] nations thronged his court. He lavished generosity on scholars. He reigned for forty-eight years. The birth of the Prophet fell within

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404. In the account of these events at I, 895, p. 152 above, it is the Khāqān of the Turks who kills the Hephthalite king W.r.z/Warāz. The name of the Turkish princess whom Khusraw is said here to have married (the future mother of his successor Hormizd IV) is given by al-Mas'ūdī, *Murūj*, II, 211 = § 632 as the un-Turkish-looking Fāqum (thus vocalized by Pellat; the reading is dubious, but an Armenian author has Kaiēn, according to Nöldeke, trans. 264 n. 4). Although an Arabic geographer like Ibn Khurradādhbih, *al-Masālik wa-al-mamālik*, 30, says that Khusraw supposedly constructed the town of Farghānah, it seems most unlikely that Sāsānid power ever extended into the Farghānah valley of Central Asia. Marquart, *Ērānshahr*, 219–20, endeavored to make sense of this piece of information by relating it to a Wādī Farghānah south of Baghlān in the southern part of Tūkhāristān, mentioned in the accounts of the Arab invasions of northern Afghanistan; but this seems very forced. See also Nöldeke, trans. 167 nn. 2–3.

405. See al-Ṭabarī, I, 948–50, 952–57, pp. 238–42, 244–50 below, for the story of the expedition to Yemen. The Daylamis, mountaineers from the region of Daylam in the Elburz Mountains at the southwestern corner of the Caspian Sea, were often employed as mercenary infantrymen and alpine troops by the Sāsānids, and Procopius mentions *Dolomitae* in Khusraw's operations in Georgia and Lazica. See *EP*<sup>2</sup>, s.v. Daylam (V. Minorsky). Khusraw's recourse to marginal peoples like the Daylamis seems to have arisen from an increasing shortage of military manpower from the traditional Persian sources, i.e., the indigenous mailed cavalymen of the *aswār* class (on whom see n. 258 above), during his reign, and part of what Zeev Rubin has called the "barbarization" of the Persian army at this time, a parallel to what happened in the Roman world. See Rubin, "The Reforms of Khusro Anūshirwān," 284–85.

the latter part of Anūsharwān's reign.<sup>406</sup> Hishām [Ibn al-Kalbī] has related that Anūsharwān's reign was (only) forty-seven years. He also related that 'Abdallāh b. 'Abd al-Muṭṭalib, the Messenger of God's father, was born during his reign [in the twenty-fourth year of this, and that he died] in the forty-second year of his dominion.<sup>407</sup>

Hishām also related: When Anūsharwān's position became assured, he sent a message to al-Mundhir b. al-Nu'mān the Elder [al-Akbar], whose mother was Mā' al-Samā', a woman of al-Namir [tribe], and appointed him as king over al-Hīrah and the lands over which the House of al-Hārith b. 'Amr Ākil al-Murār<sup>408</sup> used to rule, and al-Mundhir remained in this office continuously until he died.<sup>409</sup> He also related: Anūsharwān led an expedition against the

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406. This based on the traditional dating of the Prophet's birth to ca. 570. See al-Ṭabarī, I, 900, pp. 163-64 and nn. 414, 640 below.

407. The words between the parentheses supplied by Nöldeke, trans. 168, from other, parallel texts as necessary to complete the text where there is an obvious omission.

408. How Ḥujr, the essential founder of Kindī greatness in Najd at this time (see p. 122 and n. 312 above) and grandfather of al-Hārith (on whom, see Olinder, *The Kings of Kinda*, 51-69), gained the nickname *ākil al-murār*, "eater of bitter herbs," is unclear, although the Arabic sources give two not very convincing explanations (see Olinder, op. cit., 42; Robin, "Le royaume ḥujride," 3). But Arabic *murār* is virtually identical with Akkadian *murāru*, "bitter lettuce," and possibly connected with *irru*, "a medical plant of the Cucurbitaceae family, possibly the colocynth" (see *The Assyrian Dictionary of the Oriental Institute of the University of Chicago*, Letter M, Part II, 218, Letters I-J, 182-83). R. Campbell Thompson thought that *irru* was *Papaver*, probably *rhoeas* L., the poppy (*A Dictionary of Assyrian Botany*, 223-29). We are clearly dealing with bitter herbs used as a medicament, and possibly, in the light of Campbell Thompson's definition of *irru*, with a pain-killing or narcotic drug.

409. Concerning Khusraw's appointment (or more probably, confirmation, see pp. 140-41 and n. 362 above) of al-Mundhir III as his deputy on the fringes of Iraq and in northern and eastern Arabia, al-Ṭabarī records, at I, 958, p. 253 below, this time from Ibn Ishāq, that, after the peace treaty of 531 with Justinian, the Persian emperor appointed the Lakhmid as ruler over all the Arabian lands as far as Baḥrayn, 'Umān, Yamāmah, al-Ṭā'if, and Hijāz. See Smith, "Events in Arabia in the Sixth Century A.D.," 442, and al-Ṭabarī, I, 958, pp. 252-53 below). The rivalry of the houses of Lakhm and Kindah was thus bound to be intensified.

As well as Kindah's rivaling with Lakhm over control of Najd, the lands of Baḥrayn and Hajar in eastern Arabia were controlled at this time by a subordinate branch of Kindah under Mu'āwiyah al-Jawn ("the dark colored one"), brother of 'Amr al-Maḡṣūr and son of Ḥujr, which persisted there under Mu'āwiyah's descendants until the time of the Prophet (see Olinder, "Āl al-Gaun of the Family of Ākil al-Murār," 208-29). As noted at p. 122 n. 312 above, it certainly seems that there was a Kindī "kingdom," or rather, an assemblage of tribes ruled by a Kindī chief

Burjān, then turned back and built [the town of] al-Bāb and the Caspian Gates (al-Abwāb).<sup>410</sup>

[*The History of al-Ḥīrah*]

Hishām related:<sup>411</sup> There reigned as king over the Arabs, as appointee of the Persian kings after al-Aswad b. al-Mundhir, his

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centered on Najd and under the suzerainty of the Kings of Ḥimyar, a subordination explicit in two South Arabian inscriptions (Ry 445 and 446) from Ma'sal al-Jumḥ, 240 km/150 miles west-south-west of modern al-Riyāḍ and dating from the mid-fifth century and 631 Ḥimyarite era/A.D. 521-52, respectively. (De Blois is followed here for beginning the Sabaean or Ḥimyarite era in April 110 B.C. rather than 115 B.C., i.e., converting the South Arabian era to the Christian one by subtracting 110 years and not 115 years, the figure which has long been followed ever since Halévy propounded it a century and a quarter ago, since the disparity of only 110 years—a "short chronology"—causes fewer problems than that of 115 years. See de Blois, "The Date of the 'Martyrs of Naḡrān,'" 119-20, and also Robin, who follows de Blois in this, in his *L'Arabie antique de Karib'īl à Mahomet*, 33, 151, and his "Le royaume ḥujride," 691; cf. also *EP*<sup>2</sup>, s.v. Ta'rikh. I, *Dates and Eras in the Islamic World*, 1. In the Sense of Date, Dating, etc. [de Blois].) The two inscriptions name Kindah and Ma'add as among the Arab auxiliaries and allies of the kings of Ḥimyar (see Robin, "Le royaume ḥujride," 675-95). Dating from some three decades after the second Ma'sal al-Jumḥ inscriptions, the inscription of the well of Murayghān, situated to the north of Najrān and east of what is now 'Asīr (Ry 506), records that the men of Kindah and Khindif fought in the army of the Abyssinian viceroy Abrahah when this last governor marched against the Lakhmid al-Mundhir III's son 'Amr (the later 'Amr III; see al-Ṭabarī, I, 900, p. 163 and n. 414 below) and his Bedouin allies. The main force under Abrahah himself engaged the Ma'add in a battle at Ḥulubān or Ḥalabān, not far to the south of Ma'sal al-Jumḥ (the name vocalized as Ḥulubān in al-Bakrī, *Mu'jam mā ista'jam*, I, 491, and Ḥalabān in Yāqūt, *Buldān*, II, 281-82; see on the place Thilo, *Die Ortsnamen in der alt-arabischen Poesie*, 53: a wadi arising in the Arwā chain and running into the Wādī Rikā', cf. his Map D). The Ma'add were decisively defeated and forced to give hostages, while another force, which included men of the tribes of Sa'd and Murād, operated in the territory of the Banū 'Āmir b. Ṣa'ṣa'ah and defeated the 'Āmir at Turabah, some 100 km/60 miles to the east-southeast of al-Ṭā'if. Al-Mundhir then had to sue for peace from Abrahah and send hostages to Yemen. The inscription dates these events to 662 Ḥimyarite era/A.D. 552-53. Much has been written on the inscription and the events it describes. Among all this, see Beeston, "Notes on the Mureighan Inscription," 389-92; Smith, "Events in South Arabia in the 6th Century A.D.," 435-37; M. J. Kister, "The Campaign of Ḥulubān. A New Light on the Expedition of Abrahah," 425-36.

<sup>410</sup>. That is, against the Bulghārs of the Middle Volga; this must be a legendary touch, unless it is a reminiscence of the events already noted in al-Ṭabarī, I, 895-96, pp. 151-53 above. On Bāb al-Abwāb or Darband, see pp. 151, 153 and nn. 390, 394 above.

<sup>411</sup>. A continuation of Ibn al-Kalbī's narrative interrupted in al-Ṭabarī, I, 892, p. 146 above.

brother al-Mundhir b. al-Mundhir b. al-Nu'mān, whose mother was Hirr bt. al-Nu'mān, and who reigned for seven years. After him there reigned al-Nu'mān b. al-Aswad b. al-Mundhir, whose mother was Umm al-Malik bt. 'Amr b. Ḥujr, the sister of al-Ḥārith b. 'Amr al-Kindī, who reigned for four years. Then there was appointed in his stead Abū Ya'fur b. 'Alqamah b. Mālik b. 'Adī b. al-Dhumayl b. Thawr b. Asas b. Rab<sup>412</sup> b. Numārah b. Lakhm, who reigned for three years. Then there reigned al-Mundhir b. Imri' al-Qays al-Bad', i.e., Dhū al-Qarnayn. Hishām related: He was only thus called on account of two plaits made from his hair.<sup>413</sup> His mother was Mā' al-Samā', that is, Māriyah bt. 'Awf b. Jusham b. Hilāl b. Rabī'ah b. Zayd Manāt b. 'Amir al-Ḍaḥyān b. Sa'd b. al-Khazraj b. Taym Allāh b. al-Namir b. Qāsiṭ. He reigned for a total of forty-nine years. Then there reigned his son 'Amr b. al-Mundhir, whose mother was Hind bt. al-Ḥārith b. 'Amr b. Ḥujr Ākil al-Murār and who reigned for ten years.<sup>414</sup> He related: After 'Amr b. Hind had been reigning for eight years and eight months, the Messenger of God was born; that was in the time of Anūshar-

412. Reading uncertain.

413. An unconvincing explanation for an appellation already well known as a by-name that was generally applied to Alexander the Great in Arabic lore, including in the Qur'ān; see n. 443 below. Its origin, if ever known, must have been forgotten by Ibn al-Kalbī's time.

414. Ibn al-Kalbī's filiation of the Lakhmid kings has come down to us in two slightly different versions, the one given here by al-Ṭabarī (and in part, in various other places in his *History*) and the other utilized by Ḥamzah al-Iṣfahānī, *Ta'rikh*, 83-97, for his section on the Lakhmids. Sidney Smith thought that Ibn al-Kalbī derived information here from a Sāsānid register of years, "used with remarkable fidelity." The two versions are compared, together with others in the Arabic historical sources, and a harmonization attempted, by Rothstein, *Lakhmidien*, *soff.*; see now Smith, "Events in Arabia in the 6th Century A.D.," 429-30.

The accession of 'Amr III b. al-Mundhir III, also called 'Amr b. Hind after his mother, the Kindi princess, should be placed in 554, with what was apparently a smooth succession to his father, and the duration of his reign was sixteen years (as in Rothstein's table, 53, following the figure of sixteen in Ḥamzah al-Iṣfahānī, *op. cit.* 94, pace the one given here by al-Ṭabarī of ten years. This would place 'Amr's death—as a result of being assassinated by the poet 'Amr b. Kulthūm—in 569 or in 570, the traditional but impossible date given for the "Year of the Elephant" at Mecca. See for 'Amr's reign (he had a reputation for firm rule and cruel behavior, seen in the celebrated affair of the "letter of al-Mutalammis," see n. 774 below), Nöldeke, *trans.* 170 n. 1, 172 n. 1; Rothstein, *op. cit.*, 94-102; *ET*<sup>2</sup>, s.v. 'Amr b. Hind (A.J. Wensinck). See for the chronological difficulties involved in placing the Prophet Muḥammad's birth in the eighth year of 'Amr's reign, Smith, *op. cit.*, 434.



wān and the "Year of the Elephant" in which al-Ashram Abū Yaksūm led an attack on the Ka'bah.<sup>415</sup>

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[*The History of Yemen*]

*Mention of the Rest of the Story of Tubba' in the Days of Qubādh and the Time of Anūsharwān and the Persians' Dispatch of an Army to Yemen in Order to Combat the Abyssinians, and the Reason for This Last.*<sup>416</sup>

There related to us Ibn Ḥumayd—Salamah—Muḥammad b. Ishāq, who said: When Tubba' II (al-Ākhar), that is, Tubān As'ad Abū Karib, came back from the East, he traveled via Medina.<sup>417</sup> When he had passed by it at the beginning of his expedition (i.e., on his outward journey), he had not aroused any feelings of disquiet among its people, but had left behind there in their midst one of his sons, who had [subsequently] been treacherously slain.

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415. See on this attack, al-Ṭabarī, I, 936–46, pp. 222–35 below. The patronymic Abū Yaksūm refers to the Abyssinian viceroy of South Arabia, Abrahah, also known as al-Ashram "the man with his nose-tip cut off," whose son Yaksūm was to succeed him as governor in South Arabia. See al-Ṭabarī, I, 931, p. 213, below, for the alleged occasion of this mutilation, and I, 945, pp. 235–36 below, for Yaksūm's reign. The actual name Yaksūm must stem from the toponym Aksum, the capital of the first Abyssinian kingdom, which lasted up to this sixth century

416. Nöldeke omitted from his translation the section beginning here at I, 901 l. 1, as far as 917 l. 17.

417. The following section, up to I, 917 l. 17, depends on the parallel account of Ibn Ishāq, in essence only moderately different from that of Ibn al-Kalbī, but it must be taken as a later growth of legendary history of a type similar to that in the Alexander Romance, which may have influenced it. The section is reproduced substantially by Ibn Ishāq in the *Sīrat al-nabī* of Ibn Hishām, ed. Wüstenfeld, 12ff. — ed. al-Saqqā et al. I, 19ff., trans., 6ff.; cf. Ibn Hishām, *Kitāb al-tijān*, 297–300, and F. Krenkow, "The Two Oldest Books on Arabic Folklore," 227–28.

Abū Karib As'ad himself was, however, a fully historical person, leaving aside the legendary accretions, the *'bkrb 's'd* of the Ḥimyaritic inscriptions. In the first half of the fourth century he expanded his territories from southwestern and southern Arabia into central Arabia. He inflated the royal title of the founder Shamir Yur'ish from that of "lord of Saba, Dhū Raydān, Ḥaḍramawt and Ymnn" to include "and of their Arabs (i.e., Bedouins) in Ṭwd<sup>m</sup> and Tihāmah," and succeeding Tubba' kings also used this fuller title (in Classical Arabic, *ṭawd* means "mountain"; for al-Ḥamdāni, *Sīfat jazīrat al-'Arab*, 371, al-Ṭawd denoted the mountain range of the Sarāt, which divided Yemen between its coastland, *tihāmah*, and its inland plateau, *najd*). His fame ensured the later growth around him of a fantastic romance and epic similar to that of, and, as noted above, conceivably inspired by, that of Alexander the Great. See *EP*<sup>2</sup>, s.v. Tubba' [A. F. L. Beeston].

Hence he now came to the town with the intention of reducing it to ruins, extirpating its people and cutting down its date palms. When they heard of his plans, this tribe (*ḥayy*) of the Anṣār banded together against him in order to defend themselves. Their chief at that time was 'Amr b. al-Ṭallah, one of the Banū al-Najjār, and then of the Banū 'Amr b. Mabdhūl.<sup>418</sup> They sallied forth to attack Tubba'. When Tubba' had encamped [with his troops] by the Medinans, one of the latter from the Banū 'Adī b. al-Najjār, called Aḥmar, had killed one of Tubba's followers whom he had found cutting down the date clusters of a tree that belonged to him. He had therefore struck him with his reaping hook and killed him, saying, "The fruit belongs to the one who nurtures it and makes it grow!" After killing him, he had thrown the corpse into a well-known local well called Dhāt Tūmān (?); this naturally increased Tubba's rage against them, and the two sides became engaged in making war and fighting other. He related: The Anṣār assert that they used to fight Tubba' by day but treat him as a guest each night. Tubba' was amazed at this and used to say, "By God, these people of ours are generous of heart!"

While he was engaged thus, there came to him two rabbis from the Jews of the Banū Qurayzah, learned scholars with firmly grounded knowledge, who had heard about Tubba's intention of destroying the town and its people.<sup>419</sup> They told him, "O King, don't do it, for if you persist in carrying out your plan, something will intervene to prevent you, and we fear that you will bring down on yourself speedy retribution." He said to them, "How can

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418. This is an anachronism, in that the designation of Anṣār "Helpers" was only given to his supporters in Medina by the Prophet after his *hijrah* of 622, and an inaccuracy in that it was not, of course, a tribal name. The Arabs inhabiting Yathrib, as it was mainly called in pre-Islamic times (see n. 370 above), were from the Banū Qaylah bt. Hālik, with its two branches of the Aws and the Khazraj. Al-Najjār were a clan of the Khazraj, and the 'Amr b. Mabdhūl part of their subclan Māzin. The 'Adī mentioned below were another subclan. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Tables 176-77, 185, II, 31, 34-35, 347; Robertson Smith, *Kinship and Marriage in Early Arabia*, 256; Montgomery Watt, *Muhammad at Medina*, genealogical table at 154.

419. Qurayzah were one of the three main Jewish tribes in Medina, confederates of the Aws; they nevertheless suffered the massacre of their menfolk and the enslavement of their women and children by Muḥammad in 6/627 after the siege of Medina by Quraysh and their allies. See Buhl, *Das Leben Muhammeds*, 273-77; Watt, *Muhammad at Medina*, 193ff.; *EP*<sup>2</sup>, s.v. Qurayza (W. M. Watt).

that be?" They replied, "It is the place to which a prophet, who will arise out of the tribe of Quraysh at the end of time, will migrate, and it will be his home and resting place." After having heard these words, Tubba' desisted from what he had intended to do regarding Medina, perceiving that the two rabbis had special knowledge and being amazed at what he had heard from them. He departed from Medina, took them with him to Yemen, and embraced their religion. The names of the two rabbis were Ka'b and Asad, both from the Banū Qurayzah and paternal cousins of each other.<sup>420</sup> They were the most knowledgeable persons of their age, as Ibn Ḥumayd has mentioned to me—Salamah—Ibn Ishāq—Yazīd b. 'Amr—Abān b. Abī 'Ayyāsh—Anas b. Mālik—shaykhs from his people (sc., of Medina) who went back to the Jāhiliyyah.

A certain poet of the Anṣār, one Khālīd<sup>421</sup> b. 'Abd al-'Uzzā b. Ghaziyyah b. 'Amr b. 'Abd b. 'Awf b. Ghanm b. Mālik b. al-Najjār, recited these verses about the warfare between the Medinans and Tubba', vaunting 'Amr b. al-Ṭallah and mentioning his merits and his resolute defense:

Has he relinquished youthful folly, or has its remembrance  
 ceased? Or has he obtained his fill of pleasure?  
 Or have you remembered youth? And what a memory of youth  
 or of its times you have!<sup>422</sup>  
 For indeed, it was a young man's war (literally, the war of a  
 beast which sheds its two teeth next to the incisors at its  
 fourth or later year, *rubā'ī*, or of a young man similarly  
 shedding teeth, *rabā'ī*), whose like brings to a youth  
 experience and esteem.<sup>423</sup>

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420 The names of the two rabbis as given here come from the Ibn Ishāq version of this story, from an uncertain source, but Michael Lecker has recently pointed out that the late-period historian of Medina Nūr al-Dīn 'Alī b. Aḥmad al-Samhūdī (d. 911/1506) cites an alternative tradition from the second/eighth-century historian of Medina Ibn Zabālah that the names of the two men were Suhayt/Sukhayt and Munabbih, from the Medinan Jewish tribe of Hadl, who were actually clients of the more powerful Qurayzah. See al-Samhūdī, *Wafā' al-wafā'*, I, 190; Lecker, "The Conversion of Ḥimyar to Judaism and the Jewish Banū Hadl of Medina," 134–35.

421. The Cairo text, II, 106, has for this name *khāl* "the maternal uncle of. . ."

422. An alternative translation of the second sentence in the line might be "And what is it that you remember? Youth or the passing of the whole span of life?"

423. Following *Addenda et emendanda*, p. DXC1, Thorbecke's reading *ātā*, "brings to," for the text's *atā*, "comes." One might also render the last word

So ask 'Imrān or ask Asd, then, at the time when [the army]  
 came, when the morning star was still visible,<sup>424</sup>  
 An army headed by Abū Karib, with their bodies clad in long  
 coats of mail and with pungent reek.  
 Then they said, Who is coming along with them, the Banū 'Awf  
 or al-Najarah?  
 O Banū al-Najjār, indeed we have a burden of taking vengeance  
 on them from long ago!<sup>425</sup>  
 Then there went forth to encounter them in battle a body of  
 lofty warriors ('*ashannaqah*),<sup>426</sup> whose extent was like that  
 of a sheet of falling rain drops.<sup>427</sup>  
 A chief who is on a level of prestige with kings; whoever would  
 make war on 'Amr does not realize his eminence.

A man of the Anṣār, mentioning their fierce resistance to  
 Tubba', has said:

You impose upon me, among other duties in regard to it,  
 [defense of] the date palm groves of al-Asāwif and al-  
 Manṣa'ah,<sup>428</sup>

'*ibarah* as "a moral example."

424. 'Imrān is not common in tribal genealogies, but may conceivably refer to the 'Imrān b. Hudhmah, a branch of the tribe of Muḏaynah who lived to the south of Medina, see Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Table 88, II, 357; *EP*<sup>2</sup>, s.v. Muḏayna (F. M. Donner). Asd is, of course, the great tribe of Asd or Azd ('*sd* being the form in the South Arabian inscriptions), with its two branches originally centered on 'Umān and the Sarāt mountain chain in 'Asīr respectively, see *EP*<sup>2</sup>, s.v. Azd (G. Strenziok).

425. The name 'Awf occurs in the genealogies of a large number of Arab tribes, while al-Najjār b. Tha'labah were a subclan of the Medinan Khazraj; see Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Table 185, II, 442. What is unclear is whether the Najarah are identical with the Banū al-Najjār of the next line, the difficulty in identifying them as a single unit being that the 'Awf and the Najarah are apparently among the attackers, whereas one would expect the Banū al-Najjār to be among the defenders of their town.

426. Ibn Hishām, ed. Wüstenfeld, 14 = ed. Muṣṭafā al-Saqqā et al., I, 23, has *musāyifah*, "a body of swordsmen," for this rare word '*ashannaqah*, hence probably a *lectio facilior*.

427. Ibn Hishām, loc. cit., inserts here a line, "Among them is 'Amr b. Ṭallah, may God grant him long life for the welfare of his people!" which serves as a link between the preceding and following verses and elucidates the 'Amr who is subsequently praised.

428. Al-Asāwif is the plural, used here for metrical purposes, one assumes, of al-Aswaf, a place outside Medina but regarded as coming within the Ḥaram estab-

Date palm groves the Banū Mālik have protected from the terrifying cavalry hosts of Abū Karib.

[904] He related: Tubba' and his people were devotees of idols and worshipped them. He set out toward Mecca, this being on his route back to Yemen, until when he was at al-Duff in the district of Jumdan, between 'Uṣfān and Amaj, a point along his way between Mecca and Medina,<sup>429</sup> a group of men from Hudhayl<sup>430</sup> met him and said, "O king, allow us to lead you to an ancient, largely obliterated treasury which previous monarchs have overlooked and which contains pearls, chrysoliths, rubies, gold, and silver." He replied, "Yes, indeed," and they went on to say, "[It is] a temple in Mecca which its people worship and pray by."<sup>431</sup> The Hudhalīs, however, intended by that Tubba's destruction, because they knew full well that if any king had any bad intentions concerning the Ka'bah or acted deceitfully in regard to it, he would perish. When he had agreed to their proposal, he sent for the two rabbis

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lished by the Prophet around the town. See Majd al-Dīn Ibn al-Athīr, *al-Nihāyah fī gharīb al-ḥadīth*, II, 422; al-Bakrī, *Mu'jam mā ista'jam*, I, 151; al-Samhūdī, *Wafā' al-wafā*, IV, 1125-26. Al-Manṣā'ah, on the contrary, would be the singular of a place-name normally found in the plural, al-Manāṣi', literally "places set apart," i.e., for purposes of fulfilling the needs of nature, outside Medina, specifically for the women of the town to use at night, and figuring as such in the famous *ḥadīth al-ifk*, "affair of the lie," involving 'Ā'ishah and her calumniators. See Majd al-Dīn Ibn Athīr, op. cit., V, 65; al-Samhūdī, op. cit., IV, 1313.

429. These places all lay to the north of Mecca, with 'Uṣfān in the transition zone between the coastal plain, the Tihāmah, and the Hījāz mountain chain, the Sarāt, and the others on the road to Medina across the mountains. For al-Duff, see Yāqūt, *Buldān*, II, 458. For Jumdan, see al-Bakrī, *Mu'jam mā ista'jam*, II, 391-92, and Yāqūt, op. cit., II, 162, respectively, describing it as a mountain in the territory of Sulaym and a mountain in the *ḥarrah*, or lava field, of Ḍariyyah. 'Uṣfān is frequently mentioned in early Islamic sources as two stages from Mecca, and still exists as a village. See al-Bakrī, op. cit., III, 942-43, placing it in the territory of the Banū al-Muṣṭaliq of Khuzā'ah; Yāqūt, op. cit., IV, 120-21; Thilo, *Die Ortsnamen in der altarabischen Poesie*, 109 and Map C; Abdullah Al-Wohaibi, *The Northern Hijaz in the Writings of the Arab Geographers 800-1150*, 284-89. Amaj was an oasis settlement in the territory of Khuzā'ah. According to al-Bakrī, op. cit., I, 190-92, and according to Yāqūt, op. cit., I, 249-50, it was in the region of Medina; see also Al-Wohaibi, op. cit., 120-22, 186.

430. Hudhayl b. Mudrikah were a tribe, accounted North Arab in genealogy, from the Khindif branch of Muḍar, hence related to Quraysh of Mecca, whose territory was in the vicinity of Mecca and al-Ṭā'if. See Ibn al-Kalbi-Caskel-Strenziok, *Jamharat al-nasab*, I, Tables 3, 58, II, 7, 286; *EP*, s.v. Hudhayl (G. Rentz).

431. That is, the Ka'bah, regarded, as is stated below, as having been founded by Ibrāhīm (Abraham) and his son Ismā'il (Ishmael).

and asked them about it. They told him: "The sole intention of these people is to bring about your destruction and the destruction of your army. If you do what they are urging you to do, you and everyone with you will assuredly perish en bloc." Tubba' said, "What then do you advise me to do when I get to the temple?" They replied, "When you get there, do as its devotees do: circumambulate it, venerate and honor it, shave your head in its presence and behave with humility until you leave its precincts." He asked them, "What is preventing you yourselves from doing that?" They retorted, "By God, it is indeed the temple of our forefather Abraham, and it is just as we have informed you, but the local people have interposed as obstacles, between us and the temple, various idols they have set up around it, and blood they shed there. They are unclean polytheists," or words to that effect.<sup>432</sup>

Tubba' recognized the soundness of their advice and the veraciousness of their words. He had the group of Hudhalīs brought in, and cut off their hands and feet. Then he proceeded onwards till he reached Mecca. It was revealed to him in a dream that he should cover over the temple, so he covered it with sheets of woven palm leaves. Then in a second dream it was revealed to him that he should cover it with something better than that, so he covered it with Yemeni cloth (*al-ma'āfir*). Then in a third dream, that he should cover it with something even better than that, so he covered it with women's robes and pieces of finely woven Yemeni cloth joined together (*al-mulā'ah wa-al-waṣā'il*). According to what they assert, Tubba' was the first person to put a covering over the Ka'bah.<sup>433</sup> He also ordered its guardians, from Jurhum,<sup>434</sup>

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432. According to Muslim lore, the primitive monotheism of Abraham, the *millat Ibrāhīm*, became corrupted after his time by polytheistic practices such as are mentioned here, so that by Muḥammad's time it had become an idol temple. Whether the *ṭawāf* or circumambulation, and the requirement of shaving the head, part of the *ihrām*, practices mentioned here, were part of the rites of the Ka'bah in the two or three centuries before the coming of Muḥammad, the age of the Tubba's, is unknown; but it seems quite likely that such taboos and ritual practices did exist well before their formalization under Islam as the *manāsik al-hajj*. See M. Gaudefroy-Demombynes, *Le pèlerinage à la Mekke, étude d'histoire religieuse*, 30ff.; *EP*<sup>2</sup>, s.v. Ka'ba (A. J. Wensinck).

433. For the *kiswah* or covering, see Gaudefroy-Demombynes, *Le pèlerinage à la Mekke*, 33; idem, "Le voile de la Ka'ba," 5-21; *EP*<sup>2</sup>, s.v. Ka'ba. 1. (A. J. Wensinck and J. Jomier).

434. Jurhum were an ancient tribe, accounted Yemeni in genealogy, who were said to have migrated northward to Hījāz and to have taken over Mecca and its

to look after it and to keep it in a state of ritual purity, not letting any blood, dead bodies, or *mīlāth*, that is, [cloth used for] menstruation, come near it, and he provided it with a door and a key. Then he set out for Yemen accompanied by his troops and the two rabbis. When he reached Yemen he summoned its people to enter into the same religion as he had done, but they refused until they were able to test it by means of ordeal by fire, which they had in Yemen.<sup>435</sup>

[905] Ibn Ḥumayd related to us—Salamah—Ibn Ishāq—Abū Mālik b. Tha'labah b. Abī Mālik al-Qurazī,<sup>436</sup> who said: I heard Ibrāhīm b. Muḥammad b. Ṭalhah b. 'Ubaydallāh relate that, when Tubba' drew near to Yemen in order to enter it, the Ḥimyarites blocked his way to it, telling him that he could not enter it because he had abandoned their religion. He invited them to accept his (new) faith, saying, "It is a better religion than yours." They retorted, "In that case, come and settle the matter with us by the ordeal of fire," and he agreed. He related: According to what the Yemenis assert, there was in Yemen a fire, by means of which they would settle matters in dispute among themselves; the fire would devour the wrongdoer but leave the one who had suffered injury unscathed. When they told this to Tubba', he replied, "You have made a fair proposition." So his people (i.e., the Ḥimyarites) went forth with their idols and with other sacred objects they were accustomed to utilize in their religion, while the two rabbis went forth with their sacred codices (*maṣāḥifihimā*)<sup>437</sup> hanging round their necks until they halted in front of the fire by the place where it blazed forth. The fire leapt out toward them, and when it neared them they withdrew from it in great fear. But those people present urged them onward and instructed them to stand firm. So they stood

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shrine from the Amelekites before being themselves displaced by the local Ḥijāzī tribe of Khuzā'ah. Individuals with the *nisbah* of al-Jurhumī were still known in the time of Muḥammad. See *EP*<sup>2</sup>, s.v. Djurhum or Djurham (W. M. Watt).

435. This story is also in Ibn Hishām, ed. Wüstenfeld, 14–15 = ed. al-Saqqā et al., I, 237, trans. 8–9; idem, *Kitāb al-Tijān*, 294–96; al-Azraqī, *Akhbār Makkah*, I, 84–86.

436. Some male members of the Jewish tribe of Qurayzah apparently survived the massacre mentioned in n. 419 above.

437. These *muṣḥafs* could have been made up of flat leaves, loose or sewn, or of rolled-up leaves, both procedures being apparently known in earliest Islam and doubtless before then. See *EP*<sup>2</sup>, s.v. Ṣaḥīfa (A. Ghédira).

their ground until the fire covered them and consumed the idols and the sacred objects they had brought along, together with the men of Ḥimyar who were bearing them. The two rabbis then went forth with their sacred codices round their necks, with their foreheads dripping with sweat but the fire did not harm them at all. At this, the Ḥimyarites agreed to accept Tubba's religion; from this time onward, and because of this episode, was the origin of Judaism in Yemen.

Ibn Ḥumayd related to us—Salamah—Ibn Ishāq—one of his colleagues, that the two rabbis and the Ḥimyarites who went out with them at that same time only followed the track of the fire in order to repel it, for they said that whoever was able to drive it back was the most worthy of credence. When some of the Ḥimyarites came with their idols near to it in order to drive it back, the fire came on at them in order to consume them, hence they retreated and were unable to drive it back. But when the two rabbis drew near to it after that, and began to recite the Torah, the fire began to recede until they had driven it back to its place of origin. Thereupon, the Ḥimyarites adopted the two rabbis' religion. [906]

Now Ri'ām was one of the temples they used to venerate and where they offered up slaughtered beasts and from which they used to speak under inspiration, this during the time when they were polytheists.<sup>438</sup> The two rabbis told Tubba' that it was only a demon (*shayṭān*) that lured them into evil ways and made them its sport, and they asked him to let them deal with it how they would. He replied, "Just go ahead with it!" The Yemenis assert that the two rabbis drew out from it a black dog, which they

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438. Al-Hamdāni, *Ṣifat jazīrat al-'Arab*, 268, 365, describes Riyām/Ri'ām as one of the fortresses and castles (*maḥāfid*, *quṣūr*; for the first term, sing. *maḥfad*, *maḥfid*, see C. Landberg, *Glossaire datinois*, I, 442–30) of Yemen and as one of the shrines of the Arabs (*mawāḍi' al-'ibādah*), located in the territory of the Hamdān (i.e., in that part of northern Yemen between Ṣan'ā' and Ṣa'dah). Ibn al-Kalbī, *Kitāb al-aṣnām*, ed. Aḥmad Zakī Pasha, in Klinke-Rosenberger, *Das Götzenbuch*, text, 7–8, Ger. trans. 35, comm. 87, Eng. trans. Faris, 10–11, states that it was a sanctuary of the Ḥimyarites at Ṣan'ā' and that there was an oracle there. The existence of both the place and the shrine at Rym<sup>um</sup> where the god Ta'lab was venerated, is confirmed by South Arabian inscriptions. See Fahd, *Le panthéon de l'Arabie centrale à la veille de l'hégire*, 141–43; W. W. Müller, "Ancient Castles Mentioned in the Eighth Volume of al-Hamdāni's *Iklil*," 154.



slaughtered. They also tore down that temple; its remains, according to what has been mentioned to me, can still be seen today in Yemen at Ri'ām, with traces of the blood that used to be poured over it.<sup>439</sup>

Tubba' composed the following verses about that journey of his; what he had intended to do at Medina and the Ka'bah; how he dealt with the men of Hudhayl when they told him what they in fact told him; what he did regarding the Holy House when he came to Mecca, that is, putting a cover over it and purifying it; and what the two rabbis told him about the Messenger of God's future role:

Why [O my soul] is your sleep troubled, like that of one with  
bleary, diseased eyes, suffering from sleeplessness, as if kept  
awake incessantly?

Feeling rage against two Jewish tribes who settled at Yathrib,  
who richly deserve the punishment of a day of violence!<sup>440</sup>

When I made my dwelling place at Medina, my slumber there  
was sweet and refreshing.

[907] I made space for a dwelling place on a hill between al-'Aqīq and  
Baqī' al-Gharqad.<sup>441</sup>

We left behind its tract of dark-colored rocks and its plateaux,  
and its salt flats extending on a bare plain,

And we descended to Yathrib, with our breasts raging with  
anger at the killing of a slaughtered one (i.e., Tubba's son).

439. This story is also in Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 17-18 = ed. al-Saqqā et al., I, 24-28, trans. 10-11, idem, *Kitāb al-tījān*, 37-38.

440. There were, of course, more than two Jewish tribes living in Medina at the time of the *hijrah* and almost certainly before then. Their presence there may even date to the diaspora from Palestine down the Wādī al-Qurā in western Arabia after such cataclysms for the Jewish people as the Roman emperor Titus's sack of Jerusalem in A.D. 70 and the suppression of the revolt of Bar Kokhba in A.D. 132; the question of the origins of the communities of Jews (or Judaized Arabs?) in such oases of western Arabia as Fadak, Khaybar and Medina has been much discussed, without finality having been reached. For Judaism in South Arabia, see n. 486 below.

441. The Wādī al-'Aqīq lay just to the west of Medina and is expressly lauded in Islamic tradition as "the blessed valley" because of the Prophet's fondness for it. See *EP*<sup>2</sup>, s.v. al-'Aqīq (G. Rentz). Baqī' al-Gharqad was the first Muslim cemetery in Medina, much venerated in later times because so many of the Prophet's family, the Companions, and other notable figures of early Islam were buried there. See *EP*<sup>2</sup>, s.v. Baqī' al-Gharqad (A. J. Wensinck and A. S. Bazmee Ansari).

I have sworn a deliberate, binding vow, an oath which is, by  
 your life, not to be rescinded,  
 "If I come to Yathrib, I will not suffer to remain in its central  
 parts a single date cluster or any ripe dates."  
 Until there came to me a learned scholar of Qurayzah, a rabbi  
 to whom, by your life, the Jews accorded primacy.  
 He said, "Remove yourself from a settlement which is  
 preserved [by God] for the prophet of Mecca from Quraysh,  
 a divinely guided one."  
 So I forgave them without any reproach, and left them to the  
 requital of an everlasting day (sc., the Day of Judgment).  
 And I left them to God, for whose forgiveness I hope on the Day  
 of Reckoning, [escaping] from the stoked-up flames of Hell.  
 I left behind at Yathrib for him a group of men from our people,  
 men of personal achievement and valor, whose deeds are  
 praised,  
 A group of men who will bring victory in their train; I hope  
 thereby a reward from the lord of one worthy of praise.<sup>442</sup>  
 I did not realize that there was a pure house, consecrated to God  
 in the hollow of Mecca, where He is worshiped,  
 Until there came to me servile wretches from Hudhayl, at al- [908]  
 Duff of Jumdan above the ascent of the hill (*al-musnad*).  
 They said, "[There is] at Mecca a house of ancient, forgotten  
 wealth, with treasures of pearls and chrysoliths."  
 I wanted to get at them, but my Lord interposed between me  
 and them, for God repels [profane ones] who would destroy  
 the house of worship.  
 Hence I renounced my intentions against it and against the  
 people of Yathrib, and left them as an example to the  
 discerning.  
 Dhū al-Qarnayn before me submitted himself [to God], a king to  
 whom the other kings became humble and thronged [his  
 court].<sup>443</sup>

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442. *rabbi muḥammadi*, which could be taken as presaging the coming of the Prophet Muḥammad.

443 "The man with the two horns" of Qur'ān, XVIII, 82-97/83-97, generally identified in Muslim lore with Alexander the Great, regarded by some authorities as a proto-Muslim because he spoke to the people of the West about God's punish-

He reigned over the Eastern and Western lands, yet sought the means of knowledge from a wise, rightly guided scholar. He witnessed the setting of the sun in its resting place into a pool of black and foetid slime.

Before his time, Bilqīs was my paternal forebear (literally, "aunt") and ruled over them until the hoopoe came to her.<sup>444</sup>

There related to us Ibn Ḥumayd—Salamah—Ibn Ishāq, who said: They assert that, in regard to this tribe (*ḥayy*) of the Anṣār, Tubba' was only enraged at them because of the Jews who lived among them. Tubba' intended to destroy them when he came to them at Medina, but the Anṣār restrained him from them until he then departed. This was the reason for his saying in his poem,

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ment for the wicked and His reward for the righteous, though it was disputed whether he was a prophet. See *EP*<sup>2</sup>, s.v. al-Iskandar (W. M. Watt). Tubba's citation here of Dhū al-Qarnayn as a predecessor no doubt reflects the elaboration in early Islamic times of South Arabian legends and folk-tales, which assigned to Alexander a place in the glorious past of Yemen, as a counterbalance to the North Arabs' glorying in the fact that it was from them that the Prophet Muḥammad had arisen. See Tilman Nagel, *Alexander der Grosse in der frühislamischen Volksliteratur*, 60ff.

444. This story is given in Ibn Hishām, *Sirat al-nabī*, ed. Wüstenfeld, 12–18 = ed. al-Saqqā et al., I, 20–28, trans. 7–12, including the text of the whole poem. Guillaume wondered how Ibn Ishāq came to insert so patently spurious a poem into a serious historical work. Bilqīs was the name given in Muslim lore to the Queen of Sheba (not actually given a personal name) in Qur'ān, XXVII, 15–45, to whom the hoopoe (*ḥudhud*) is said to have brought a letter from King Solomon. See *EP*<sup>2</sup>, s.v. Bilqīs (E. Ullendorff); J. Briend, in *Supplément au dictionnaire de la Bible*, s.v. Sheba. I. "Dans la Bible," cols. 1043–46.

It is quite possible that Solomon had some diplomatic contacts with some Arabian queen, though whether these really were with a monarch in South Arabia or whether the name of Saba, as being that of a well-known, mighty kingdom, was later attached to the story, is impossible to say. However, there was a historical Bilqīs, and the late Jacqueline Pirenne worked out from genealogical information in al-Hamdānī's *Iklīl* that her husband was in fact a *qayl* or local prince of Yemen called Baril or Barig Dhū Bata', known from South Arabian inscriptions and to be placed in the mid-third century A.D., and that the monarch whom Bilqīs and her husband visited was an Arab king, the famous Odenathus/Udhaynah of Palmyra, ally of the Romans against the Persians. The identification of the king thus visited with Solomon would accordingly stem from the existing identification in Jewish lore and legend of the Old Testament monarch with the city-state of Palmyra. See Pirenne, "Who Was the Suleyman visited by al-Hamdani's Bilqis, Queen of Himyar?," 27–45.

Feeling rage against two Jewish tribes who settled at Yathrib,  
 who richly deserve the punishment of a day of violence!

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There related to us Ibn Ḥumayd—Salamah—Ibn Ishāq, who said: Before this, there had come to Tubba' Shāfi' b. Kulayb al-Ṣadafī, who was a soothsayer (*kāhin*). He stayed with Tubba', and when he signified his intention to say goodbye, Tubba' said, "What does there remain of your learning?" Shāfi' replied, "An eloquent piece of historical lore—and a veracious item of knowledge."<sup>445</sup> He said, "Can you find a people with a kingdom equal in status to mine?" Shāfi' replied, "No, except that the king of Ghassān has numerous offspring (*najl*)." Tubba' said, "Can you find any king superior to him in status?" He replied, "Yes." He said, "Who has such a kingdom?" He replied, "I find it belonging to a pious and God-fearing man—who has been made strong by conquests—and who has been described in the Scriptures (*al-zabūr*, literally "the Psalms of David")<sup>446</sup>—his community is given a superior status in the sacred books (*al-sufūr*)—and he will dispel darkness with light—Aḥmad the prophet—blessed be his community until he comes!—[He is] one of the Banū Lu'ayy—and then of the Banū Quṣayy."<sup>447</sup> Tubba' sent for a copy of the scrip-

445. The *kāhin*'s utterance here is, as usual with such gnomic pronouncements, in assonantal, rhymed prose (*saj'*). See Fahd, *La divination arabe. Etudes religieuses, sociologiques et folkloriques sur le milieu natif de l'Islam*, 151–53; *EP*<sup>2</sup>, s.v. Sadj'. i. As Magical Utterances in Pre-Islamic Arabian Usage (T. Fahd).

446. This term is used in the Qur'ān, in the singular, as here, for the Psalms, but in the plural *al-zabur* for written inscriptions in general; A. Jeffery, *The Foreign Vocabulary of the Qur'ān*, 148–49, see also *EI*<sup>1</sup>, s.v. Zabūr (J. Horowitz), derived it from the Christian Orient. However, Mr. F. C. de Blois has pointed out to the present writer that a Sabaeen verb *zbr*, "to write, inscribe," is now attested on the inscribed sticks that have been recently coming to light in Yemen, as described by W. W. Müller, "L'écriture *zabūr* du Yémen pre-islamique dans la tradition arabe," 35–39, who traces what appears to be the subsequent usage of the verb *zabara* in early Classical Arabic, perhaps influenced by Yemeni precursors and influences. It is accordingly possible that one should translate al-Ṭabari's text here, *wa-wuṣifa fī al-zabūr*, as "and who has been described in the ancient written texts," and the subsequent mention of Tubba's sending for a copy of *al-zabūr* (here grammatically feminine, as if a collective) as simply for "ancient written documents."

447. Quṣayy was the semilegendary hero of the Banū Lu'ayy of Fihr or Quraysh who was an ancestor, separated by five generations, of Muḥammad/Aḥmad. He is said to have restored the Ka'bah to the primitive monotheistic worship of the *millat Ibrāhīm* after the cult there had lapsed into pantheism under Jurhum (on whom see n. 434 above). See *EI*<sup>2</sup>, s.v. Quṣayy (G. Levi Della Vida).

tures and perused them; and lo and behold, he found there the Prophet's description!

There related to us Ibn Ḥumayd—Salamah—Ibn Ishāq, to whom someone had transmitted from Sa'īd b. Jubayr—Ibn 'Abbās—others of the scholars of Yemen who relate traditions. Some of them transmitted part of the story, but all of that is gathered together in this present story, that there was a king from the Lakhm in Yemen among the Tubba's of Ḥimyar, called Rabī'ah b. Naṣr. Before his period of royal power in Yemen there had reigned Tubba' I, who was Zayd b. 'Amr Dhī al-Adh'ār b. Abrahah Dhī al-Manār b. al-Rā'ish b. Qays b. Ṣayfī b. Saba' the Younger b. Kahf al-Zulm b. Zayd b. Sahl b. 'Amr b. Qays b. Mu'āwiyah b. Jusham b. Wā'il b. al-Ghawth b. Qaṭan b. 'Arīb b. Zuhayr b. Ayman b. Hamaysa' b. al-'Aranjaj Ḥimyar b. Saba' the Elder b. Ya'rub b. Yashjub b. Qaḥṭān.<sup>448</sup> Saba's name was (really) 'Abd Shams, and he was only called Saba', as they assert, because

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he was the first to take captives (*sabā*) among the Arabs.<sup>449</sup> This is the ruling house of the kingdom of Ḥimyar, among whom were the Tubba's.

After Tubba' I there came Zayd b. 'Amr and<sup>450</sup> Shamir Yur'ish b. Yāsir Yun'im b. 'Amr Dhī al-Adh'ār his paternal cousin.<sup>451</sup> It was

448. The genealogy is here taken back to Qaḥṭān, regarded as the progenitor of the South Arabs as 'Adnān was of the North ones, Ḥimyar being accounted, with Kahlān, as one of the two main subdivisions of Qaḥṭān. The name Qaḥṭān was connected by the Arab genealogists with the Old Testament name Yoḡṭān/Joktan (cf. Gen. x. 28; I Chron. i. 20), but this seems phonologically hazardous. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Table 176, II, 31-33, 455; *EP*<sup>2</sup>, s.v. Qaḥṭān (A. Fischer-A. K. Irvine).

449. The Sabaeans were reckoned by the Arab genealogists as stemming from Saba', called personally 'Āmir b. Yashjub. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Table 176, II, 491. In the Old Testament, Səbā figures in Gen. x. 7, as the son of Cush, son of Ham, and Shəbā in I Chron. i. 9, as a son of Joktan. Briend notes that Səbā (with initial *samekh*, occurring five times in the Old Testament) seems to have a different geographical connotation from Shəbā (with initial *shin*, occurring twenty-three times in the Old Testament), which seems definitely to be placed in southwestern part of Arabia. See *Supplément au dictionnaire de la Bible*, s.v. Sheba, I, col. 1046.

450. Supplying the "and" from the Cairo text, II, 111.

451. More correctly, Sh.m.r Yuhar'ish (on the form of the first element in the name, see n. 364 above), as the name appears in South Arabian inscriptions. He and his father Yāsir<sup>um</sup> Yuhan'im were ruling jointly in 385 Ḥimyarite era/A.D. 285-86 as founders of the Ḥimyarite line, since a decade or so before this Sh.m.r Yuhar'ish

Shamir Yur'ish who raided China, built Samarqand,<sup>452</sup> and laid out as an encampment (*ḥayyara*) al-Ḥīrah,<sup>453</sup> and it was he who recited the verses,

I am Shamir Abū Karib al-Yamānī; I urged on the horses from  
Yemen and Syria,

In order that I might attack the slaves who had rebelled against  
us, [when we were] in the lands beyond China, in 'Athm  
and Yām,<sup>454</sup>

And then we shall inflict judgment in their lands with a just  
decision, which not a single youth shall survive.

. . . and so on to the end of the ode.

He related: Then there came after Shamir Yur'ish the son of Yāsir Yun'im, Tubba' the Lesser; namely, Tubān As'ad Abū Karib b. Malkī Karib b. Zayd b. Tubba' I b. 'Amr Dhī al-Adh'ar.<sup>455</sup> It was he who came to Medina and who took back the two Jewish rabbis to Yemen, who venerated the Holy House and put a covering on it and who recited the poetry which is well known.<sup>456</sup> All these reigned before the royal power of Rabi'ah b. Naṣr al-Lakhmī, and

had taken over Yemen from the kings of Saba' and Ḥaḍramawt in order to consolidate the new united kingdom in South Arabia (see n. 314 above). See G. Ryckmans, *L'institution monarchique en Arabie méridionale avant l'Islam. Ma'in et Saba*, 210-12; Robin, in *Supplément au dictionnaire de la Bible*, s.v. Sheba. II, cols. 1102, 1139-40.

452. See for details of these legendary trans-Asian raids, al-Ṭabarī, I, 890-92, pp. 142-45 above.

453. Virtually nothing is known of the history of al-Ḥīrah before the Lakhmids built it up into their capital (see nn. 76-79 above), but this story of its foundation by the Tubba's is pure invention, conceivably influenced, however, by the fact that Lakhm were considered genealogically to be a South Arab tribe (see al-Ṭabarī, I, 834, p. 44 and n. 132 above).

454. The Yām b. Aṣbā were a component tribe of the South Arabian Hamdān living in the Najrān area and several times mentioned by al-Hamdānī in his geographical work. The Banū Yām gave their name to one of the districts (*mikhlaḥ*, pl. *makhālīf*, see n. 462 below) of Yemen, that around their home. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Table 229, II, 47, 590; *EP*<sup>2</sup>, s.v. Yām (G. R. Smith). The reading 'Athm is uncertain, but al-Maqdisī, *Aḥsan al-taqāsīm*, 88, registers a place 'Asham in the *mikhlaḥ* of the Tihāmah; al-Hamdānī, *Ṣifat jazīrat al-'Arab*, 259, mentions this also as a mine (*ma'dīn*) in the Tihāmah of Yemen, while Yāqūt, *Buldān*, IV, 126, has an 'Ashm in the northern Tihāmah.

455. See n. 417 above, for his place as founder of the Tubba' line.

456. This tale is given in Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 12 - ed. al-Saqqā et al., I, 20, trans. 7; idem, *Kitāb al-tijān*, 294-96; cf. Krenkow, "The Two Oldest Books on Arabic Folklore," 227.

when the latter died, the whole of the royal authority in Yemen reverted to Ḥassān b. Tubān (or Tibān) As'ad Abī Karib b. Malkī Karib b. Zayd b. 'Amr Dhī al-Adh'ār.

[911] There related to us Ibn Ḥumayd—Salamah—Ibn Ishāq, from a certain scholar, that Rabī'ah b. Naṣr had a dream that alarmed him and that continued to disquiet him.<sup>457</sup> When he had this dream, he sent out enquiries among the people of his kingdom and gathered together in his presence every soothsayer, magician, drawer of omens from the flight of birds,<sup>458</sup> and astrologer. Then he informed them, "I have had a dream which has alarmed me and caused me disquiet, so tell me its interpretation." They replied, "Recount it to us, so that we might inform you of its meaning." He replied, however, "If I recount it to you, I shall have no confidence that you will be able to tell me its correct interpretation; the only person who will know its correct interpretation is the one who already knows about the dream without my telling him." When Rabī'ah had said all this to them, one of the assembled group of experts on dreams said, "If the king requires this, then he should send for Saṭīḥ and Shiqq, for there is no one more knowledgeable than these two, and they will certainly be able to tell you what you ask." Saṭīḥ's (real) name was Rabī' b. Rabī'ah b. Mas'ūd

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457. What we have here is a story from the South Arabian tradition, meant to explain the presence of the (genealogically) South Arabian Lakhmids in Iraq and al-Ḥīrah. The story is traced back to the time of a generation after Shamir Yur'ish/Yuhar'ish, i.e., the first part of the fourth century, and Rabī'ah b. Naṣr al-Lakhmī is made the father of 'Adī, who in the Lakhmid king lists dependent upon Ibn al-Kalbī (see n. 414 above) is regarded as the progenitor of the line, the first figure in the royal genealogy, father of 'Amr I, the father of Imru' al-Qays I. At the end of the story of the dream, the Lakhmids are made to migrate from Yemen to al-Ḥīrah in order to escape the prophesied invasion of the Abyssinians, being allowed to settle at al-Ḥīrah by the Persian king Shāpūr I (see al-Ṭabarī, I, 913–14, p. 182 below, and Rothstein, *Lahmidien*, 39–40, who states that we know, concerning the origins of the kingdom based on al-Ḥīrah, "so gut wie nichts").

458. See for this person, the 'a'if, al-Ṭabarī, I, 1058, p. 395 and n. 970 above. Concerning this series of persons skilled in various types of prognostication, cf. the series of "magicians, enchanters, sorcerers, and the Chaldaeans" whom Nebuchadnezzar summoned to interpret his dreams in Daniel ii. 2. For the practice of the interpretation of dreams, oneiromancy, a pseudo-science whose literature goes back through Classical Antiquity to Assyrian times and which in the Islamic period produced a considerable number of works on *ta'bīr al-ru'yā*, dream interpretation books, see Fahd, *La divination arabe*, 247–367, and *EP*<sup>2</sup>, s.v. Ru'yā (H. Daiber).

b. Māzin b. Dhi'b b. 'Adī b. Māzin b. Ghassān, and because of his genealogical connections with Dhi'b he was called al-Dhi'bī. Shiqq was the son of Ṣa'b b. Yashkur b. Ruhm b. Afrak b. Nadhīr b. Qays b. 'Abqar b. Anmār.<sup>459</sup>

When they told Rabī'ah that, he sent for the two men. Saṭīḥ came to him before Shiqq; there were no soothsayers (*kuhhān*) like them in their time. So when Saṭīḥ arrived, the king summoned him and said, "O Saṭīḥ, I have had a dream which has alarmed me and disquieted me, so tell me about it, for if you comprehend the dream correctly, you will know correctly its interpretation." Saṭīḥ replied, "I will do this. You saw in your dream a skull (*jumjumah*) (Abū Ja'far [al-Ṭabarī] says: I have found the rendering of it in other places as '... I saw blazing coals, *ḥumamah*')—which came forth from the darkness—and fell upon the lowlands descending to the sea—and devoured there everything with a skull!" The king said, "O Saṭīḥ, you have got it exactly right; so what, in your opinion, is the interpretation of it?" Saṭīḥ answered, "I swear by the serpent which is between the two *ḥarrahs*<sup>460</sup>—the Abyssinians (al-Ḥabash)<sup>461</sup> will certainly swoop down on your land—and will then rule over all the land from Abyan to Jurash."<sup>462</sup>

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459. Saṭīḥ and Shiqq appear in Arabic lore as legendary personages, often described as barely human monsters, and they have roles in pre-Islamic history as diviners: here for the Lakhmid Rabī'ah but also (anachronistically) for al-Nu'mān (III) b. al-Mundhir (IV) and Khusraw Anūsharwān in predicting the fall of the Persian kingdom and the triumph of the Arabs (al-Ṭabarī, I, 981–84, pp. 285–89 below). See Rothstein, *Lahmiden*, 39; *EP*<sup>2</sup>, s.vv. Saṭīḥ b. Rabī'a (T. Fahd) and *Shiqq* (B. Carra de Vaux and T. Fahd).

460. The geographers enumerate large numbers of *ḥarrahs*, basaltic lava fields, in the region between the Ḥawrān in southern Syria and Medina. See the long section in Yāqūt, *Buldān*, II, 245–50 (paraphrased and discussed by O. Loth, "Die Vulkanregionen von Arabien nach Yāqūt," 365–82); *EP*<sup>2</sup>, s.v. Ḥarra (ed.). Here the reference, if at all specific, is probably to two of the *ḥarrahs* in the vicinity of Medina, which included the Ḥarrat Wāqim, site of a famous battle in 63/683 when the Umayyad army under Muslim b. 'Uqbah al-Murri defeated the Medinans there; see Loth, op. cit., 380.

461. This designates here the people of al-Ḥabashah, *ḥbs<sup>2</sup>t* of the later Sabaeen inscriptions. See *EP*<sup>2</sup>, s.v. Ḥabashat (A. K. Irvine). As observed by Irvine, there is no evidence for the statement in earlier authorities like Glaser and Rossini there that the *Ḥbs<sup>2</sup>t* may have been a South Arabian tribe in origin.

462. Abyan was a *mikhlaḥf* of the southernmost tip of Arabia, comprising Aden and its eastward-stretching hinterland; see *EP*<sup>2</sup>, s.v. Abyan (O. Löfgren). Jurash,



The king said to Saṭīḥ, "By your father! O Saṭīḥ, this is indeed distressing and painful for us; but when will this take place—in my own time, or subsequently?" Saṭīḥ replied, "Nay, indeed, a good while after it—more than sixty or seventy years will elapse." The king said, "Will that dominion of theirs endure, or will it be cut short?" he answered, "Nay, it will be cut short after seventy-odd years have gone by—and then all of them there will be slain or will be expelled from it as fugitives." The king said, "Who, then, will assume that task of killing and expelling them?" Saṭīḥ replied, "Iram of Dhū Yazan<sup>463</sup>—who will come forth against them from Aden—and not leave a single one of them in Yemen." The king enquired, "Will Iram's dominion there endure, or will it be cut short?" He replied, "It will indeed be cut short." The king said, "And who will cut it short?" He replied, "A prophet—a pure one—to whom the inspired revelation (*al-wahy*) will come—from on high." The king asked, "Who will this prophet spring from?" He replied, "[He will be] a man from the progeny of Ghālib b.

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frequently mentioned by al-Hamdānī, was an important town and a *mikhlāf* in mediaeval Islamic times, situated in northern Yemen to the northwest of Najrān. See al-Bakrī, *Mu'jam mā ista'jam*, II, 376; Yāqūt, *Buldān*, II, 126.

*Mikhlāf*, used in the early Islamic sources on Yemen in particular (although no longer used as an administrative term in modern Yemen), is said by the geographers to be the equivalent of *kūrah*. It may have a tenuous relationship to Sabaean *ḥlf* "vicinity of a town," but a form *mḥlf* has not so far been attested in the inscriptions. See Beeston *et alii*, *Sabaic Dictionary*, 60; *EP*<sup>2</sup>, s.v. *Mikhlāf* [C. E. Bosworth].

463. Muslim lore identified Iram with the Biblical Aram, son of Shem (Gen. x. 22–23; I Chron. I. 17), and made various peoples of Arabia his descendants. When the Qur'ān, LXXXIX, 6, speaks of Iram dhāt 'imād, it is probably referring to a tribe or people, here linked with the legendary giant race of 'Ād. See al-Hamdānī, *al-Iklīl al-Juz' al-thāmin*, 33, trans. Faris, *The Antiquities of South Arabia*, 29–30; Nashwān al-Himyārī, *Die auf Südarabien bezüglichen Angaben Našwāns im Šams al-'ulūm*, 2; *EP*<sup>2</sup>, s.v. Iram (W. M. Watt).

Dhū Yaz'an or Yazan was one of the Himyarite kings of the first half of the sixth century, and father of Sayf b. Dhī Yazan who secured the help of Khusraw Anūsharwān to expel the Abyssinians from Yemen; see al-Ṭabarī, I, 946ff., pp. 236ff. and n. 585 below. The component *dhū* in his name is the South Arabian relative pronoun *d*, often used to indicate clan or group affiliation, thence "the chief [of such a group]," as here. See Biella, *Dictionary of Old South Arabic, Sabaean Dialect*, 89–90; Beeston, *Sabaic Grammar*, §27:2. The Muslim Arabs regarded names thus compounded as so characteristic of South Arabian monarchical terminology that they used *dhū*, pl. *adhwā*, as a generic term for South Arabian rulers. See Nashwān al-Himyārī, *Die auf Südarabien bezüglichen Angaben Našwāns*, 39, 116; *EP*<sup>2</sup>, s.v. *Adhwā* [O. Löfgren].

Fīhr—son of Mālik b. al-Naḍr<sup>464</sup>—his dominion over his people shall last until the end of time." The king said, "O Saṭīḥ, will time (*al-dahr*) have an end?" He replied, "Yes, a day on which the first generations and the last generations will be assembled—the righteous will be joyful on it—but the evildoers shall be made wretched." The king said, "Is what you are informing us true, O Saṭīḥ?" the latter replied, "Yes, by the redness of the dying sun at evening—and the beginning of the darkness of night—and the dawn when it is complete—what I have told you is undoubtedly true."

When Saṭīḥ had finished, Shiqq arrived, so the king summoned him. He said, "O Shiqq, I have had a dream that has alarmed and disquieted me, so tell me about it, for if you comprehend the dream correctly, you will know correctly its interpretation," just as he had said to Saṭīḥ. But he concealed from him what Saṭīḥ had said in order that he might see whether the two interpretations agreed or differed. Shiqq said, "Yes, you saw a skull—which came forth from the darkness—and fell upon all the land, meadows, and thickets—and devoured everything there with living breath." When that king perceived that the words of the two soothsayers agreed with each other totally, he said to Shiqq, "O Shiqq, you have got it exactly right, so what, in your opinion, is the interpretation of it?" Shiqq replied, "I swear by the men living between the two *ḥarrahs*—the blacks will certainly come down on your land—and will seize custody of every tender one from your hands—and will then rule over all the land from Abyan to Najrān."<sup>465</sup>

The king exclaimed, "By your father! O Shiqq, this is indeed distressing and painful for us; but when will this take place—in my own time, or subsequently?" Shiqq answered, "Nay indeed, a stretch of time after you—then a mighty one, lofty of status, shall rescue you from it—and will make them taste the deepest abasement." The king said, "Who is this person mighty of status?"

464. That is, from Quraysh, these being persons figuring in the genealogy of the tribe back to Ma'add b. 'Adnān. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Table 4; *EP*<sup>2</sup>, s.v. Quraysh (W. M. Watt).

465. Again implying the whole length of Yemen, since Najrān lay on its north-eastern fringes. See al-Bakrī, *Mu'jam mā ista'jam*, IV, 1298–99; Yāqūt, *Buldān*, V, 266–71; *EP*<sup>2</sup>, s.v. Najrān (Irfan Shahīd).

Shiqq retorted, "A youth neither base nor inadequate for what he attempts—he will issue forth from the house of Dhū Yazan." The king said, "Will his dominion endure, or will it be cut short?" He replied, "Indeed, it will be ended by a prophet who will be sent—who will come with right and justice—among the people of religion and virtue—the dominion will remain among his people until the Day of Separation. One may ask, 'What is the Day of Separation?'"<sup>466</sup> —The reply is, the day on which those near to God will be recompensed—invocations from the heavens will be made—which both the quick and the dead shall hear—and on which the people will be gathered together at the appointed place<sup>467</sup>—on which there will be salvation and blessings for those who fear God." The king said, "Is what you say true, O Shiqq?" The latter replied, "Yes, by the lord of heaven and earth—and the highlands and the lowlands which lie between them—what I have communicated to you is indeed the truth, in which there is no dissimulation."

When the king had finished questioning the two men, there came into his mind that what the two of them had told him regarding the invasion of the Ethiopians was really going to take place, so he fitted out his sons and other members of his house for the journey to Iraq, together with what they needed, and wrote on their behalf to one of the kings of Persia called Shābūr, son of Khurrazād,<sup>468</sup> who allowed them to settle at al-Ḥīrah. Al-Nu'mān b. al-Mundhir, the king of al-Ḥīrah, was a descendant of Rabī'ah b. Naṣr; he is al-Nu'mān b. al-Mundhir— b. al-Nu'mān b. al-Mundhir b. 'Amr b. 'Adī b. Rabī'ah b. Naṣr, that same king in the genealogy and the lore of the scholars (*ahl*) of Yemen.<sup>469</sup>

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466. Echoing the Qur'ānic usage of the eschatological concept of the *yawm al-ḥaṣṣ*, the day of separation or distinction between those who will be saved and those who will be damned, as expounded in XXXVII, 21, etc., and especially in LXXVII, 13, 14, 38.

467. That is, the *ḥaṣhr* or "crowding together" for the Last Judgment. See *EP*<sup>2</sup>, s.v. *Ḳiyāma* (L. Gardet).

468. Presumably the reference is to Shābūr I, since his father Ardashīr I's mother was Khurrazād. See Justi, *Namenbuch*, 96–97.

469. This story is in Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 9–12 = ed. al-Saqqā et al., I, 15–19, trans. 4–6, and Ibn al-Athīr, *Kāmil*, I, 418–20, and cf. Fahd, *La divination arabe*, 250–52. As noted in n. 457 above, the dream described thus becomes an explanation for the movement of a South Arabian group like the

There related to us Ibn Ḥumayd—Salamah—Ibn Ishāq, who said: When Saṭīḥ and Shiqq told that to Rabī'ah b. Naṣr, and Rabī'ah did with regard to his sons and other members of his house what he in fact did, the mention of all this spread among the Arabs, and they talked about it extensively until his fame and his reputation for knowledge became widely disseminated among them. Hence when the Ethiopians occupied Yemen, and the events which they had previously been talking about, including the interpretations of the two soothsayers, came to pass, al-A'shā—that is, al-A'shā of the Banū Qays b. Tha'labah al-Bakrī<sup>470</sup>—said in some of the poetry he recited, mentioning the events involving those two soothsayers Saṭīḥ and Shiqq:

A woman with her eyelids never looked forth like with a look  
 full of penetration, as when al-Dhi'bī made  
 pronouncements when he spoke in *sa'*.

Saṭīḥ used only to be called al-Dhi'bī by the Arabs because he came from the progeny of Dhi'b b. 'Adī. When Rabī'ah b. Naṣr died and the royal power in Yemen became concentrated into the hands of Ḥassān b. Tubān As'ad Abī Karib b. Malkī Karib b. Zayd b. 'Amr Dhī al-Adh'ār,<sup>471</sup> one of the factors involving the eruption of the Ethiopians, the transfer of the royal power from Ḥimyar, and the ending of their period of dominion—and there is a cause for everything—was that Ḥassān b. Tubān As'ad Abī Karib led an expedition with the army of Yemen, aiming at overrunning the land of the Arabs and the land of the Persians, just as the Tubba's had been wont to do previously. But when the expedition reached

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Lakhmid family from Yemen to Iraq.

470. The celebrated poet al-A'shā Maymūn b. Qays, often ranked for his poetic genius with the authors of the Seven *Mu'allaqāt*, came from the Qays b. Tha'labah of Bakr b. Wā'il (d. after 3/625), grew up in the Christian environment of al-Ḥīrah and eulogized *inter alios* Iyās b. Qabiṣah, the appointee of the Persians to the governorship of al-Ḥīrah after the deposition and death of al-Nu'mān V b. al-Mundhir II (see al-Ṭabari, I, 1029–30, p. 359 below). His life was much bound up with political and military events along the desert frontiers of Iraq. The verse is from his *qaṣīdah* beginning with the formulaic hemistich *Bānat Su'ād wa-amsā ḥabluḥā inqaṭa'a*, in *Dīwān*, ed. Geyer, *Gedichte von 'Abū Baṣīr Maimūn ibn Qais al-A'sā*, 74, no. 13, v. 16. See on the poet Blachère, *Histoire de la littérature arabe*, II, 321–25; *EP*<sup>2</sup>, s.v. al-A'shā, Maymūn b. Qays (W. Caskel).

471. Nashwān al-Ḥimyarī, *Diē auf Südarabien bezüglichen Angaben Naṣwāns*, 38, has a fanciful explanation for the princely name Dhū al-Adh'ār.

a certain spot in the land of Iraq, Ḥimyar and the tribes of Yemen refused to go on further with him and wanted to return to their own homeland and families. Hence they approached and spoke with one of Ḥassān's brothers, who was with him in the army, called 'Amr, saying, "Kill your brother Ḥassān, and we will make you king over us in his stead and you can lead us back to our homeland." He agreed to their plan, and Ḥassān's brother and his followers from Ḥimyar and the tribes of Yemen agreed to kill Ḥassān, except for Dhū Ru'ayn al-Ḥimyarī, who forbade 'Amr to do this and told him, "You are the ruling house of our kingdom; do not kill your brother and thereby dissolve the uniting bonds of our house," or something like that. But 'Amr rejected his words, although Dhū Ru'ayn was a leading noble of Ḥimyar.<sup>472</sup> Hence Dhū Ru'ayn obtained a leaf of writing material and inscribed on it:

O who would buy sleeplessness for sleep? The one who passes his nights in a calm and peaceful state is indeed fortunate. And although Ḥimyar has acted treacherously and faithlessly, God will hold Dhū Ru'ayn blameless.

Then he sealed the piece of writing and gave it to 'Amr, telling him, "Keep this document by you on my behalf, for there is in it something which I desire earnestly and have need of" (i.e., for his eventual exculpation), so 'Amr did that. When Ḥassān got news of what his brother 'Amr, Ḥimyar, and the tribes of Yemen had resolved upon, that is, his death, he recited to 'Amr:

O 'Amr, do not hasten my deathly fate, but take the royal power without using armed force.

But 'Amr was set on killing him, and in fact did the deed. He then returned to Yemen with his accompanying army. A certain poet of Ḥimyar recited:

When, [I pray] to God, has anyone ever seen, in previous long spans of years, the like of Ḥassān, as a slain one?

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472. That Dhū Ru'ayn were a noble family of Ḥimyar is confirmed by Nashwān al-Ḥimyarī, *Die auf Südarabien bezüglichen Angaben Našwāns*, 46, cf. 41, apparently claiming a connection for them with the previous ruling house of Saba'.

The princelets (*aqyāl*) slew him out of fear lest they be kept at military service, while assuring him, "No harm, no harm!" (*labābi labābi*).<sup>473</sup>

Your dead one was the best of us and your living one is lord over us, while all of you are chiefs.

When 'Amr b. Tubān As'ad Abī Karib established himself in Yemen, he was unable to sleep and suffered permanent insomnia, according to what they assert. He found it impossible to sleep. It reduced him to a state of exhaustion, so he set about asking the physicians, and the soothsayers and diviners who work by examining physiognomy, what was the matter with him, saying, "I am deprived of sleep, and can get no rest, and insomnia has reduced me to a state of exhaustion." One of them told him, "By God, no man has ever killed his brother or a blood relation wrongfully, as you killed your brother, without losing his sleep and incurring sleeplessness." On being told this, 'Amr set about killing all those members of the nobles of Ḥimyar and tribes of Yemen who had urged him to kill his brother Ḥassān, until finally he came to Dhū Ru'ayn. When 'Amr expressed his intention of killing him, Dhū Ru'ayn said, "You have in your possession a document exonerating me from what you propose to do with me." 'Amr said, "What is this exculpating document in my possession?" He replied, "Fetch out the paper which I entrusted to you and left with you." The king fetched out the paper, and lo and behold, there was written on it those two verses of poetry:

[916]

O who would buy sleeplessness for sleep? The one who passes his nights in a calm and peaceful state is indeed fortunate.

473. Nashwān b. Sa'īd, *Die auf Südarabien bezüglichen Angaben Našwāns*, 89, defines *qayl* as meaning "king" among the Ḥimyarites. It seems to have a fairly late usage in South Arabia in the explicit form *qyl*, mainly in the fifth and sixth centuries, and to bear the meaning "prince," a potentate subordinate to a *malik* or king, as well as the meaning of "tribal chief." See G. Ryckmans, "Le Qayl en Arabie méridionale préislamique," 144-55; Biella, *Dictionary of Old South Arabic, Sabaean Dialect*, 453-54; *EP*<sup>2</sup>, s.v. *Qayl* [A. F. L. Beeston].

The word *labābī/labābīn* is said by Ibn Ishāq, in Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 19 = ed. al-Saqqa et al., I, 29, trans. 13, to mean in the Ḥimyarite language *lā ba's*.

And although Ḥimyar has acted treacherously and faithlessly,  
God will hold Dhū Ru'ayn blameless.

When 'Amr read these two verses, Dhu Ru'ayn told him, "I forbade you to kill your brother, but you would not listen to me; so when you refused thus to listen to me, I left this paper with you as proof of my innocence in regard to you and as exoneration of me vis-à-vis you. I feared that, if you killed him, you would be afflicted as you have in fact been afflicted; and if you intend to inflict on me what I see you have inflicted on those who urged you to kill your brother, this paper will serve as a means of preserving me from your intentions." Hence 'Amr b. Tubān As'ad left him alone and did not include him among the nobles of Ḥimyar whom he executed; he recognized that Dhū Ru'ayn had given him wise counsel, if only he had followed it.

'Amr b. Tubān As'ad recited, when he executed those guilty men of Ḥimyar and the people of Yemen who had urged him to kill his brother Ḥassān:

We purchased sleep, when tendons in the neck were drawn  
tight with what causes sleeplessness and knotting of sinews  
which will not go away.

They called out together at the time of their treacherous action,  
"No harm!" when the exculpation of Dhū Ru'ayn had  
already been expressed.

[917]

We have now executed those responsible for this betrayal, as an  
act of retaliation for Ibn Ruhm, which does not entail  
responsibility for a blood feud.

We have executed them in requital for Ḥassān b. Ruhm, for  
Ḥassān was the one murdered by the ones who stirred up  
trouble.

We have executed them, so that none of them now remains,  
and every eye feels refreshed at their fate.

The eyes of the lamenting women are weeping with grief for the  
noble women, women of the two armies.

Gentle maidens at nightfall, who are dark eyed when the upper  
parts of Sirius and Procyon rise.

Hence we are known by our fidelity when our lineage is traced  
back, and we disassociate ourselves strongly from the one  
who acts treacherously.

We surpass in eminence all other people, just as pure gold is superior to silver.

We exercise royal power over all other peoples; we have the connections of nobility and power, after the two Tubba's.

We assumed royal power after Dāwūd (David) for a lengthy period, and we made the kings of East and West our slaves.<sup>474</sup>

We wrote down in Zafār the ancient writings of glory, so that the chiefs of the two towns (*al-qaryatān*, sc., Mecca and al-Ṭā'if)<sup>475</sup> might read them.

We are the ones who pursue every burden of revenge when the eloquent ones cry out, "Where, O where [is vengeance to be taken]?"

I shall quench my thirst of [the blood of] the treacherous ones, for treachery has entailed perdition for them and for me (i.e., through his brother's murder).

I obeyed them (i.e., in their evil counsels) and was not well guided; they were seducers into evil ways, who have destroyed my noble reputation and handsome qualities.

He related: Not long afterward, 'Amr b. Tubān died.<sup>476</sup>

Hishām b. Muḥammad related: This 'Amr b. Tubba' was called Mawthabān because he sprang upon (*wathaba*) his brother Ḥassān

474. The king and prophet Dāwūd/David (whose reign over the Children of Israel is given by al-Ṭabarī in I, 554-72 above, trans. W. M. Brinner, *The History of al-Ṭabarī. III. The Children of Israel*, 135-51) is presumably adduced here to connect the Tubba's with early prophetic history. For David in Islamic lore, see *EP*<sup>2</sup>, s.v. Dāwūd (R. Paret).

475. The royal city and capital of the Ḥimyarites appears in South Arabian inscriptions as *zfr*, but was known as such to classical authors from the time of Pliny the Elder (his *regia Sapphar*) onward. Its ruinous site now lies to the southwest of Yarīm in southern Yemen. It remained of some significance into early Islamic times, and al-Ḥamdānī describes it both in his *Iklīl*, 25-29, trans. Faris, 20-26, and in his *Ṣifat jazirat al-'Arab*, 365, as one of the great fortresses of Yemen. See Yāqūt, *Buldān*, IV, 60; Shahīd, "Byzantium and South Arabia," 29, 43-47; *El*<sup>1</sup>, s.v. Zafār (J. Tkatsch).

Mecca and al-Ṭā'if are usually taken as the referents of "the two towns" in the Qur'ānic passage that this echoes, XLIII, 30/31, speaking of a *rajul min al-qaryatayn* 'aẓīm, "a man of the two towns, a respected one," but the context is somewhat obscure and the identification by no means certain. See for this question of the "two towns," Buhl, *Das Leben Muhammads*, 114-15.

476. This story appears in Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 26-28 = ed. al-Saqqā et al., I, 28-30, trans. 12-13. Cf. also idem, *Kitāb al-tījān*, 297-98.



at the gap of Nu'm and killed him.<sup>477</sup> He related: The "slope of Nu'm (*furḍat Nu'm*)" was the desert tract of Ṭawq b. Mālik, Nu'm was the concubine of the Tubba' Ḥassān b. As'ad.<sup>478</sup>

The narrative returns to that of Ibn Ishāq.<sup>479</sup>

He related: The affairs of Ḥimyar fell into disorder at this point, and the people became split up. A man of Ḥimyar who did not stem from the royal house of Ḥimyar, called Lakhī'athah (?) Yanūf Dhū Shanātir, rose up against them, seized power over them, killed the choicest men of Ḥimyar, and treated with scorn the ruling families of the kingdom.<sup>480</sup> Hence a certain man of Ḥimyar

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477. Other Arabic sources give an alternative etymology for the cognomen *Mawthabān*: that rulers were thus called in the language of Ḥimyar because they "sat down" and did not engage in raiding and warfare. See Ibn Hishām, *Kitāb al-tijān*, 298–99 (with a brief version of the preceding story), and Nashwān al-Ḥimyarī, *Die auf Südarabien bezüglichen Angaben Naṣwāns*, 113. The confusion stems from the fact that in South Arabian, the prime signification of *wṭb* was, as in Hebrew, "to sit down," with *mwṭb(n)* thus meaning "seat, shrine of a god," as opposed to Classical Arabic *wathaba* "to rise, spring up," although another range of meanings for South Arabian *wṭb* does exist, "to attack, assault," to which the cognomen given here by al-Ṭabarī of *Mawthabān* could be attached. See Beeston et al., *Sabaic Dictionary*, 165–66; Biella, *Dictionary of Old South Arabic, Sabaeen Dialect*, 153–54. The confusion that could arise out of the two opposing senses of the root forms the basis of favorite anecdotes in the sources in which a man dies or is killed through misunderstanding the South Arabian sense of the word. See al-Hamdānī, *Iklīl*, 32, trans. Faris, 28; Nashwān al-Ḥimyarī, loc. cit.; Robin, in *L'Arabie antique de Karib'il à Mahomet. Nouvelles données sur l'histoire des Arabes grâce aux inscriptions*, 108.

478. According to the geographers, the *furḍat Nu'm* lay in Jazīrah, in the tract of land along the Euphrates, where, so Yāqūt says, Ḥassān b. Tubba' had built a palace for this *umm walad* of his. See al-Bakrī, *Mu'jam mā ista'jam*, IV, 1211; Yāqūt, *Buldān*, IV, 251.

479. Nöldeke's translation resumes here. He regarded the following material as still essentially legendary and fabulous, but considered that it was connected with such historical events as the persecution of the Christians of Yemen, the resultant Abyssinian occupation, and the eventual Persian conquest, hence as such worthy of translation; see his trans. 172 n. 4.

480. The episode of Lakhī'athah or Lakhnī'athah is somewhat mysterious. The name is variously written in the Arabic sources, e.g., Lakhnī'ah in Ibn Hishām, *Sirat al-nabī*, ed. Wüstenfeld, 19 = ed. al-Saqqā et al., I, 30), but Nöldeke, trans. 173 n. 1, suggested the form Lakhī'athah on the basis of its form in the South Arabian inscriptions known at that time, in which *Ynf* is likewise attested. Professor Christian Robin has suggested [personal communication] that it is a corruption of a very common personal name *Lhy'tt*, often shortened to *Lhy't*, which would mean "Atht[ar] illuminates" (after Ge'ez *lahaya* "to be shining, to gleam"); it would seem that *ḥ* was only feebly aspirated in Ḥimyaritic speech, since the name passed

mentioned what was destroying the fabric of Ḥimyar, rending apart its unity and getting rid of its choicest men:

Ḥimyar is massacring its own sons and expelling its own princes, and creating humiliation for itself with its own hands.

Destroying its own worldly prosperity with light-headed fantasies of its own, but what it destroyed of its religion was even greater.

In the same way, earlier generations brought down evil on themselves, through their oppression and profligacy, and then perished.<sup>481</sup>

Lakhī'athah Yanūf Dhū Shanātir brought about all that for them. He was an evildoer, being allegedly a practitioner of sodomy. In addition to the killing and oppression he inflicted upon them, when he heard that a youth from the royal family had reached the age of puberty, he sent for him and ravished him in an upper chamber he had had constructed for this purpose, so that the youth could never rule after him (i.e., because of the dishonor). He would then go out from that upper chamber to his guards and those of his army who were present—these people being at a lower level—with a toothpick (*siwāk*) he had placed in his mouth,<sup>482</sup>

into Classical Arabic as Lahī'ah.

Ma'dī Karib, probably the last of the Tubba's (al-Ṭabarī's "the royal house of Ḥimyar") is attested in inscriptions as ruling in 631 Ḥimyarite era/A.D. 521–22. We have therefore only a year or so for Lakhī'athah's inscription and the accession of Dhū Nuwās. One suggestion for resolving this tight chronology was put forward by Altheim and Stiehl, *Die Araber in der Alten Welt*, V/1, 377–78: that the title Ma'dī Karib Ya'fur was the throne-name assumed by the usurping Lakhī'athah, just as his successor Dhū Nuwās was to assume the throne-name of Yūsuf As'ar Yath'ar (see n. 488 below). But this suggestion does not seem to have found much favor. At all events, one can only treat the tale of Lakhī'athah's sodomitical proclivities as a pretext for his murder with caution.

Concerning Lakhī'athah's nickname or cognomen, Nashwān al-Ḥimyarī, *Die auf Südarabien bezüglichen Angaben Našwāns*, 58, says that *shuntur* means "finger" in the Ḥimyarī language. But when linked with *dhū*, one would more naturally expect a place-name; none like Shanātir is, however, so far attested.

481. As noted by Nöldeke, trans. 174 n. 1, the wording of the poem, with such words in it as *ḡayya'at*, *ẓulm*, *isrāf*, *takhsaru*, etc., is very reminiscent of Qur'ānic phraseology and concepts.

482. That is, a piece of wood with the end incised for use as a toothbrush. It has been suggested that the use by the Arabs of such a primitive toothbrush or tooth-

that is, in order to let them know that he had accomplished his purpose with the youth. Then he would release the youth and go forth and appear before the guards and the people, having completely disgraced the youth.

At last it was the turn of the last of the youths from that line of kings, Zur'ah Dhū Nuwās b. Tubān As'ad Abī Karib b. Malkī Karib b. Zayd b. 'Amr Dhī al-Adh'ār the brother of Ḥassān.<sup>483</sup> Zur'ah had been only a small boy when his brother was killed, and had grown up into a fine and handsome youth, with an attractive appearance and intelligence. Lakhī'athah Yanūf Dhū Shanātir sent for him in order to do with him as was his wont with the princes of the royal house before him. When the messenger came to Zur'ah, he realized what Lakhī'athah intended to do, so he took a slim-bladed, sharp knife and placed it between his sandal and the sole of his foot. Then he set out to Lakhī'athah with the latter's envoy. When Lakhī'athah was alone with Zur'ah in that upper chamber of his, he locked the door on the two of them and pounced upon Zur'ah. But Dhū Nuwās leaped on him first with the knife and stabbed him with it until he had killed him. Then he cut off his head and stuck it in the window niche of that upper chamber of Lakhī'athah's, from where he was accustomed to show himself to his guards and troops. He took that toothpick of Lakhī'athah's and stuck it in the latter's mouth, and went forth to the people. They said to him, "Dhū Nuwās—was it wetness or dryness?" He an-

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pick was influenced by the similar Persian religious custom, and that the Arabic term may stem from MP \**sawāk*, "scraper," from the verb *sūdan*, "to scrape, rub." See Shaked, "Some Iranian Themes in Islamic Literature," 149 and n. 35, citing Goldziher, *EP*, s.v. *Miswāk* (A. J. Wensinck).

483. Unlike Lakhī'athah, Dhū Nuwās is a fully historical figure, mentioned in Byzantine sources, e.g., in the Greek of the *Martyrium Arethae as Dounaas*. An Arabic etymology for his name is given in the Arabic sources, e.g., Abū al-Faraj al-Ḥafḥānī, *Aghānī*<sup>3</sup>, XXII, 318, and Nashwān al-Ḥimyarī, *Die auf Südarabien bezüglichen Angaben Našwāns*, 106, as "the man with the dangling forelock, long hair," but again is more likely to relate to a place name, or possibly to a deity. See on him further, nn. 486, 488, 506 below, and Nöldeke, trans. 174 n. 3, who also notes that Zur'ah is likewise a historically-attested name, since one of the local princes of Yemen who sent to the Prophet in autumn of the year 9/630 offering their acceptance of Islam, was Zur'ah Dhū Yazan, quite possibly a descendant of Dhū Nuwās; it was to him that Muḥammad sent a group of *ṣadaqah* collectors headed by Mu'adh b. Jabal. See Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 95-57 = ed. al-Saqqā et al., IV, 235-36, tr. 642-44.

swered, "Ask n.kh.mās<sup>484</sup>—'s.t.r.ṭ.bān Dhū Nuwās—'s.t.r.ṭ.bān Dhū Nuwās—[he is] unharmed (*lā ba's*).<sup>485</sup> They went off to look when he called to them in these terms, and lo, there was the severed head of Lakhī'athah Yanūf Dhū Shanātir in the window niche, with his toothpick in his mouth, which Dhū Nuwās had jammed there! Ḥimyar and the guards went off after Dhū Nuwās until they caught up with him and told him, "The only fitting person to rule over us is yourself, since you have rid us of this abominable fellow." They therefore made him king, and Ḥimyar and the tribes of Yemen rallied round him.<sup>485</sup> He was the last of the kings of Ḥimyar. He became a convert to Judaism (*tahawwada*), and the Ḥimyarites followed him in this path. He adopted the name of Yūsuf (i.e., Joseph) and reigned for a considerable time.<sup>486</sup>

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484. According to Ibn Hishām's gloss, *Sīrat al-nabī*, ed. Wüstenfeld, 20 = ed. al-Saqqā et al., I, 31, tr. 14, *n.khmās* was a Ḥimyarī word for "head." The rest of this puzzling saying clearly has an obscene reference, as the word seemingly figuring in it—*ist*, "anus"—implies, explicit in Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>3</sup>, xxii, 319.

485. This story comes also in Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 19–20 = ed. al-Saqqā et al., I, 30–31, tr. 13–14; idem, *Kitāb al-tijān*, 300–301; Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>3</sup>, xxii, 318–19.

486. The questions of the date of the appearance of Judaism in Yemen, the extent of that faith's spread from the ruler and nobles downward to the generality of the population, and the nature and quality of that Judaism, have excited much discussion.

There seems no reason to doubt the reports (al-Ṭabarī, I, 892, 902, 905, pp. 145, 165–66, 170–71 above: one of Ibn Ishāq's informants for these seems to have been Abū Mālik b. Tha'labah b. Abī Mālik al-Qurazī, whose grandfather had been a Jewish client of Kindah and who had migrated from Yemen to Yathrib; see M. Lecker, "Abū Mālik 'Abdallāh b. Sām of Kinda, a Jewish convert to Islam," 280–82) that Abū Karib As'ad accepted the Jewish faith in some form or other when he was at Yathrib in the first half of the fifth century and that the faith was already known at the Ḥimyarite court in the time of his father Malkī Karib, i.e., the end of the fourth century, when paganism was abandoned for monotheism. The implantation of a genuine Judaism there around that time would be parallel to the contemporaneous consolidation of the Christian community at Najrān, for which see n. 487 below. See Smith, "Events in South Arabia in the 6th Century A.D.," 462–63; J. Ryckmans, "Le Christianisme en Arabie du Sud préislamique," 417–19, 426–28, 447; Lecker, "The Conversion of Ḥimyar to Judaism and the Jewish Banū Hadl of Medina," 129–36.

There is epigraphic evidence for the existence of adherents of Judaism in Yemen proper during the later fifth century, in that the Bayt al-Ashwal I inscription was erected at Zafār by a man called *Yhwd' Ykf/Yehūdā Yakkuf* (for Yankuf), clearly a native South Arabian, who invokes "the Lord of Heaven and Earth" and "His

There were in Najrān remnants of people who adhered to the religion of 'Īsā (Jesus), followers of the Gospel, virtuous and upright.<sup>487</sup> They had a head, of this same faith, called 'Abdallāh b. al-Thāmīr. The place where that faith originally took root was Najrān, which at that time was in the center of the land of the Arabs;

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people [s<sup>2</sup>b] Israel" and who mentions the king Dhara' 'amar Ayman (II), who ruled in the later fourth century. See Müller, "Eine hebraeisch-sabaeische Bilinguis aus Bait al-Ašwal," 117-23; Robin, in *L'Arabie antique de Karib'il à Mahomet*, 145-46. Judaism seems to have made rapid advances at the Ḥimyarite court, with three of the leading noble families, those of Hamdān, Yaz'an, and Ḥaṣbaḥ, becoming converts. There was in the later fifth and early sixth centuries an important Jewish trading community on the island of Yotabē (not yet specifically located, but somewhere near the mouth of the Gulf of 'Aqabah; see Smith, "Events in South Arabia in the 6th Century A.D.," 428-29; Z. Rubin, "Byzantium and Southern Arabia—the Policy of Anastasius," 388-89), which was controlled by a local chief called Amorcecos and which probably served as a base for mercantile activities down the Red Sea as far as the shores of Yemen.

We cannot judge how far below the level of the royal family and that of the great nobles this adherence to Judaism may have penetrated; but more controversial is the nature and quality of the faith as implanted in South Arabia—was it recognizably Rabbinical Judaism or was it an aspect of the monotheistic faith "Raḥmānism," another aspect of which may have been the ascetic monotheism of the *ḥanīfs* during the period just before Muḥammad's call to prophethood? After ca. A.D. 450-60 the South Arabian inscriptions begin to give the "Lord of Heaven and Earth" the proper name of Raḥmānān, one equally used by the Christian monotheists and, in the early seventh century, by Muḥammad's rival among the Banū Ḥanīfah of al-Yamāma, Musaylimah. It does seem that, sixty or so years later, a ruler like Yūsuf As'ar Dhū Nuwās was an enthusiastic Jewish believer. Jacques Ryckmans stressed that the name Raḥmānān in South Arabia must have come originally from a Jewish milieu, almost certainly the Jewish communities of Medina and the settlements of the Wādī al-Qurā, since the term stems from the Aramaic Raḥmānā, frequent in the Babylonian Talmud and sporadically found in the Jerusalem one, while rare in Christian texts of the time. But he went on to suggest that Raḥmānān became generalized in South Arabian monotheistic usage, not least among Christians, via the Christian community in Najrān, by the end of the fifth century, since it appears in an inscription of the Christian king Sumu-yafa' Ašwa', installed by the Ethiopians after the death of Dhū Nuwās (see n. 518 below), for the first person of the Trinity. See Ryckmans, "Le Christianisme en Arabie du Sud préislamique," 436-40; Shahīd, *Byzantium and the Arabs in the Fourth Century*, 100-06; Beeston, "Judaism and Christianity in Pre-Islamic Yemen," 271-78; idem, "Himyarite Monotheism," 149-54; Rubin, *op. cit.*, 387-88; Robin, *op. cit.*, 145-47; idem, in *Supplément au dictionnaire de la Bible*, s.v. Sheba. II, cols. 1115-16, 1190-92.

Concerning the length of Dhū Nuwās's reign, tradition gives him one of thirty-eight years, a vastly exaggerated number; see n. 488 below.

487. In Muslim eyes, Christianity had in general degenerated by the time of the Prophet's coming, leaving only "remnants" such as those mentioned here. Cf. Nöldeke, *trans.* 177 n. 2.

Shahīd has pronounced that Najrān at this time came definitely within the

its people, like all the rest of the Arabs, were (originally) idol worshippers. At that point, a man called Faymiyūn, from the rem-

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Arabic cultural and linguistic sector of the Arabian Peninsula rather than the Sabaeen-Ḥimyarite one, on the basis of the Arabic names of the Martyrs of Najrān and the existence there in the sixth century of a school of Arabic poets, but this suggestion is rejected by such experts on South Arabian languages as W. W. Müller.

The advent of Christianity to Najrān was part of a general percolation of monotheistic religions, specifically Judaism and Christianity, into the Arabian Peninsula (for Judaism in western and southern Arabia, see n. 486 above, and for Judaism at Najrān specifically, where the community seems to have been an old, established one, see Lecker, "Judaism among Kinda and the *Ridda* of Kinda," 635-36). Already in the mid-fourth century, the king of Ḥimyar had received a diplomatic mission sent by the Byzantine emperor Constantius II (r. 337-61) under Theophilus "the Indian" (who was actually from the island of Socotra, the whole region of South Arabia and the Gulf of Aden shores being often referred to vaguely in Byzantine sources as "India"), an Arian in faith like his master, and the king had undertaken to build three churches within his dominions. Later in that same century, Abū Karib As'ad and others of his family adopted a monotheism that involved some form of Judaism (see n. 486 above). There may well have been some political factors at work here, since the Abyssinian kingdom of Axum was at this time adopting Christianity and the faith was also spreading among the Arabs of the northern and eastern fringes of the Peninsula. The Ḥimyarite rulers may have wished to counter a possible threat of intervention on religious grounds from outside—intervention which was, indeed, to materialize when the power of the Ḥimyarite monarchy went into decline in the early sixth century. J. Ryckmans opined that both Nestorianism and Monophysitism were represented within the Najrān Christian community, but that the former was likely to have been more favorably regarded by the Jewish or Judaizing Ḥimyarite kings of the eighty years or so before the Abyssinian intervention in favor of Monophysitism, and that the celebrated persecutions at Najrān were essentially of the Monophysites there, with the Nestorians merely looking on, if not actively encouraging the Ḥimyarite rulers; see his "Le Christianisme en Arabie du Sud préislamique," 448, 450-52. Through Abyssinian enthusiasm for Monophysitism, and a distinct Byzantine preference for it over Nestorianism (see n. 511 below), Najrān itself became the focus in the sixth century for something like an Arabian national church, Monophysite in theology. From the time of the Byzantine emperor Anastasius I (r. 491-518), the Ḥimyarites had a bishop of their own, probably a Syrian and Monophysite in theology, called Silvanus, and we know the names of two Monophysite bishops, both named Paul, consecrated for Najrān itself around this time (see below). During the early Islamic period, despite the deportations of Christians from Najrān to Iraq by the second caliph 'Umar b. al-Khaṭṭāb, the faith was to survive there (as also in the island of Socotra, in the Gulf of Aden) into mediaeval Islamic times. The Nestorian Church in Iraq had contacts with Christians in southwestern Arabia for some three centuries after the advent of Islam: the Patriarch Timothy ordained a bishop for Yemen and Ṣan'ā' in ca. 800, and John IV answered questions from a priest "of the people of Yemen" in ca. 900. See Fiey, *Assyrie chrétienne*, III, 230; Beaucamp and Robin, "Le Christianisme dans la péninsule arabe d'après l'épigraphie et l'archéologie," 56-57; *EP*<sup>2</sup>, s.v. Nadjrān (Irfan Shahīd).

But before the consolidation of Christianity in Najrān under Byzantine and Abyssinian influence came about, the desire of the Ḥimyarite ruling strata to

nants of the adherents of that faith had come among them; he summoned them to his religion and they adopted it.

[920] Hishām related: [He was] Zur'ah Dhū Nuwās, but when he became a convert to Judaism, he assumed the name of Yūsuf.<sup>488</sup> It

preserve their national identity and culture between the two powerful Christian realms of Byzantium and Abyssinia, had inevitably entailed a growing hostility toward the indigenous Monophysite Christian community, even if for political rather than strictly religious reasons. There was persecution under Sharaḥbī'īl Yakuf [known to have been reigning in 472], when we know from an Ethiopic hagiographical work that the missionary Azqīr (whose name Ryckmans linked, through deformed transcriptions, with the Yazdin of a Persian romance centered round one of the Persian Christian martyrs; Beeston suggested a possible connection with Arabic al-Dhakīr "the famed, reputed one") was killed, possibly for proselytizing but certainly with some sort of Jewish involvement in the deed (see Ryckmans, *op. cit.*, 441-43; Beeston, "The Martyrdom of Azqīr," 5-10; Rubin, "Byzantium, and Southern Arabia—the Policy of Anastasius," 387-88); and Paul, the first bishop of Najrān, was martyred at the Ḥimyarite capital Zafār, stoned by the Jews there, at an unknown date before 521 but possibly before 504, as is known from the Syriac martyrological literature. Intervention by Abyssinia was not long in coming, and it seems that Yūsuf As'ar Dhū Nuwās's predecessor Ma'dī Karib Ya'fur was placed on the throne of Ḥimyar by the Abyssinians in late 518 or early 519, as part of a direct extension of Abyssinian influence into Yemen, involving even a permanent mission in Zafār, where (judging from their names) Abyssinian representatives built a palace for themselves during the reign of Ma'dī Karib Ya'fur's predecessor Marthad 'ilān Yanūf. The stage was thus set for the culmination of what had probably been a series of persecutions of the Christians of Najrān, the most notorious being that in the proto-nationalist, pro-Jewish reaction, which intensified under Yūsuf As'ar Dhū Nuwās; see the following note. On the vestiges Arabian Christianity has left in the epigraphy and archaeology of the peninsula, see Beaucamp and Robin, *op. cit.*, 45-61; on the position of Christianity in the politics and diplomacy of southwestern Arabia at this time, Robin, "Le royaume ḥujride," 699-702; and on the faith there in general, the references in the following note.

Nöldeke, *trans.* 177 n. 3, thought that Faymiyūn was most likely a shortened form of Euphemion, so that this Greek name would point to a man coming from the Byzantine lands. But since his time there has been further investigation of the origins of this story of the introduction of Christianity to Najrān, in particular, by A. Moberg. Moberg traced the story of the Arabic sources, with its theme of the wandering ascetic from Syria, to Persian Christian romantic legends of Yazdin-Pethion, involving the martyrdom in Persia in 447 of Pethion, whose name yielded the Arabic form Faymiyūn. See the discussion of his views in J. Ryckmans, *op. cit.*, 441-42.

The story of 'Abdallāh b. al-Thāmir is given in al-Ṭabarī, I, 923-25, pp. 200-202 below.

488. The actual name of Dhū Nuwās (this being a nickname or cognomen, see n. 483 above), of the family of Yaz'an, emerges from an inscription dating from the month of Dhū Madhra'ān 633 of the Ḥimyarite era/June-July 523. His name is given in the inscription as Yusuḥ/Yūsuf (replacing Yanūf ?) As'ar Yath'ar, with the title "king of all the tribes, 's<sup>2</sup>b<sup>a</sup>," a much more modest one than the usual

was he who had the trench (*al-ukhdūd*) dug out at Najrān and killed the Christians.<sup>489</sup>

There related to us Ibn Ḥumayd—Salamah—Muḥammad b. Ishāq—al-Mughīrah b. Abī Labīd, a freedman of al-Akhnas—Wahb b. Munabbih al-Yamānī,<sup>490</sup> who related to them that the

grandiloquent ones of the Ḥimyarite kings, such as “king of Saba”, Dhū Raydān, Ḥaḍramawt, and Yamanat, together with the Arabs of the Ṭawd and the Tihāmat” (see nn. 314, 417 above) and one which seems to reflect the fact that, as an usurper, his rule was illegitimate.

Dhū Nuwās replaced the last Tubba’ ruler Ma’di Karib Ya’fur between June 521 and June 522, and was defeated by the Abyssinians soon after Pentecost 525, killing himself shortly afterward (see al-Ṭabarī, I, 927–28, p. 207 below). Whatever the nature of his commitment to Judaism (see n. 486 above)—and Shahīd has suggested that he may well have started off as a Christian and as the designated successor of Ma’di Karib (but see above)—he embarked in 523 on his campaign to eliminate the Abyssinians and the indigenous Christians of southwestern Arabia. The eight hundred-strong Abyssinian community in Ṣafār was put to death and the Abyssinian-held fortresses in Shamir taken. Tihāmāh was conquered, and Najrān compelled to give hostages and to endure a blockade. Then in November of that same year 523, Najrān was attacked and occupied, its Christian population massacred, the churches burned down, and Greek and Abyssinian traders there killed. See R. Bell, *The Origin of Islam in Its Christian Environment*, 33–63; Smith, “Events in South Arabia in the 6th Century A.D.,” 459–60; G. Ryckmans, “Le Christianisme en Arabie du Sud préislamique,” 413–53; Altheim and Stiehl, *Die Araber in der Alten Welt*, V/1, 373–85; idem, *Christentum am Roten Meer*, I, 442–43; Shahīd, *The Martyrs of Najrān. New Documents*, 266–68; Tringham, *Christianity among the Arabs in Pre-Islamic Times*, 297–99; Müller, “Survey of the History of the Arabian Peninsula,” 129; Robin, in op. cit., 151–52; *EP*, s.vv. Dhū Nuwās (M. R. Al-Assouad), Mathāmīna (Chr. Robin); n. 506 below.

The chronology adopted here for these events, including the actual martyrdoms at Najrān and the happenings following on from them, is that put forward, after a very thorough sifting of the evidence (from Byzantine Greek and Syriac sources and from contemporary South Arabian inscriptions) by François de Blois, that the martyrdoms took place at the traditional date of 523 and not in 518, as argued by, e.g., Rubin and Shahīd. See de Blois, “The Date of the “Martyrs of Najrān,” 110–27.

489. Traditional Qur’ānic exegesis regarded the *aṣḥāb al-ukhdūd*, “companions of the trench,” of LXXXV, 4–8, as being the Christian martyrs of Najrān, praised for their steadfastness in the face of immolation or, occasionally, connected with the three men in Nebuchadnezzar’s fiery furnace of Daniel, iii. 13ff. Joseph Halévy, on his pioneer expedition into Yemen in 1869–70 sponsored by the Académie des Inscriptions et Belles-Lettres, found that the Najrān of that time was called Madīnat al-Khudūd (< Ukhdūd); see his “Rapport sur une mission archéologique dans le Yémen,” 37–40. The connection with the martyrs of Najrān was upheld by older generations of Western scholars, e.g., Nöldeke, in his *Geschichte des Qorāns*, I, 97 n. 3, and (originally) Richard Bell in his *The Origin of Islam in Its Christian Environment*, 38, 68. But it is now generally recognized as being not a specifically historical reference but, rather, an eschatological one, the “companions of the trench” being unbelievers destined for Hell Fire because of what they had done to



implantation of that religion (sc., Christianity) in Najrān arose from the fact that there was a man from the remnants of the followers of the faith of 'Īsā b. Maryam called Faymiyūn,—a pious man, a zealous fighter for the faith and an ascetic, one whose prayers were answered by God.<sup>491</sup> He used to wander forth, staying in villages. As soon as he became known in one village (sc., as a holy man and wonder worker), he would leave it for another village where he was not known. He lived entirely off what his own hand gained; he was a builder, who worked with mud brick. He used to keep Sunday holy, and when this day came round would do no work but would go out into a desert place and pray and worship there until it was evening. He was once in one of the villages of Syria, performing those rites of his away from human gaze, when a local man called Ṣāliḥ realized what sort of an exalted religious state Faymiyūn had attained and felt a love for him such as he had never felt for anything previously.<sup>492</sup> He followed Faymiyūn around wherever he went, unperceived by Faymiyūn, until on one occasion Faymiyūn went forth into the desert on Sunday, as was his custom, followed by Ṣāliḥ, unbeknown to Faymiyūn. Ṣāliḥ sat down in a place where he could see

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the believers (v. 7). See Bell, ed. Bosworth and M. E. J. Richardson, *A Commentary on the Qur'ān*, II, 517; *EP*<sup>2</sup>, s.v. Aṣḥāb al-ukhdūd (R. Paret).

490. The *Tābi'* or Successor Abū 'Abdallāh Wahb b. Munabbih (b. ca. 34/654–55, d. 110/728 or 114/732) was one of the most knowledgeable of Umayyad period historians, especially regarding the "Stories of the Prophets" and regarding South Arabian lore, having been himself born, of Persian stock, at Dhīmār to the north of Ṣan'ā'. He seems to have been able to draw on both Jewish and Christian traditions (in the latter case, notably for the story of the events here at Najrān); it is regrettable that his *Kitāb al-mulūk al-mutawwajah min Ḥimyar wa-akhbārihim wa-qīṣaṣih wa-qubūrihim wa-ash'ārihim* is only known through later citations, including extensively in the first part of Ibn Hishām's *Kitāb al-tāj*, which depends heavily on Wahb. See Krenkow, "The Two Oldest Books on Arabic Folklore," 56ff.; Sezgin, *GAS*, I, 305–307; *EP*<sup>2</sup>, s.v. Wahb b. Munabbih (R. G. Khoury).

491. Nöldeke, trans. 177 n. 3, regarded the following tale of Faymiyūn and Ṣāliḥ, and its sequel, the tale of 'Abdallāh b. al-Thāmir, as nothing but pious legend, but Trimmingham, *Christianity among the Arabs in Pre-Islamic Times*, 294–95, thought that they should not be discounted as complete inventions but should be considered as reflections of later Islamic attempts to illuminate the background of the Prophet's life and to elucidate supposed Qur'ānic references to events in Najrān (but see on these references, n. 489 above).

492. The name Ṣāliḥ has connotations of "piety, God-fearingness," and also evokes the name of the Qur'ānic native Arabian prophet sent to warn Thamūd. As a personal name, it seems to be very rare in pre-Islamic usage. See *EP*<sup>2</sup>, s.v. Ṣāliḥ (A. Rippin).

Faymiyūn, but in a place concealed from Faymiyūn, not wishing the latter to know where he was. Faymiyūn started praying, but while he was preoccupied with this, a *tinnīn*, a serpent with seven heads, slid up to him.<sup>493</sup> On seeing it, Faymiyūn called down a curse on it, and it died. Šāliḥ saw the *tinnīn*, but did not know what had happened to it, and feared for Faymiyūn's safety. Hence he was overcome with concern for him and cried out, "O Faymiyūn, a *tinnīn* has just come up to you!" But Faymiyūn paid no attention to him and kept on with his worship and prayers until he had completed them. Evening having come on, he returned, knowing that he had been recognized; Šāliḥ likewise knew that Faymiyūn had seen where he was. Šāliḥ addressed him, saying, "O Faymiyūn, God knows that I have never loved anything as much as I love you; I want to accompany you and be with you wherever you may go." Faymiyūn replied, "As you wish. You see what my work is, but if you believe that you are strong enough to undertake it yourself, well and good." Šāliḥ accordingly remained with him closely, but the people of the village were on the verge of discovering Faymiyūn's real nature. For when one of God's servants with some disease suddenly crossed his path, Faymiyūn prayed for him, and he was cured; but when he was summoned to a sick person, he refused to go. Now one of the people of that village had a son who had some affliction (*ḍarīr*),<sup>494</sup> and he asked about Faymiyūn's conduct. He was told that he never came when expressly summoned but that he was a man who did building work for people in return for his hire. So the man went along to that son of his, and put him in his room and threw a garment over him. Then he went to Faymiyūn and asked him, "O Faymiyūn, I want some building work done in my house, so come back with me and have a look at it, so that I can discuss conditions with you." Faymiyūn went back with him and entered the room. He next said, "What do you want done in your house?" The man replied, "So-and-so," and whisked away the garment from of the lad, saying, "O Faymiyūn, one of God's servants has been afflicted as you can see; so pray to God for him!" Faymiyūn exclaimed

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493. The *tinnīn* appears as a seven-headed dragon in Jewish Haggadic lore, taken over subsequently into the Islamic *qiṣaṣ al-anbiyā'* stories; see, e.g., W. M. Thackston Jr., *The Tales of the Prophets of al-Kisa'i*, Boston 1978, 201.

494. Thus in the context, rather than "blind."

when he saw the boy, "O God, Your enemy has entered into the sound health which You bestowed on one of your servants in order to destroy it, so cure him, restore him to health and protect him from the Devil!" The boy thereupon got up and was completely free of any defect.

Faymiyūn now realized that he was recognized, so departed from the village, with Šāliḥ accompanying him. On one occasion, he was walking somewhere in Syria and passed by a large tree. A man called down to him from the tree, saying, "Is that Faymiyūn?" The latter replied, "Yes." The man said, "I have been continuously awaiting you and have kept saying, 'When is he coming?' until I heard your voice and knew that you were its owner. Don't go away until you have prayed over my grave, for I am now at the point of death." He related: He did die at that moment, and Faymiyūn prayed over him and buried him.<sup>495</sup> Then he departed, accompanied by Šāliḥ, until the two of them set foot in part of the land of the Arabs. But the Arabs swept down on them, and a caravan of some Arab group snatched them up, carried them off, and finally sold them in Najrān.

At that period, the people of Najrān followed the religion of the Arabs, worshiping a lofty date palm in their midst. Every year they had a festival, when they hung on that tree every fine garment they could find and also women's ornaments. Then they went forth and devoted themselves to worship of it for the whole day.<sup>496</sup> One of the nobles of Najrān purchased Faymiyūn, and another man purchased Šāliḥ. Now one night Faymiyūn stood up in a hut (*bayt*) his master had allotted to him, praying, when the whole hut

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495. The man in the tree was presumably a dendrite, a tree-dwelling ascetic, with a way of life analogous to that of a stylite or pillar dweller.

496. The worship of sacred trees was a standard feature of ancient Semitic religion, attested among the Canaanites, Phoenicians, etc. In Arabia, as well as this date palm at Najrān, there was the acacia tree (*samurah*) at al-Nakhlah, between Mecca and al-Ṭā'if, embodiment of the goddess al-'Uzzā, and the great tree at Ḥunayn called *dhāt anwāṭ* "[tree] on which date baskets, etc., are hung," to which the people of pre-Islamic Mecca used to resort and bring gifts. See Robertson Smith, *Lectures on the Religion of the Semites. First Series. The Fundamental Institutions*, 185ff; J. Wellhausen, *Reste arabischen Heidentums*<sup>2</sup>, 36, 104-105; H. Lammens, *Le berceau de l'Islam*, 7-72; Buhl, *Das Leben Muhammads*, 79-80; Fahd, *Le panthéon de l'Arabie centrale à la veille de l'hégire*, 31-32, 58. Nöldeke, trans. 181 n. 1, attributed the hanging of women's ornaments on the tree to the fact that the word for date palm, *nakhlah*, is of feminine gender in Arabic.

was filled with light as if from a lamp, until it was completely illuminated but without the presence of any lamp. Faymiyūn's master saw this, and the sight filled him with wonder. He asked Faymiyūn about his religion, and the latter told him about it. Faymiyūn explained to him: "You people are completely in error; this date palm can neither harm nor benefit, and if I were to invoke against it the One whom I worship, He would destroy it. He is God, the One, without any partner."<sup>497</sup> He related: His master told him, "Do that, and if you can bring that to pass, we will embrace your religion and abandon the one we used to hold." He related: Faymiyūn arose, purified himself, prayed two *rak'ahs*,<sup>498</sup> and then invoked God's curse on the date palm. God sent a wind that tore it up from its roots and cast it down. At that, the people of Najrān followed Faymiyūn and adopted his religion. He instructed them in the law (*sharī'ah*) of the faith of 'Īsā b. Maryam. But after that, various innovations (*aḥdāth*)<sup>499</sup> came into their faith, as into that of their coreligionists in every land. From this center, the Christianity of Najrān spread in the land of the Arabs—all this being the report of Wahb b. Munabbih concerning the people of Najrān.<sup>500</sup>

There related to us Ḥumayd—Salamah, who said: There related to us Muḥammad b. Ishāq—Yazīd b. Ziyād, a freedman of the Banū Hāshim—Muḥammad b. Ka'b al-Quraẓī.<sup>501</sup> He said: There related to us also Muḥammad b. Ishāq from a man of the people of

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497. Anticipating, in its Qur'ānic phraseology regarding God's unity, *tawḥīd*, Muḥammad's denunciation, in his later years at Mecca, of the "Daughters of Allāh," the three pagan goddesses worshiped by Quraysh, as having no spiritual efficacy, either positive or negative. See on this last episode, involving the so-called Satanic verses, Watt, *Muhammad at Mecca*, 100–109.

498. Looking forward to the *rak'ah*, the act of bowing and bending, which forms part of the Muslim *ṣalāt*.

499. This seems to be the sense here of *aḥdāth*, rather than the general one of "events, happenings."

500. This tale, of no direct relevance to the drama of political and military events in South Arabia that swirled around such figures as Dhū Nuwās and Abraham, is given in Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 20–22 = ed. al-Saqqā et al., I, 32–35, tr. 14–16.

501. Muḥammad b. Ka'b [d. 118/736], descended from the Medinan Jewish clan of Qurayzah, was a Successor famed both as an early Qur'ān commentator and as a retailer of *akhbār*, historical traditions, and in this last utilized by Ibn Ishāq. See Sezgin, *GAS*, I, 32.

Najrān: The people of Najrān were polytheists who worshiped idols. In one of their villages near Najrān—Najrān being the major urban center there, in which the people of that region used to come together—there was a sorcerer who used to teach magic to the Najrān youths. When Faymiyūn took up residence in Najrān (He related: They did not call him by the name which Wahb b. Munabbih gives him, but they simply said “a man took up residence there”), he put up a tent between Najrān and that village where the sorcerer lived. The people of Najrān began to send their boys to that sorcerer, who then taught them magic. Hence al-Thāmir sent his son ‘Abdallāh b. al-Thāmir, together with the other youths of the people of Najrān. When ‘Abdallāh b. al-Thāmir passed by the man in the tent, he was astonished by what he saw of the man’s praying and acts of devotion, and began to sit with him and listen to him, until he surrendered himself to God (*aslama*), acknowledged God’s unity, and worshiped Him. He set about asking the man about the Greatest Name (of God), but although the man knew it, he concealed it from him with the words, “O my nephew, you will not be able to bear it; I fear you are too weak for it.”<sup>502</sup> He therefore refused to tell him. Meanwhile, al-Thāmir, the father of ‘Abdallāh, had no idea that his son ‘Abdallāh was not going along [regularly] to the sorcerer just as the other youths were doing. When ‘Abdallāh saw that his master had kept the knowledge [of God’s Greatest Name] from him and was afraid for his weakness, he took a number of arrows and gathered them together.<sup>503</sup> Every name of God that he knew he wrote on an arrow, every name on a single arrow. When he had counted all the

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502. This is what became the Islamic equivalent of the Jewish name of God, the tetragrammaton *yhwh*, *ha-shem ha-məfōrāsh*, known only to the High Priest, who was only allowed to pronounce it once a year. In Islam, the secret name of God becomes a word of power, whose possessor can wreak with it mighty, supernatural deeds. See Nöldeke, trans. 183 n. 1.

503 Arrows were used for oracular and divinatory purposes in some sectors at least of ancient Semitic religion, as in Ezek. xxi. 21, where the king of Babylon consults his divining arrows. In Qur’ān, V, 4/3, *al-istiḳsām bi-al-azlām*, “division [of a sacrificial offering] by means of arrows,” which is said to have been practiced in the Ka’bah of pre-Islamic times before the image of the god Hubal (see Ibn al-Kalbī, *Kitāb al-aṣnām*, 17, tr. Klinke-Rosenberger, 44 and 114–15 n. 256, tr. Farris 23–24; al-Ṭabarī, I, 1075–78), is denounced as a relic of paganism. See Fahd, *La divination arabe*, 181–82; *EP*<sup>2</sup>, s.v. *Istiḳsām* (T. Fahd).

names,<sup>504</sup> he lit a fire for this special purpose and began to throw the arrows on it one by one, until he finally reached the arrow with the Greatest Name of God [on it]. He threw it on the fire, but it immediately sprang out completely undamaged. He went up to it and grasped it, and then went to his master and informed him that he had now come to know the name his master had concealed from him. His master questioned him about it, and the youth replied that it was such-and-such. His master said, "How did you come to know it?" The boy told him how he had set about discovering it. He related: He then said, "O my nephew, you have got it correctly, but keep it to yourself—yet I don't think you actually will."

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Thereafter, whenever 'Abdallāh b. al-Thāmir came to Najrān and met any sick person there he would invariably say, "O servant of God, will you confess the unity of God and adopt my religion, so that [if you do], I may invoke the blessing of God and He will cure you of your affliction?" The sick person would assent. 'Abdallāh would thereupon proclaim God's unity, submit himself to God, and pray to Him, so that the man was then healed. In the end, there was no sick or maimed person left in Najrān who did not come to him and then followed him in his faith; 'Abdallāh invoked God's blessing on the sick person and he was healed. Ultimately, the news of his activity reached the king of Najrān. He sent for 'Abdallāh and accused him: "You have corrupted the people of my town and have set yourself against my religion and that of my forefathers; I shall inflict exemplary punishment on you!" 'Abdallāh replied, "You have no power to do that." The king set about dispatching him to a high mountain, from whose summit he was hurled down; but he fell to the ground totally unhurt. Next, the king had him consigned to some waters at Najrān, [deep] stretches of water out of which nothing had ever emerged alive. He was thrown into them, but again came out unharmed.

Having thus triumphed over the king, 'Abdallāh b. al-Thāmir told him, "By God, you will never be able to kill me until you confess the unity of God and believe in my own system of belief; but if you do that, you will be given power over me and will be able

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504. A total formalized in later Muslim piety as the "Ninety-nine Most Beautiful Names" of God. See *EP*<sup>2</sup>, s.v. al-*Asmā'* al-*ḥusnā* (L. Gardet).

to kill me." That king thereupon confessed the unity of God and bore witness to the creed of 'Abdallāh b. al-Thāmir. He then struck him with a staff he had in his hand, striking his head with a blow of only moderate violence, thereby killing him; but the king himself then dropped dead on the spot. The people of Najrān accepted the faith of 'Abdallāh b. al-Thāmir en masse, and henceforth held fast to the gospel and the law that Jesus son of Mary had brought. Subsequently, they were affected by the events that also affected their coreligionists. This was the origin of Christianity at Najrān.<sup>505</sup>

Such is the report of Muḥammad b. Ka'b al-Qurazī and another scholar of Najrān knowledgeable about that event; but God is the most knowledgeable [about all this].

He (i.e., Ibn Ishāq) related: Dhū Nuwās marched against them with his forces of the Ḥimyarites and the tribes of Yemen. He gathered the people of Najrān together, and summoned them to the Jewish faith, offering them the choice between that and being killed. They chose being killed, so he dug out for them the trench (*al-ukhdūd*). He burnt some of them with fire, slew some violently with the sword, and mutilated them savagely until he had killed nearly twenty thousand of them.<sup>506</sup> Out of them there es-

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505. There was pointed out to Halévy, at the ruins of the old town of Najrān, a mosque built at the tomb of 'Abdallāh b. Thāmir (*sic*), described to him as the first Muslim apostle to the district, although scholars in Najrān attributed the tomb to a saint of pre-Islamic times; see his "Rapport sur une mission archéologique dans le Yémen," 39–40. Today, there is a police post in the Wādī Najrān named Qaṣr 'Abdallāh b. Thāmir after the nearby supposed tomb of the *walī* of this name, see Beaucamp and Robin, "Le Christianisme dans la péninsule arabique d'après l'épigraphie et l'archéologie," 53–54 and n. 49.

506. Ibn Ishāq has more detail here than Ibn al-Kalbī on the actual martyrdom of the Christians. Although the Syriac *Book of the Himyarites*, composed in the second quarter of the sixth century and therefore very close to the time in question, i.e., 523, mentions nothing about the Christians being put in a pit, the recently discovered Syriac "new" letter of Simeon of Bēth Arshām specifically says that two thousand Christians were immolated when their church was burned. See Shahīd's edition, translation, and study, *The Martyrs of Najrān. New Documents*.

Dhū Nuwās's policy here may not have been one that he conceived and pursued entirely of his own accord, in isolation from outside considerations, given the possible background of his conversion to some form of Judaism (see n. 486 above). That it was aimed at countering an extension of Christian Abyssinian influence in Yemen is clear, but Dhū Nuwās may have looked for support in another quarter of Arabia. M. J. Kister has suggested, with impressive documentation, that the

caped only one man, called Daws Dhū Tha'labān, on one of his horses, who traveled through the sands until he threw his pursuers off.<sup>507</sup>

He related: I have heard a certain man from the people of Yemen say that the man who escaped from them was a man of Najrān called Jabbār (var. Ḥayyān) b. Fayḍ. He related: In my view, the more authentic of the two reports is that of the man who narrated to me that it was Daws Dhū Tha'labān.

Dhū Nuwās returned with his forces to Ṣan'ā' in the land of Yemen.<sup>508</sup> Concerning Dhū Nuwās and his troops, there narrated

Lakhmid king of al-Ḥīrah al-Mundhir III (on whom see nn. 362, 409 above) exerted a measure of control across Najd as far as Medina, with the backing of his suzerains the Sāsānids, for whom an 'āmil collected taxes in Medina at this time; see his "Al-Ḥīra. Some Notes on Its Relations with Arabia," 144–49. From this possibility of an alliance between the pagan Lakhmid rulers with the Jewish tribes in Medina, who were still dominant over the local Arabs of the Yathrib oasis during the first half of the sixth century, Shahīd and Altheim and Stiehl have gone on to suggest that Dhū Nuwās, in his anti-Abyssinian and anti-Christian policies at Najrān and in Yemen, looked to al-Mundhir for at least moral and perhaps diplomatic backing, given the apparent connection of the later Ḥimyarite kings' Jewish tendencies or sympathies with Medina (cf. the tale, in al-Ṭabarī, I, 901–905, pp. 164–70 above, of Tubān As'ad Abū Karīb's espousal of Judaism when passing through Medina, and also n. 486 above). Furthermore, Ḥamza al-Iṣfahānī, *Ta'rikh*, 113, states that "Dhū Nuwās halted at Yathrib when once he was passing through, and was favorably impressed by the Jewish faith. Hence he adopted it for himself, and the Jews of Yathrib incited him to attack Najrān and to inflict trials and tribulations on the Christians there." Al-Mundhir, for his part, would have welcomed an opportunity to counteract Abyssinian power over southwestern Arabia. See Nöldeke, trans. 183 n. 1; Bell, *The Origin of Islam in Its Christian Environment*, 36–39; Altheim and Stiehl, *Die Araber in der Alten Welt*, V/1, 359–61; eidem, *Christentum am Roten Meer*, I, 440–41; Shahīd, *The Martyrs of Najrān*, 266–68; Trimmingham, *Christianity among the Arabs in Pre-Islamic Times*, 297; Rubin, "Byzantium and Southern Arabia—the Policy of Anastasius," 401–402.

However, by the time the Abyssinians appeared in Yemen in 525 in order to avenge the Martyrs of Najrān and the Abyssinians slain in southwestern Arabia, al-Mundhir was in no position to offer any military help, being hard pressed by his rivals of Kindah and being shortly afterward ejected, albeit temporarily, from his own capital al-Ḥīrah by the Kindī al-Ḥārith b. 'Amr (see n. 362 above).

507. Dhū Tha'labān was the name of one of the eight great noble families of Ḥimyar, influential either during the period of independent Ḥimyarite royal power or during that of Abyssinian and then Persian domination over southwestern Arabia that followed the extinction of the Ḥimyarite ruling dynasty, Tha'lab being apparently a tribal name. Epigraphic evidence does support the fact that the Dhū Tha'labān came from the Najrān area. See Nöldeke, trans. 186 n. 1; *EP*<sup>2</sup>, s.v. al-Mathāmina (Chr. Robin).

508. Ṣan'ā' emerges into prominence only in the inscriptions of the third cen-



to us Ibn Ḥumayd—Salamah b. al-Faḍl—Muḥammad b. Ishāq, who said: God sent down to His Messenger the words "Slain were the Men of the Trench, with the fire abounding in fuel," to His words ". . . and God, the Mighty, the Praiseworthy."<sup>509</sup> It is said that 'Abdallāh b. al-Thāmir, their leader and imām, was among those whom Dhū Nuwās killed, but it is also said that 'Abdallāh b. al-Thāmir was killed before that event, killed by a previous ruler. He was the founder of that faith [at Najrān], and Dhū Nuwās only slew adherents of 'Abdallāh's religion who came after him.

[926] As for Hishām b. Muḥammad, he says that the royal power in Yemen was handed down continuously, with no one venturing to contest it until the Abyssinians (*al-Ḥabashah*) seized control of their land in the time of Anūsharwān. He related: The reason for their conquest was that Dhū Nuwās the Ḥimyarite exercised royal power in Yemen at that time, and he was an adherent of the Jewish faith. There came to him a Jew called Daws from the people of Najrān, who told him that the people of Najrān had unjustly slain his two sons;<sup>510</sup> he now sought Dhū Nuwās's help against

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tury A.D., although it doubtless had a history before that time. It was a *hgr/hajar* or town in what was at that time the petty kingdom of Samay, which was seemingly linked in some sort of federal arrangement with Saba'. It was a military center, as the probable connection of its name with the Sabaeen root *ṣ.n.*, "to fortify [a place]," indicates [al-Hamdānī, *Ṣifat jazīrat al-'Arab*, 81, states however that the town's ancient name was Azāl]. Ṣan'ā' seems to have reached a peak of importance within the wider Sabaeen state at this time, with one of its kings, Ilisharah Yaḥqab, named in Islamic sources (e.g., Yāqūt, *Buldān*, IV, 210). As builder of the famous castle of Ghumdān (see al-Ṭabarī, I, 928, p. 209 and n. 520 below). After this, Ṣan'ā' suffered a temporary eclipse when power shifted to the Ḥimyarite dynasty of the Tubba's, with their capital at Zafār (see n. 475 above). Neither Ṣan'ā' nor Ghumdān figure in inscriptions dating from the fourth to the sixth centuries. The town does nevertheless seem to have had within it during pre-Islamic times a *mḥrm/maḥram*, a place to which access was prohibited or restricted, usually for religious or cultic reasons, and R. B. Serjeant suggested that the pre-Islamic *hijrah* or sacred area of Ṣan'ā' may have existed in parallel with the Ḥaram of Mecca. One can therefore easily comprehend that the profanation of the Christian church built at Ṣan'ā' by Abrahah (see al-Ṭabarī, I, 934, 943, pp. 217, 232-33 below) was a particularly heinous action if, as seems possible, the church was situated in the *maḥram* area. See Shahīd, "Byzantium and South Arabia," 81-83; Beeston, "Pre-Islamic Ṣan'ā'," 36-38; Serjeant, "Ṣan'ā' the 'Protected', Hijrah," 39-40; *EP*, s.v. Ṣan'ā' (G. R. Smith).

509. See n. 489 above.

510. Nöldeke thought that, given the deeply rooted hostility of Eastern Christians toward Jews, this incident was perfectly possible.

them. The people of Najrān were Christians. Dhū Nuwās was a fervent partisan of the Jewish faith, so he led an expedition against the people of Najrān, killing large numbers of them. A man from the people of Najrān fled and in due course came to the King of Abyssinia. He informed the king of what the Yemenis had committed and gave him a copy of the Gospels partly burned by the fire. The King of Abyssinia said to him: "I have plenty of men, but no ships [to transport them]; but I will write to Qaysar (i.e., the Byzantine Emperor) asking him to send me ships for transporting the soldiers." Hence he wrote to Qaysar about this matter, enclosing the [partly] burned copy of the Gospels, and Qaysar dispatched a large number of ships.<sup>511</sup>

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511. Refugees from Najrān and the other places in Yemen and Ḥaḍramawt where there had been persecutions (the Syriac *Book of the Himyarites* mentions that these occurred in five towns, Ḥafār, Najrān, "the town of Ḥaḍramawt," Mārib, and [Ha]jjarēn, see Shahīd, "Byzantium in South Arabia," 38) probably managed to escape to Abyssinia and possibly to Byzantine territory at the head of the Red Sea. At all events, the news speedily reached the Christian kingdoms of Axum and Byzantium, and the sufferings of the Najrānites entered their martyrologies (the Ethiopian Church adopted into its calendar the commemoration of the *samā'tāta Negrān*, "witnesses of Najrān," on 26 November, see H. M. Hyatt, *The Church of Abyssinia*, 32). The martyrdoms at Najrān involved essentially, as noted in n. 487 above, the local Monophysites, and the Church of Abyssinia was also Monophysite. Byzantium was Melkite, and persecuted Monophysites within the empire's boundaries, but southwestern Arabia was sufficiently far from the imperial heartlands for theological niceties not to count in the face of a Judaizing ruler and his persecution of Christians. Justin halted his own persecution of the Monophysites within his dominions, and utilized the Monophysite Patriarch of Alexandria as his intermediary with the Abyssinian Najāshī or Negus in Axum.

Eventually, a fleet of some sixty merchant ships commanded by the Byzantine authorities set out from Adulis, the port of Axum on what is now the Eritrean coast under the leadership of the king in Axum, Kālēb, who had the regnal name of Ēlla Aṣbēha (rendered by Procopius, *The Persian War*, I.xx.1-13, as Hellēsthaios for Hellesbaïos, in a South Arabian inscription 'l'sbḥ). This was probably in the spring or summer of 525. Kālēb's activities as the restorer of Christianity in South Arabia were to earn him great renown within the church. After disposing of Dhū Nuwās, Ēlla Aṣbēha seems to have erected a victory inscription in Ge'ez, one which is unfortunately only fragmentarily legible, at Mārib. He very probably engaged in a campaign of revenge, slaughtering great numbers of Himyarites and destroying their idols and temples. He certainly embarked upon an extensive program of church building in southwestern Arabia, details of which are given in the Greek—possibly with a Syriac *Vorlage*—hagiographical *Vita Sancti Gregentii*, whose extensive information here has been made the subject of a close study by Irfan Shahīd. See Nöldeke, trans. 188 n. 1; Smith, "Events in South Arabia in the 6th Century A.D.," 454-55; Murad Kamil, "An Ethiopian Inscription found at

The narrative returns to that of Ibn Ishāq. There related to us Ibn Ḥumayd—Salamah—Muḥammad b. Ishāq—‘Abdallāh b. Abī Bakr b. Muḥammad b. ‘Amr b. Ḥazm, who related that there was a man from the people of Najrān in the time of ‘Umar b. al-Khaṭṭāb<sup>512</sup> who dug up one of the ruined sites of Najrān intending to utilize it, and found [the body of] ‘Abdallāh b. al-Thāmir inside a hollow there that had become filled with sand (*dafn, difn*). He was in a sitting position, with his hand covering the wound from the blow to his head, holding it firmly with that hand. When his hand was lifted off, the wound began to flow with blood, but when his hand was released, he placed it back on the wound and the flow of blood ceased. On [the finger of] his hand was a seal ring, with the inscription “My lord is God.” A report was sent to ‘Umar telling him the story, and he wrote back to them: “Leave him alone, and replace the grave that was over him,” so they did that.<sup>513</sup>

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When Daws Dhū Tha‘labān threw off his pursuers in this manner, he pressed onward until he came to Qayṣar, the ruler of al-Rūm.<sup>514</sup> He requested his help against Dhū Nuwās and his troops, and told him what his people had suffered from them. Qayṣar replied, however, “Your land is distant from our own and too remote for us to be able to reach it with our own armies, but I will write on your behalf to the king of Abyssinia, for he is a Christian also. He is nearer than us to your land, hence can give you aid, protect you, and exact vengeance on your behalf from those who oppressed you and who violently shed the blood of you and your coreligionists.”

Qayṣar sent back Daws with a letter to the king of Abyssinia in which was mentioned Daws’s moral entitlement to help and what he and his coreligionists had endured, and Qayṣar commanded the king of Abyssinia to provide Daws with succor and the means for

Mareb,” 56–57; Altheim and Stiehl, *Die Araber in der Alten Welt*, V/1, 385–91; eidem, *Christentum am Roten Meer*, I, 445–57; Shahid, “Byzantium in South Arabia,” 23–94.

512. That is, in the later 630s or early 640s.

513. This same story of the discovery of ‘Abdallāh b. al-Thāmir’s corpse is found in al-Hamdāni, *Iklīl*, 134–35, tr. 80–81.

514. Here the narrative returns to the account of Ibn al-Kalbī broken off by this parenthesis from Ibn Ishāq.

him to exact vengeance for these who had treated him and his fellow Christians wrongfully.

When Daws Dhū Tha'labān presented Qayṣar's letter to the Najāshī,<sup>515</sup> ruler of Abyssinia, the latter sent a force with Daws of seventy thousand Abyssinian troops, appointing as commander over them one of their number, an Abyssinian called Aryāṭ (? Arethas).<sup>516</sup> He laid upon him the following charge: "If you secure the victory over (i.e., Dhū Nuwās and the Yemenis), kill a third of their menfolk, lay waste a third of their land, and capture and enslave a third of their women and children." Aryāṭ set out with his troops. Among these last was Abrahah al-Ashram ("the man with a cut-off nose tip").<sup>517</sup> He crossed the sea with Daws Dhū Tha'labān until they landed on the coast of Yemen. Dhū Nuwās heard of their approach, and he collected together at his side Ḥimyar and those tribes of Yemen owing him obedience, but there were many dissensions and divisions in their ranks on account of the approaching end of the period [appointed by God], the suffering of hardships, and the coming down of punishment. There ensued no real battle and Dhū Nuwās was only able to engage in a certain amount of skirmishing, and then his troops were put to flight and Aryāṭ overran the land with his forces. Hence when Dhū Nuwās saw what had befallen him and his supporters, he headed his horse toward the sea; he whipped it onward and it went into the sea, bearing him through the shallows until it carried him into the deep water. He urged it onward into the open sea, and that was the last ever seen of him.<sup>518</sup>

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515. An accurate rendering of Ethiopic Nāgāsī, "ruler, king," used also as a regnal title (and Anglicized as Negus). The Najāshī figures extensively in the *Sīrah* of the Prophet, in the first place in connection with the two *hijrah*s of the first Muslims from Mecca to Abyssinia. See *EP*, s.v. al-Nadjāshī (E. van Donzel).

516. The reading of this name is uncertain; the manuscripts have Aryāṭ and Arbāt, but other renderings are possible. See the detailed discussion of the evidence from parallel Greek sources in Nöldeke, trans. 190 n. 3.

517. Abrahah is the Arabic form of Ethiopic Abrēha.

518. Dhū Nuwās seems in reality to have been killed by the Abyssinians in battle; this final touch about the mode of his death must be a romanticizing, South Arabian one, as remarked by Nöldeke, trans. 191 n. 2.

The events of the years immediately after Dhū Nuwās's death are somewhat obscure. De Blois has suggested that the Abyssinian invaders, having disposed of Dhū Nuwās, set up a puppet Christian king called Abraham (not to be confused with the subsequent Abrahah) and then withdrew the bulk of their troops back

Aryāt marched across Yemen with the Abyssinian army, killing a third of its menfolk, devastating a third of the countryside, and sending back to the Najāshī (the Negus) a third of the captured women and children. He remained there, imposing firm control over the land and reducing it to submission. A certain poet of the Yemenis has said, recalling how Daws Dhū Tha'labān had imposed upon them the yoke of the Abyssinians:

[Do not let anyone act] like Daws, and not like what he has fastened on his saddlebag [for us] (i.e., the yoke of the Abyssinians),

that is, what he brought down on them in the shape of the Abyssinians; this has remained a proverbial saying in Yemen up to this day.

Dhū Jadān al-Ḥimyarī<sup>519</sup> recorded the following lines, mentioning Ḥimyar and the humiliations it now suffered after its former

across the Bāb al-Mandab. But the Ethiopian hold in Yemen was clearly a precarious one, for within a short time, the anti-Abyssinian party in Yemen apparently got the upper hand once more, necessitating a second Abyssinian invasion at the end of 530 or the beginning of 531 on the pretext of Jewish persecution of the Christians there. This was once more successful. Procopius records the expedition, and his information is confirmed by the Ḥiṣn al-Ghurāb inscription (CIH 621) of 640 Ḥimyarite era/A.D. 530–31, in which a local potentate, the Christian Sumu-yafa' Ašwa', records the killing of a local king of the Ḥimyarites and his own subordination to the Ḥbšt: This local potentate is Procopius's Esimiphaïos, now appointed king of Yemen under Abyssinian suzerainty. In any event, Sumu-yafa' Ašwa' cannot long have reigned before the usurpation of the former slave Abrahah (see al-Ṭabarī, I, 930ff., pp. 212ff. below). All this implies that there were in fact three Abyssinian invasions of South Arabia within two decades: one ca. 518; a second one between 525 and 527, resulting in the overthrow of Dhū Nuwās and the setting-up of Abraham; and a third one at the end of 530 or beginning of 531 after Abraham's death and the temporary resurgence of the anti-Abyssinian party, resulting in the establishment of Esimiphaïos. See de Blois, "The Date of the 'Martyrs of Nagrān,'" 119–20. For other reconstructions of events at this time, see Smith, "Events in South Arabia in the 6th Century A.D.," 455–56; Altheim and Stiehl, *Christentum am Roten Meer*, I, 446–47; Robin, in *Supplément au dictionnaire de la Bible*, s.v. Sheba, II, col. 1143; *EP*<sup>2</sup>, s.v. Abrahā (A. F. L. Beeston).

The story of the persecutions of Najrān and the Abyssinian invasion appears also in Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 24–26 = ed. al-Saqqā et al., I, 37–39, tr. 17–18; idem, *Kitāb al-tijān*, 301–02; Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>3</sup>, XVII, 303–305.

<sup>519</sup> This poet, much cited by al-Hamdānī in his *Iklīl*, appears in Nashwān al-Ḥimyarī, *passim*, as 'Alqamah Dhū Yazan and 'Alqamah b. Dhī Jadān. This name would connect him with Dhū Jadān, one of the eight leading noble families of Ḥimyar (see n. 507 above and n. 585 below), specifically from the Mārib region. See

glory, and [recalling] the fortresses of Yemen Aryāṭ had destroyed, in addition to his devastation of the countryside, that is, Silhīn, Baynūn, and Ghumdān, castles without parallel among mankind.<sup>520</sup>

Gently, [O woman!]. Tears cannot bring back what has gone by,  
and do not destroy yourself out of grief, recalling those who  
are dead.

After Baynūn, of which there is no visible trace and vestige, and  
after Silhīn, shall men ever construct [such] buildings  
again?

Dhū Jadān al-Ḥimyarī further said in this connection:

Leave me alone [O woman], may you be deprived of your father!  
You will not be able to deflect me from my resolve—may  
God heap shame on you, you have dried up my spittle!  
When, to the music of singing girls, we became exhilarated by  
wine, and when we were given to drink the finest vintage,  
[that was indeed good].  
Consuming wine is no shame for me, since my drinking  
companion does not reproach me at all for it.

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al-Hamdānī, *Iklīl*, index s.v. (usually cited simply as "Alqamah"); O. Löfgren, "Alqama b. Dī Ḡadan und seine Dichtung nach der Iklil-Auswahl in der Bibliotheca Ambrosiana," 199–209; *EP*, s.v. al-Mathāmina (Chr. Robin).

520. These were former castles of pre-Islamic Yemen, and are mentioned as such by authors like al-Hamdānī in the eighth book of his *Iklīl* devoted to the castles and fortresses of South Arabia, and Nashwān al-Ḥimyarī. Silhīn or Salhīn, in South Arabian inscriptions *slhm*, was the royal palace of the kings of Saba' at Mārib, the minting center for Sabaeen coins and the building in South Arabia most frequently mentioned in the inscriptions. Ghumdān (*gndn*, *gmdn*) was originally the royal residence at Ṣan'ā' of the Dhū Gurat family, and is particularly frequently cited by the post-Islamic poets as an example of the transience of human achievement; in fact, although allegedly destroyed by the Abyssinian viceroy Abrahah or the Islamic caliph 'Uthmān, it was several times refortified in the early Islamic centuries. Baynūn is located by al-Hamdānī in the eastern part of the lands of the Banū 'Ans. See al-Hamdānī, *Iklīl*, 3–5, 12–21, 48–51, 54–57, tr. 8–9, 14–19, 36–38, 40; Nashwān al-Ḥimyarī, *Die auf Südarabien bezüglichen Angaben Našwāns*, 10, 50, 81; Yāqūt, *Buldān*, I, 535–36, III, 235, IV, 215–16; Müller, "Ancient Castles Mentioned in the Eighth Volume of Al-Hamdānī's *Iklīl* and Evidence of Them in Pre-Islamic Inscription," 141–43, 145–47; Serjeant and R. Lewcock, "The Church (al-Qalīs) of Ṣan'ā' and Ghumdān Castle," 44; *EP*, s.v. Ghumdān (O. Löfgren).

No one can hold back the onslaught of death, even though he were to drink a healing remedy together with sniffing a perfumed medicament.

Nor can any monk in a cell (*uṣṭuwān*, literally, doorway, portico)<sup>521</sup> whose walls [are so lofty that they] abut on to the nests (literally, "eggs") of the vulture.

[929]

Ghumdān, of which you have been told, and which they constructed with a high roof<sup>522</sup> on a mountain top, With skilled carpenter's (or smith's) work, and its lower part of hewn stone and choicest damp and smooth clay.

Lamps filled with oil shine forth within it at eventide, like the gleaming of lightning flashes,

Its date palms are planted up against it, and the fresh dates almost bend the branches down to earth with their clusters.

Yet this once-new fortress has now become a pile of ashes, and the consuming flames have transformed its former beauty.

Dhū Nuwās gave himself over to impending death, and he warned his people of the afflictions that were to come upon them.<sup>523</sup>

Ibn Dhi'bah al-Thaqafī<sup>524</sup> recited, remembering Ḥimyar when the black troops swept down on it and what the Ḥimyarite people suffered from them:

By your life, there is no escape for a man when death and old age overtake him!

By your life, a man has no open space to which he can flee, nor indeed, any refuge!

What moralizing example can there be, after what came upon Ḥimyar's tribes one morning,<sup>525</sup>

521. For this word (< Greek *stōa*), see Dozy, *Supplément*, I, 22.

522. Reading, with al-Azraqī, *Akhbār Makkah*, I, 87, *musmak<sup>an</sup>* (= text, n. a).

523. As Nöldeke observed, trans. 194 n. 1, the poet seems to echo the implication of the prose accounts by Ibn al-Kalbī and Ibn Ishāq, that Dhū Nuwās was inadequately supported by his own followers, hence willingly sought his own death.

524. Ibn Hishām's gloss in his *Sīrat al-nabī*, ed. Wüstenfeld, 27 = ed. al-Saqqā et al., I, 41, tr. 695, says that Dhi'bah was this poet's mother's name and that his own name was Rabī'ah b. 'Abd Yālīl b. Salīm. . . Nothing seems to be known about this poet of al-Ṭā'if.

525. One could alternatively translate, "Can there be anything after there came

Namely, a swift-moving gathering of warriors<sup>526</sup> and spearmen,  
 [gleaming in the sun] like the heavens just after rain,  
 With battle cries deafening the charging horses, and with their  
 putting to flight the warriors with their stink,<sup>527</sup>  
 Witches,<sup>528</sup> like the very grains of sand in number, who make  
 the tender branches of trees dry up [at their approach].

As for Hishām b. Muḥammad, he asserts that when the ships  
 sent by Qayṣar reached the Najāshī, the latter transported his  
 army by means of them, and the troops landed on the coast of al-  
 Mandab.<sup>529</sup> He related: When Dhū Nuwās heard of their approach,  
 he wrote to the local princes (*maqāwīl*)<sup>530</sup> summoning them to  
 provide him with military support and to unite in combating the  
 invading army to repel it from their land. But they refused, saying,  
 "Let each man fight for his own principedom (*maqwalah*) and re-

[930]

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upon Ḥimyar's tribes one morning a cause for bitter tears," reading, with the Cairo  
 edition, II, 127, *dhāt al-'abar* for the text's *dhāt al-'ibar*.

526. Following the emendation *bi-alb<sup>in</sup> ulüb<sup>in</sup>* of *Addenda et corrigenda*, p.  
 DXXI, cf. *Glossarium*, p. CLXXXVII.

527. Following the *bi-al-dhāfar* of text, n. e, as also in al-Azraqī and Ibn Hishām,  
 the black Abyssinian warriors are depicted, as often in early Islamic writings on  
 blacks, as stinking.

528. *sa'ālā*, *sa'ālīn*, pl. of *sī'lāt*, often regarded as the female counterpart of the  
 *ghūl*, a malevolent, demonic being, hence with the characteristics of a succubus or  
 sometimes as a *sāḥirah* or witch, enchantress. See G. van Vloten, "Dämonen,  
 Geister und Zauber bei den altern Arabern. Mitteilungen aus Djähitz' Kitāb al-  
 haiwān," Part I, 179-80; Wellhausen, *Reste arabischen Heidentums*<sup>2</sup>, 152; *EP*<sup>2</sup>, s.v.  
 *Ghūl* (D. B. Macdonald-Ch. Pellat).

529. That is, in the neighborhood of the Bāb al-Mandab, the straits connecting  
 the Red Sea with the Gulf of Aden and the Arabian Sea. South Arabian inscriptions  
 speak of *mdbn* and its *s<sup>1</sup>s<sup>1</sup>lt* or *s<sup>1</sup>s<sup>3</sup>lt* = *silsilah* (a chain across an inlet or harbor?) in  
 connection with the events of this period. Al-Hamdāni mentions only the Bāb al-  
 Mandab as a headland dangerous for seamen but locates a town of al-Mandab on or  
 near the Tihāmah coast at an unidentified spot between Farasān and the territory  
 of the Banū Majīd b. Ḥaydān; see his *Ṣifat jazīrat al-'Arab*, 67, 72, 205, 258, and cf.  
 *EP*<sup>2</sup>, s.v. Bāb al-Mandab (G. Rentz). Beeston, "The Chain of al-Mandab," 1-6,  
 discussed the passages of the Arabic historians and al-Hamdāni, and the mentions  
 in the Byzantine Greek *Martyrion Aretha*, regarding the chain of al-Mandab and  
 the landing(s) of the Abyssinians (since the latter source speaks of two landings, at  
 spots widely apart). He concluded that the reports of an actual chain are fully  
 historical, and thought that its location was at the Khōr Ghurayrah, the inlet  
 immediately behind the Cape of al-Mandab; see his map at p. 4.

530. Sing. *miqwal*, reflecting the *mqwl* of South Arabian inscriptions, with the  
 sense "prince, minor ruler," or the residence of such a ruler, and cognate with *qayl*  
 (on which see n. 473 above). See Beeston et al., *Sabaic Dictionary*, 110; Biella,  
 *Dictionary of Old South Arabic, Sabaean Dialect*, 449.



gion." When Dhū Nuwās saw that, he had a large number of keys made, and then loaded them on to a troop of camels and set out until he came up with the [Abyssinian] host. He said: "These are the keys to the treasuries of Yemen, which I have brought to you. You can have the money and the land, but spare the menfolk and the women and children." The army's leader said, "I will write to the king," so he wrote to the Najāshī. The latter wrote back to the leader ordering him to take possession from the Yemenis of the treasuries. Dhū Nuwās accompanied them until, when he brought them into Ṣan'ā', he told the leader, "Dispatch trusted members of your troops to take possession of these treasuries." The leader divided up his trusted followers into detachments to go and take possession of the treasuries, handing over the keys to them. [Meanwhile,] Dhū Nuwās's letters had been sent to every region, containing the message "Slaughter every black bull within your land." Hence they massacred the Abyssinians so that none were left alive except for those who managed to escape.

The Najāshī heard what Dhū Nuwās had done and sent against him seventy thousand men under the command of two leaders, one of them being Abrahah al-Ashram. When they reached Ṣan'ā' and Dhū Nuwās realized that he had not the strength to withstand them, he rode off on his horse, came to the edge of the sea and rushed headlong into it; this was the last ever seen of Dhū Nuwās.

Abrahah set himself up as ruler over Ṣan'ā' and its dependent districts (*makhālāf*),<sup>531</sup> but did not send any tribute or captured plunder back to the Najāshī. The latter was informed that Abrahah had thrown off his obedience and now considered himself an independent potentate. Hence the Najāshī sent against him an army commanded by one of his retainers called Aryāṭ. When Aryāṭ reached his camping place, Abrahah sent a message to him in these terms: "We are linked together by both the same homeland and the same faith, so we ought to look to the interests of our fellow countrymen and coreligionists who make up the troops who are with us respectively. So if you are agreeable, engage me in single combat, and whichever of us overcomes his opponent shall have the kingship, and the Abyssinians will not be killed because

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531. For *mikhālāf*, see n. 462 above.

of our quarrel." Aryāṭ agreed to this, but Abrahah planned to act deceitfully with him. They appointed a place where the two of them were to meet [for the fight], but Abrahah placed in an ambush for Aryāṭ one of his slaves called 'r.n.j.d.h (?), in a depression in the ground, near the spot where they were to fight. When they met together, Aryāṭ moved forward first and lunged at Abrahah with his spear; but the spear slipped from off Abrahah's head and sliced off the end of his nose, hence his nickname of al-Ashram. Then 'r.n.j.d.h rose out from the depression and lunged with his spear at Aryāṭ, piercing his body and killing him. Abrahah said to 'r.n.j.d.h, "Name your own reward!" The latter said, "I claim the right to sexual intercourse with every women of Yemen before her marriage with her husband." Abrahah replied, "I concede that to you." 'r.n.j.d.h continued to enforce this right<sup>532</sup> for a long period, until the people of Yemen rose up against him and killed him. Abrahah said, "The time has at last come for you to act as free men."<sup>533</sup>

[931]

News of the killing of Aryāṭ reached the Najāshī, and he therefore swore that he would not rest in his mind until he had shed Abrahah's blood and overrun his land. News of the Najāshī's oath reached Abrahah, and he accordingly wrote back to him, "O king, Aryāṭ was merely your slave, and I am your slave also, He advanced against me with the intention of weakening your royal power and of slaughtering your troops. I asked him to stop fighting me until until I might send an envoy to you, if, then, you should order him to desist from attacking me, [all right,] but if not, I would hand over to him all my power and possessions. However, he refused to accept anything except to make war on me. I thus attacked him and gained the upper hand over him. Any power that I possess is yours, but I have heard that you have sworn not to stop until you shed my blood and overrun my land. Hence I have now forwarded to you a phial of my blood and a leather bag of soil from my territory. By means of these, you will be able to secure release

532. Reading, with *Addenda et emendanda*, p. D XC I, *fa-ghabara* for the text's *fa-'abara*.

533. That is, for them no longer to support the dishonoring of their womenfolk. On the military struggle between Aryāṭ and Abrahah, see al-Ṭabarī, I, 943, p. 232 and n. 571 below.

from your oath. I ask for the completion of your favor upon me, O king, for I am merely your slave; any power and splendor which I have is your power and splendor." The Najāshī regarded him [once more] with favor, and confirmed him in his office.<sup>534</sup>

[932] The narrative returns to that of Ibn Ishāq. He related: Aryāt remained in Yemen for several years in the course of that period of his rule. Then Abrahah the Abyssinian, who was one of his troops, fought with him over the Abyssinian dominion in Yemen until the Abyssinians became split into two groups, with one faction joining up with each contender. Then one of them marched against the other. When the troops drew near and approached each other, Abrahah sent a message to Aryāt: "You will not wish to cause the Abyssinians to encounter each other in battle to the

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534. As described in n. 518 above, the invading Abyssinians set up in Yemen a ruler, Sumu-yafa' Ašwa', Procopius's Esimphaios, who was now subordinate to the Abyssinian king Ēlla Ašbēha, Procopius's Hellesthaios. Abrahah, originally the slave of a Greek merchant in Adulis, led a revolt of discontented Abyssinian troops against Sumu-yafa' in ca. 533, and replaced him as ruler in Yemen; subsequent expeditions sent in the next year or so by Ēlla Ašbēha, which must be the ones led by Aryāt in al-Ṭabarī's account here, were unable to dislodge Abrahah. After the Abyssinian king's death in ca. 536, the fait accompli of Abrahah's dominion in South Arabia was recognized by Ēlla Ašbēha's successors in Axum, but Abrahah would only agree to pay tribute to the Byzantine emperor Justinian I, as a remote suzerain unlikely to interfere in Yemeni affairs and trouble his untrammelled power there, rather than to the much closer Abyssinian king. In 657 Ḥimyarite era/A.D. 547-48, the celebrated inscription of Abrahah recording repairs to the Mārib dam mentions that he quelled a rebellion by a son of Sumu-yafa'. See Smith, "Events in South Arabia in the 6th Century A.D.," 459; Altheim and Stiehl, *Christentum am Roten Meer*, I, 449-51; Trimmingham, *Christianity among the Arabs in Pre-Islamic Times*, 300-301; *EP*<sup>2</sup>, s.v. Abrahā (A. F. L. Beeston).

The circumstantial detail in the accounts both of Ibn Ishāq and Ibn al-Kalbī regarding Abrahah's dealings with Aryāt and 'Atwadah/'Atūdah must be legendary additions to the basic fact of Abrahah's assumption of power in Yemen. Al-Azraqī, *Akhbār Makkah*, 88, in his account of these events, has some additional touches, such as the fact that the Najāshī's residence was in *arḍ Aksūm* in the land of the Ḥabash. Even by early Islamic times, the name of Axum had virtually disappeared from Arab consciousness. It is mentioned in poetry written about Abrahah's "Expedition of the Elephant" against Mecca, with Abrahah called Abū Yaksūm, and 'Adī b. Zayd speaks of the *āl Barbar wa-al-Yaksūm* = the Abyssinians, but when al-Hamdānī and Nashwān b. Sa'īd mentioned it, it must have been a term from the remote past. See 'Adī b., Zayd, *Dīwān*, 47 no., 5; Ibn Hishām, *Sirat al-nabī*, ed. Wüstenfeld, 39-40, 45 = ed. al-Saqqā et al., I, 61, 70, tr. 29, 33. Nöldeke, trans. 198 n. 2, took Barbar as a place name, the ancient form of modern Berbera = the coasts of Somaliland and Eritrea in general, and the name *Barbaria* for this is certainly found in the *Periplus of the Erythraean Sea*.

point that you destroy part of them, so come out against me and fight, and I will come out against you and fight, and whichever of us is able to smite his opponent, the latter's troops will come over and join the victor." Aryāt sent a reply: "You have proposed a just procedure, so come forth [against me]." Abrahah went forth; he was short, fleshy, and with a stout body, and held fast to the Christian faith. Aryāt marched out against him; he was a powerful, tall, and handsome man, and bore a spear in his hand. Behind Abrahah was a hillock that protected his rear, and concealed behind it was one of his slaves called 'Atwadah ('Atūdah). When the two contestants drew near to each other, Aryāt raised his spear and struck Abrahah's head with it, aiming at the top of his skull. But the spear-blow fell across Abrahah's forehead and split his eyebrow, eye, nose, and lip; for this reason, Abrahah was called al-Ashram. Abrahah's slave boy 'Atwadah sprang upon Aryāt from behind Abrahah and killed him. Aryāt's troops then went over to Abrahah, so that all the Abyssinians in Yemen rallied to his side. 'Atwadah said concerning the killing of Aryāt: "I am 'Atwadah—from a base stock—without a noble father or mother," meaning, "Abrahah's slave has killed you."

He related: Al-Ashram at this point said to 'Atwadah, "Choose what you wish, O 'Atwadah . . .<sup>535</sup> even though you have killed him; we have only now the responsibility for paying Aryāt's blood money." 'Atwadah replied, "My choice is that I should have the first opportunity for sexual intercourse with every bride from the people of Yemen before she enters the possession of her husband," and Abrahah granted him this. He then handed over the blood money for Aryāt. Everything Abrahah did was without the knowledge of the Najāshī, king of the Abyssinians.

When news of all that reached the latter, he became filled with rage and said, "He has attacked my own commander and killed him without any instruction from me!" He swore an oath that he would not leave Abrahah in power until he had overrun his land and cut off his forelock. When Abraham heard this, he shaved his head and filled a leather sack with the soil of Yemen, and then sent it to the Najāshī with the message: "O King, Aryāt was only

[933]

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535. According to the editor of the Cairo text, II, 129 n. 4, something has dropped out here.

your slave, and I am your slave too. We disputed about your command; both of us owed you obedience, but I was stronger in directing the affairs of the Abyssinians, firmer and more skillful in statesmanship regarding them. When I heard about the king's oath, I shaved my head completely, and I have dispatched to him a sack of the earth of Yemen in order that the king may put it under his feet and thus fulfill his oath." When this message reached the Najāshī, he showed Abrahah his favor [once more] and wrote back to him: "I confirm you in your office in the land of Yemen until such time as a further command of mine reaches you."

When Abrahah perceived that the Najāshī had shown him favor and had appointed him viceroy over the Abyssinian troops and the land of Yemen, he sent to Abū Murrah b. Dhī Yazan and took from him his wife Rayḥānah bt. 'Alqamah b. Mālik b. Zayd b. Kahlān. The father of Rayḥānah was Dhū Jadān. Abū Murrah had a son by her, Ma'dī Karib b. Abī Murrah. She now had a son by Abrahah, after Abū Murrah, Masrūq b. Abrahah and a daughter by him, Basbāsah. Abū Murrah fled from him. Abrahah remained in Yemen, while his slave 'Atwadah was for a long time exercising there the right Abrahah had conceded to him as 'Atwadah's chosen reward; but then a man of Ḥimyar or of Khath'am<sup>536</sup> attacked 'Atwadah and killed him. When Abrahah received the news of his death—and Abrahah was a magnanimous, noble leader, piously attached to his Christian religion<sup>537</sup>—he exclaimed, "The time is nigh for you, O people of Yemen, to have over you a man of solid judgment who is able to exercise the self-control appropriate to men of character. By God, if I had known, when I let 'Atwadah choose his own reward, that he would ask what he did, I would

[934]

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536. Khath'am b. Anmār were an Arab tribe of the Sarāt mountain region between al-Ṭā'if and Najrān, i.e., the hinterland of the modern province of 'Asir, although the tribe is not mentioned as such in the South Arabian inscriptions (personal communication from Professor Chr. Robin). As al-Ṭabarī relates, I, 936–37, pp. 221–23 below, Abrahah is said to have marched into their territory en route for Mecca at the time of the "Expedition of the Elephant," defeated the Khath'am, and compelled their chief to guide him along the road to Mecca as far as al-Ṭā'if. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Table 234, I, 45, 345; *EP*<sup>2</sup>, s.v. Khath'am (G. Levi Della Vida).

537. As Nöldeke remarked, trans. 200 n. 3, such an encomium hardly squares with Abrahah's long tolerance of 'Atwadah's behavior or with his own appropriation of Abū Murrah b. Dhī Yazan's wife.

never have allowed him the choice and would never have heaped favors on him in any way. I swear by God, there shall be no blood price exacted from you for his death, and you will not receive any untoward retribution from me for his death."<sup>538</sup>

He (Ibn Ishāq) related: Abrahah now built the cathedral church (*qalīs, qullays*) at Ṣan'ā—such a church as had never been constructed on earth in its time. He then wrote to the Najāshī, king of the Abyssinians: "O king, I have constructed for you a church whose like has never been built for any monarch before you. I shall not give up until I have diverted the Arab pilgrims to it."<sup>539</sup> When

538. Al-Ṭabarī has thus given two versions of Abrahah's rise to power and fame, those of Ibn al-Kalbī and of Ibn Ishāq, the two accounts being substantially in agreement. But in Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>3</sup>, XVII, 304–307, a fuller form of what was given by al-Dīnawarī in his *al-Akhbār al-ṭiwāl*, 62, there is a third account, in which Abrahah is a subordinate commander of 'Aryāt's, of low birth (*lā bayta lahu*), who kills Aryāt with a poisoned dagger. Abrahah's lowly birth may be the origin of Procopius's tale that Abrahah was originally a slave in Adulis. See Nöldeke, trans. 200 n. 4.

539. *qalīs, qullays*, via Syriac *qālēsā*, from Greek *ekklēsia*. The site of this famous church is still shown in Ṣan'ā as a large, shallow pit lined with courses of rubble masonry and called Ghurqat al-Qalīs/al-Qullays, and Serjeant and Lewcock saw no reason to doubt that this site, near the citadel, is indeed that of Abrahah's building, which had a west-east orientation like that of the Axumite churches of Abyssinia. Al-Azraqī, *Akhbār Makkah*, 88–90, citing information from "trustworthy Yemeni shaykhs at Ṣan'ā," provides a highly detailed and plausible account of the structure, stating that building stone for it was taken from the "palace of Bilqīs" at Mārib, and giving copious measurements of its various features. He further mentions a dome at what would have been the east end of the church, and in this last were two ornamented beams of teak (*sāj*) called Ku'ayb al-Aḥ.w.zī (this latter component of the name said to mean al-Ḥurr, "the free one," "in their language") and his wife, respectively, which were considered as objects of superstitious reverence. Shahīd has plausibly suggested that the dome covered a *martyrium* or shrine and that the images of Ku'ayb, and his wife were originally those of saints and martyrs, very probably those of Najrān: Ku'ayb's name might be a reminiscence of the Najrān martyr al-Ḥārith b. Ka'b and his wife could be the most celebrated women martyr of Najrān, Ruhaymah. Around the church was a large, open area to accommodate pilgrims and visitors, and corresponding to the Ḥaram around the Meccan Ka'bah. Al-Azraqī, op. cit., 91–92, further describes how the church continued in use by the Christian community of Ṣan'ā (which may have persisted up to the fourth/tenth century or beyond) until the 'Abbāsīd caliph al-Manṣūr ordered his governor in Yemen al-'Abbās b. al-Rabī' al-Ḥārithī to demolish it, a process that was accomplished but resented by the Ṣan'ānīs, both Christian and Muslim, because of their reverence for Ku'ayb and his wife. Hence Nöldeke's comment, trans. 201 n. 1, that Christianity put down only weak roots in Yemen and at the time of the coming of Islam to the province had left hardly any trace, is clearly wrong. See Bell, *The Origin of Islam in Its Christian Environment*, 39–41;

the Arabs fell to talking about Abrahah's letter to the Najāshī, one of the men charged with intercalating the calendar (*al-nasa'ah*) flew into a rage. He was one of the Banū Fuqaym, part of the larger tribal group of the Banū Mālik.<sup>540</sup> He set out until he came to the cathedral church and then defecated (*qa'ada*) in it, and then departed and reached his own land. Abrahah was informed about the incident and demanded, "Who perpetrated this deed?" They told him, "A man from that House at Mecca, to which the Arabs make pilgrimage, did it, because he had heard your words 'I shall divert the Arab pilgrims to it [i.e., the new cathedral].' He became enraged, came here, and defecated in it, aiming to show that it was not worthy of that purpose." Abrahah himself became full of ire and swore that he would march against the House and demolish it.<sup>541</sup>

Now Abrahah had in his retinue some men of the Arabs who had come to him seeking his bounty, including Muḥammad b. Khuzā'ī b. Ḥuzābah of the Banū Dhakwān and then of the larger tribal group of the Banū Sulaym,<sup>542</sup> together with a group of his

Trimingham, *Christianity among the Arabs in Pre-Islamic Times*, 304; Shahīd, "Byzantium in South Arabia," 81-83; Serjeant and Lewcock, "The Church (al-Qalis) of Ṣan'ā' and Ghumdān Castle," 44-48; Robin, in *Supplément au dictionnaire de la Bible*, s.v. Sheba, II, cols. 1192-93.

540. The Fuqaym b. 'Adī were a branch of Mālik of Kinānah, a Hijāzī tribe who dwelt in the vicinity of Mecca and from whom sprang Quṣayy, founder of Quraysh's fortunes in Mecca. They were said to have been entrusted, in pre-Islamic times, with the periodic intercalation of an extra month in the lunar calendar in order to make the *Hajj* or Pilgrimage coincide with the fairs and markets that accompanied it. If it had been true that Abrahah had planned to build up Ṣan'ā' into a pilgrimage center rivaling Mecca, the Banū Fuqaym's function as *nasa'ah* or intercalators would have become otiose. See Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 30 = ed. Saqqā et al., I, 44-47, tr. 21-22; Muḥammad b. Ḥabīb, *Kitāb al-muḥabbab*, 156-57; Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Table 47, II, 6, 247; Ibn al-Azraqī, *Akhbār Makkah*, 125; *EP*, s.v. Kināna (W. M. Watt), Nasī' (A. Moberg).

541. The Arabic sources have differing information on the location of Abrahah's church (Ṣan'ā', Najrān, or a place on the seashore) and on the person(s) desecrating it (Nufayl b. Ḥabīb al-Khath'amī, a man or men from Kinānah, etc.), but all of them point to Quraysh of Mecca being the real instigators of the desecration or burning of the church, Kinānah being of course closely connected with Quraysh. See Kister, "Some Reports Concerning Mecca. From Jāhiliyya to Islam," 63-65 [utilizing information from Ps.-al-Aṣma'ī, *Nihāyat al-arab fī akhbār al-Furs wa-al-'Arab*; see on this work n. 624 below].

542. Dhakwān b. Tha'labah were one of the three great subdivisions of the

fellow tribesmen, including his brother, Qays b. Khuzā'ī. While they were at Abrahah's court, there came round unexpectedly for them one of Abrahah's festivals. He sent along to them on that feast day some of his morning meal. Abrahah used to eat [animals'] testicles. When Abrahah's food was brought to them, they protested, "By God, if we eat this the Arabs will never stop blaming us for it as long as we live!"<sup>543</sup> Muḥammad b. Khuzā'ī arose and went to Abrahah, saying, "O king, today is our festival when we eat only the flank and forelegs [of beasts]." Abrahah replied, "We will send you what you like; I was only showing honor to you with food from my morning meal because of your high status in my eyes." He then crowned Muḥammad b. Khuzā'ī and appointed him governor over Muḍar. He further commanded him to go out among the [Arab] people and summon them to make pilgrimage to the cathedral, the church he had built. So Muḥammad b. Khuzā'ī went off until he reached a spot in the territory of the Banū Kinānah. Meanwhile, the people of Tihāmah<sup>544</sup> had received information about Muḥammad's mission and what he was aiming to do, so they dispatched against him a man of Hudhayl called

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powerful North Arab tribe of Sulaym b. Manṣūr, whose territories in Ḥijāz lay between Mecca and Medina, but bordered closely on Mecca, in both the pre-Islamic and the post-Islamic periods, Dhakwān were the closest Sulamī allies of the Meccans, intermarrying with some of the leading clans of Quraysh, including Umayya. Muḥammad b. Khuzā'ī b. 'Alqama b. Ḥuzābah, who is said here to have been appointed chief over the tribes of Muḍar for Abrahah and who, according to Ibn Sa'īd, was actually crowned by Abrahah, has a certain fame through being one of the few people who bore the name Muḥammad in the Jāhiliyyah. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Table 125, II, 18–19, 235, 517; Muḥammad b. al-Ḥabīb, *Kitāb al-muḥabbar*, 130; idem, *Kitāb al-munammaq*, 68–72; M. J. Kister, "Some Reports Concerning Mecca. From Jāhiliyya to Islam," 72; Lecker, *The Banū Sulaym. A Contribution to the Study of Early Islam*, 91–98, 108–19, *EP*<sup>2</sup>, s.v. Sulaym (Lecker).

543. The Arabs are reported to have been repelled by certain of the customs and food practices of the Abyssinians; cf. the poet of Hudhayl's revulsion from his Abyssinian wife cited by Nöldeke, trans. 203 n. 2. But it seems strange that the Arabs of that time should have objected to eating animals' testicles when, at the present day, they are eaten as a delicacy all over the Middle East.

544. That is, the lowland strip along the Red Sea shores, running the length of what was in later times the provinces of Ḥijāz, 'Asīr and Yemen. See Yāqūt, *Buldān*, II, 63–64; *EP*<sup>2</sup>, s.v. Tihāma (G. R. Smith; and for its pre-Islamic history, Robin, "La Tihāma yéménite avant l'islam: Notes d'histoire et de géographie historique," 222–33.



'Urwah b. Ḥayyād al-Milāṣī<sup>545</sup> who shot Muḥammad with an arrow and killed him. Muḥammad's brother Qays was with him, and when Muḥammad was killed, he fled and went to Abrahah, informing him of Muḥammad's death. This sent Abrahah into an even greater rage and fury, and he swore that he would lead an expedition against the Banū Kinānah and tear down the House [at Mecca].<sup>546</sup>

Hishām b. Muḥammad related, however, as follows: After the Najāshī had restored Abrahah to favor and had confirmed him in his charge, the latter built the church at Ṣan'ā'. He made it a marvelous building, whose like had never been seen before, using gold and remarkable dyestuffs and stains. He wrote to Qayṣar

545. The Milāṣ b. Ṣāhilah were a subdivision of Hudhayl. See Ibn al-Kalbī-Caskel-Strenziok, *Jamharat al-nasab*, I, Table 58, II, 407.

546. Abrahah's appointment of Muḥammad b. Khuṣay'ī and then his invasion of Hijāz, which later Meccan lore may have transformed into the "Expedition of the Elephant," seems to have been part of a far-reaching policy of extending Abrahah's dominion into central and even northern Arabia, confronting there Persia and its allies, through alliances with and favors to the Bedouin tribes of those regions. This was clearly the case with the expedition which he led into central Arabia in ca. 552, which included contingents from Kindah and Ma'add and which defeated the Lakhmid al-Mundhir III at Ḥulubān or Ḥalabān (see n. 409 above). The poet of al-Ṭā'if, Umayyah b. Abī al-Ṣalt, an older contemporary of the Prophet Muḥammad, speaks of "kings of Kindah, heroic warriors, fierce in battle, falcons" around Abrahah's elephant in the expedition of that name (*Sharḥ dīwān Umayyah*, ed. Sayf al-Dīn al-Kātib and Aḥmad 'Iṣām al-Kātib, 65; Bahjah 'Abd al-Ghafūr al-Ḥadithī, *Umayyah b. Abī al-Ṣalt, ḥayātuhu wa-shī'rūhu*, 337-38 no. 154; Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 40 = ed. al-Saqqā et al., I, 62, tr. 30). Procopius states that the Byzantine emperor tried to bring together in an alliance "Qays," specified in a parallel source as "the prince of Kindah and Ma'add," with the viceroy of the Abyssinians (cited by Nöldeke, trans. 204 n. 2).

It is clear that Abrahah's expedition to Mecca, whatever its place in the history and chronology of events at that time (see regarding this, n. 563 below), contained substantial bodies of Arab tribesmen as well as a core of Abyssinian troops, even though the account of Ibn Ishāq, in al-Ṭabari, I, 936, p. 222 below, speaks—for obvious reasons of Arab-Islamic national pride—of the attackers as being wholly Abyssinians and of the Arabs as solidly united against them in defense of the Holy House in Mecca. Abrahah received a welcome in al-Ṭā'if and assistance to find his way to Mecca (al-Ṭabari, I, 937, p. 223 below), and varying traditions on the expedition speak of participation in Abrahah's army by South Arab tribes like 'Akk, Ash'ar, Khath'am, Balḥārith, and Khawlān, and by North Arab tribes like Sulaym of Muḍar. Muḥammad b. Ḥabīb in his *Munammaq*, 70, refers to the incident of the Muḍarī troops recoiling from eating animals' testicles and implies that the food habits of North and South Arabs were different. See Kister, "Some Reports Concerning Mecca. From Jāhiliya to Islam," 67-73.

telling him that he intended to build a church at Ṣan'ā' whose traces and whose fame would last forever and asked for the emperor's aid in this. Qayṣar accordingly sent back to him skilled artisans, mosaic cubes, and marble.<sup>547</sup> When the building was completed, Abrahah wrote to the Najāshī that he planned to divert to it the pilgrims of the Arabs. When the Arabs heard that, they regarded it with perturbation and it assumed momentous proportions in their eyes. A man from the Banū Mālik b. Kinānah went off until he reached Yemen, entered the temple, and defecated in it. Abrahah's wrath was aroused, and he resolved to lead an expedition against Mecca and to raze the House to the ground. He set off with the Abyssinian army, including the elephant.<sup>548</sup> Dhū Nafar al-Ḥimyari encountered him in battle. Abrahah fought with him and captured him. Dhū Nafar pleaded, "O king, I am nothing but your slave, so spare me, for keeping me alive will be more useful to you than killing me"; so he spared him. Abrahah marched onward. Nufayl b. Ḥabīb al-Khath'amī opposed him, but Abrahah engaged him in battle, putting his supporters to flight and capturing him. Nufayl asked Abrahah to spare him; Abrahah agreed, and made him his guide in the land of the Arabs.

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547. This seems perfectly feasible, given that, in early Islamic times, the Umayyad caliph al-Walīd I asked the Byzantine emperor (presumably Justinian II) to send skilled artisans and mosaic cubes (*al-fusayfisā'*, from Greek *psēphos*, the word used here in al-Ṭabarī's text) for the decoration of the Umayyad Mosque at Damascus and the Prophet's Mosque at Medina. See H. A. R. Gibb, "Arab-Byzantine Relations under the Umayyad Caliphate," 51-56. As mentioned in n. 539 above, we have highly detailed descriptions of the interior decoration of Abrahah's church, which included a lavish use of tropical hardwoods, gold, silver, mosaic cubes, jewels, etc., in both the portico (*aywān*) of the church and the part of the basilica beneath the dome (*qubbah*). It is improbable that all this could have been achieved without outside assistance. The completed building must indeed have seemed like a wonder of the world, and explains the attachment of the local people, both Christian and Muslim, to it (see n. 539 above). As well as the account by al-Azraqī referred to in the above-mentioned note, see also the account of the church by the thirteenth-century Egyptian Coptic author Abū Ṣāliḥ al-Armanī (one which may possibly have been influenced by the author's knowledge of Egyptian church interiors), *The Churches and Monasteries of Egypt*, trans. B. J. A. Evetts, 300-302; Serjeant and Lewcock, "The Church (al-Qalīs) of Ṣan'ā' and Ghumdān Castle," 47.

548. Nöldeke, trans. 206 n. 1, cites the Byzantine historian John Malalas that the Abyssinian king rode one of the four elephants pulling the royal coach or cart. Whether Abrahah actually rode on the elephant here is not explicit.

The narrative returns to the account of Ibn Ishāq. He said: When Abrahah decided on an expedition against the House [at Mecca], he ordered the Abyssinians to prepare for the campaign and put themselves in a state of readiness, and he set out with the army and with the elephant. He related: The Arabs heard about this; they found the news alarming and were filled with fear at it. They regarded fighting in defense of the Ka'bah, God's Holy House, as a duty laid upon them when they heard of Abrahah's intention to tear it down. A man who was one of the nobles of the Yemenis called Dhū Nafar rose up against him and summoned his people, and those of the Arabs who responded to his call, to make war on Abrahah and oppose him strenuously in defense of God's House and in the face of Abrahah's intention of demolishing and reducing it to a pile of ruins. A certain number rallied to his side. He confronted Abrahah, but Abrahah attacked him, and Dhū Nafar and his followers were put to flight. He himself was taken prisoner and brought before Abrahah. The latter was on the point of killing him when Dhū Nafar said to him: "O king, don't put me to death, for it may be that my presence at your side could be more advantageous for you than killing me." Abrahah therefore desisted from killing him, but kept him in captivity by him, loaded with fetters; Abrahah was a magnanimous man.

[937] After this, Abrahah continued onward in accordance with his plan, intending to do what he had set out to do, until when he reached the territory of the Khath'am, he was opposed by Nufayl b. Ḥabīb al-Khath'amī with a force from the two component tribes of Khath'am, Shahrān, and Nāhis,<sup>549</sup> and others from the tribes of the Arabs who followed him. He attacked Abrahah, but the latter routed him. He was taken prisoner and brought before Abrahah. Abrahah intended to put Nufayl to death, but he pleaded with him: "O king, don't kill me, for I will act as your guide through the land of the Arabs; these two hands of mine are your sureties for the obedience and good behavior of the two tribes of Khath'am, Shahrān, and Nāhis." Hence Abrahah spared him and released

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549. In the genealogy of Khath'am, these two subdivisions were the descendants of two of the great-grandsons of Khath'am b. Anmār; the name of Shahrān survives today. See Ibn al-Kalbi-Caskel-Strenziok, *Jamharat al-nasab*, I, Tables 124-25, II, 345.

him. Abrahah went forth with Nufayl, the latter acting as his guide along the road until they reached al-Ṭā'if.<sup>550</sup> Mas'ūd b. Mu'attib came out with the men of Thaḳīf,<sup>551</sup> and addressed Abrahah: "O king, we are your servants, obedient and submissive to you, and you will not find us offering any resistance to you. This house of ours (they meant [the house of] Allāt) is not the House which you seek. You want the House which is at Mecca (they meant the Ka'bah), and we will send a man with you who will guide you." He therefore passed them over and did not molest them.

They sent with him Abū Righāl [as guide], and Abrahah departed, accompanied by Abū Righāl, until the latter brought him as far as al-Mughammis. As soon as he had conducted Abrahah thither, Abū Righāl died at that very place. The Arabs subsequently hurled stones at his grave; it is this grave at which people hurl stones at al-Mughammis [today].<sup>552</sup> When Abrahah en-

550. Muḥammad b. Ḥabīb, *Munammaq*, 68, says that Abrahah gathered together a force, "a motley crew of the evildoers of the Arabs" (*fussāq al-'Arab wa-ṭakhārīrihim*), mainly from Khath'am and the Banū Munabbih b. Ka'b b. al-Ḥārith b. Ka'b, said to have been traditionally hostile to the Ka'bah, and all under Nufayl's leadership. This author, at least, does concede that, *pace* Ibn Ishāq (see n. 546 above) Arabs were included in Abrahah's army.

551. As Mas'ūd b. Mu'attib says here, the shrine of the pre-Islamic goddess Allāt was located at al-Ṭā'if, and the popularity of its cult made it a considerable rival to that of Allāh in the Ka'bah of Mecca during pre-Islamic times, until the shrine was despoiled and destroyed at the surrender of al-Ṭā'if in 8/630.

'Urwah b. Mas'ūd was one of the leaders of the Aḥlāf group of Thaḳīf during the time of the Prophet's career, Aḥlāf were more inclined toward conciliation with the Prophet than the other group of Thaḳīf, the Banū Mālik. 'Urwah was in fact assassinated on his return from the Prophet's side in Medina, allegedly having become a secret Muslim working for the surrender of his town to the Muslims; he thereby earned the Islamic designations of *shahīd*, "martyr," and of *ṣaḥābī*, Companion of the Prophet. See Watt, *Muhammad at Medina*, 102-04; *EP*<sup>2</sup>, s.v. 'Urwa b. Mas'ūd (C. E. Bosworth).

552. Abū Righāl is at best a semilegendary figure, the story of whose guiding Abrahah to Mecca may have been elaborated as part of the anti-Thaḳafī bias of pietistic Muslim circles hostile to the role of prominent men of al-Ṭā'if within the Umayyad caliphate like 'Ubaydallāh b. Ziyād b. Abīhi and al-Ḥajjāj b. Yūsuf. However, the practice of stoning Abū Righāl's alleged burial place must have developed early, as attested to by mention of it in a verse of the Umayyad poet Jarīr (d. 110/728-29 or shortly thereafter) cited by al-Azraqī, *Akhhār Makkah*, 93. Al-Mughammis or al-Mughammas was a valley just off the Mecca to al-Ṭā'if road on the edge of the Ḥaram of Mecca. See al-Bakrī, *Mu'jam mā ista'jam*, III, 1248; Yāqūt, *Buldān*, V, 161-62; *EP*<sup>2</sup>, s.vv. Abū Righāl (S. A. Bonebakker) and al-Mughammas

camped at al-Mughammis, he sent forward one of the Abyssinians called al-Aswad b. Maqṣūd (or Maḥṣūd) with a troop of cavalry until al-Aswad reached Mecca, from where he sent back to Abrahah captured beasts taken from the people of Mecca, Quraysh, and others. Among these, Abrahah acquired two hundred camels belonging to 'Abd al-Muṭṭalib b. Hāshim, at that time the paramount chief and lord of Quraysh.<sup>553</sup> Quraysh, Kinānah, Hudhayl, and all the rest of the people who dwelled in the Holy Enclosure (*al-Ḥaram*) contemplated giving fight to Abrahah's forces, but then realized that they lacked the power to resist him, so renounced the idea.

[938] Abrahah sent Ḥunāṭah al-Ḥimyarī to Mecca and instructed him: "Ask who is the lord and noble leader of this territory, and then inform him that the king tells them, 'I have not come to make war on you, but have merely come to destroy the House. If you do not wish to defend it by force of arms, then there will be no need for us to shed your blood; and if he (i.e., 'Abd al-Muṭṭalib) does not intend to oppose us by fighting, then bring him back to me.'" Now when Hunāṭah entered Mecca, he asked who was the lord and noble leader of Quraysh, and was told that this was 'Abd al-Muṭṭalib b. Hāshim b. 'Abd Manāf b. Quṣayy; so he went to him and delivered the message Abrahah had commanded him to communicate. 'Abd al-Muṭṭalib replied, "By God, we don't want to fight with him, for we have no power to do so. But this is the Holy House of God and the House of His friend Ibrāhīm (Abraham)," or words to that effect, "and if He defends it against him, well, it is

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(ed.). The custom of stoning Abū Righāl's grave bears an obvious resemblance to the ritual stoning of Satan at Minā in the course of the Pilgrimage ceremonies. See *EP*, s.v. Djamra [F. Buhl-J. Jomier].

Nöldeke, trans. 208 n. 1, citing the anthology *al-Kāmil* of al-Mubarrad, noted that in post-Islamic times Hudhayl were still reviled for having guided the Abyssinians against the Ka'bah, but he considered this to be really an echo of the alleged role of Hudhalis in guiding Tubba' Tubān As'ad Abū Karib against the Ka'bah, see al-Ṭabarī, I, 903–904, pp. 168–69 above.

553. The importance of the figure of 'Abd al-Muṭṭalib, great-grandson of Quṣayy and grandfather of the Prophet, has doubtless been inflated by Islamic tradition. At the time of Abrahah, he was clan chief of Hāshim and probably the de facto leader of a group of Meccan clans who tried to negotiate with Abrahah if only to gain some advantage over their rivals within Mecca, but we have no solid information about his role here, and Nöldeke, 209 n. 1, was justly skeptical. See Buhl, *Das Leben Muhammads*, I, 113–16; Watt, *Muhammad at Mecca*, 30–33; *EP*, s.v. 'Abd al-Muṭṭalib (W. M. Watt).

His House and His sanctuary, whereas if He allows Abrahah to get possession of it, then, by God, we have no one who can defend it from him," or words to that effect. Ḥunāṭah told him, "You must come back [with us] to the king, for he has ordered me to bring you to him."

Ḥunāṭah accordingly set off with 'Abd al-Muṭṭalib, the latter accompanied by one of his sons, until they reached the army camp. 'Abd al-Muṭṭalib enquired after Dhū Nafar, who was a friend of his, and was directed to him, Dhū Nafar being however in confinement. 'Abd al-Muṭṭalib said, "O Dhū Nafar, have you any means of relief for the trouble which has come upon us?" The latter replied, "What relief is possible from a man held captive at the king's hand, one who expects to be killed at any moment (literally, "in the morning or evening")? I can't avail at all in regard to your misfortune, except that Unays, the keeper of the elephant, is a friend of mine. I will send a message to him and will commend you to him, put the case to him for helping you as strongly as possible, and ask him to try to arrange an audience for you with the king. So make your request to him, and Unays will intercede with him on your behalf as skillfully as possible for him." 'Abd al-Muṭṭalib responded, "That's as much as I can hope." Dhū Nafar accordingly sent a message to Unays, and came to him<sup>554</sup> saying, "O Unays, 'Abd al-Muṭṭalib is the chief of Quraysh and master of the Meccan caravan ('īr);<sup>555</sup> he feeds the people on the plains and the wild beasts on the mountain peaks.<sup>556</sup> The king has seized two hundred of his camels, so I ask permission for him to come before

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554. Following the suggested emendation of text, n. e, *fa-jā'ahu*.

555. Thus in text, in Ibn Hishām, *Sīrat al-nabī*, ed. al-Saqqā et al., I, 51, and in al-Azraqī, *Akhbār Makkah*, 94, but Ibn Hishām, op. cit., ed. Wüstenfeld, 33, trans. 25, has 'ayn, "well," i.e., the well of Zamzam in Mecca.

556. An allusion to the *rifādah*, the supplying of provisions to pilgrims and traders coming to Mecca for the *Hajj* and its attendant fairs. It was linked to another service, that of *siqāyah*, the supplying of water, *nabīdh* or date wine, and the semiliquid foodstuff *sawīq* to the pilgrims also. Both of these were said to have been established in Mecca by Quṣayy for the 'Abd al-Dār clan but usurped by the 'Abd Manāf clan, of whom Hāshim were a component. These rights are adduced by later Islamic authors as showing the nobility of the Prophet's clan in pre-Islamic times, but in reality must have been not so much philanthropic or social services as much as lucrative sources of revenue for the holders Hāshim. See Gaudefroy-Demombynes, *Le pèlerinage à la Mekke*, 89-101; Bosworth, "The Terminology of the History of the Arabs in the Jāhiliyya According to Khwārazmī's 'Keys of the Sciences,'" 31-33.

the king, and be as useful as possible on his behalf." Unays replied, "I'll do that." Unays spoke to Abrahah, saying, "O king, this is the chief of Quraysh, now in your court, who is seeking an audience of you. He is master of the Meccan caravan, and feeds the people on the plain and the wild beasts on the mountain peaks. So grant him permission to come before you, so that he may tell you his request, and treat him kindly!"

He related: Abrahah granted 'Abd al-Muṭṭalib an audience. Now 'Abd al-Muṭṭalib was an impressive, handsome, and well-built man. When Abrahah beheld him, he treated him with too great a respect and kindness to allow 'Abd al-Muṭṭalib to sit below him. Abrahah did not, however, want the Abyssinians to see him letting 'Abd al-Muṭṭalib sit at his side on his royal throne, so he came down from his throne and then sat on his carpet, bidding 'Abd al-Muṭṭalib sit on it also by his side. He then told his interpreter to ask him what was his request of the king. The interpreter passed these words on to 'Abd al-Muṭṭalib, and the latter said, "My request of the king is that he should give me back the two hundred camels of mine which he has seized." When the interpreter informed Abrahah of this, Abrahah told him through the interpreter, "You impressed me favorably when I saw you, but I went off you (*zahidtu fīka*) when you spoke to me. Do you speak to me about the two hundred camels which I seized, and brush aside a House that enshrines your religion and the religion of your forefathers, and which I have come to destroy, and say nothing to me about it?" 'Abd al-Muṭṭalib replied: "I am the owner of the camels; the House has a lord of its own who will defend it." Abrahah said, "He won't be able to defend it against me!" But 'Abd al-Muṭṭalib retorted, "That's your own affair; just give me back my camels!"

A certain learned scholar has asserted that 'Abd al-Muṭṭalib had gone to Abrahah, when the latter sent Ḥunāṭah to him, accompanied by Ya'mar<sup>557</sup> b. Nufāthah b. 'Adī b. al-Du'il b. Bakr b. 'Abd Manāt b. Kinānah, who was at that time chief of the Banū Kinānah, and Khuwaylid b. Wāthilah al-Hudhālī, chief of Hudhayl at the time. They offered Abrahah a third of the wealth (*amwāl*) of Tihāmah if he would go back home and not destroy the House, but

557. Following the correct reading for this name in Ibn Hishām, *Sirat al-Nabī*, ed. Wüstenfeld, 34 = ed. al-Saqā' et al., I, 52, trans. 25, and in al-Azraqī, *Akhbār Makkah*, 95, against the 'Amr of the text.

he refused. But God is more knowing [about the truth of this]. At any rate, Abrahah had meanwhile restored to 'Abd al-Muṭṭalib the camels he had seized.

When they left him, 'Abd al-Muṭṭalib went back to Quraysh and told them the news. He ordered them to go forth from Mecca and seek refuge on the mountain tops and in the defiles, fearing violent behavior from the [Abyssinian] army. 'Abd al-Muṭṭalib then arose and took hold of the door-ring, that of the door of the Ka'bah, and a group of Quraysh stood with him praying to God and imploring His help against Abrahah and his troops. 'Abd al-Muṭṭalib recited at the time when he took hold of the door-ring of the Ka'bah:

O Lord, I don't hope for any one but you against them!  
 O Lord, defend your sacred area (*ḥimā*) from them!<sup>558</sup>  
 Indeed, the enemy of the House is the one who is attacking  
 you!  
 Repel them lest they lay waste Your settlements!

Then he further recited:

O God, a servant [of God] defends his dwelling, so protect Your  
 dwelling places and their people (*ḥilālak*)!<sup>559</sup>  
 Let not their cross and their cunning craft (*miḥāl*) prevail over  
 Your cunning craft on the morrow!<sup>560</sup>  
 But if You do that (i.e., abandon them), then it may be  
 something which seems most appropriate [for You] and an  
 affair which appears best to you.

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558. In pre-Islamic Arabia, *ḥimā*, "protected area," denoted a stretch of pasture or hunting or other desirable land set aside for the use of a particular group and protected by that group. Many *ḥimās* gradually acquired taboos and a religious aura from tribal deities, hence by the time of the coming of Islam, the Ḥaram of Mecca had become the protected area par excellence. See Wellhausen, *Reste arabischen Heidentums*<sup>2</sup>, 105–09; Lammens, *Le berceau de l'Islam*, 60–64; *EP*, s.v. Ḥimā (J. Chelhod).

559. Reading thus for the text's *ḥalālak* (*ḥilāl* = pl. of *ḥillah*), following *Addenda et emendanda*, p. DXCII, and *Glossarium*, p. CXCIX, but with more or less the same meaning anyway.

560. Reading *ghadwān* for the text's '*adwān*, following *Addenda et emendanda*, p. DXCII. The verse echoes the Qur'ānic use of *miḥāl* "the cunning craft and force [of God]" in sūrah XIII, 14/13, God being depicted elsewhere in the Qur'ān (II, 47/54. VIII, 30) as "the best of those who use craft and guile, *makr*."



And if You do that, well, it is an affair which will complete your [divine] plan of action.

When some person comes to you seeking peace, we hope that

[941] You will act toward us in a like manner.

Then they turned back, having gained nothing but humiliation; perdition was coming upon them there.

I never heard of the most reprobate of men who desired glory and who then violated the sanctity of Your sacred enclosure (*ḥarām*).

They brought into action the assembled host of their land and the elephant, in order to capture and enslave members of your families.

They attacked your sacred area (*ḥimā*) with their cunning, out of sheer savagery (*jahl<sup>an</sup>*), and paid no heed to Your exaltedness.<sup>561</sup>

Then 'Abd al-Muṭṭalib let go of the ring of the door, the door of the Ka'bah, and set off with his companions of Quraysh to the mountain tops and sought refuge there, in expectation of what Abrahah was going to do in Mecca when he entered it. Next morning, Abrahah prepared to enter Mecca, got ready his elephant (whose name was Maḥmūd), and drew up his army. Abrahah was determined upon destroying the House and then returning to Yemen. When they drove the elephant forward, Nufayl b. Ḥabīb al-Khath'amī came up and stood by its flank. He then got hold of its ear and said, "Kneel, O Maḥmūd, and go [then] straight back whence you came, for you are in God's sacred territory!" Then he let go its ear; the elephant knelt down,<sup>562</sup> while Nufayl b. Ḥabīb made off at top speed and clambered up the mountain. The soldiers beat the elephant to make it get up, but it refused. They beat its head with a battle axe to make it get up, but it still refused. They stuck hooks into its soft underbelly and scarified it to make it rise, and yet again it refused. But then they turned it round to face back to Yemen (i.e., southward); it got up and trotted off. They

561. Nöldeke notes in text, n. *d*, that the last three verses of this poem are in a different meter (*wāfir*) from the rest of it (*kāmīl*) and that some manuscripts have rearranged the wording of these three verses to make them fit the *kāmīl* meter of the whole poem. Otherwise, one must assume that we have here verses from two separate poems, even though they share the same rhyme in *-ak/-ik*.

562. As pointed out by Nöldeke, trans. 213 n. 1, elephants do not kneel down like camels, a fact already recognized by one of the scholiasts of Ibn Hishām.

pointed it in the direction of Syria (i.e., northwards), and it behaved exactly the same. They pointed it in the direction of the east, and it again did likewise; but when they made it face Mecca, it knelt down.

God now sent down on them a flock of birds, like swallows, each bird bearing three stones like chick peas and lentils, one stone in its beak and two in its claws. Everyone whom the birds hit [with the stones] perished, although not all of them were in fact hit.<sup>563</sup> They retreated in haste along the road they had come,

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563. The description of the divine visitation on Abrahah's impious forces is connected, as here, by the compilers of the *Sīrah* of the Prophet and subsequent commentators with Qur'an, CV, *Sūrat al-Fil*. But as both Gordon Newby and Irfan Shahīd have noted, the connection is by no means explicit in the Qur'an. There is no mention in the *sūrah* of place or time, the object of the attack or the identity of the attackers themselves. Moreover, Shahīd adds that the agents of the destruction of the *aṣḥāb al-fil* are by no means clearly the birds of vv. 3-4, described there as sweeping down in flocks (*abābīl*) bearing *sijjīl*. These last have been traditionally interpreted as "stones of baked clay," and this meaning fits the context here and in the other two Qur'anic attestations of the word, cf. *EP*<sup>2</sup>, s.v. *Sidjdjīl* (V. Vacca-Ed.); but F. de Blois now suggests that *sijjīl* stems ultimately from the pre-Islamic religious tradition of North Arabia and may even have been in origin the name of a local deity, see his forthcoming "Ḥijāratun min sijjīl." The birds may have been scavengers after the work of destruction wrought by God Himself, if one follows the variant reading *yarmiḥim*, "[He] pelted them," for the accepted text *tarmiḥim*, "[they, i.e., the birds] pelted them." Shahīd doubts whether Muḥammad's Meccan audience could have been misled by assumptions about an event that had taken place only two generations previously. Instead, we must regard the later Islamic tradition as supplying a specific reference for what was, at the time of its revelation in Mecca, rather, an eschatological description of the imminence of punishment for unbelieving peoples. See G. H. Newby, "Abraha and Sennacherib: A Talmudic Parallel to the *Tafsīr* on *Sūrat al-Fil*," 433-34; I. Shahīd, "Two Qur'anic *Sūras*: *al-Fil* and *Quraysh*," 431, 433-44; and cf. R. Paret, *Der Koran. Kommentar und Konkordanz*<sup>2</sup>, 522.

Whatever the original significance and message of *Sūrat al-Fil*, rationalizing interpretations of the story of Abrahah's abortive expedition against Mecca arose early, mainly involving the explanation that the attacking forces were struck down by mass disease or by an epidemic, such as measles or smallpox mentioned by al-Ṭabarī, I, 945, p. 235 below, reducing Abrahah's combatant power and compelling a withdrawal. An explanation from smallpox was put forward in *ḥadīths* going back to the Successor 'Ikrimah (d. 105/723-24), and in one line of poetry cited by Ibn Ishāq from the verse written on the "Expedition of the Elephant" by Muḥammad's opponent in Mecca, 'Abdallāh b. al-Zibā'rā al-Sahmī (on whom, see Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>3</sup>, XV, 139-84), "Sixty thousand men (i.e., Abrahah's forces) did not return to their land, and their sick ones did not survive after the return home." See Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 39 - ed. al-Saqqā et al., I, 59, tr. 28; *EP*<sup>2</sup>, s.v. *al-Fil* (A. F. L. Beeston).

The historicity of some move by Abrahah against Mecca seems likely, given the undoubted fact that his sphere of military activity extended as far as central Ara-

asking Nufayl b. Ḥabīb to guide them along the way to Yemen. When Nufayl b. Ḥabīb saw what God had sent down on them as punishment, he said:

Where can a man flee, when God is pursuing [him]? Al-Ashram  
(i.e., Abrahah) is the conquered one, not the conqueror!

Ho, Rudaynah,<sup>564</sup> may greetings be upon you! When we went  
forth this morning, our eyes rejoiced at you!

A seeker after fire from among you came to us yesterday  
evening, but he was unable to get anything from us.

If you had been able to see, O Rudaynah—but you were not able  
to see it—what we saw in the vicinity of al-Muḥaṣṣab,<sup>565</sup>

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bia, where he aimed at countering an extension of Lakhmid power and, behind that, Persian influence, on the evidence of the well of Murayghān inscription dating from 662 Ḥimyarite era/A.D. 552–53 (a *terminus ad quem* for dating this campaign being, in any case, Abrahah's opponent al-Mundhir III of al-Ḥirah's death in 554; see further on this episode, n. 409 above). How much later than this date Abrahah could have mounted the "Expedition of the Elephant" is uncertain, but must have been very soon afterward. The inscription commemorating Abrahah's repair of the Dam of Mārib has the date 658 Ḥimyarite era/A.D. 548–49 (Smith, "Events in South Arabia in the 6th Century A.D.," 437–41), but Abrahah had to die and be succeeded in South Arabia by his two sons Yakṣūm and Masrūq before the appearance of the Persians in Yemen in 570 (see al-Ṭabarī, I, 945–46, pp. 235–36 below). It has been suggested that the campaign of Abrahah, with its victories over Ma'add and other tribes at Ḥulubān/Ḥalabān, and the victory of his Kindah and Sa'd-Murād confederates over the 'Amir b. Ṣa'sa'ah at Turabah, were either a preparation for an expedition against Mecca shortly afterward or the basis for a later tradition growing out of an Abyssinian expedition against Mecca. Going a step further, Altheim and Stiehl have very plausibly suggested that the Meccan expedition was actually part of the general operations of Abrahah in central Arabia during the course of 552. The chronological differences arising out of the fact that the Islamic tradition generally placed the Prophet's birth in the "Year of the Elephant," conventionally taken as A.D. 570, may not be unresolvable, since M. J. Kister, following H. Lammens, has pointed out the existence of traditions giving a different birth date for Muḥammad, including one in Ibn al-Kalbī that he was born twenty-three years after the "Expedition of the Elephant" and one going back to al-Zuhri which, by a computation involving the dates of various events mentioned in the *ḥadīth*, would fix the "Year of the Elephant" in 552, i.e., precisely the year the Ry 506 inscription records for Abrahah's military operations. See Lammens, "L'âge de Mahomet et la chronologie de la Sira," 211–12, and the full discussion in Kister, "The Campaign of Hulubān," 426–28.

564. That is, the poet is, in accordance with poetic convention, addressing a real or imaginary beloved, Rudaynah.

565. Literally, "ground strewn with pebbles," a valley near Minā through which the pilgrims pass on the return from Minā to Mecca at the end of the *Ḥajj*. On halting there, *taḥṣīb*, the act of throwing pebbles, is a recommended (*mustaḥabb*)

You would have exonerated me and praised my good judgment,  
 and not have grieved over what has passed and gone  
 between us.<sup>566</sup>

I praised God when I saw with my own eyes the birds, but  
 feared that the stones might be rained upon us.

All the people are asking for Nufayl, as though I owed the  
 Abyssinians a debt.<sup>567</sup>

As they retreated, the Abyssinian troops were continually falling by the wayside and perishing at every watering place (or halting place, *manhal*). Abrahah was smitten in his body; they carried him with them, with his fingers dropping off one by one. As each finger dropped off, there followed a purulent sore in its place, which exuded pus and blood, until they brought him to Ṣan'ā', with him looking like a newly born chick (i.e., plucked and emaciated). They allege that, as he died, his heart burst out from his breast.<sup>568</sup>

Al-Ḥārith related to me, saying: There related to us Muḥammad b. Sa'd—Muḥammad b. 'Umar<sup>569</sup>—'Abdallāh b. 'Uthmān b. Abī Sulaymān—his father. There also related to us from Muḥammad

action, and this may be a reminiscence of a pre-Islamic rite, perhaps one of lapidation. See al-Bakrī, *Mu'jam mā ista'jam*, IV, 1192; Yāqūt, *Buldān*, V, 62; Gaudefroy-Demombynes, *Le pèlerinage à la Mekke*, 303–304.

566. Taking *baynā* as standing for *baynanā*.

567. Nöldeke, trans. 214 n. 2, commented that these verses are ostensibly part of a longer poem but contain many details and features have no connection with the supposed circumstances of their composition. In fact, they correspond in verse form, rhyme, and, to some extent, in wording, to an old poem in the *Ḥamāsah* anthology of the 'Abbāsīd poet Abū Tammām, demonstrating that the verses have been modeled on this latter poem.

568. This tale of the manner of Abrahah's death is perhaps a transference to him of the army's being afflicted by disease, see n. 563 above. It is also given in Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 36 – ed. al-Saqā et al., I, 55–56, tr. 27, and al-Azraqī, *Akhbār Makkah*, 97–98, the latter author adding that some stragglers and deserters from the Abyssinian army, and other elements had been attached to it, came into Mecca and stayed there, working as laborers and camel herders. This seems quite feasible, but less so the *khavar* going back to 'Ā'ishah (born ca. 614) quoted by al-Azraqī, op. cit., 98, 103, according to which she saw the elephant's conductor and its groom as blind beggars for food in the center of Mecca. See Nöldeke, trans. 219 n. 1; also Muḥammad b. Ḥabīb, *Munammaq*, 73–76.

569. That is, the *khavar* here goes back to Muḥammad b. 'Umar al-Wāqīdī (d. 207/823), a member of the Medinan historical school who worked in Baghdad, and to his secretary and transmitter, Ibn Sa'd (d. 230/845). See Sezgin, *GAS*, I, 294–97, 300–301; *EP*, s.vv. Ibn Sa'd (J.W. Fück) and al-Wāqīdī (S. Leder).

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b. 'Abd al-Rahmān b. al-Salmānī—his father. There also related to us 'Abdallāh b. 'Umar b. Zuhayr al-Ka'bi—Abū Mālik al-Himyari—'Aṭā' b. Yasār. There also related to us Muḥammad b. Abī Sa'īd al-Thaqafī—Ya'lā b. 'Aṭā'—Wakī' b. 'Udus—his paternal uncle Abū Bazīn al-'Uqaylī. There also related to us Sa'īd b. Muslim—'Abdallāh b. Kathīr—Mujāhid—Ibn 'Abbās. Parts of some narratives are combined with others. They say: Al-Najāshī had dispatched Aryāṭ Abū Ṣaḥam<sup>570</sup> with a force of four thousand men to Yemen. He subjugated and conquered it. He gave rewards to the local kings but treated the poor with contempt. There arose a man from among the Abyssinians called Abrahah al-Ashram Abū Yaksūm. He invited the people to give him allegiance, and they responded, so he then killed Aryāṭ and seized control of Yemen.<sup>571</sup> He observed the local people getting ready, at the time of the festival, for pilgrimage to the Holy House [in Mecca], and asked, "Where are the people going?" They told him that they were making pilgrimage to God's House at Mecca. He enquired, "What is it made of?" They replied, "Of stone." He said, "What is its covering [*kiswah*]?" They responded, "The striped Yemeni cloth (*al-waṣā'il*), which comes from here."<sup>572</sup> Abrahah swore, "By the Messiah! I will certainly build for you something better than that!" So he built for them a house constructed from white,

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570. Thus in the text; the *Addenda et emendanda*, p. DCXII, prefer the reading Aṣḥam (which would mean "dust-colored, yellowish, tinged with black"). However, Nöldeke, trans. 215 and n. 2, states that Ḍaḥam or Ṣaḥam is the correct reading, as in the name of the Ethiopian king ʿĪlla Ṣaḥam.

571. What Ibn Sa'd says here of Aryāṭ's discriminatory policy as a cause of his downfall is confirmed by extra details in the *Nihāyat al-irab* (see for this n. 624 below): that Aryāṭ, a noble and nephew of the Najāshī, appropriated captured booty and other wealth for himself, the nobility, and chiefs of the Abyssinians. Abrahah, himself of servile origin, was thus able to champion the cause of the excluded rank and file and the lower classes, and was able to lead an uprising against Aryāṭ in the name of equality of treatment. See von Gutschmid, "Bemerkungen zu Tabari's Sasanidengeschichte, übersetzt von Th. Nöldeke," 738-40; Kister, "Some Reports Concerning Mecca. From Jāhiliyya to Islam," 61-63 n. 5.

572. For the *kiswah*, see al-Ṭabarī, I, 904, p. 169 and n. 433 above, where the Tubba' As'ad Abū Karib is said first to have covered the Ka'bah with Yemeni *ma'āfirī* cloth, Yemen being traditionally famed for its textiles. There seems to have been an ancient tradition in Arabia of covering *qubbahs*, i.e., tents and similar structures housing sacred objects, with materials like skins and cloth, according to H. Lammens, "Le culte des bétyles et les processions religieuses chez les Arabes préislamiques," 130-32, 138-42.

red, yellow, and black marble, and adorned it with gold and silver, and encompassed it with jewels. He provided it with doors made with sheets of gold and with golden nails, and set the space between them with jewels, which included an enormous ruby. He provided it with a covered sanctuary (*hijāb*), in which sweet-smelling aloes wood was continually burnt, and its walls were smeared with musk, thereby darkening the walls until the jewels [encrusted on them] appeared sunken. He gave orders to the people, and they made pilgrimage to it, as did a large number of the tribes of the Arabs over a period of years. There lived permanently within this temple men who made perpetual adoration and service to God there, and who devoted themselves to worshipping Him in it.

Nufayl al-Khath'amī was planning to do by stealth something unpleasant to it.<sup>573</sup> One night, when he saw no one moving around, he got up and took some excrement and smeared the apse of the high altar (*qiblah*)<sup>574</sup> of the temple with it, and he gathered together some putrefying animal carcasses and threw them into it. Abrahah was told about this; he became extremely angry and exclaimed, "The Arabs have only done this out of vexation on account of their own House; I shall certainly destroy it stone by stone!" He wrote to the Najāshī informing him of that and asking the Najāshī to send him his elephant Maḥmūd—this being an elephant unparalleled in the whole earth for its size, stout body, and strength. The Najāshī accordingly dispatched it to him.

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Once the elephant had arrived, Abrahah set out with his army, accompanied by the king of Ḥimyar<sup>575</sup> and Nufayl b. Ḥabīb al-Khath'amī. When he reached the Sacred Enclosure (*al-ḥaram*), he commanded his troops to raid the beasts of the local people. They captured some camels belonging to 'Abd al-Muṭṭalib. It happened

573. *kāna yu'arriḍu lahu*; for *arraḍa*, see *Glossarium*, p. cxi. Here we have a variation from the man of the Banū Fuḡaym who is responsible for the desecration of the church in Ibn Ishāq's account given by al-Ṭabarī, I, 934, p. 218 above, and, since Nufayl is said at I, 937, p. 223 above, to have guided Abrahah to al-Ṭā'if, a confusion also. Al-Dīnawarī, *al-Akhhbār al-ṭiwāl*, 62-63, has "a man from the people of al-Tihāmah" as the perpetrator of the deed.

574. The use here of the technical term *qiblah*, literally, "direction to be faced [in the Muslim worship]," is an anachronism.

575. The *malik Ḥimyar* must be Dhū Nafar, called at I, 936, p. 222 above, "one of the nobles of Ḥimyar."

that Nufayl was a friend of 'Abd al-Muṭṭalib's, so the latter spoke to Nufayl about his camels. Nufayl, therefore, spoke to Abrahah, saying, "O king, there has come to you the lord of the Arabs, the most distinguished of them in status and the foremost of them in nobility—he gives people swift horses as mounts, bestows largesse, and feeds whatever the wind blows along." He brought him into Abrahah's presence, and the latter said [to 'Abd al-Muṭṭalib], "What's your request?" 'Abd al-Muṭṭalib replied, "That you should give me back my camels." Abrahah retorted, "I consider what I have just heard from you nothing but fraud. I had expected that you would speak to me about your House, which is your source of nobility and pride." But 'Abd al-Muṭṭalib merely said, "Give me back my camels and do what you like with the House, for it has a lord who will protect it." So Abrahah ordered the camels to be returned to him.

When 'Abd al-Muṭṭalib took possession of them, he draped the soles of sandals round their necks, marked them as intended sacrifices, offered them as gifts [to the Sacred Enclosure], and let them scatter throughout the sacred enclosure. [He did that] so that if anyone of them should be seized [by the Abyssinians], the lord of the Sacred Enclosure would thereby become angered.<sup>576</sup> 'Abd al-Muṭṭalib, accompanied by 'Amr b. 'Ā'idh b. 'Imrān b. Makhzūm, Muṭ'im b. 'Adī and Abū Mas'ūd al-Thaqafī, went up to Mount Hīrā'<sup>577</sup> and recited:

O God, a man defends his dwelling, so protect your dwelling  
 places and their people (*ḥilālak*).  
 Let not their cross and their cunning craft overcome Your  
 cunning craft on the morrow.

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576. Qur'ān, V, 2, refers to the *qalā'id*, necklets hung round the necks of animals destined for sacrifice, *budn*, part of the *sha'ā'ir Allāh* mentioned in XXII, 37/36. There is also reference in V, 102/103, to various types of camel, including the *sā'ibah*, the *waṣīlah* and the *ḥāmī*, left to roam freely after dedication to God. See Wellhausen, *Reste arabischen Heidentums*<sup>2</sup>, 112ff.; EP, s.v. Bahira (A. J. Wensinck). Seizure by the Abyssinians of the camels released by 'Abd al-Muṭṭalib would thus incur Allāh's wrath.

577. Hīrā' or Harā' was a mountain outside Mecca mentioned later in the *Sīrah* as a place where Muḥammad, in the period before his public ministry, would spend time in *taḥannuth*, pious and ascetic exercises. See Buhl, *Das Leben Muhammads*, 132; Watt, *Muhammad at Mecca*, 40, 44; EP, s.v. Hīrā' (T. H. Weir-W. M. Watt).

But if You do abandon them and our *qiblah*, then it may well be something which seems best to You.

[945]

He related: The birds flew in from the sea successively. Each bird had three stones, two in its claws and one in its beak. They hurled down the stones upon the Abyssinian troops, and everyone who was hit suffered either a severe wound or else that spot erupted into blisters and pustules. (That was the first time that smallpox and measles and bitter shrubs appeared [in the land].) Thus the stones snuffed them out completely, and God sent a torrential flow of water, which swept them all away and hurled them into the sea.<sup>578</sup> He related: Abrahah and the survivors who were with him took to flight. Abrahah's limbs began to drop off one by one. As for Maḥmūd, the Najāshī's elephant, it lay down and would not venture<sup>579</sup> into the Sacred Enclosure and was thus preserved in safety; but regarding the other elephant, it ventured into the Sacred Enclosure and it suffered a hail of stones.<sup>580</sup> It is also said that there were thirteen elephants. 'Abd al-Muṭṭalib went down from Mount Ḥirā' and two of the Abyssinians came up to him, kissed his hand and said, "You were more knowledgeable [than us]."

There related to us Ibn Ḥumayd—Salamah—Ibn Ishāq—Ya'qūb b. 'Utbah b. al-Mughīrah b. al-Akmas, who said that the first time measles and smallpox were seen in the land of the Arabs was in that year, as also the first time for bitter shrubs like rue, colocynth, and gigantic swallow-wort<sup>581</sup> to be seen.

Ibn Ishāq says: On Abrahah's death, there succeeded to power in Yemen over the Abyssinians his son Yaksūm b. Abrahah. Abrahah's patronymic<sup>582</sup> was from him. Ḥimyar and the tribes of the Arabs submitted, and the Abyssinians treated them oppressively,

578. That is, a *sayl*, the torrent from a sudden rainstorm, typical of the erratic weather conditions in the mountains in the western part of the Arabian Peninsula. In Arabic lore, the sweeping away of peoples by such inundations is not infrequent; cf. the *sayl al-'arim*, "bursting of the dam by a torrent," in Qur'ān, XXXIV, 15/16. See Lammens, *Le berceau de l'Islam*, 23-25.

579. *wa-lam yashja' 'alā . . .*; for this verb, see *Glossarium*, p. CCCVI.

580. Following the text's *fa-ḥuṣība*; one might also read *fa-ḥaṣaba* "and it suffered blisters and pustules," as taken by Nöldeke in his trans. 209.

581. That is, *Asclepias gigantea* L.

582. That is, Abū Yaksūm. According to Nöldeke, trans. 219 n. 3, there exists a coin minted by Yaksūm.



taking their women as sexual partners, killing their menfolk, and employing their sons as interpreters between themselves and the Arabs.<sup>583</sup> He related: After God hurled back the Abyssinians from Mecca, and the latter received the punishment described above, the Arabs treated Quraysh with great honor, saying, "[They are] the people of God; God fought on their behalf and relieved them of the burden of their enemies.

He related: On Yaksūm b. Abrahah's death, there succeeded to power in Yemen his brother Masrūq b. Abrahah. The burden of oppression on the people of Yemen became protracted. The Abyssinian dominion in Yemen extending from the time when Aryāt came to Yemen until the Persians killed Masrūq and expelled the Abyssinians from the land, was seventy-two years. During that period, four kings ruled there successively: Aryāt, then Abrahah, then Yaksūm b. Abrahah, and finally, Masrūq b. Abrahah.<sup>584</sup>

[946]

Sayf b. Dhī Yazan al-Ḥimyarī, whose patronymic was Abū Murah, went forth until he reached the court of Qayṣar, king of al-Rūm.<sup>585</sup> He complained to Qayṣar about what they were suffer-

583. According to al-Dīnawarī, *al-Akhhbār al-tiwāl*, 63, Yaksūm was worse and more malevolent than his father, and his brother Masrūq yet more so. Yaksūm's tenure of power is very summarily treated in Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 41 = ed. al-Saqqā et al., I, 63, tr. 30; see also idem, *Kitāb al-tījān*, 303, cf. Krenkow, "The Two Oldest Books on Arabic Folklore," 229.

584. The seventy-two years given here for the Abyssinian domination must be a considerable exaggeration, since this rule extended in reality only from 525 to ca. 570, i.e., forty-five years. In Abū al-Faraj al-Isfahānī, *Aghānī*<sup>3</sup>, XVII, 311, the respective reigns of the Abyssinian governors are given: Aryāt, twenty years; Abrahah, twenty-three years; Yaksūm, nineteen years (thus also in al-Dīnawarī); Masrūq, twelve years; total, seventy-four years. Of these, that of Aryāt is much exaggerated; Abrahah was certainly still alive in 552, the date of the well of Murayghān inscription, itself five years after his Dam of Mārib inscription. This would leave eighteen years at most for the combined reigns of Yaksūm and Masrūq. Cf. Nöldeke, trans. 220 n. 3.

585. Yazan was one of the great families of South Arabia, accounted one of the Mathāminah, influential in Ḥaḍramawt and known (as Yz'n) in inscriptions from the mid-fifth century A.D. onward. It appears that their original center was in the Wādī 'Amāqīn area near al-Ḥawṭah in what became in modern times the hinterland of al-Mukalla. The family or clan appears in early times in association with the ancient Sabaeen family or clan of Gadan or Dhū Gadan. By the early sixth century, the Yazan probably dominated all the Ḥaḍramawt and the Zufār coastlands as well as the island of Socotra, whence their title of Dhū implying lordship. Members of the family served the last Tubba' Ma'dī Karib and then Dhū Nuwās as

ing, and asked hm to expel the Abyssinians and take over the rule there personally.<sup>586</sup> [He asked him to] send what forces he pleased of the Byzantines, and then the rule over Yemen would be his; but Qayṣar would not satisfy his plea, and he got none of the satisfaction he sought from Qayṣar.

So he set out again until he arrived at al-Nu'mān b. al-Mundhir's court at al-Ḥīrah. Al-Nu'mān was Kistrā's governor over al-Ḥīrah and adjacent parts of Iraq in the land of the Arabs.<sup>587</sup> Sayf b. Dhī Yazan now complained to al-Nu'mān about the oppression and humiliation the people of Yemen were suffering. Al-Nu'mān replied, "I have the obligation of paying a formal visit to Kistrā every year, so stay with me until the time for it comes round, and I will take you with me." He related: So he remained with al-Nu'mān until the latter set off on his visit to Kistrā, accompanied by Sayf b. Dhī Yazan. When al-Nu'mān came into Kistrā's presence and had completed his own business with the king, he mentioned to him Sayf b. Dhī Yazan and the reason for his coming to him, requesting an audience for him; Kistrā granted this. Kistrā was in his throne chamber (*aywān majlisihī*), where his crown was kept. This crown was like a huge grain measure (*qanqal*),<sup>588</sup> and set with rubies, emeralds, pearls, gold, and silver, and was suspended by a

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military commanders, and it was perhaps the connection with the latter that led to a tradition, given by al-Dīnawarī, *al-Akhhār al-ṭiwāl*, 63, that Sayf b. Dhī Yazan was a descendant of Dhū Nuwās. Little is in fact known about Sayf, whose activities as leader of the "patriotic" reaction against the Abyssinians in 570 fall outside the period for which we have epigraphic evidence; his role in later Arabic popular epic literature has no known historical basis. See M. A. Bafaqih, "New Light on the Yazanite Dynasty," 5-6; *EP*<sup>2</sup>, s.vv. Mathāmina (Chr. Robin), Sayf b. Dhī Yazan (J. P. Guillaume: entirely on the romance) and Yazan (A. F. L. Beeston).

<sup>586</sup>. The Byzantine emperor at this time was Justin II (r. 565-78). There is no record in Byzantine sources of this alleged embassy from South Arabia.

<sup>587</sup>. This is a patent confusion with the last Lakhmid, al-Nu'mān III b. al-Mundhir IV (r. ca. 580-602); the ruler in al-Ḥīrah at this time must have been 'Amr II b. al-Mundhir III (b. Hind) or his brother and successor Qābūs b. al-Mundhir III. See Rothstein, *Lahmidien*, 96-105. In Ibn al-Kalbī's version of these events (al-Ṭabarī, I, 950, p. 242, cf. n. 596 below), the conjecture is expressed that it was 'Amr b. Hind.

<sup>588</sup>. In al-Khwārazmī's *Mafātīḥ al-'ulūm*, 67, the *qanqal* is a large measure of capacity used in Iraq and equalling three thousand *raṭls*, i.e., two "equalised" *kurrs*, but the latter measure itself varied considerably even within the Sawād of Iraq. See Bosworth, "Abū 'Abdallāh al-Khwārazmī on the Technical Terms of the Secretary's Art," 148-49.

[947] golden chain from the top of the dome of that chamber. The crown was too heavy for his neck to bear, so he concealed himself in robes until he sat down on that throne; his head was inserted into the crown, and when he had settled down comfortably on his throne, the robes were whisked away from him. Everyone who saw him for the first time fell down on his knees out of awe for him, hence Sayf b. Dhī Yazan sank down on his knees when he entered his presence.

Then he addressed Kistrā, "O king, ravens have seized control over our land," and when Kistrā asked him, "Which ravens, those from Abyssinia or those from Sind?"<sup>589</sup> he replied: "The Abyssinians, and I have come to you imploring help against them and for you to expel them from our midst. You can then assume the royal power in my land, for you are more loved by us than them." Kistrā retorted, "Your land is far away from our own one, and your land is poor in resources: nothing there but sheep and camels, which are no use to us. I am not prepared to commit a Persian army to the land of the Arabs; there is no good reason why I should do this." However, he ordered Sayf b. Dhī Yazan to be given ten thousand dirhams of full weight, and gave him a fine robe of honor. Sayf b. Dhī Yazan took the money, went forth, and began distributing it wholesale among the people, so that boys, slave boys, and slave girls seized it avidly. Very soon, this was reported to Kistrā, who was told, "The Arab to whom you gave a sum of money is scattering the dirhams among the people, and slave boys, boys, and slave girls are scrambling for them." Kistrā said, "There is something strange about this man, bring him back to me!" When Sayf b. Dhī Yazan came into his presence, Kistrā said, "This is what you do with a royal gift! You distribute it among the people?" Sayf b. Dhī Yazan responded, "And what exactly should I do with the king's gift, when the mountains of the land from which I have come are composed wholly of gold and silver?"<sup>590</sup> [He said this] in order to excite the emperor's cupidity, when the latter saw how little Sayf

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589. Since the ancient Persians tended to classify all blacks as "Indians," a usage taken over by Greek and Syriac writers, there arose uncertainty over the geographical extent of "India," which could cover South Arabia and the Horn of Africa. Cf. Nöldeke, trans. 222 n. 2.

590. Sayf b. Dhī Yazan's boast reflects the ancients' belief that South Arabia was a land of famed wealth, with its precious metals, perfumes and aromatic substances, etc.

was concerned about the money. [He continued] "I have only made my way to the king that he might preserve me from tyranny and relieve me of humiliation." Kisrā said to him, "Remain here with me while I consider your case;" so he remained at Kisrā's court.

Kisrā assembled his Marzbāns and the sagacious advisers he was wont to consult, and said, "What do you think about this man and the proposition he has made?" One of them said, "O king, you have in your prisons various men whom you have incarcerated in preparation for killing them. Why don't you send them back with Sayf? If they perish, well, that is the fate you ordained for them, and if they gain control of his land it will be an additional kingdom to your own." Kisrā exclaimed, "That's a good idea. Count for me how many men there are in my prisons." These men were counted up, and they found a total of eight hundred men in the prisons. Kisrā then ordered, "Find out the man among them with the best achievements and lineage, and make him the commander over them." They found that the best person qualified in these respects was Wahriz, who was a man of mature years.<sup>591</sup> Kisrā accordingly sent him with Sayf and appointed him commander of his troops.

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591. In the Arabic sources, noble birth from various origins is attributed to Wahriz, e.g., in Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 43 = ed. al-Saqqā et al., I, 65, trans. 31, that he was of advanced age and of good, unspecified, family; in al-Dīnawarī, *al-Akhbār al-tiwāl*, 63-64, that he was a centenarian (!) and the son of Kānjār, of noble stock but imprisoned because he had taken to highway robbery; in al-Mas'ūdī, *Murūj*, III, 163 = § 1016, that he was the Ispabadh of Daylam; and in Ḥamzah al-Iṣfahānī, *Ta'rikh*, 52, that he was from the progeny of Bihāfarīdūn, son of Sāsān, son of Bahman, son of Isfandiyār. It would be usual for the commander of an expedition to be of high rank and birth, but unlikely that his troops, if they had been rescued from incarceration and the threat of death, to have been of good stock also, as is asserted by a poet of Ḥaḍramawt cited by al-Mas'ūdī, op. cit., III, 164 = § 1017 ("... from the clan of Sāsān and the clan of Mahrasan") and by Ḥamzah al-Iṣfahānī, loc. cit. Such claims to exalted origins were doubtless fabricated by or for the descendants of the Persians in Yemen, the so-called Abnā', the children of unions between Persians and Arabs in Yemen. Cf. Nöldeke, trans. 223 n. 2. But in any case, these reports from Ibn Ishāq and Ibn al-Kalbī (for the latter report, see al-Ṭabarī, I, 952-53, pp. 244-45 below) that Yemen was conquered by a force of gaolbirds and desperadoes must be regarded as romantic accretions to the real story of the Persian expeditionary force to Yemen as mentioned at I, 899, p. 160 above: that Wahriz's force was composed of tough Daylamī mountaineers, recruited as mercenaries for the specific task, see n. 405 above.

Wahriz/Wahriz is presumably MP *Weh-rēz*, "having a good abundance," see Justi, *Namenbuch*, 340. It seems, however, to have been in origin a title rather than a personal name; Procopius, describing an expedition sent by the emperor Kawād I into Georgia and Lazica, calls its commander a "Persian" named Boēs, who had the

He provided them with eight ships, each one carrying a hundred men plus equipment and supplies for the sea voyage. However, when they got out into the open sea, two of the ships foundered with everyone and everything in them. But six ships safely reached the coast of Yemen in the region of Aden, and these contained six hundred men, including Wahriz and Sayf b. Dhī Yazan. When they were safely on dry land in the land of Yemen, Wahriz said to Sayf, "What resources do you have?" Sayf replied, "As many Arab soldiers and Arab horses as you wish; I will put my leg on your leg [over this], so that we either die together or conquer together." Wahriz said, "You have spoken fairly and eloquently." Sayf now brought to Wahriz's standard those of his people who were in his obedience.

Masrūq b. Abrahah heard about their arrival. He assembled round himself the Abyssinian army and marched against them. The two armies moved close to each other and encamped in close proximity to each other. Wahriz now sent one of his sons called Nawzādh<sup>592</sup> with a cavalry detachment and instructed him, "Engage in skirmishing with the enemy army, so that we may get to know their mode of fighting." Nawzādh sallied forth and engaged in some skirmishing with them, but then got himself into a spot from which extrication was impossible, and the enemy killed him. This aroused Wahriz to a frenzy of rage and made him more determined to fight them. When the opposing troops were drawn up in ranks against each other, Wahriz said, "Point out their king for me." They replied, "Do you see a man on an elephant, with a crown on his head and a red ruby on his forehead?" He said, "Yes," and they retorted, "That's their king!" He said, "Leave him alone for the present," and they waited a long time. Then he said, "What's he riding?" They replied, "He has mounted a horse now." He said, "Leave him alone," and again they waited a long time. He said, "What's he riding now?" They replied, "He has mounted a mule now." Wahriz said, "A wild ass's filly! He is a weak individual, and so is his kingdom! Are you listening to me properly? I am going to shoot at him. If you observe his guards still standing

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title Ourazēs, which would appear to be \*Wahriz. See *EP*<sup>2</sup>, s.vv. Daylam (V. Minor-sky), and Wahriz (C. E. Bosworth).

592. That is, "the newborn." See Justi, *Namenbuch*, 227.

around and not moving, then stand fast until I give you the command [to advance], for I shall have missed him. But if you see the enemy troops crowding round him and sticking close to him, I shall have hit the man, so launch an attack on them!" Then he strung his bow (according to what has been asserted, none but Wahriz himself could bend it because of its strength). He ordered his eyelids to be fastened up,<sup>593</sup> placed an arrow in his bow, braced the bow as widely as possible until, when it was fully extended, he released it. The arrow struck the ruby on Masrūq's forehead, and penetrated through his head, coming out at the nape of the neck. Masrūq was thrown backward from his mount, and the Abyssinians crowded round him closely. The Persians charged at them, and the Abyssinians were defeated. The Persians made great slaughter, and groups of the Abyssinians fled in all directions.<sup>594</sup> Wahriz advanced against Ṣan'ā' with the intention of entering it, but when he reached the city gate, he said, "My banner shall never enter [a town] lowered! Break down the gateway!" The gateway of Ṣan'ā' was accordingly demolished, and he then entered it with his banner raised high and borne in front of him.

Once he had secured dominion over Yemen and had expelled the Abyssinians from it, Wahriz wrote to Kisrā, "I have subdued Yemen for you and have driven out those Abyssinians who occupied it," and he forwarded to him wealth. Kisrā wrote back ordering him to set up Sayf b. Dhī Yazan as ruler of Yemen and its territories, and he imposed on Sayf the responsibility for the poll

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593. That is, because the muscles of his eyelids were relaxed with old age and drooped over his eyes. A similar explanation is given for the name of a Persian commander in the fighting with the Arabs during the caliph 'Umar I's reign, one Dhū al-Ḥājjib ("the man with the eyelid") Mardānshāh; see al-Balādhurī, *Futūḥ*, 251. This seems to have been something of a topos in early Arabic, since a leader of the Banū 'Amir b. Ṣa'ṣa'ah at the late sixth-century "Day of Jabalah" (see *EP*<sup>2</sup>, s.v. *Djabala* [F. Buhl-R. H. Headley]) is likewise said to have had his eyelids falling into his eyes. See *Naqā'id Jarīr wa-al-Farazdaq*, II, 657, 1062, and cf. Caskel, *Aijām al-'arab. Studien zur altarabischen Epik*, 36.

594. As noted by Nöldeke, trans. 226 n. 2, the story of Wahriz's battle with Masrūq is also given by Ibn Qutaybah, *Uyūn al-akhbār*, I, 149, from "the books of the Persians." In his account, Wahriz has drawn from his quiver an arrow of good omen with his wife's name inscribed on it, which he interprets as Persian *zanān*, "women," and then as the exhortation *zan ān*, "strike that [person]," hence he launches the arrow at Masrūq and kills him, as al-Ṭabarī relates. Cf. also Siddiqi, *Studien über die persischen Fremdwörter*, 81.

[950] tax and the land tax, which he was to send to Kistrā annually as fixed sums. He also ordered Wahriz to return to him, and Wahriz did this. Sayf b. Dhī Yazan was thus appointed as ruler over Yemen as his father Dhū Yazan, one of the kings of Yemen, had been [before him]. This is what Ibn Ḥumayd transmitted to us—Salamah—Ibn Ishāq concerning the affairs of Ḥimyar, the Abyssinians and their rule, and Kistrā's dispatch of an expedition to attack the Abyssinians in Yemen.<sup>595</sup>

As for Hishām b. Muḥammad, he relates as follows. After Abraham, there reigned Yaksūm and then Masrūq. He related: It was this last whom Wahriz killed in the reign of Kistrā, son of Qubādh, and then expelled the Abyssinians from Yemen. He related: Among what he related is that Abū Murrah al-Fayyāḍ Dhū Yazan was one of the nobles of Yemen. He had a wife Rayḥānah bt. Dhī Jadan, and she presented him with a boy, whom he called Ma'dī Karib. She was beautiful, hence al-Ashram took her away from Abū Murrah and forced her to marry him. Abū Murrah departed from Yemen and came to one of the kings of the house of al-Mundhir—I think it was 'Amr b. Hind<sup>596</sup>—and asked him to write on his behalf to Kistrā, asking him to tell Kistrā about his high worth, his nobility, and his aid and support for Kistrā in any affair in which he could help the emperor. [The ruler in question from the house of al-Mundhir] replied, "Don't act rashly; I am obliged to visit Kistrā each year, and the time for this is so-and-so." Hence Abū Murrah remained at his court until he accompanied the ruler on his visit to Kistrā. 'Amr b. Hind went into Kistrā's presence; he mentioned to him Dhū Yazan's nobility and lofty estate, and sought permission for him to have an audience of the emperor. Dhū Yazan went in, and 'Amr made space for Dhū Yazan to go before him. When Kistrā observed that, he realized that 'Amr could have treated Dhū Yazan thus in his presence only out of regard for his nobility. When Dhū Yazan went up to the emperor, the latter treated him kindly and questioned him in a friendly way, saying to

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595. The story of Sayf b. Dhī Yazan's appeal to Kistrā and the Persian conquest of Yemen by Wahriz and his force is given by Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 41–45 = ed. al-Saqqā et al., I, 64–70, tr. 30–33; idem, *Kitāb al-tijān*, 306–309, cf. Krenkow, "The Two Oldest Books on Arabic Folklore," 229.

596. As noted in n. 587 above, Ibn al-Kalbī's conjecture here is probably correct.

him, "What has brought you here?" Dhū Yazan replied, "O king, the blacks have seized from us power over our own land, and have committed things so frightful that my respect for the king makes me shrink from mentioning them. If the king were to offer us help without our having to ask for it [formally], that would be appropriate for him because of his excellence, nobility, and preeminence over the rest of monarchs; why should this not be so, when we have made our way toward him, full of expectations regarding him, hoping that God will smash our enemies, aid us against them, and procure for us revenge over them? If the king sees fit to make our speculations come true, fulfill our hopes and send back with me an army that will eject this enemy from our land so that he may add it to his own kingdom—for it is one of the most fertile of lands and most amply endowed with resources, not like the region of the Arabian peninsula bordering on his empire [at present]—he may do all this."

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Kistrā replied. "I know well that your land is as you describe it, but which blacks are they who have conquered it, the Abyssinians or the Sindīs?" Dhū Yazan said, "The Abyssinians." Anūsharwān said, "I would certainly like to make your speculations come true, and would like to enable you to go back home with your request fulfilled; but the way to your land is difficult for the army, and I would not like rashly to commit my troops. But let me think about your request. Meanwhile, you can stay here with whatever you like." He ordered Dhū Yazan to be given suitable lodging and to be treated hospitably. He remained at Kistrā's court until he died. Abū Murrah had composed an ode in the Ḥimyaritic language in which he eulogised Kistrā. When it was translated for him, he was delighted with it.<sup>597</sup>

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597. The South Arabian language had, in fact, been in steep decline long before this time, and the story of Abū Murrah being able to compose a poem in the "Ḥimyaritic language" must be apocryphal. Chr. Robin, basing himself on such indications as a perceptible decline in the quality and correctness of the Sabaeen language in the later inscriptions, believes that it had yielded place, as a spoken tongue, to early (North) Arabic by the fourth century A.D. Hence after ca. 400 it was only a learned language, increasingly poorly known, although still used epigraphically for a further century and a half. The latest dated inscription so far discovered, CIH 325, comes from 669 of the Ḥimyarite era, hence A.D. 559-60, although some graffiti found in northern Yemen at Umm Laylā north of Ṣa'dah, in North Arabic language and South Arabian script, probably date from the beginning



Rayḥānah bt. Dhī Jadan gave birth to a son of Abrahah al-Ashram's, whom he called Masrūq. Ma'dī Karib b. Dhī Yazan grew up with his mother Rayḥānah in Abrahah's house. One of Abrahah's sons satirized him, and said to him, "May God curse you! And may God curse your father!" Ma'dī Karib had never realized that al-Ashram was anyone but his own father. He went along to his mother and said to her, "Who is my father?" She replied, "Al-Ashram." He retorted, "No, by God, he is not my father; if he were really my father, so-and-so would not have satirized me." So she told him that his father was [in reality] Abū Murrah al-Fayyāq, and communicated to him the whole story. All this had a profound effect on the lad's mind, but he bided his time for a long period.

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Then al-Ashram died, followed by the death of his son Yaksūm. Dhū Yazan's son set out, making for the king of the Byzantines' (*al-Rūm*) court, avoiding Kistrā because he had delayed so long in helping his father. But he did not get what he wanted from the king of the Byzantines, and found him taking the side of the Abyssinians because they shared a common religious allegiance. Hence he turned away from the Byzantine court and made for the court of Kistrā. He presented himself before Kistrā one day, when he was on horseback, and called out to him, "O king, my future heritage lies in your hands!" Kistrā summoned him when he had dismounted and asked him, "Who are you, and what is this heritage of yours?" Dhū Yazan's son replied, "I am the son of the senior chief (*shaykh*) of the Yemenis, Dhū Yazan, whom you promised to aid, but then [in the end] he died at your court and in your entourage. That promise is my rightful due, and a heritage which you have an obligation to fulfill for me." Kistrā relented toward him and ordered him to be given a sum of money. The lad went out and began to scatter the dirhams about, and the people scrambled for them. Kistrā sent a message to him, "What has led you to do what you have done?" The lad replied, "I didn't come to

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of Islam. See Robin, "Résultats épigraphiques et archéologiques de deux brefs séjours en République arabe du Yémen," 188-93.

In the fourth/tenth century, al-Ḥasan al-Hamdānī was able in his *Ikhlīl*, Book VIII, 122-23, tr. 72-73, to give the values of the letters of the South Arabian script (*musnad*), but was only able with difficulty to read a few names of the inscriptions. See Robin, *L'Arabie antique de Karib'il à Mahomet*, 15, 19-20, 134-35; idem, in *Supplément au dictionnaire de la Bible*, s.v. Sheba. II, cols. 1216-17.

you seeking money, but rather, I came to you seeking men and that you should preserve me from humiliation." Kistrā was pleased with this rejoinder and sent back a message to him, "Stay here while I look into your case."

Kistrā then sought counsel from his ministers concerning sending an army back with him. The [Chief] Mōbadh said to him, "This lad has a just claim upon us, through his journey here and the death of his father at the king's court and in his entourage, and because of the promises made by the king to him previously. Now in the king's prisons are men of valor and martial strength. Let the king send these men with Dhū Yazan's son; if they secure a victory, it will be a triumph for the king; if they all perish, he will have secured his own peace of mind [from the threat of them] and will have relieved the citizens of the kingdom of them. That would not be far from the correct line of action." Kistrā said, "This is a sound piece of advice," and he gave orders for the men of this description in the prisons to be counted. They extended to eight hundred men. He appointed as commander over them a commander from among his cavalrymen (*asāwiratihi*) called Wahriz, whom Kistrā accounted the equal of a thousand cavalrymen. He supplied them with weapons and equipment and ordered them to be transported in eight ships, each one containing a hundred men.

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They set forth on the seas, but two ships out of the eight foundered, leaving six safe and sound. They landed on the coast of Ḥaḍramawt.<sup>598</sup> Masrūq marched out against them with an army of one hundred thousand men, including Abyssinians, Ḥimyarites, and Bedouins. [However,] a considerable number of people joined the son of Dhū Yazan. Wahriz encamped on the sea coast, placing the sea at his back. When Masrūq saw how few were their numbers, he became eager to engage them. He sent a mes-

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598. It seems likely that the ships, buffeted by storms, would come up on the Ḥaḍramawt coast, and apparently the landing was in the vicinity of al-Shihr. The Ḥaḍramī poet cited by al-Mas'ūdi, *Murūj*, III, 164 = § 1017, says that the ships were washed up at *M.th.w.b.*, read by Pellat as Mathwab but read by Nöldeke, trans. 230 n. 2, as Manūb. A Manūb certainly existed in Ḥaḍramawt in Islamic times, and it is mentioned by al-Ḥamdānī, *Ṣifat jazīrat al-'Arab*, 172 and n. 2, 215, but described by him as a wadi with villages in the territory of Kindah and in the Shibām/al-Qārah region, i.e., well inland and away from the coast. Al-Bakrī's listing of Manūb, in his *Mu'jam mā ista'jam*, I, 316-17, IV, 1273, is vague and unhelpful.

sage to Wahriz, "What has brought you here? You have only the [few] men whom I can see, while I have [the large number] whom you can see. You have recklessly put yourself and your followers at risk, but if you like, I will allow you to return to your homeland; I shall not hurl satires at you, nor will there be inflicted upon you any unpleasant action on my part or on that of my troops. Or if you wish, I will march out against you immediately. Or yet again, if you wish, I will allow you a period of respite (*ajal*) so that you may consider your position and take counsel with your followers." Wahriz realized how strong they were and perceived that he could not match their strength. So he sent to Masrūq the message, "All right, grant a period of respite for us both; give me an engagement and compact, and receive in return the same promises from me, that neither side should fight with the other until the period of truce is up and until we can see our [correct] course of action." Masrūq agreed to that.

[954] Each side remained within its encampment. Then, when ten days had elapsed of the standstill in hostilities, Wahriz's son set off on one of his horses and went forward until he drew near to the enemy's encampment. But his horse carried him onward into the midst of their encampment, and they killed him, Wahriz being unaware of all this. When the news of the killing of his son reached him, he sent a message to Masrūq, "There has been, as you well know, a compact between us, so why did you kill my son?" Masrūq sent a message back, "Your son charged into the midst of our encampment, and some irresponsible elements of our army sprang up and killed him. I myself strongly disapprove of his killing." Wahriz said to the messenger, "Tell him that he was not my son, but only the son of a whore (*zāniyah*); if he had been my son, he would have patiently waited and not broken the truce between us until it had come to an end."<sup>599</sup> Then he ordered the corpse to be thrown down on to the ground, where his body could be seen, and he swore an oath that he would not drink wine nor

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599. Nöldeke, trans. 232 n. 1, explained that Wahriz disowns his son and refuses any responsibility for him because he does not wish to have to accept blood money for him from the Abyssinians; the blood money will be their own blood. Also, the killing of the Persian, though half-justified, will incur divine retribution for the breach of the oath-protected truce.

rub his head with any scented oil until the truce should come to its end.

When there was just one day left of the truce, Wahriz gave orders for the ships in which they had sailed to be set on fire, and likewise with regard to all superfluous clothing they had with them, leaving his men only with what they had on their backs. Next, he ordered all their provisions to be brought out and instructed his men, "Eat up this food!" So they ate up [as much as they could]. When they had finished, he ordered what remained to be thrown in the sea. At that point, he stood up in their midst and made a speech, saying to them, "Regarding the ships of yours which I caused to be burned, I wanted you to realize that there is no way for you ever to return home. Concerning your clothing which I had burned, it was arousing my ire that, should the Abyssinians gain the victory over you, it should fall into their hands. As for your food which I caused to be thrown into the sea, I would not wish any of you to have food for himself to exist on for a single day [if we should be defeated]. If you are people who will fight alongside me and endure the heat of battle, let me know this now; but if you will not do this, I shall fall upon this sword of mine until it comes out of my back, for I have no mind ever to let the Abyssinians gain power over me alive. So consider your own position now, since I, your commander, have chosen this course for myself." They responded, "Indeed, we will fight alongside you, until either we are dead to the last man or we are victorious!"

On the morn of the day when the truce ended, Wahriz arranged his troops for the fight, with the sea at their rear. He went up to them and exhorted them forcefully to remain steadfast in battle, and told them that they had two possible ways forward: either to be victorious over their enemy or to die gloriously. He ordered them to have their bows bent and strung, and said, "When I give you the order to shoot, let fly at them swiftly with a five-arrow volley (*bi-al-banjakān*)."<sup>600</sup> The people of Yemen had never seen

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600. This seems to be the meaning here, since Persian *panj*, "five," is clearly an element of the word, presumably *panjagān*, "five-fold," in origin. It is presumably related to the *banjakiyyah* of al-Jawāliqī, *al-Mu'arrab*, 71: a volley of five arrows, mentioned in a context which speaks of the Khurāsānians. Siddiqī, *Studien über die persischen Fremdwörter*, 81 n. 7, less plausibly interprets *banjakān* as referring to five-pointed or five-barbed arrows ["fünzfackige [Pfeile]"].

war arrows before this occasion. Masrūq advanced with a host that appeared limitless, on an elephant, wearing a crown on his head and with a ruby the size of an egg on his forehead; he could not conceive the possibility of anything except victory. Wahriz's sight had become poor through old age, and he said, "Show me their leader." He was informed, "It's the man on the elephant," but then very soon afterward Masrūq got down from the elephant and mounted a horse. So they exclaimed, "He's now mounted a horse." Wahriz said, "Prop open my eyelids"—they had fallen down over his eyes on account of his age<sup>601</sup>—so they held them up with a bandage. He then took out an arrow, placed it in the center (*kabid*)<sup>602</sup> of his bow and said, "Point out for me Masrūq." They did that for him, until Wahriz was sure of him, and then he gave the order "Shoot!" He himself pulled on his bow until, when he had drawn it to its utmost, he released the arrow. It sped forward as if it were a tightly stretched rope, and struck Masrūq's forehead. He fell from his mount. A great number of men were killed by that rain of arrows. When they saw their commander felled to the ground, their front rank crumbled, and there was nothing for it but flight.

Wahriz immediately gave orders for the burial of his son's corpse, and ordered that Masrūq's corpse be thrown down in its place. Booty was found in the defeated army's encampment in quantities beyond measure and beyond enumeration. Each individual Persian cavalryman got fifty or sixty Abyssinians, Ḥimyarites, or Bedouin as captives, and drove them along, unre-sisting, before him in bonds. Wahriz said, "Leave the Ḥimyarites and Bedouin alone, just hunt down the blacks and don't leave a single one alive." The Abyssinians were massacred on that day until not a single one of their host remained. A Bedouin managed to flee on his camel and galloped onward day and night. Then he happened to turn round and saw an arrow stuck in his provision bag behind the saddle. He exclaimed, "The devil take it! (literally, "Woe to your mother!")—has it traversed such a wide distance or

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601. See al-Ṭabarī, I, 949, p. 241 above.

602. For the technical term *kabid al-qaws* (*kabid*, literally "liver" "center, heart of a thing"), see J. D. Latham and W. F. Paterson, *Saracen Archery*, 161, 184: exactly, "the point the arrow passes when shot," i.e., the arrow pass.

traveled so far!" He thought that the arrow had caught up with him!

Wahriz advanced until he entered Ṣan'ā' and reduced to submission the whole land of Yemen. He sent out governors to the provincial districts (*makhālīf*). Abū al-Ṣalt, the father of Umayyah b. Abī al-Ṣalt al-Thaqafī,<sup>603</sup> says concerning the son of Dhū Yazan, his adventures, and those of Wahriz and the Persians, the following verses:

Let those who are like the son of Dhū Yazan seek vengeance, a man who spent several years traveling across the seas on account of his enemies.

He came to Heraclius, at a time when his enemies were already falling into discord and perturbation, but he did not secure from him any part of what he sought.

Then after seven years he turned toward Kisrā; how far away did you have to travel!

Until at last he brought with him the Free Ones (*Banū al-Aḥrār*, i.e., the Persians),<sup>604</sup> whom he bore along; by my life, you spent long in strenuous activity!

603. Ibn Hishām's scholion to Ibn Ishāq's text here, *Sīrat al-nabī*, ed. Wüstenfeld, 44 = ed. al-Saqqā et al., I, 67-68, tr. 698, states that some authorities ascribe the poetry to the son Umayyah, who was a contemporary of the Prophet but, on account of the links between al-Tā'if and Quraysh, was a supporter of Muḥammad's opponents; he seems to have been dead by the time of the *fath*, the conquest of Mecca, in 8/630. See *Sharḥ dīwān Umayyah*, 65; al-Ḥadīthī, *Umayyah b. Abī al-Ṣalt, ḥayātuhu wa-shī'ruhu*, 344 n. 158; Blachère, *Histoire de la littérature arabe*, II, 304-306; Sezgin, *GAS*, II, 298-300, IX, 277; *EP*<sup>2</sup>, s.v. Umayya b. Abī 'l-Ṣalt (J. E. Montgomery).

Ibn Hishām's text has for the name *Hiraql* in v. 2 the more general *Qayṣar*, "emperor," and if this is the older and better reading, it would point to the poem's being either contemporaneous with the events described or at least written not long afterward, by Abū al-Ṣalt rather than by his son Umayyah. If the reading *Hiraql* should be correct, then the poem would date from at least one or two generations after the events, since Heraclius reigned 610-41. The reading *Hiraql* would certainly appear to predate al-Ṭabarī, since it is the one found in the *Kitāb al-shī'r wa-al-shu'arā*, 281, of Ibn Qutaybah (d. 276/889), repeated also in al-Azraqī, *Akhbār Makkah*, 99.

604. *Banū al-aḥrār* is a frequent designation in early Arabic usage for the Persians encountered by them along the borders of Iraq and during the conquest of Iraq and Persia, e.g., at the engagements of Dhū Qār (see al-Ṭabarī, I, 1036, p. 367 below) and al-Qādisiyyah. We find the equivalent *eleutheroi* used by Josephus for the Parthians as the equivalent of the social-military Persian term underlying the Arabic expression, i.e., *āzādhaḡān* (in origin, Avestan *āzāta*-“agnate, born into a clan” “freeman [as opposed to a slave]” and, in a narrower sense, “noble,” “free”),

Who is like Kisrā, the supreme king (*shahanshāh*) over the dependent kings, or like Wahriz on the day of the army, when he attacked furiously!

What a remarkable band went forth! You will never see among men their likes again!

Outstanding warriors, noble chiefs, gleaming ones, marzbāns, lions who train their cubs in the thickets,

[957] Who shoot from highly bent bows, as if they were camel saddles, with long, slim arrows which bring the one who is hit to a speedy death.

You loosed lions against black dogs, and their scattered fugitives have spread through the land in full flight.

So drink with full peace of mind, wearing your crown and reclining high on Ghumdān in a house which you have made [once more] frequented.

Indulge freely in the use of musk, for they (i.e., your enemies) are in complete disarray, and on this day let your two luxurious robes trail freely!

These are noble deeds! Not two wooden bowls of milk mingled with water, which subsequently turned to urine.<sup>605</sup>

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i.e., noble ones," the class of great and lesser landowners, i.e., aristocrats and gentry, who supplied the military commanders and the élite cavalymen of the army and upon whom the Persian kings had depended since Achaemenid times. De Blois has suggested that the expression *Banū al-aḥrār*, with its apparently otiose use of the component *banū*, reflects Aramaic usage and that the Arabs may have adopted it from Aramaic-speaking population of the Sāsānid empire in Mesopotamia. See Nöldeke, trans. 235 n. 2; De Blois, "Freemen' and 'Nobles' in Iranian and Semitic Languages," 5-15; *Elr*, s.v. Aḥrār or Banu 'l-Aḥrār (C. E. Bosworth), and cf. n. 258 above.

605. This last verse has the ring of a proverbial saying. According to the scholion of Ibn Hishām, *Sīrat al-nabī*, ed. Wüstenfeld, 44 = ed. al-Saqqā et al., I, 68-69, tr. 698, the poem was correctly transmitted by Ibn Ishāq except for the last verse, actually by the *mukhadḍam* poet and Companion of the Prophet al-Nābighah al-Ja'dī (died toward the end of the seventh century?), on whom see Blachère, *Histoire de la littérature arabe*, III, 477-79; Sezgin, *GAS*, II, 245-47, IX, 274; *EP*<sup>2</sup>, s.v. al-Nābigha al-Dja'dī (A. Arazī).

For other sources on Sayf b. Dhī Yazan's appeal for help and Khusraw Anusharwān's despatch of Wahriz and his army, see al-Ya'qūbī, *Ta'rikh*, I, 187, 226-27; al-Dīnawarī, *al-Akhbār al-tiwāl*, 64; Ḥamzah al-Iṣfahānī, *Ta'rikh*, 52-53, 114-15; Abū al-Faraj al-Iṣfahānī, *Aghānī*<sup>3</sup>, XVII, 308-13; al-Azraqī, *Akhbār Makkah*, 98-99. See also Christensen, *Sassanides*, 368-69, 373; Frye, "The Political History of Iran under the Sasanians," 158; Bosworth, "Iran and the Arabs before Islam," 606-607. Two further verses of the poem are given by al-Azraqī and Nashwān al-

The story returns to the narrative of Ibn Ishāq.

He related: Wahriz went back to Kisrā, having appointed Sayf as king of Yemen. The latter now fell upon the Abyssinians and began to kill them, ripping open the pregnant womenfolk to tear out the fetuses, until he had exterminated the Abyssinians, apart from an insignificant, wretched few whom he took into his service as slaves. Some of these he employed as runners to go before him with their spears. Sayf carried on thus only for a short while before he went forth one day, with the Abyssinians running before him with their spears, until suddenly he found himself surrounded by them, and they attacked him with their spears, killing him. One of the Abyssinians assumed power over them, and carried out a policy of killing the Yemenis, creating havoc and wreaking all manner of evil. When news of this reached Kisrā, he dispatched Wahriz against them with four thousand Persian troops and ordered him not to leave alive in Yemen a single black, nor the child of an Arab woman by a black, whether young or old, nor to leave alive a single man with crisp and curly hair in whose generation the blacks had been involved. Wahriz advanced until he entered Yemen, and did all that, killing every Abyssinian he could find. Then he wrote to Kisrā informing him of what he had done. Kisrā appointed him as viceroy over Yemen. He ruled over it, and levied taxation on it for Kisrā until he died.<sup>606</sup>

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After him, Kisrā made his son al-Marzubān, son of Wahriz, viceroy, who governed the land until he died. Then Kisrā appointed al-

Himyarī, see Nöldeke, trans. 235 nn. 4–5.

Frye, loc. cit., makes the point that, by the time of the invasion, the pro-Byzantine attitude of the Monophysite Christians in South Arabia, so decisive at the time of the Najrān persecutions and the first Abyssinian intervention in Yemen, changed (i.e., by 570). But in fact, Justin continued his predecessors' policy of tolerance toward religious dissidents during the first five or six years of his reign, and only in 572, i.e., after the Persians had appeared in Yemen, did he start to persecute the Samaritans and Christian Monophysite dissidents under the influence of the Chalcedonian Patriarch of Constantinople, John Sirimis. See Bury, *A History of the Later Roman Empire from Arcadius to Irene*, II, 76.

606. According to al-Dīnawarī, *al-Akhhār al-ṭiwāl*, 64, Wahriz governed Yemen for five years. On his deathbed, he shot an arrow, which fell at the spot where his tomb was to be; "to this day," says the historian, "the place is called the *maqbarat Wahriz*." This same tale is given later by al-Ṭabarī, I, 988, p. 294 below, as part of Ibn al-Kalbī's narrative.



Binajān (?), son of al-Marzubān,<sup>607</sup> son of Wahriz, until he also died. Then Kistrā<sup>608</sup> appointed after him Khurrakhusrah, son of al-Binajān, son of al-Marzubān, son of Wahriz. The latter governed Yemen, but then Kistrā grew angry with him and swore that the Yemenis should bring Khurrakhusrah to him at his court borne on their shoulders. This they did. When Khurrakhusrah came before Kistrā, one of the Persian great men went right up to him and laid across him a sword that belonged to Kistrā's father. Kistrā therefore granted him security from being killed, but dismissed him from his post and sent Bādhān<sup>609</sup> to Yemen [as governor] instead. Bādhān remained in that office until the time when God sent His Messenger Muḥammad.<sup>610</sup>

*[Resumption of the History of Kistrā Anūsharwān]*

It is related that there was a peace accord and a truce between Kistrā Anūsharwān and Yakhṭiyānūs (Justinian), king Byzantines. Discord and enmity arose between a man of the Arabs called Khālid b. Jabalah,<sup>611</sup> whom Yakhṭiyānūs had appointed over the

607. In Ibn al-Kalbī's version of these events, i.e., in al-Ṭabarī, I, 988, p. 294, and cf. n. 693 below, al-Marzubān appears as *al-M.r.w.zān*, a better reading, probably to be vocalized as al-Marūzān. Likewise, al-Binajān appears as *Z.y.n*, perhaps for *W.y.n*, according to the suggestion of Marquart in *Addenda et emendanda*, p. DCXII, whereas Nöldeke thought it more likely that this consonant ductus contained the names of two persons, *W.y.n* and *W.y.n.jān*. But in the version of Ibn Ishāq at I, 958 n. d, Nöldeke adduced the name of a commander mentioned by the contemporary Byzantine historian Menander Protector, one Binganēs.

608. The Kistrā in question must be, of course, Khusraw II Abarwēz (r. 591–628).

609. Perhaps originally Bādhām, in which case the etymology would be presumably from *bādām*, "almond." The name is in fact attested in Middle Persian as *w'd'm*. See Justi, *Namenbuch*, 56, connecting it with NP *bād*, Avestan *vāta-*, the spirit of the wind; Gignoux, *Noms propres sassanides*, no. 914; *Et<sup>2</sup> Suppl.*, s.v. Bādhām, Bādhān (C. E. Bosworth).

610. This marks the end of this extended section on the history of Yemen, and the narrative now resumes from Persian sources as a parallel to that already given by al-Ṭabarī at I, 898–99, pp. 157–59 above.

611. That is, the Jafnid/Ghassānid ruler, the greatest of his line, correctly, al-Hārith b. Jabalah or Arethas (r. 529–69). (Nöldeke, trans. 238 nn. 2–3, pointed out that al-Ṭabarī's form Khālid arises from the ambiguities of the Pahlavi script, as does the *kh* for *st* in Yakhṭiyānūs = Justinian, showing that al-Ṭabarī's ultimate source here must have been a Persian one.) Al-Hārith was a redoubtable *foederatus* or ally of the Byzantines, stemming as he did from a fervently Christian, albeit Monophysite, Arab family. He fought at the side of the Greeks in two major wars,

Arabs of Syria, and a man from Lakhm called al-Mundhir b. al-Nu'mān,<sup>612</sup> whom Kisrā had appointed over the lands extending from 'Umān, al-Baḥrayn and al-Yamāmah to al-Ṭā'if and the rest of Ḥijāz and all the Arabs of the intervening lands. Khālid b. Jabalah raided al-Mundhir's territory and wrought great slaughter among his subjects and seized as plunder extensive lands of his. Al-Mundhir laid a complaint about this before Kisrā, and asked him to write to the king of the Byzantines requesting the latter to secure justice for him against Khālid. Kisrā therefore wrote to Yakḥṭiyānūs mentioning the agreement regarding the truce and peace between the two sides and informing him of what al-Mundhir, his governor over the Arabs [within the Persian sphere of influence], had suffered at the hands of Khālid b. Jabalah, whom Yakḥṭiyānūs had appointed governor over the Arabs within his dominions. He further asked him to command Khālid to return all the plunder he had driven off from al-Mundhir's territory and lands and [to command Khālid] to hand over the blood price for the Arabs whom he had killed and who were in al-Mundhir's jurisdiction and to furnish justice to al-Mundhir against Khālid. Yakḥṭiyānūs was not to treat what Kisrā had written lightheartedly and contemptuously; [if he were to do so, ] then this would be the cause of the rupturing of the agreement and truce between them. Kisrā sent a stream of letters to Yakḥṭiyānūs urging him to furnish justice to al-Mundhir, but Yakḥṭiyānūs paid no heed.

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Hence Kisrā got ready his forces and led an expedition of some ninety thousand warriors against Yakḥṭiyānūs's lands.<sup>613</sup> He cap-

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including at the battle of Callinicum (the Arabic al-Raqqah) in 531, and himself defeated and in 554 killed the Lakhmid al-Mundhir III at the battle of al-Ḥiyār at Chalcis (the Arabic Qinnasrīn) (possibly the *yawm Ḥalimah*—Ḥalimah being al-Ḥārith's daughter—of the Arabic *ayyām al-'Arab* literature), a decisive victory not merely as an intra-Arab clash but an event which gave the Ghassānids the preponderance over the Lakhmids for a long time to come. See on al-Ḥārith and the battle of Chalcis, Nöldeke, *Die Ghassānidische Fürsten*, 17-19; Rothstein, *Laḥmidien*, 83-87; Shahīd, *Byzantium and the Arabs in the Sixth Century*, I/1, 63-82, 134ff., 236-66; *EP*, s.vv. Ghassān and al-Ḥārith b. Djabala (I. Shahīd).

612. That is, al-Mundhir III, who played this leading rôle in the extension of Persian power into eastern and central Arabia and, indeed, as far as Ḥijāz. See nn. 409, 563 above.

613. This is the renewed, second war of the Persian emperor with Justinian, spanning 540-45, with the campaign against Antioch already described at I, 898, p. 157 and nn. 398-99 above. The events in question form the very detailed narrative

tured by force of arms the towns of Dārā, al-Ruhā (Edessa), Manbij, Qinnasrīn, Aleppo, Antioch (which was the finest town in Syria), Fāmiyah (Apamea), Hīms (Homs), and numerous other towns in the neighborhood of these towns;<sup>614</sup> he appropriated all the wealth (or: beasts, *al-amwāl*) and moveable goods in them; and he took captive all the inhabitants of Antioch, deported them to the Sawād, and gave orders for a town to be built for them at the side of Ctesiphon exactly on the pattern of the original Antioch, as I have already mentioned previously. He resettled the people of Antioch there; this is the town called al-Rūmiyyah. He erected this district into an administrative division (*kūrah*), which was to comprise four subdistricts (*ṭassūjs*): that of Upper Nahrawān, that of Lower Nahrawān, that of Bādarāyā, and that of Bākusāyā.<sup>615</sup> He allotted

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of Procopius in the later part of Book I and then Book II of *The Persian War*, in which the historian highlights the deeds of the general Belisarius, first appointed *Magister Militum per Orientem* in 529 and whom Procopius himself served as *symbolos*, i.e., as legal adviser and secretary. See Cameron, *Procopius and the Sixth Century*, 8, 157–70.

614. Of these towns of Upper Jazīrah and northern and central Syria, Nöldeke, trans. 239 n. 2 noted that Aleppo, Antioch, and Apamea (situated on the Orontes, to the northwest of Ḥamāt: see Yāqūt, *Buldān*, I, 227; Le Strange, *Palestine*, 384–85; *EP*<sup>2</sup>, s.v. Afāmiya [H. A. R. Gibb]) were conquered by the force of Persian arms, while Daras/Dārā (see for this n. 398 above), Edessa, Manbij (the classical Hierapolis, an important military post commanding crossings of the upper Euphrates: see Yāqūt, op. cit., V, 205–207; Le Strange, op. cit., 107; *EP*<sup>2</sup>, s.v. Manbidj [N. Elisséff]), and Qinnasrīn (the classical Chalcis to the south of Aleppo: see Yāqūt, op. cit., IV, 403–404; Le Strange, *Palestine*, 486–87; *EP*<sup>2</sup>, s.v. Kinnasrīn [Elisséff]) purchased their safety from the Persians by handing over substantial indemnities. Emesa or Hīms also suffered badly.

615. Nahrawān was the district containing an extensive canal system to the east of the Tigris and in the region of the lower Diyālā river, with its center at the town of Nahrawān, which lay to the northeast of where the later Baghdad was to be situated. The canal system doubtless dates in some form from ancient times, but Khusraw Anūsharwān developed it extensively and caused to be dug a lengthy feeder channel, al-Qāṭūl al-Kisrawī, “the Imperial cut,” from the Tigris near the later Sāmarrā to the Diyālā below Ba'qūbā. At various places, there were *shādhur-wāns* or weirs (see for these, n. 94 above) dating from Sāsānid times. The *kūrah* mentioned here was one created with the city of Weh-Antioch-i Khusraw/Rūmiyyah where the people deported from Antioch in Syria were settled ca. 540. The Arabic sources actually list five *ṭassūjs* of the Nahrawān *kūrah*, the additional one being that of Middle Nahrawān. Bādarāyā and Bākusāyā (Aramaic Bēth Darāyā and Bēth Kosāyā) formed the region of Bandanijīn, stretching from the east of Nahrawān to the fringes of the Zagros range and the border with the province of Media or Jibāl. See Yāqūt, *Buldān*, I, 317–18, 327, V, 324–27; Le Strange, *Lands*, 57–61; Fiey, *Assyrie chrétienne*, III, 245–49; Morony, *Iraq after the Muslim Con-*

living allowances for the people whom he had transported from Antioch to al-Rūmiyyah, and appointed as overseer of their affairs a man from the Christian community of Ahwāz called Barāz,<sup>616</sup> whom he had earlier made head of the the artisans and craftsmen working for him. This Kistrā did out of tenderness and sympathy for those captives, and also with the administrative aim of causing them to feel at home with Barāz, because he was their coreligionist, and making them regard him with trust. As for the remainder of the towns of Syria and Egypt,<sup>617</sup> Yakhṭiyānūs bought Kistrā off from them with a very large sum, which he handed over to the Persian king, and he undertook to pay ransom money to him each year in return for Kistrā's undertaking not to raid his lands. He wrote for Kistrā a document enshrining these terms, which he and the Byzantine great men of state sealed officially. They accordingly paid this sum annually.<sup>618</sup>

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The rulers of Persia before Kistrā Anūsharwān used to levy land tax (*kharāj*) on the administrative divisions (*kuwar*), a third or quarter or fifth or sixth [of their produce], according to the water supply and the degree of cultivation; and poll tax (*jizyat al-jamājim*) according to a fixed sum.<sup>619</sup> King Qubādh, son of Fayrūz

*quest*, 138–40; *EP*<sup>2</sup>, s.vv. Badra (S. H. Longrigg) and al-Nahrawān (M. J. Morony).

616. As explained in n. 393 above, this is the New Persian form of MP Warāz, etc., meaning literally "boar, wild boar," an animal admired for its strength and tenacity; see on it the references in that note.

617. Again, as in al-Ṭabarī, I, 898, p. 158 above, a confusion with the campaign early in the seventh century of Khusraw II Abarwēz.

618. Nöldeke, trans. 240 n. 4, noted that al-Dīnawarī, *al-Akhhbār al-ṭiwāl*, 69, names a certain Sharwin of Dastabā as *wakīl* or overseer of payment of the tribute, but that there is uncertainty in other sources regarding this personage.

619. Since *jumjumah* means "skull," its plural use in *jizyat al-jamājim* conveys literally the idea of a poll tax. Al-Khwārazmī, *Mafātīḥ al-'ulūm*, 58, equates *māl al-jamājim* with *māl al-jawālī*, that money paid in the first place by non-Muslims expelled from the Arabian peninsula by the caliph 'Umar I but then applied to all Dhimmīs, "Protected peoples," liable to poll tax, whether émigrés or not; see Bosworth, "Abū 'Abdallāh al-Khwārazmī on the Technical Terms of the Secretary's Art," 132. It has been known for some time, including from Talmudic references to it, that the Sāsānids levied a poll tax (the *kargā*, *kārāgā*) as well as a land tax (*ṭasqā*) and a further land tax (*mānātā dā malkā*, "the king's share"; different from the *ṭasqā*?), but much remains obscure about this fiscal system. See Grignaschi, "La riforma tributaria di Husrō I e il feudalesimo sassanide," 113–15; Rubin, "The Reforms of Khusro Anūshirwān," 231–32). Whether there was any continuity between this Sāsānid poll tax and the early Islamic *jizyah* on the Dhimmīs, is unclear; it may have been just one among several contributory strands in

ordered, toward the end of his reign, a cadastral survey (*maṣḥ al-arḍ*), comprising plains and mountains alike, so that the correct amount of land tax could be levied on the lands.<sup>620</sup> This was carried out, except that Qubādh's death supervened before that survey could be completed. Hence when his son Kisrā succeeded to power, he gave orders for it to be carried through to its end and for an enumeration to be made of date palms, olive trees, and heads (i.e., of those liable to the poll tax). He then ordered his secretaries to calculate the grand total of that, and he issued a general summons to the people. He commanded the secretary responsible for the land tax to read out to them the total tax liabilities from the land and the numbers of date palms, olive trees, and heads. The secretary read all this out to them, after which Kisrā said to them, "We have judged it advisable to establish the rates of taxation (*waḍā'i*) on the basis of what has been enumerated of the various *jarīb*s of this cadastral survey<sup>621</sup>—date palms, olive trees, and heads—and we ordain that the taxation should be paid in installments spread over the year, in three installments. In this way, sums of money will be stored in our treasuries so that, should any emergency arise along one of our vulnerable frontiers or on any one of our distant boundaries, a breach of the borders or anything else untoward, and we have a need to deal with it and to nip it in the bud, involving the expenditure of money on this, we shall have money stored up here, ready and to

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the emergence of this Islamic tax. See Nöldeke, trans. 241 n. 1; Løkkegaard, *Islamic Taxation in the Classic Period*, 15, 132, 141.

620. Such surveys were frequently used by later, Islamic rulers as the bases for new tax assessments, involving the assessment of growing crops (*takhmīn*) and the sharing out of the harvested crop between the tax collector and the cultivator (*muqāsamah*), the technique of surveying being called *misāḥah*. See *EP*, s.vv. *Kānūn*. ii. Cadaster (Cl. Cahen), *Kharādj*. I. In the Central and Western Islamic Lands (Cahen) and *Misāḥa*. 1. In the Central Islamic Lands (C. E. Bosworth).

621. Al-Khwārazmī, *Mafātīḥ al-'ulūm*, 66, 67, tr. in Bosworth, "Abū 'Abdallāh al-Khwārazmī on the Technical Terms of the Secretary's Art," 148–49, states that the *jarīb* was a measurement of surface area equaling thirty-six hundred square *dhirā's* or cubits, although of a fluctuating equivalence in Khurāsān. The *jarīb* was, in fact, the basic measurement for area in early Islamic times, still in use today in Persia, officially equaling one hectare, as well as being a measure of capacity for grain, etc., but in origin it was the amount of agricultural land which could be sown with a *jarīb*'s measure of grain. See Nöldeke, trans. 242 n. 2; *EP*, s.v. *Misāḥa*. 1.

hand, since we do not wish to have to levy a fresh installment of taxation for that emergency. So what do you think about the procedure we have envisaged and agreed upon?"

None of those present offered him any further advice or uttered a single word. Kistrā repeated these words to them three times. Then a man stood up from out of the expanse of persons present and said to Kistrā, "O king—may God grant you long life!—are you establishing a perpetual basis for this land tax on transient foundations: a vine that may die, land sown with corn that may wither, a water channel that may dry up, and a spring or qanāt whose water supply may be cut off?" Kistrā replied, "O troublesome, ill-omened fellow, what class of people do you come from?" The man said, "I am one of the secretaries." Kistrā gave orders, "Have him beaten with ink holders (*al-dawā*) until he dies."<sup>622</sup> Hence the secretaries in particular beat him with their ink holders, seeking to disassociate themselves, in Kistrā's eyes, from the man's views and utterance, until they killed him. The people said, "O king, we are in full agreement with the land tax which you are imposing on us."

Kistrā chose some men of sound judgment and wise counsel, and ordered them to investigate the various types of crops the cadastral survey had revealed for him, the numbers of date palms and olive trees, and the numbers of heads of those liable for the poll tax. On that basis, they were to fix the rates of taxation by the yardsticks of what they perceived would ensure the well-being of his subjects and ample means of sustenance for them. They were to report the results of this to him. Each man of them now spoke, according to the measure of his perception, regarding those rates of taxation which were to be fixed. They discussed the matter among themselves at length, and finally agreed to base the land tax on the products that maintained alive men and beasts, these being: wheat, barley, rice, grapes, trefoil and clover (*riṭāb*),<sup>623</sup> date

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622. These would be ink holders of what later became the typical Islamic pattern, with a heavy head to hold the actual ink and with a handle. This secretary courageous enough to protest against Khusraw's measures is making the point adumbrated in n. 624 below: that fixed rate taxes will leave the cultivator at the mercy of crop failures or natural disasters, which will make him unable to find the money demanded.

623. As Nöldeke, trans. 244 n. 1, notes, *al-Mas'ūdi* in his *Murūj*, II, 204–205 = § 627, specifies that Anūsharwān's land-tax measures were only for Iraq, the richest

palms, and olive trees. They fixed the land tax rate for every *jarīb* of land planted with trefoil and clover, seven dirhams; on every four Persian date palms, one dirham; on every six date palms of lesser quality (*daqal*), the same figure; and every six olive tree stacks, the same figure. They only levied tax on date palms planted in enclosures or grouped together, not those growing as isolated trees. Everything apart from these seven types of crops from the earth they left tax free, and the people were to have a satisfactory standard of life from them.<sup>624</sup>

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province of the empire. He also explains the stress on the annual fodder plants trefoil and clover (*raṭbah*, pl. *ziṭāb* = Persian *aspist*) from the outstanding importance of horses in an army composed essentially of cavalrymen.

624. This land-tax reform was really begun by Kawād I but completed by Khusraw Anūsharwān. Previously, the land tax had been proportional to the harvest, but this basis, though apparently fair, had the disadvantage that the cultivators' harvested crop might rot before the state's assessor could arrive and extract the ruler's share. Hence a fixed unit of the land, the *jarīb*, was now taken as the basis for assessment, and from the ruler's point of view, such a reform was highly advantageous since it gave promise of a fixed and predictable income from taxation. It was, however, less advantageous to the cultivator (despite what could be said, as noted above, about the possibility of the crop rotting or failing before the state's assessor arrived). Whatever the yield from his crops, he was obliged to pay the fixed sum stipulated, might have to sell his harvest at a time of glut and low prices, or borrow money at excessive interest, and could thus be left either starving or burdened with debt. See D. C. Dennett, *Conversion and the Poll Tax in Early Islam*, 14–15; Løkkegaard, *Islamic Taxation in the Classic Period*, 110, 117, 119; Crone, "Kavād's Heresy and Mazdak's Revolt," 33–34.

The topic of Anūsharwān's land-tax reforms, involving such questions as the stimulus for them (did the Mazdakite movement play any rôle here?), the precise nature of the reforms, and their social effects, has been long recognized as a crucial episode in Sāsānid history, one with repercussions that continued into the early Islamic period for the land and fiscal systems in the Persian lands and Iraq.

Al-Ṭabarī's account is basic, and is largely corroborated by the poetic one in Firdawsī's *Shāh-nāmah*; the ultimate source must have been the *Khwadāy-nāmag*, as also for al-Dīnawarī, *al-Akḥbār al-ṭiwāl*, 71–72, in his parallel section on the land tax in the time of Anūsharwān. But the Italian scholar Mario Grignaschi has, in a series of publications, drawn attention to several other little-known but relevant sources. These include the anonymous *Nihāyat al-arab fī akḥbār al-Furs wa-l-'Arab*, in the past attributed to the philologist al-Aṣma'ī but in fact by some unknown author of the third/ninth century, still substantially unpublished (but see below), and rejected by Nöldeke, trans. 475–76, as an inferior version of al-Dīnawarī's *Long Histories*. See Grignaschi, "La *Nihāyatu l-'arab fī aḥbārī-l-Furs wa-l-'Arab* [première partie]," 15–67; idem, "La *Nihāyatu l-'arab fī aḥbārī l-Furs wa-l-'Arab* et les *Siyaru mulūki l-'Aḡam* du Ps. Ibn al-Muqaffa'," 83–102. There is further a *Sīrat Anūshirwān*, which claims to be the emperor's autobiography and which is preserved within the text of the Būyid historian Mis-

They imposed the poll tax on everyone except for people from noble families, great men, warriors, hērbadh, secretaries, and those in the king's service, and made them liable for it according to four levels, of twelve, eight, six, and four dirhams according to the richness or poverty of the person in question.<sup>625</sup> The poll tax

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kawayh's *Tajārib al-umam*. See Grignaschi, "Quelques spécimens de la littérature sassanide conservée dans les bibliothèques d'Istanbul," 16-45.

Grignaschi used these sources in his closely argued article "La riforma tributaria di Ḥosrō I e il feudalesimo sassanide," 87-138, to which he appended the texts of relevant extracts from the above-mentioned *Sīrat Anūshirwān* and the *Nihāyat al-arab* bearing on Khusraw's reforms. The topic has been recently subjected to a thoroughgoing analysis by Zeev Rubin in his "The Reforms of Khuro Anūshirwān," 227-97. Rubin compares the relevant sections of al-Ṭabarī, the *Nihāyah*, and al-Dīnawari and notes that the account of the *Nihāyah* is perceptibly less favorable to Khusraw than al-Ṭabarī's: instead of the vigorous, single-minded monarch of the latter historian, the *Nihāyah*'s Khusraw emerges as a somewhat hesitant person who has to explain and justify his proposed reforms. All three accounts nevertheless seem to go back to a common tradition put together in early 'Abbāsīd times within Shu'ūbī circles.

The social and political chaos of the decades before Khusraw's accession were obviously a stimulus for the fiscal reforms begun by Kawād and carried through by his son. Rubin also avers that we should examine the reforms against the background of the general economic situation of the Sāsānid state. First, it benefited from the commerce of the Orient, which had largely to pass through its territories or through Indian Ocean and Arabian Sea waters which the Persian could controlled en route for the Mediterranean world. Nor were the financial subsidies that the Byzantine empire had to pay as the price of peace in the Near East negligible contributions to the economic health of Persia. Second, the fiscal reforms should be considered together with Khusraw's military reforms, already examined by Geo Widengren as part of his general survey of the Persian army as a feudal force from Achaemenid to Sāsānid times (see his "Recherches sur le feudalesme iranien," 152-74. There is also particular detail on military affairs in the *Sīrat Anūshirwān*, see Grignaschi, "Quelques spécimens de la littérature sassanide conservée dans les bibliothèques d'Istanbul," 20-21). Despite the envisaged rise in revenue yields envisaged by the reforms, there does not seem ever to have been enough money available to finance a large, professional army of mailed cavalymen directly recruited and paid by the monarch and freeing him from dependence on the military services of the great noble families of Persia and their retainues. Hence there seems to have evolved the practice of bringing in manpower from non-Persian, mercenary sources, such as Turks and Hephthalites from Central Asia and Bactria, Daylamīs from the Elburz mountain region, and Arabs from the desert fringes of Iraq. Khusraw was here continuing a process already begun under Kawād, who had regained his throne and been kept in power by the backing of Hephthalite mercenaries, links with the Hephthalites having been forged during his enforced exile within their lands in the 490s.

Finally, the course and development of Khusraw's fiscal system are briefly touched upon by Rubin. The system must have changed even within the course of his long reign, and was already showing signs of decay by the end of that time. The



was not to be levied on those below twenty or above fifty years of age. They brought their tax assessments before Kisrā, he approved them and ordered them to be put into force and for tax collecting to be done on their basis annually, in three installments each of four months. These he called ' *b.rās.yār* (?), meaning "an arrangement mutually agreed upon by all."<sup>626</sup> It was these tax assessments 'Umar b. al-Khaṭṭāb followed when he conquered the Persian lands and levied taxation on the "protected peoples" (*ahl al-dhimmah*) there, except that he levied taxation on every uncultivated (*ghāmīr*) piece of land according to its potential yield, at the same rate as he levied on sown land. Also, he levied on every *jarīb* of land growing wheat or barley from one to two additional *qafīz*

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control system of the provincial judges, in fact district *mōbadhs* of the Zoroastrian state church (see al-Ṭabarī, I, 963, p. 261 below) probably proved no less susceptible to corrupt practices than any other officials in a similar situation. Under Khusraw's son and successor Hormizd IV, the great military families of the provinces gained excessive opportunities for exploiting the peasantry, and Rubin speculates that Hormizd's reputed violence against the military aristocracy (see al-Ṭabarī, I, 988–89, p. 295 below) may have been a desperate attempt to stem corruption and oppression and to salvage something of Khusraw's system. The cataclysmic events toward the end of Khusraw II Abarwēz's reign must have plunged the system into deeper malfunction. It nevertheless survived intact enough to be taken over by the Muslims when they overran Iraq and Persia, basically as the *misāḥah* system, but was at least in part converted into *muqasamah* in the early 'Abbāsīd period, a reversion to the system before the reforms of Kawād and Anūsharwān. See Løkkegaard, *Islamic Taxation in the Classic Period*, 109–13.

625. The poll tax (see n. 619 above) had previously been a fixed sum that the assessors and collectors had had to divide out as best they could. The exemptions for the royal family, aristocracy, soldiers (al-Dīnawarī, *al-Akhhbār al-ṭiwāl*, 71, specifies here *marāzibah* and *asāwirah* [al-Ṭabarī has, less specifically, for these two classes 'uzamā' and *muqātilah*] and *kuttāb*), secretaries, and the Zoroastrian priests increased their position as privileged castes. While the tax liabilities of the middle and lower classes were theoretically meant to compensate for the royal service and religious duties that they were unable to perform, in practice, payment of these taxes amounted to an acknowledgment of social inferiority. As mentioned in n. 619 above, the existence of this Sāsānid institution may have been a contributory factor when the nascent Islamic state evolved the poll tax (*jizyah*) and applied it to the *Dhimmi*s as a mark of their social and religious degradation and second-class citizenship compared with the Muslim Arabs, but this is speculative.

626. This term is wholly obscure. Al-Dīnawarī, *al-Akhhbār al-ṭiwāl*, 71, says that the warehouses where the three installments of collected produce were stored were called *sarāy simarrāh* [text, *shimarrāh*], i.e., "house for the three (*sic*) times (*marrah*) [of collection]"; cf. the loan word in Arabic *samarjā* or *samaraj*, see Asya Asbaghi, *Persische Lehnwörter im Arabischen*, 165: "Steuer in drei Stufen."

of wheat; this he used for feeding his army.<sup>627</sup> But in the specific case of Iraq, 'Umar did not make any arrangements contrary to those of Kisrā regarding the *jarībs* of land and regarding the date palms, olive trees, and the heads [of those liable to the poll tax], and he excluded from liability to taxation the people's means of daily sustenance, as Kisrā had done.<sup>628</sup>

Kisrā ordered the new tax assessments to be written down in several copies. One copy was to be kept in his own chancery close at his hand; one copy was sent to the land-tax collectors (*'ummāl al-kharāj*) for them to collect taxation on its basis; and another copy was sent to the judges of the administrative divisions (*quḍāt al-kuwar*). The judges were charged with the duty of intervening between the tax collectors and the people if the tax collectors in the administrative districts attempted to raise an additional sum above the amount laid down in the master copy of the tax assessment in the chancery, of which they had received a copy. Also, the judges were to exempt from land tax those whose tillage or other tax-attracting produce had been damaged or badly affected in any way, according to the seriousness of that damage or defect. Regarding those persons liable for the poll tax who had died, or who had passed the age of fifty, collecting the taxation was likewise suspended; the judges were to write back to Kisrā about the tax exemptions here, which they had granted so that Kisrā might issue appropriate instructions to his tax collectors. Furthermore,

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627. As with the *jarīb*, the *qafiz* was a measure both of surface area (one-tenth of a *jarīb*, i.e., 360 square *dhirā's* or cubits, according to al-Khwārazmī, *Mafātīḥ al-'ulūm*, 66) and also one of capacity (ibid. 67–68, giving the varying equivalences of the basically Iraqi *qafiz* for different parts of the Persian lands, where the *manā* was the normal measure for capacity). See Nöldeke, trans. 246 n. 6; Bosworth, "Abū 'Abdallāh al-Khwārazmī on the Technical Terms of the Secretary's Art," 148–50, citing information from W. Hinz, *Islamische Masse und Gewichte umgerechnet ins metrische System*, 16–23.

628. On 'Umar's *misāḥah*, see Dennett, *Conversion and the Poll Tax in Early Islam*, 22ff., and Løkkegaard, *Islamic Taxation in the Classic Period*, 119–20. Regarding 'Umar's procedure over the land tax, there are actually contradictory reports in the Arabic sources concerned with the postconquest Sawād of Iraq. Thus according to al-Māwardī, 'Umar imposed on the Sawād a flat rate of one dirham and one *qafiz* of produce for the provisioning of the Arab warriors (but in other parts of Iraq, the rate varied according to the crop); but according to Abū Yūsuf, 'Umar taxed land according to the crop grown there. In reality, the disparity only arises, suggested Dennett, because the two viewpoints reflect changes in 'Umar's pre-suggestions here.

the judges were not to let the tax collectors levy taxation on persons aged less than twenty.<sup>629</sup>

Kisrā had appointed over the department of the warriors (*dīwān al-muqātilah*) a man from the secretarial class who was outstanding for his noble birth, martial virtues, sufficiency, and capability, called Bābak, son of 'l.y.r.wān (?).<sup>630</sup> This last said to Kisrā, "My function cannot properly be carried out unless I have a free hand at putting into practice what seems best to me for the good conduct of the king's affairs in regard to his army." Kisrā granted Bābak this. Bābak now gave orders for the construction of a platform in the place where army reviews were customarily held, carpets from Sūsanjird and woolen rugs were laid on it, and cushions provided for him to lean back upon. He then took up his place on all those coverings.

[964] Bābak's herald now proclaimed throughout those troops present in Kisrā's army camp that the cavalymen were to present themselves before him for inspection on their mounts and with their weapons, and the infantrymen with their requisite weapons. The troops massed together in front of him in the manner Bābak had stipulated they were to parade, but he did not see Kisrā present in their ranks, so he told them to go back. His herald made the same proclamation on the next day, and the troops gathered round him again; but when he still could not discern Kisrā among them, he told them to go back and reappear before him next morning. He instructed his herald to proclaim on the third day, "Let no one present in the army camp lag behind, even if he is honored by possessing a crown and a throne," for Bābak had resolved that there should be no exception in his favor and no partiality shown to him (i.e., to Kisrā). The message reached Kisrā, and he placed the crown on his head, girded on the weapons of a soldier, and then went along to Bābak so that he might present himself for inspection by him.

The equipment that a cavalryman of the army had to take along with him comprised horse mail, soldier's mailed coat, breastplate,

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629. These judges from the religious institution were presumably *mōbadhs* who administered the Zoroastrian church law; here they are charged with protecting the taxpayers from tyranny and exploitation by the taxcollectors.

630. Nöldeke, trans. 247, though that this name might be *Birawān* (?); it is equally corruptly written in *al-Dīnawarī's* passage on the Sāsānid army review, *al-Akhhbār al-ṭiwāl*, 72-73, as *al-Nahrawān*.

leg armor plates, sword, lance, shield, mace, and, fastened at his waist, a girdle, battle axe, or club, a bow case containing two bows with their strings, thirty arrows, and finally, two plaited cords, which the rider let hang down his back from his helmet.<sup>631</sup> Kistrā presented himself for inspection before Bābak with his weapons all complete except for the two cords he was supposed to tie on his back. Bābak did not pass over his name, but said to him, "O king, you are standing in such a place where all are treated equally, where no partiality on my part can be shown nor any relaxation! So step forward with all the requisite kinds of weapons!" At that point, Kistrā remembered the matter of the two cords, so he let them hang down.

Bābak's herald now sang out in a loud voice, saying, "For the brave warrior, lord of brave warriors, four thousand and one dirhams,"<sup>632</sup> and Bābak passed over his name. Then the king returned home. Now Bābak used to give the king a pay rate superior to that of the rest of the soldiers by one dirham. When Bābak got up from his seat [on the platform], he went to Kistrā and told him, "The lack of consideration that I showed you today, O king, was only so that the function with which you charged me could be thereby properly discharged, because the most secure way of achieving the king's aim is his making my office as firmly based as possible." Kistrā replied, "We don't regard as any lack of consideration for us a procedure that I desire for the furtherance of the welfare of my subjects and by means of which the injury of an injured person may be set right."<sup>633</sup>

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631. Nöldeke, trans. 248 n. 2, noted that Firdawsī adds to the list the lasso, characteristic of steppe-dwelling herdsmen, and mentioned by Herodotus, VII. 85, as a weapon of the Iranian tribe of the Sagartians, possibly to be located in north-eastern Persia.

632. The "and one" is supplied from the parallel passage in al-Dinawarī, *al-Akhbār al-tiwāl*, 73, as done by Nöldeke in trans. 249, cf. n. 1, and noted in text, n. e; without this amendment, the point made just afterward is lost.

633. Bābak's rôle as head of the military department corresponds to that of the 'arīd' who presided over the department of the army, *dīwān al-jaysh*, *dīwān al-jund*, in the 'Abbāsīd caliphate and the *dīwān al-'arḍ* in such successor states to it in the Persian lands as those of the Sāmānids, Ṣaffārids, Būyids, and Ghaznavids. The institution of regular inspections of troops was probably an ancient one in Persia. According to Widengren, in Achaemenid times, the Persian army had a rallying point, apparently termed the \**handaisa* - < *handēz*, corresponding to Xenophon's *exetasis*, and there was a secretary, the *rēš dupšarri ša ūqu* who fulfilled the rôle of Bābak here. From the evidence of the Bablyonian Talmud, the

Kisrā now dispatched to Yemen an army under the command of a man from the people of Yemen called Sayfān b. Ma'dī Karīb—some authorities aver, however, that he was called Sayf b. Dhī Yazan—which killed the blacks there (i.e., the Abyssinians) and conquered the land.<sup>634</sup> Having secured the submission of the land of Yemen, Kisrā sent one of his commanders, with a numerous army, against Sarandīb, the land of precious stones, in the land of India.<sup>635</sup> The commander attacked its king, killed him, and seized control of it, sending back from there to Kisrā abundant wealth and many jewels.

In the land of Persia there were no jackals (*banāt āwā*),<sup>636</sup> but

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related term *andesaq* seems to have been used in Arsacid times. The account given here by al-Ṭabarī and also by al-Dīnawarī of the Sāsānid army inspection is distinctly imaginative in its details, and can hardly be accepted as firm evidence for detailed Sāsānid practice here. Yet it is likely that there was some department of the bureaucracy concerned with the army and its standard of effectiveness, and precampaign inspections of the Persian army, in the presence of the monarch and the commanders appointed to lead that expedition, are mentioned by Procopius (Christensen, *Sassanides*, 213–14). The *Sīrat Anūshirwān* mentions these reviews held by the emperor, and says that they took place in the presence of the *qā'id* or commander, the *pādhguspān* or commander-in-chief of the force (the later *ispahbadh*), the *qādī* or judge, and a trusty secretary appointed directly by the king (*amīn min qibalīnā*). See Widengren, "Recherches sur le feudalisme iranien," 152ff.; Grignaschi, "Quelques spécimens de la littérature sassanide conservée dans les bibliothèques d'Istanbul," 20 and n. 48.

For the Islamic period, we have a detailed account of the 'arḍ as practiced in the Ṣaffārid army under 'Amr b. al-Layth (r. 265–87/879–900) in which the Amīr, like Khusraw Anūsharwān, had to be inspected by the 'arīḍ and passed fit for service just like every other soldier. The authority for this is al-Sallāmī, author of a lost history of the governors of Khurāsān, cited in Ibn Khallikān's *Wafayāt al-ayyān*. Ibn Khallikān juxtaposes this with al-Ṭabarī's account of the Sāsānid inspection in the time of Anūsharwān (actually cited via the historian of Aleppo Ibn al-'Adīm), and notes the similarity between the two procedures. A modern historian like Barthold observed that the resemblances could hardly be coincidental. See Ibn Khallikān, *Wafayāt*, VI, 421–23, tr. de Slane, IV, 322–24; W. Barthold, *Turkestan Down to the Mongol Invasion*<sup>3</sup>, 221; Bosworth, "The Armies of the Ṣaffārids," 549–50.

634. This seems to be a purely Persian piece of historical information, perhaps compressed by al-Ṭabarī because of its contradictions with the story of Wahriz's South Arabian expedition given by him at considerable length in I, 952–58, p. 245–51 above. According to Nöldeke, trans. 250 n. 1, we should correct the text's Sayfān (which in Persian would mean "son of Sayf") to Sayf b. Dhī Yazan.

635. Sarandīb was the name given to the island of Ceylon in mediaeval Islamic geographical and historical literature; see *EP*<sup>2</sup>, s.v. Sarandīb (C. E. Bosworth). It was famed in Islamic lore for its supposed wealth. The story of a Sāsānid conquest of Ceylon is, of course, pure legend.

636. The jackal [*canis aureus* or *anthus*, in Persian, *shaghāl*] is in fact widely distributed today in Persia. See Naval Intelligence Division. Admiralty Handbooks, *Persia*, 209–10.

some of them infiltrated into Persia from the land of the Turks during the reign of Kisrā Anūsharwān. News of this reached Kisrā, and caused him anguish. He summoned the Chief Mōbadh and told him, "We have heard about the appearance of those wild beasts in our land, and it has distressed the people. We are, however, astonished that they consider such an insignificant occurrence as so portentous; tell us what you think about all this." The Chief Mōbadh replied, "O king—may God grant you long life—I have heard our scholars learned in the divine law say that, so long as justice does not overlay tyranny in a land and itself becomes obliterated, the people of that land will be afflicted by incursions of their enemies against them, and all sorts of unpleasant things will gradually come upon them. I have become afraid lest the infiltration of these wild beasts into your land is connected with what I have just told you." Very soon afterward, the news reached Kisrā that a band of Turkish youths had raided the furthest boundaries of his land. He ordered his ministers and provincial governors not to go beyond what was just in the course of their official duties and not to act in any way during the course of those duties except justly. Because of this policy of acting justly, God deflected that enemy from Kisrā's land without his having to make war on them or to undertake great trouble in repelling them.<sup>637</sup>

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Kisrā had several handsomely educated sons. From out of those, he appointed as his successor to the throne Hurmuz, whose mother was the daughter of Khātūn and Khāqān,<sup>638</sup> because he knew Hurmuz to be a person who would act with circumspection and fidelity to his word, and because he hoped through this appointment that Hurmuz would keep the kingdom in order and would show strength in directing the government of the land and in ruling over the subjects and treating them suitably.<sup>639</sup>

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637. The story of an invasion of jackals into Iraq appears in al-Dīnawarī, *al-Akhhbār al-ṭiwāl*, 74, but with no mention of an incursion of Turkish youths; here, the depredations of the jackals are attributed to the existence of tyranny and injustice within Anūsharwān's lands, remedied by his appointing a commission of thirteen agents to enquire into the matter, as a result of which the king executed ninety evil officials *pour décourager les autres*. Cf. Nöldeke, trans. 251 n. 1.

638. See al-Ṭabarī, I, 899, p. 160 above.

639. Anūsharwān's designation of Hormizd (IV) sprang no doubt from his mother's royal birth. According to such sources as al-Dīnawarī, *al-Akhhbār al-ṭiwāl*, 74-75, and al-Ya'qūbī, *Ta'rīkh*, I, 187, the king passed over his other sons because they had mothers of lowly origin and were only *awlād sūqah*, and chose Hormizd after

The birth of the Messenger of God took place during the reign of Kisrā Anūsharwān, in the year when Abrahah al-Ashram Abū Yaksūm marched against Mecca with the Abyssinians, bringing with him the elephant, having the intention of demolishing the Holy House of God. It happened after forty-two years of Kisrā Anūsharwān's reign had elapsed.<sup>640</sup> In this same year also was the

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carefully observing his worthy behavior. Cf. Nöldeke, trans. 252 n. 3.

640. This would make it the year 573. The question of the birth date of Muḥammad is unusually speculative and controversial. A key point is what al-Ṭabarī mentions here, i.e., the date of Abrahah's expedition against Mecca in the "Year of the Elephant," with which the Prophet's birth date is held to coincide. But since the early Islamic historical tradition does not date this expedition, a date of 570 has been calculated backward from the fact that Muḥammad's first call to prophethood, the *mabḥath*, fell approximately in 610 and from the saying that he was about forty years old when he received this call.

However, this date of 570, while repeated in many of the biographies of Muḥammad, is contradicted both by evidence outside the Islamic tradition, principally from what we know about South Arabian history in the mid-sixth century, and by some reports within the Islamic tradition, which give widely differing dates up to twenty years after the "Year of the Elephant." Already more than 120 years ago, Nöldeke, trans. 204 n. 2, saw that the traditional dating was untenable, adducing among other things Ibn al-Kalbī's assertion that Muḥammad's birth was twenty-three years after Abrahah's expedition (also in his *Geschichte des Qorāns*, I, 67–70). Lammens pointed out that, if one takes ten years off the traditional birth date of 570, i.e., placing Muḥammad's birth in 580 so that he was fifty-two years old when he died, there is a correspondence with the date for the Prophet's death given by Barhebraeus in his *Mukhtaṣar Ta'riḫ al-Duwal*, ed. A. Šāliḫānī, Beirut 1890, 160, i.e., 892 of the Seleucid era = A.D. 580 (unfortunately Barhebraeus does not say how he acquired this date); see Lammens, "L'âge de Mahomet et la chronologie de la Sira," 239–40. Various Islamic traditions stating that Muḥammad was not born in the "Year of the Elephant" have been listed by Kister, see n. 563 above. What we know of Abrahah's policy in pushing his dominion northward from Yemen into Ḥijāz and central Arabia places his activities in the 550s, as attested by the well of Murayghān inscription from 552–53. Whether an attack on Mecca was part of the campaign of Abrahah to Ḥulubān or another campaign mounted into Ḥijāz shortly afterward is discussed in n. 563 above. All in all, it does not seem feasible to uphold the date of 570 as being that of the Prophet's birth. Furthermore, L. I. Conrad, in a detailed discussion of the whole topic, has pointed out how little interested were the Arabs of north and central Arabia in questions of firm dating and chronology, seen in the vagueness and confusedness over the chronological order and relationship to each other of the *ayyām al-'Arab*, the battles of the pre-Islamic Arabs. Hence the figure of forty years for Muḥammad's life before his call to *nubuwwah*—apart from the fact that "forty" is a literary topos in both ancient and mediaeval times for a number with connotations of perfection, completion, and culmination—must be regarded as a symbolic rather than a chronologically exact one. See his "Abraha and Muḥammad. Some Observations Apropos of Chronology and Literary Topoi in the Early Arabic Historical Tradition," 225–40.

“Day of Jabalah,” one of the celebrated “days” (i.e., battles) of the Arabs.<sup>641</sup>

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641. Jabalah, an isolated mountain in Najd some 150 km/90 miles south of ‘Unayzah (al-Bakrī, *Mu‘jam mā ista‘jam*, II, 365–66; Yāqūt, *Buldān*, II, 104), was the scene of the *yawm [shī‘b] Jabalah* or *yawm al-Nūq* between ‘Amīr b. Ṣa‘ṣa‘ah and ‘Abs on one side, and Tamīm, supported by Dhubyān, Asad, a contingent from al-Ḥīrah and men of Kindah from the Al Jawnah then ruling in Baḥrayn (see n. 312 above), accounted as one of the most celebrated battles of the Arabs. ‘Amīr and their allies were victorious, and one result was the shattering of Kindī power in Najd (see Olinder, “Al al-Ḥaun of the Family of Ākil al-Murār,” 214–25; because two members of the line of Jawn took part in the battle, the encounter is also known as the *yawm al-Jawnayn*). The historical traditions vary concerning the date of the “Day of Jabalah”; some place it in the year of Muḥammad’s birth, others seventeen or nineteen years before that. In reality, if the Lakhmid king who sent a contingent was, as the historical traditions say, al-Nu‘mān (III) b. al-Mundhir (IV), then the date cannot be before 580, the year of his accession. See Caskeel, *Aijām al-‘Arab. Studien zur altarabischen Epik*, II, 34, 36–37, 62, translating at 95–97 part of the *Naqā‘id Jarīr wa-al-Farazdaq*, II, 654ff., describing the “Day”; *EP*, s.vv. Djabala (F. Buhl-R. L. Headley), Shi‘b Djabala (I. Shaḥīd).